



THERMAL PROCESSING  
TRAINING

# Module 11. Retorts— Processing With Overpressure

Thermal Processing for Meat and Poultry  
Products Training



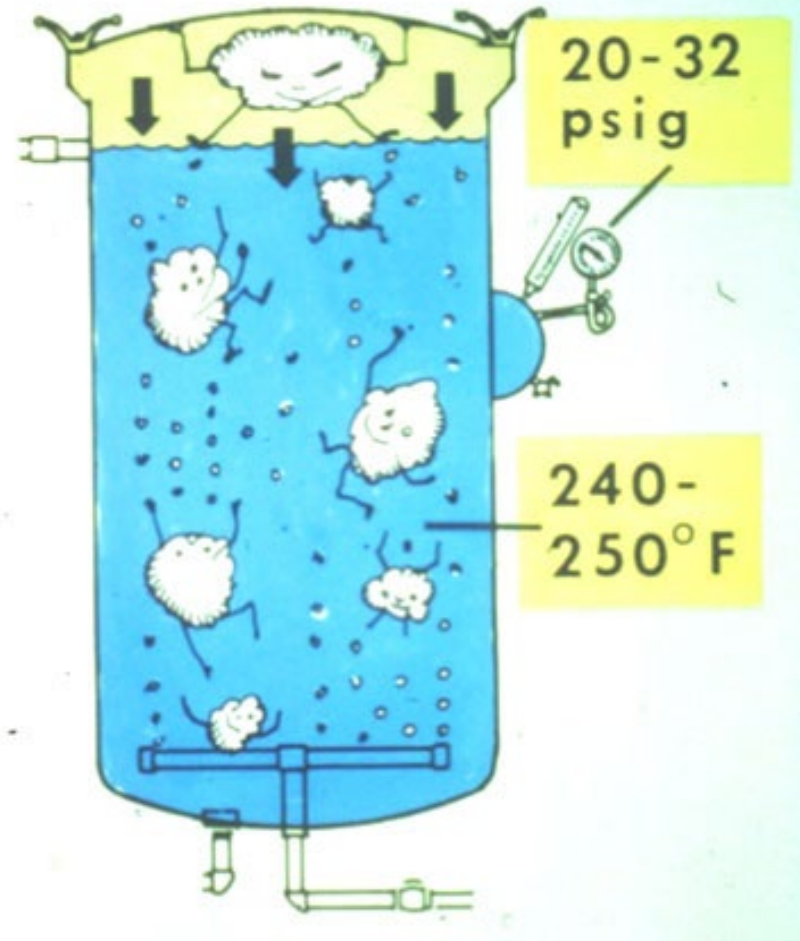
# What is Overpressure?



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## Overpressure:

- Pressure supplied to a retort in excess of the normal pressure exerted by the heating medium at a given temperature



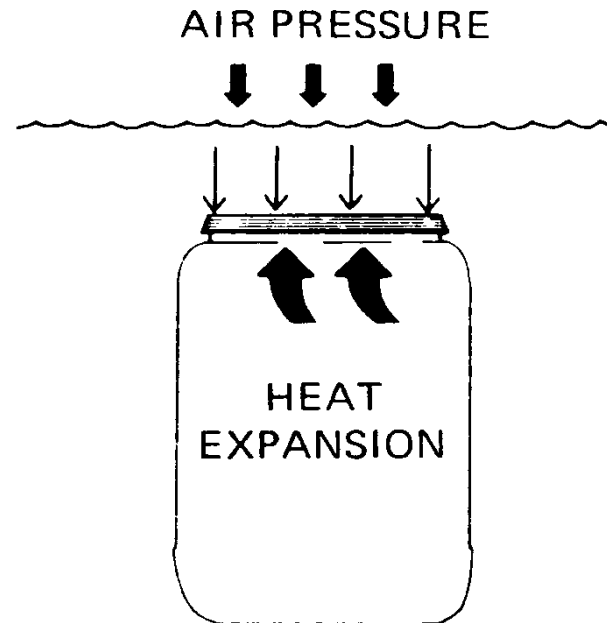
# Purpose of Overpressure



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## Overpressure

- To maintain container integrity
- To permit adequate processing



# Overpressure Requirements



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Overpressure requirements vary:

- Too much at the start of the process could distort containers (crush containers) or damage seals
- Too little during heating could lead to container rupture or seal damage, slow heat penetration, or interfere with water circulation patterns in the retort
- Too little during cooling could lead to container rupture or seal damage



# Factors Affecting Overpressure Requirements



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- Product fill temperature
- Container headspace
- Container vacuum
- Entrapped air
- Processing temperature

