

FSIS Guidance for a Suggested Reporting Table for the Certified Establishment List

By May 18th of each year, the Central Competent Authorities (CCAs) of countries wishing to maintain on-going equivalence and continue actively exporting meat, poultry, or egg products to the United States (U.S.) are required to provide FSIS an up-to-date list of all certified establishments used in the production of products eligible for export to the U.S. Therefore, if the production chain involves more than one establishment, each establishment in the production chain, including the establishment providing the raw source material and the storage facility from where the product is exported, must be listed on the certified establishment list. Furthermore, countries that are not eligible to export raw product directly to the U.S. (e.g., due to animal disease restrictions), but are eligible to use their own raw source materials for further processing are required to certify and list the establishments providing the raw source materials. Lastly, when listing an establishment that provides raw source material, please clearly identify the establishment as a source establishment on the certified establishment list.

The reporting table below can be used to assist CCAs with providing the required information to FSIS by May 18th of each year. The format of the table is optional; however, the information noted with an asterisk (*) is required to be sent to FSIS annually. For each certified establishment, CCAs should only include products that the country is currently eligible to export to the U.S. In addition, FSIS requests that CCAs identify source establishments, as well as the product categories and product groups that each certified establishment is currently eligible for and intends to export to the U.S. Identifying source establishments and which product categories and product groups each certified establishment intends to export to the U.S. is especially important if your country is currently restricted from shipping specific products to the U.S. due to a United States Department of Agriculture (USDA) Animal and Plant Health Inspection Service (APHIS) disease restriction. Therefore, if your country is prevented from exporting certain meat, poultry, or egg products to the U.S. due to an APHIS disease restriction, please clearly identify the product categories and product groups that each certified establishment within the restricted region intends to export to the U.S. To view which products your country is currently eligible to export to the U.S., refer to [FSIS Import Library- Eligible Countries and Products](#). For a list of product categories and product groups, please refer to the [FSIS Product Categorization Guide](#).

Certified establishments that are no longer eligible to export products to the U.S. must be identified as delisted on the list provided to FSIS. Furthermore, FSIS requests that CCAs inform FSIS of any establishment delistment within 90 days.

CCAs can submit the required information to FSIS by either uploading it into our Public Health Information System (PHIS) under question 4 of the 2019 self-reporting tool (SRT), or by submitting it to our International Coordination Executive at:

US Department of Agriculture Food Safety and Inspection Service, Office of International Coordination,
Room 3143 South Building, 1400 Independence Ave SW, Washington D.C. 20250-3700
E-mail: InternationalCoordination@fsis.usda.gov

Date ^{1*}	Eligibility Status ^{2*}	Establishment Number*	Establishment Name*	Establishment Address*	Type of Operation ^{3*}	Is this a Source Establishment? (Yes or No) ^{3c}	Process Category ^{4*}	Species ^{5*}	Product Category	Product Group
MM/DD/YYYY										

1. Date – The date of an establishment’s initial certification, delistment, or relistment.
2. Eligibility Status – If an establishment listed on the previous year’s certified establishment list remains eligible to export products to the U.S., please leave this field blank.
 - **New** - Establishments that are newly certified as eligible to export products to the U.S. that were not previously certified as eligible to export products to the U.S.
 - **Delisted** – Establishments that were previously certified as eligible to export products to the U.S. and are no longer eligible to export products to the U.S.
 - **Relisted** – Establishments that were previously delisted and have been relisted as certified as eligible to export products to the US.
3. Type of Operation – **Slaughterhouse**, **Non-Slaughter Processing^a**, **Cold Storage^b**, **Exporting Warehouse**, or **Source Establishment^c**
 - a. Processing operations include all non-slaughter activities, including but not limited to, boning, cutting, slicing, grinding, injecting, pumping, filleting, breading, adding ingredients through other mechanical means, formulating, and assembling.
 - b. Cold Storage facilities store products until shipment into commerce.

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- c. Source establishments are slaughter establishments that provide raw source products to certified establishments for further processing. Source establishments are not eligible to export product to the U.S. due to disease restrictions, regionalization, or product ineligibility. However, source establishments must meet all U.S. requirements, be certified by the CCA, and be identified as a source establishment on the certified establishment list.

4. Process Category –

Raw - Non Intact
Raw - Intact
Thermally Processed/Commercially Sterile
Not Heat Treated - Shelf Stable

Heat Treated - Shelf Stable
Fully Cooked - Not Shelf Stable
Heat Treated - Not Fully Cooked - Not Shelf Stable
Product with Secondary Inhibitors - Not Shelf Stable

5. Species –

B: beef (bovine)
C: goat (caprine)
S: pork (swine)
P: poultry/ratites

V: veal
O: lamb/mutton (ovine)
F: fish of the order Siluriformes
E: egg products

Signature

Official Title

Date