FOOD SAFETY AND INSPECTION SERVICE

2000 FSIS NATIONAL RESIDUE PROGRAM DATA
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PREFACE

Welcome to the 2000 “Red Book”. The Red Book presents the 2000 calendar year Food Safety and Inspection Service (FSIS) National Residue Program Data (NRPD). [For those reading this electronically, this document has been commonly known as the “Red Book” because the covers of the printed versions are red.]

The FSIS National Residue Program (NRP) is a multi-component analytical testing program for detecting residues (drugs, pesticides and environmental contaminants) in domestic and imported meat, poultry, and egg products. The text of the document explains the different sampling plans and interprets the data collected under the NRP. Results from the various plans are tabulated in the Red Book.

The Red Book includes the following tables for the convenience of the reader: Appendix III, U.S. Residue Limits for Veterinary Drugs, and Unavoidable Contaminants in Meat, Poultry and Egg Products; Appendix IV, U.S. Residue Limits for Pesticides in Meat, Poultry, and Egg Products; and Appendix V, Analytical Methods, 2000 FSIS National Residue Program.

CONTACTS AND COMMENTS

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SECTION 1. INTRODUCTION TO THE FSIS NATIONAL RESIDUE PROGRAM

An essential aspect of food safety is the control of residues that may result from the use of animal drugs and pesticides, or from incidents involving environmental contaminants. The United States has a complex residue control system, with rigorous processes for approval, sampling and testing, and enforcement. Three principal agencies are involved in the control of residues in meat, poultry, and egg products: the Food Safety and Inspection Service (FSIS) of the United States Department of Agriculture (USDA), the Food and Drug Administration (FDA), and the Environmental Protection Agency (EPA). FDA and EPA establish tolerances (maximum permissible levels) for chemical residues in foods, and FSIS enforces these tolerances through its various residue control programs.

FDA establishes tolerances for veterinary drugs and food additives, under the statutory authority of the Federal Food, Drug, and Cosmetic Act (FFDCA). These tolerances are published in Title 21 of the Code of Federal Regulations (21 CFR). EPA establishes tolerances for registered pesticides under the statutory authority of the Federal Insecticide, Fungicide and Rodenticide Act (FIFRA) and FFDCA, as modified by the Food Quality Protection Act (FQPA). These are published in 40 CFR. Maximum permissible levels have also been established for residues that are the result of environmental contamination, such as cancelled pesticides that are no longer approved for use but persist in the environment (e.g., DDT), industrial chemicals (e.g., PCBs), and heavy metals. Tolerances for industrial chemicals and heavy metals are established by FDA and published in 21 CFR. For cancelled pesticides, action levels (similar to tolerances, but less formal), are established by FDA or FSIS, based on recommendations that EPA has published in the Federal Register.

Under the Federal Meat Inspection Act (FMIA), the Poultry Products Inspection Act (PPIA), and the Egg Products Inspection Act (EPIA), FSIS acts to ensure that USDA-inspected meat, poultry and egg products do not contain illegal levels of chemical residues. The cornerstone of FSIS residue prevention activities is the FSIS National Residue Program (NRP), a multi-component analytical testing program for residues in domestic and imported meat, poultry, and egg products. The FSIS NRP, which has been in effect since 1967, provides a variety of sampling plans to prevent violative residues from entering the food supply, and develops national data on the occurrence of chemical residues to support risk assessment, enforcement and educational activities. The range of chemical compounds evaluated for inclusion in the various NRP testing programs is comprehensive in scope. It includes approved and unapproved pharmaceutical drugs and pesticides known or suspected to be present in food animals in the U.S. and in countries exporting products to the U.S. It also includes any other xenobiotic or naturally occurring compounds that may appear in meat, poultry, and egg products and that may pose a potential human health hazard.
The NRP is designed to provide: (1) a structured process for identifying and evaluating compounds of concern by production class; (2) the capability to analyze for compounds of concern; (3) appropriate regulatory follow-up of reports of violative tissue residues; and (4) collection, statistical analysis, and reporting of the results of these activities.

When violative residues are detected in food-producing animals submitted for slaughter, FSIS notifies the producer and other parties involved in offering these animals for sale. Product found to contain violative levels of residues is considered adulterated and is subject to condemnation. If the product has been distributed into commerce, it may be subject to voluntary recall and/or other actions. In addition, FDA and cooperating state agencies may make on-site visits to these firms. Typically, an educational visit by the state is the first step in attempting to correct a residue problem. If the problem is not corrected, subsequent visits, made by FDA, could result in enforcement action, including prosecution. Until September 4, 2001, FSIS also subjected these parties to follow-up enforcement testing until compliance was demonstrated. Beginning September 5, 2001, this policy was discontinued and a new policy was implemented in which FSIS will post on its website the names and addresses of parties who the Food and Drug Administration has determined are responsible for the repeated sale of livestock or poultry containing violative levels of chemical residues. FSIS believes that this new policy will act as a more effective deterrent against residue violations, while also enabling the Agency to make better use of its residue testing resources.