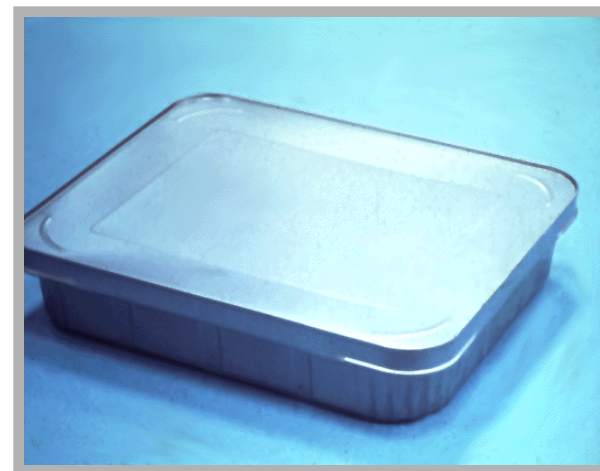


# Overpressure Applications



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- Plastic containers
- Flexible pouches
- Metal trays
- Glass jars



# General Characteristics of Retorts that Provide Overpressure



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- Introduced steam or air is the source of overpressure
- Batch processing, not continuous container handling
- Static (still), rotary (end-over-end), and back and forth (Shaka®process) agitation models



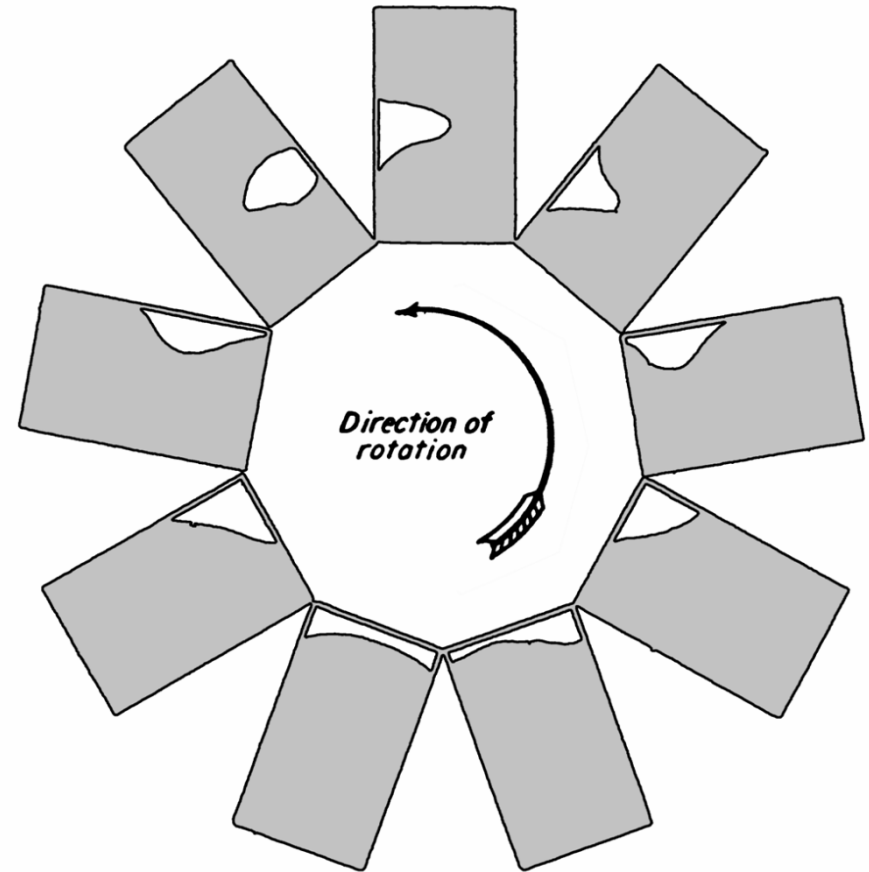


# End-Over-End Agitation



## THERMAL PROCESSING TRAINING

- Containers are held in place in the basket
- Rotating framework holds baskets
- Variable rotational speed
- Custom racking system

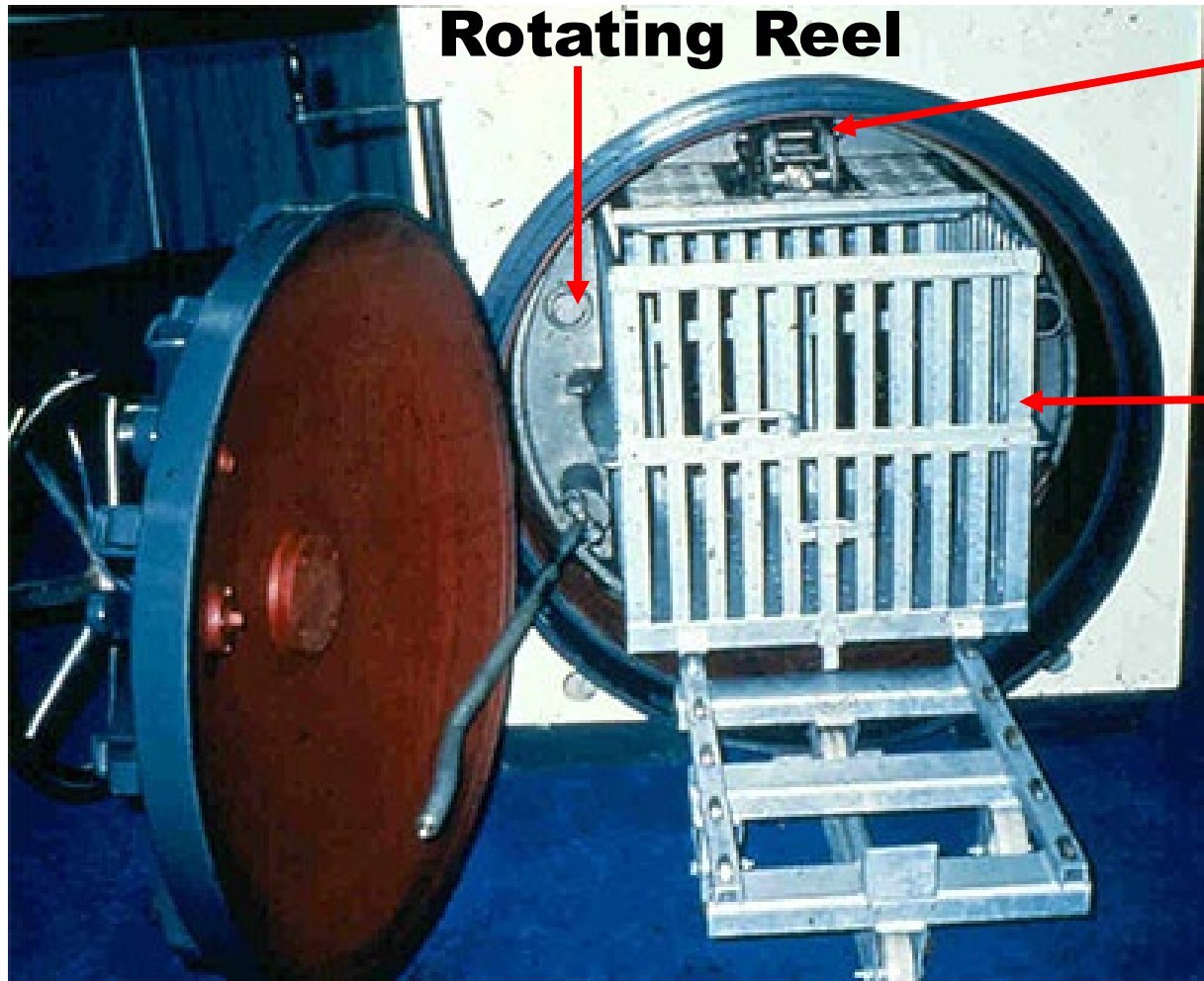




# End-Over-End Agitation



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**Rotating Reel**

**Lock Down  
Mechanism  
and Plate**

**Crate to  
Hold  
Containers**

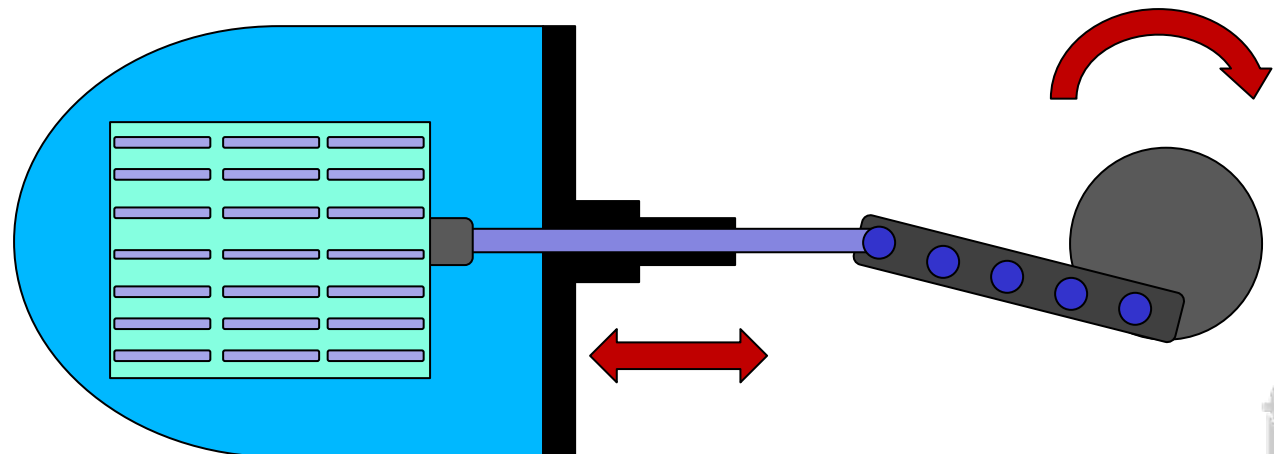
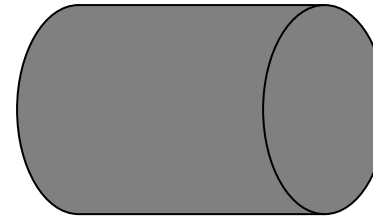