An additional function of the FSIS NRP is to provide verification of residue control in Hazard Analysis and Critical Control Point (HACCP) systems. Under the FMIA and PPIA, the ultimate responsibility for ensuring that product is not adulterated when it enters commerce rests with the slaughter and processing establishments that produced the product. To define and formalize this responsibility, on July 25, 1996 USDA published the Final Rule on Pathogen Reduction; Hazard Analysis and Critical Control Point Systems. The principal focus of this rule is to reduce the incidence of foodborne illness associated with meat and poultry. Part 417 of the HACCP regulation requires meat and poultry establishments to develop and implement a system of preventive measures designed to ensure the safety of their products. In developing their HACCP plans, slaughter establishments must address all chemical, physical, and biological hazards that are reasonably likely to occur in the animals that enter their plants. Therefore, as part of the HACCP regulation, slaughter and processing establishments are required to identify all chemical residue hazards that are reasonably likely to occur, and develop systems to guard against them. A vigilant chemical residue prevention program is essential to foster the prudent use of drugs and pesticides in animals that enter the human food supply. The requirement that slaughter establishments implement HACCP systems is a significant step in this evolutionary process.
The goals of the NRP can be summarized as follows:

- Enforce Federal laws and regulations;
- Maintain consumer confidence by ensuring that meat, poultry, and egg products are not adulterated;
- Act as a deterrent against the slaughter of adulterated animals and the processing of adulterated eggs products;
- Identify violative product and prevent its entry into the food supply;
- Assess and communicate human exposure to chemical residues; and
- Provide verification of residue control in HACCP systems.
SECTION 2. COMPONENTS OF THE FSIS NATIONAL RESIDUE PROGRAM

DOMESTIC RESIDUE SAMPLING PROGRAM

The Food Safety and Inspection Service (FSIS) National Residue Program (NRP) provides a variety of sampling plans to verify and ensure that slaughter establishments are fulfilling their responsibilities under the Hazard Analysis and Critical Control Point (HACCP) regulation, and in accordance with Food and Drug Administration (FDA) and Environmental Protection Agency (EPA) regulations, to prevent the occurrence of violative residues. The NRP also collects and uses national data on chemical residues to support risk assessment, enforcement, and educational activities. All residue data is collected and stored in the Microbiological and Residue Computer Information System (MARCIS). Detailed information on violations is immediately transferred to the Residue Violation Information System (RVIS), which facilitates regulatory follow-up on violations and tracking of residue violators by both FSIS and FDA.

Components of the NRP for domestically produced products include:

- **Monitoring Plan** – the random sampling of specified animal populations at time of slaughter to provide information about the occurrence of residue violations on an annual, national basis. Monitoring information is obtained through a statistically based random selection of tissues from animals that have passed inspection and therefore have been permitted entry into the food supply. Generally, production classes are sampled at one of four levels (460 samples/year, 300 samples/year, 230 samples/year, or 90 samples/year). The probability of detecting a violation varies positively with the number of samples analyzed and the true violation rate of the production class being tested. The results are also used to identify producers or other entities marketing animals with violative concentrations of residues. When such producers subsequently offer animals for slaughter, the animals may be subjected to Enforcement Testing until compliance is demonstrated. The carcass is not retained after the sample is taken.

- **Special Projects** – information-gathering studies that do not meet the criteria for inclusion in the Monitoring Plan, e.g., when sampling will not be conducted over a full 12-month period, or when there is a lack of precise slaughter volume data on the production classes to be sampled. This designation is also used when it is not possible to determine a “violation rate” for a compound because the violative level has not been defined. Many chemicals, such as heavy metals, industrial chemicals, and mycotoxins, may be inadvertently present in animals. Their presence in edible tissues, and the resulting need for limits to protect public health, has not been established. FSIS may conduct studies to develop information on the frequency and concentration at which such residues occur. With the exception of certain types of Surveillance Sampling, Special Projects generally sample animals that have passed USDA inspection.

- **Surveillance Sampling** – consists of targeted sampling designed to distinguish components of livestock, poultry, and egg products in which residue problems exist, measure the extent of
problems, and evaluate the impact of actions taken to reduce the occurrence of residues. Surveillance Sampling sometimes employs on-site rapid screening tests.