# Back and Forth (Shaka®process) Agitation



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- Containers are held in place in the basket
- Variable double reciprocating strokes
- Custom racking/basket system

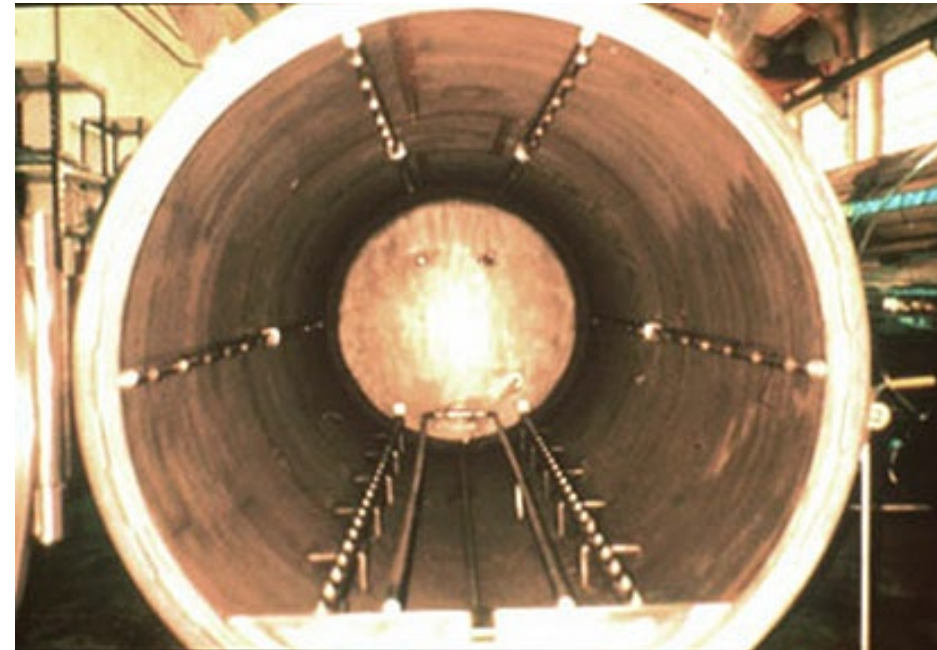


# Retorts that Provide Overpressure



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- Processing medium:
  - water immersion,
  - cascading water,
  - water spray, or
  - steam/air mixture



**Water Spray**



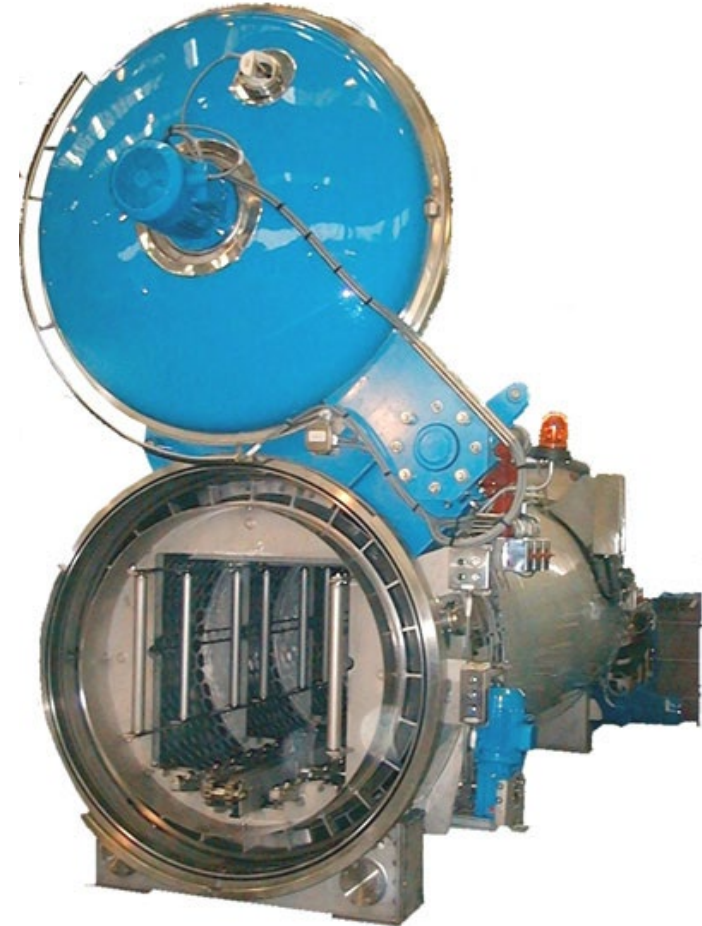
# Installation and Operation of Overpressure Retorts



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## Common Considerations:

- Installation, operation, and controls vary based on the processing medium
- Usually equipped with various alarms and warning devices to monitor critical operating procedures



# Instrumentation-Pressure Control



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- Each retort **must** have a pressure recording device
- Each retort **should** have pressure gauge





# Processing Medium Circulation



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- Each retort **must** have a means of providing uniform HD/TD during processing
- The efficiency of the circulation system **must** be documented in HD/TD data or other documentation from a PA
- HD/TD data **must** be on file at the establishment to support the retort operating procedures

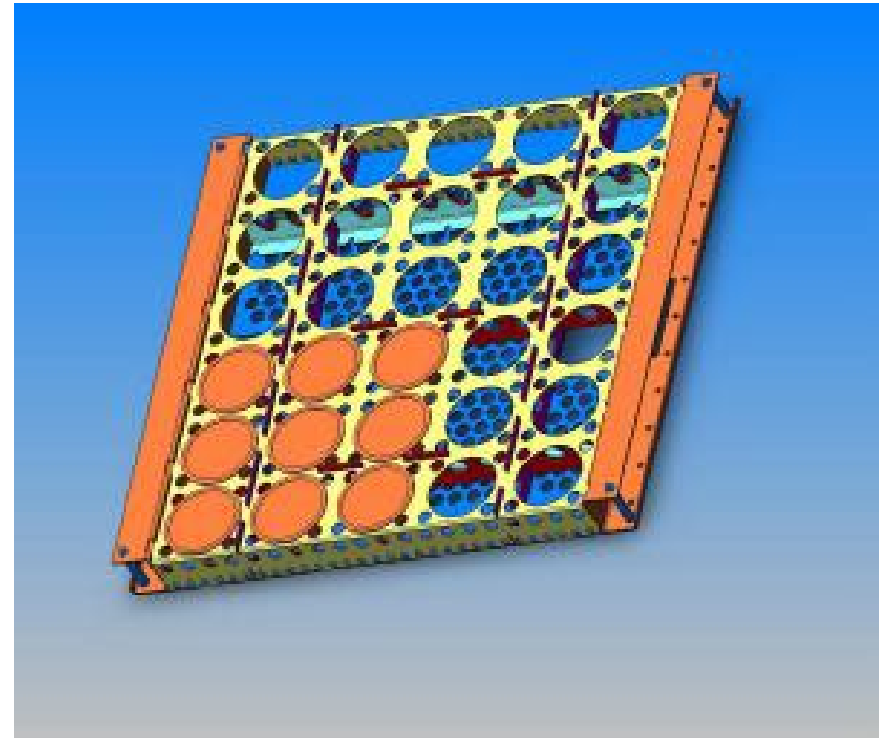


# Factors that may Affect Heat Distribution or Processing Medium Circulation



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- Crate and rack design
- Loading configuration
- Container type, size, or position



Nested Pouches





# Factors that may Affect Heat Distribution or Processing Medium Circulation



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- Operating pressure
- Come-up procedures
- Partial loads
- Fan or pump off or not functioning properly





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# Full Water Immersion Retorts



# Water Immersion Retort Characteristics



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- Water is the heating/cooling medium which completely covers the containers
- Horizontal and vertical configurations
- Introduced air *or* steam provides overpressure
- Several manufacturers



# Instrumentation - Temperature Indicating Device



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- Sensor (probe) located beneath water surface
- Sensor (probe) must extend at least 2" into water
- Vertical retorts – sensor (probe) may be located in thermometer pocket





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## Instrumentation - Temperature Indicating Device

- Horizontal retorts – sensor (probe) **must** be directly in shell for a retort using a steam spreader
- Horizontal retorts using a heat exchanger – sensor (probe) is located on the water return pipe before the entry to the exchanger