- Enforcement Testing – the analysis of specimens collected from individual animals or lots that appear suspicious to FSIS in-plant inspectors, based on herd history or antemortem or postmortem inspection. Enforcement Testing is performed to detect individual animals with violative concentrations of residues. This testing is emphasized in problem populations (those with a high prevalence of residue violations) and used as a tool to prevent carcasses with violative residues from entering the food supply. It is also used to follow up on producers and others who have marketed animals with violative concentrations of residues to determine if the non-compliance has been corrected, or to verify the performance of an establishment’s Hazard Analysis and Critical Control Point (HACCP) system in controlling violative residues.

It is important to emphasize the differences between the types of samples collected under the Monitoring Plan and Special Projects, as compared with those collected under Enforcement Testing. Since the former plans are designed to collect information upon the prevalence of residue violations in the U.S. food supply, these plans collect samples only from animals that appear normal and healthy at time of slaughter and thus pass USDA inspection and are permitted entry into the food supply. By contrast, since Enforcement Testing is designed to prevent violative product from entering the food supply, it is targeted towards animals that do not appear to be normal or healthy, or which show abnormal postmortem signs, or which are suspicious based on herd history. Enforcement Testing occasionally also includes samples from animals that have already been condemned based on FSIS postmortem inspection.

Further, because carcasses sampled under Enforcement Testing are by definition "suspect," and because a principal goal of Enforcement Testing is to prevent adulterated meat, poultry, and egg products from entering the food supply, all carcasses sampled under Enforcement Testing are held pending the results of official FSIS laboratory testing (unless on-site screening tests, described below, show them to be negative, or unless they have already been condemned by the inspector for other reasons). Carcasses found to contain violative concentrations of residues are considered adulterated and are condemned. By contrast, carcasses sampled under the Monitoring Plan and Special Projects are not held pending the results of testing. This is because the primary purpose of these sampling plans is information gathering (and identification of emerging residue problems), rather than direct removal of violative product from the food supply. Additionally, carcasses tested under the Monitoring Plan and Special Projects are unlikely to be violative; violations for most combinations of compound classes and production classes are below 0.3%. However, if the results of testing indicate that there is a potential health concern, product that has already entered the food supply can be subjected to voluntary recall.

Finally, all samples collected under the Monitoring Plan and Special Projects are submitted directly to an FSIS laboratory for testing. By contrast, Enforcement Testing makes extensive use of rapid on-site screening tests. Because FSIS in-plant inspectors are required to subject all carcasses for which there is a suspicion of a residue violation to Enforcement Testing, many such tests are performed, typically between 100,000 and 200,000 annually. However, it is not practical for FSIS to carry out expensive and time-consuming laboratory tests on this number of Enforcement samples each year. Therefore, to perform such a large number of tests efficiently, carcasses are first pre-
screened on-site by FSIS inspectors using rapid screening tests, where such tests are available. In this way, only those samples that test positive by a screening test (again, where such tests are available) are sent to an FSIS laboratory for follow-up testing. If an FSIS inspector suspects that a carcass may contain a violative level of a residue not detected by an official FSIS screening method (see below), a sample taken from that carcass is sent directly to an official laboratory for testing.

As explained above, the use of on-site rapid screening tests also facilitates rapid decisions on carcass disposition. A carcass that registers a positive result on the screening test is held pending the outcome of laboratory testing, while one that registers a negative result is permitted to enter the food supply (unless the FSIS inspector has condemned it for some other reason). FSIS currently employs the following on-site rapid screening tests:

- **SOS**, for Sulfa-on-Site, was implemented in April 1988 to test swine urine for sulfonamide residues. SOS is used in many of the largest swine slaughtering facilities.

- **STOP**, for Swab Test on Premises, was implemented in 1979 to detect the presence of antibiotic residues in kidney tissues. Originally developed for testing dairy cows, STOP is now approved for use in all species. While STOP is not designed to detect sulfonamides, it can register a positive at high concentrations. Additionally, producers will often use antibiotics in combination with sulfonamides. For these two reasons, the FSIS laboratory tests STOP positive samples for sulfonamides as well as antibiotics.

- **FAST**, for Fast Antimicrobial Screen Test, quickly detects both antibiotic and sulfonamide drug residues in kidneys and livers. At this time, it has been approved for use in bovine animals only. It has proved to be a suitable replacement for CAST and STOP in this species, as it is both quicker and more sensitive. Though also capable of detecting sulfonamides, FAST is significantly less sensitive than the SOS test. FAST was implemented in bovine pilot plants in 1995. Its use was extended to approximately 50 of the largest cow and bob veal slaughtering plants in 1996, and it is currently employed in almost all plants that slaughter bovine.

- **CAST**, for Calf Antibiotic & Sulfonamide Test, uses a swab test on kidney or liver tissue of bob veal calves (less than three weeks of age and under 150 lbs.).