# Instrumentation - Temperature/Time Recording Device



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- Recorder sensor (probe) usually adjacent to temperature indicating device sensor
- May be combined with the steam controller to be a recorder-controller
- Vertical retort – sensor (probe) **must** be below lowest crate support where steam does not strike it directly



# Instrumentation – Recorder/Controller Sensor Location



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- Horizontal retort – sensor (probe) **must** be between water surface and center line in a retort using a steam spreader
- Horizontal retorts using a heat exchanger – sensor (probe) is located on the water return pipe before the entry to the exchanger





# Instrumentation - Water Level Indicator



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- Each retort **must** be equipped with a water level indicator, e.g.,
  - Water sight glass tube
  - Water level alarm (visual and audible)
  - Petcock valves



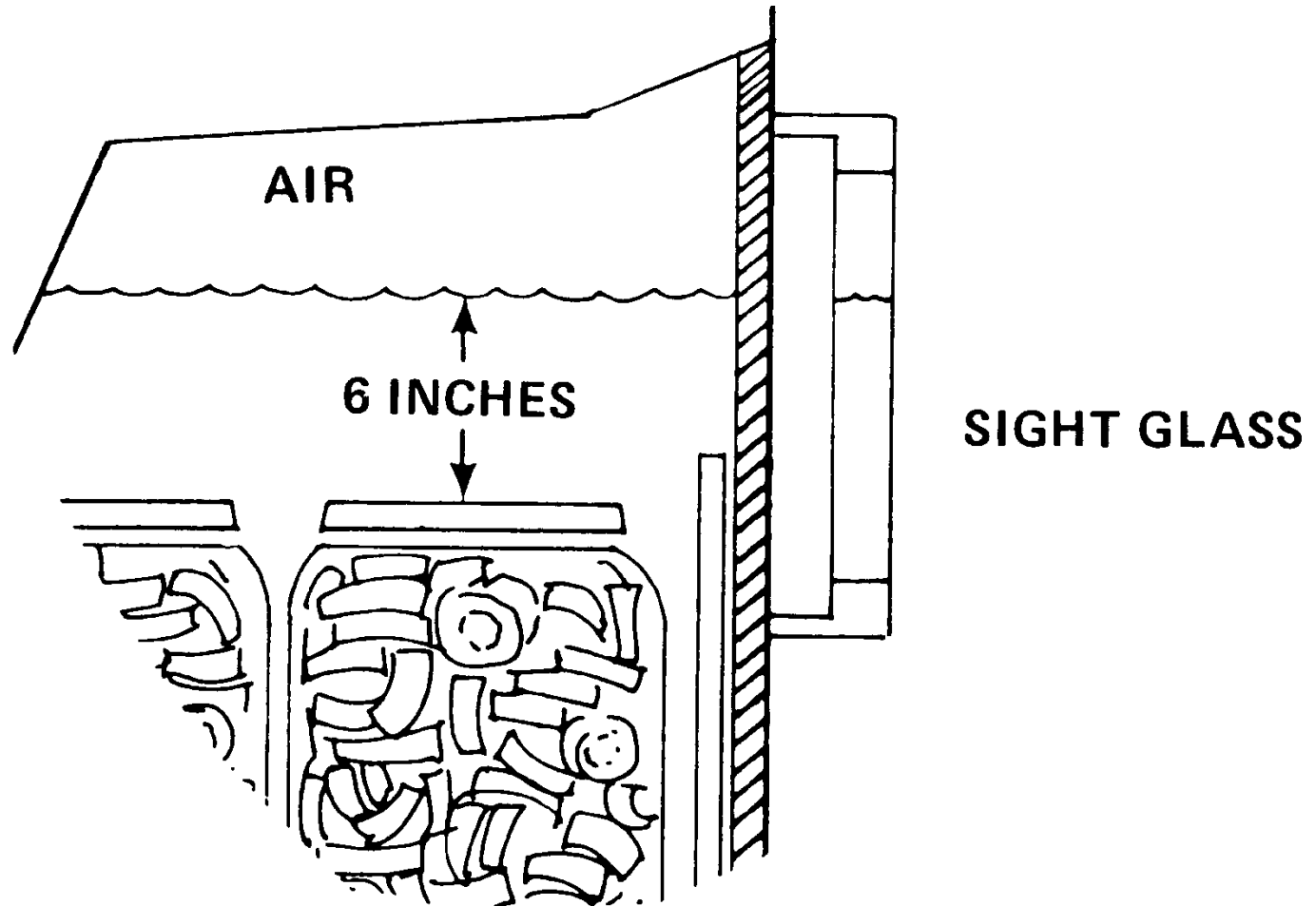
WATER  
LEVEL  
SIGHT  
GLASS



# Instrumentation - Water Level Indicator



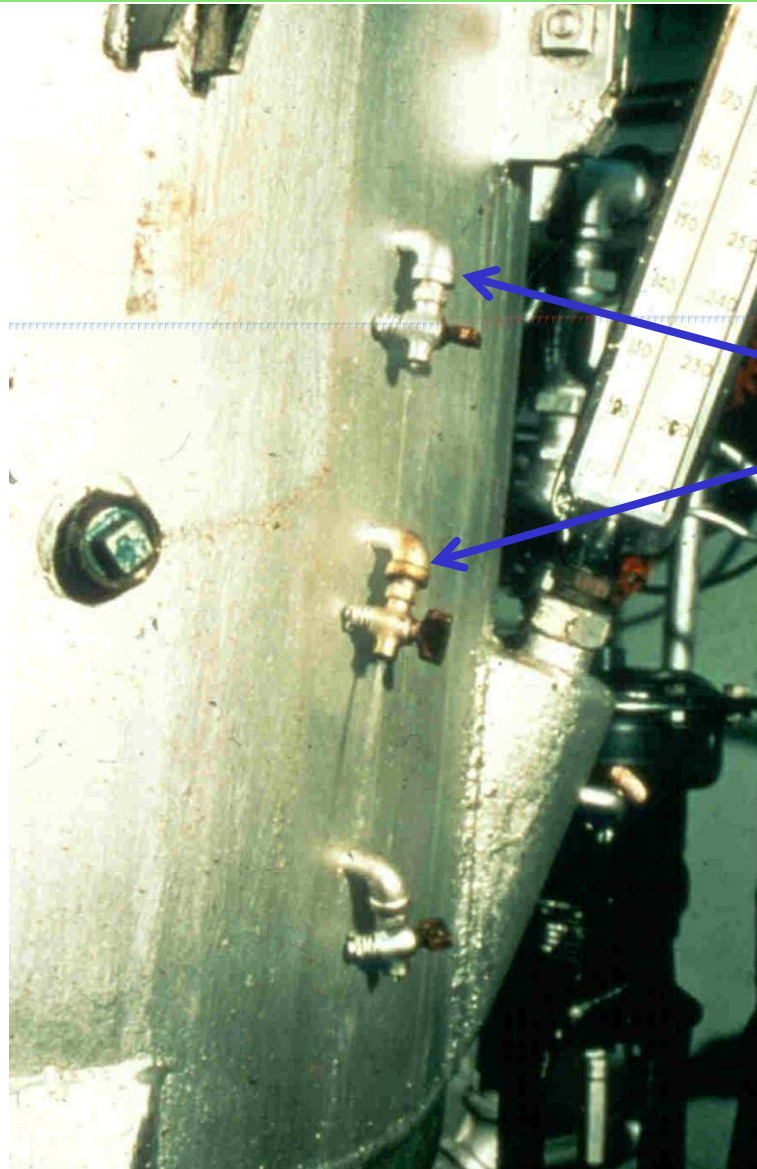
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# Instrumentation - Water Level Indicator