**Contamination Response System**

The Contamination Response System (CRS) is not a testing plan, but rather an emergency response management system for FSIS, FDA, and EPA. There are certain pesticides and environmental contaminants whose detection may suggest the occurrence of a potential risk to consumers. To ensure against this, detection of these residues immediately initiates a rapid follow-up investigation to characterize and address the residue problem. Actions taken may include investigation of any entity from the producer to the retailer and, if needed, withdrawal of the product from the market. This system is also triggered following the detection of banned veterinary drugs.

**IMPORT RESIDUE SAMPLING PROGRAM**

The Federal Meat Inspection Act (FMIA), Poultry Products Inspection Act (PPIA), and Egg Products Inspection Act (EPIA) require foreign countries that export meat, poultry, or egg products
to the U.S. to establish and maintain inspection systems that are equivalent to those of the U.S. Countries must undergo a rigorous review process before they can become eligible to export meat, poultry and egg products to the U.S.

Residue control is a major feature of an inspection system that must be judged equivalent to the U.S. system before a country becomes eligible to export to the U.S. Foreign countries exporting to the U.S. are required to have protection from foodborne hazards equivalent to that of the U.S. These may include the following: random sampling of animals at slaughter; use of approved testing methods; testing appropriate target tissues, even though such tissue may not be exported to the U.S.; testing for compounds identified as potential contaminants of meat exported to the U.S.; and random sampling of eggs presented for processing.

After a foreign country is determined to have an equivalent system of inspection and becomes eligible to export product to the U.S., FSIS relies on the country's national inspection authorities to certify that establishments meet all applicable standards and are authorized to export to the U.S. FSIS performs periodic audits of the foreign inspection systems. The frequency and extent of audits depend on the country’s performance history, including the results from previous plant reviews and product reinspection at the port-of-entry. If a country does not maintain an inspection system equivalent to the U.S. system, it is not permitted to export product to the U.S.

As a further check on the effectiveness of the foreign inspection system, FSIS randomly samples meat, poultry, and egg products for residues at the U.S. port-of-entry. Sampling at the port-of-entry is based on the Import Residue Plan, which is designed annually by FSIS. Components of FSIS import residue sampling include Monitoring, Increased Monitoring, Surveillance, and Exploratory Testing. These are described below.

- **The Import Monitoring Plan** involves the sampling of specified raw or processed products to provide information about the occurrence of residue violations on an annual, international basis. Monitoring information is obtained through a statistically based random selection of products that have passed inspection from the foreign country. The probability of detecting a violation varies positively with the number of samples analyzed and the true violation rate of the product being tested. The results are used to identify countries whose product contains violative concentrations of residues. When a violation is found in a product, the foreign country is subjected to increased testing until compliance is demonstrated. The product is not retained after the sample is taken.
• **Increased Monitoring** occurs when FSIS finds a violation in a sample from a foreign country.

• **Surveillance Testing** occurs when FSIS suspects that product from a specific country is likely to have violative concentrations of a residue. Surveillance is designed to measure the extent of problems, and to evaluate the impact of actions taken to reduce the occurrence of residues in imported products.

• **Exploratory Testing** occurs when FSIS determines a need to study a specific product or compound that is being imported from one or more countries.

Residue sampling of meat and poultry is directed by the Automated Import Information System (AIIS), which stores results from all port-of-entry samples for each country and for each plant. All shipments are inspected for transportation damage, labeling, proper certification, general condition, and accurate count. AIIS assigns a variety of types of inspections, which may include analysis for chemical residues. Residue analyses are not limited to those compounds included in the domestic residue program. FSIS can initiate a special sampling plan when there is a need to monitor a country for residues of a specific compound, based on detection of violative residues at port of entry, or other information concerning risk to human health. Decisions about product acceptability are based on U.S. tolerances or action levels.

The first ten shipments of egg products from individual foreign establishments are subjected to 100 percent reinspection, to establish a history of compliance for each product category. This level is reduced a random selection of one reinspection out of eight shipments, which continues as long as the product is in compliance. If a positive result is found in an egg product, import requests would be denied until foreign officials and FSIS determined that egg products originating from that country are safe for human consumption.

Shipmentsthat are sampled during routine monitoring are eligible to be stamped with the U.S. mark of inspection and allowed to enter commerce prior to receipt of the results of the analysis. If violative results are subsequently reported, imported product bearing the U.S. mark of inspection cannot be used as human food; the importer does not have the option of recalling the product and exporting it from the U.S. It must either be destroyed or, if approved by FDA, converted to animal food. By contrast, if the importer chooses to voluntarily hold the shipment until the results are received, and the results are found to be violative, the shipment is refused entry as human food, and is either exported from the United States, destroyed or, if approved by FDA, allowed entry to the U.S. as animal food.