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Petcocks

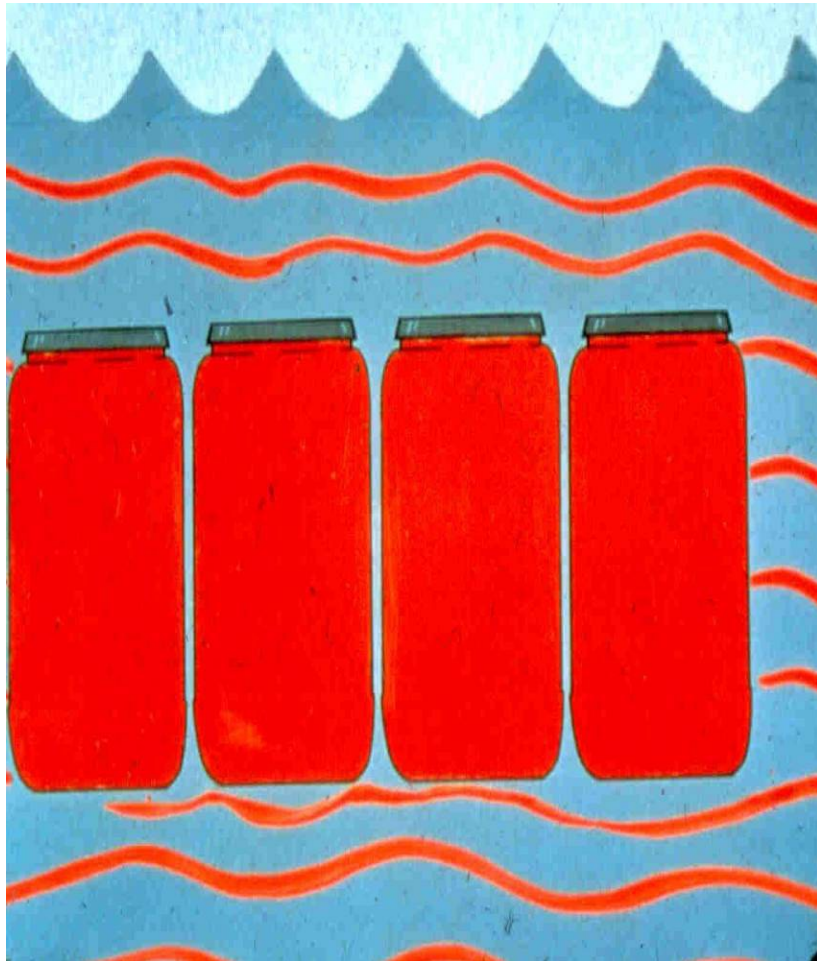




# Instrumentation - Water Level Indicator



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# Instrumentation - Drain Valves



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- Water immersion retorts **must** be equipped with non-clogging, watertight drain valve to ensure minimum water level
- **Must** have screens over drain openings to prevent loose containers and debris from entering circulation system



# Instrumentation - Crate Supports



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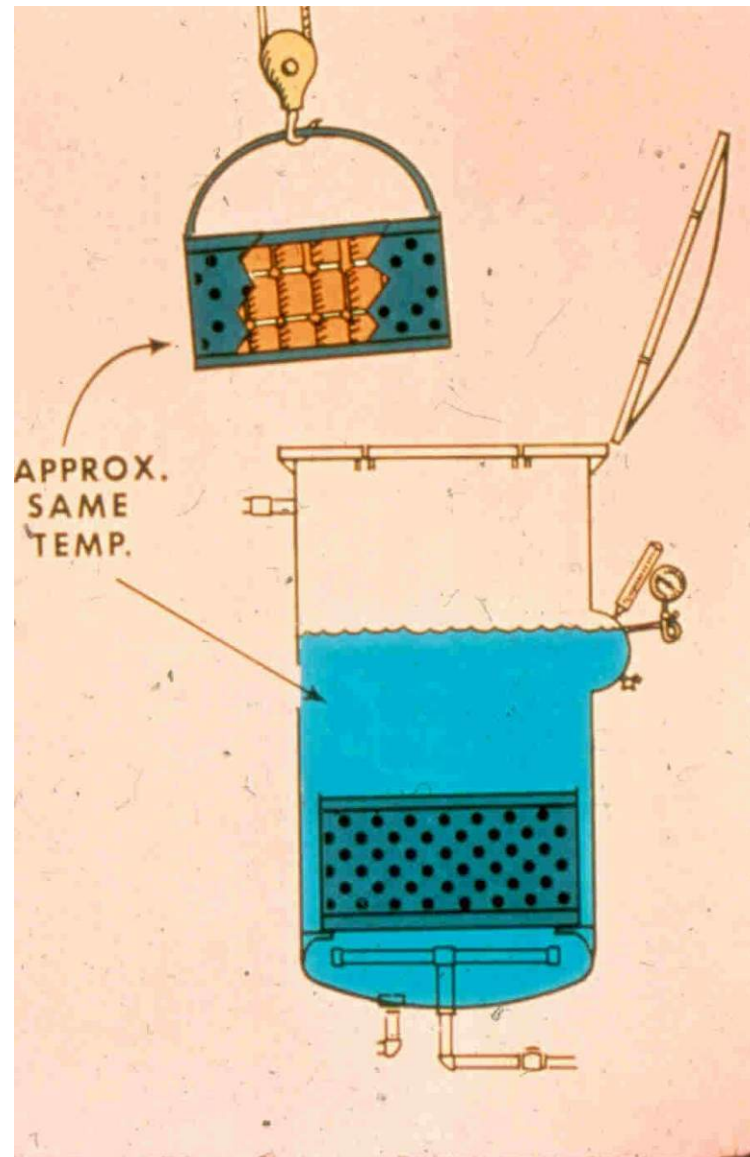
- For vertical water immersion retorts:
  - Bottom crate supports are **required**
  - Crate centering guides are recommended
  - Bottom baffle plates prohibited



# Vertical Retorts



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# Vertical Retorts - Water Circulation



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- By compressed air or
- By mechanical means such as a pump



# Vertical Retorts - Compressed Air Water Circulation



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- Air is introduced with steam through bottom spreader
- Air bubbles agitate water as they rise upward
- During CUT, a greater volume of air is needed to prevent steam hammer (noise)



# Vertical Retorts - Compressed Air Water Circulation



THERMAL PROCESSING  
TRAINING

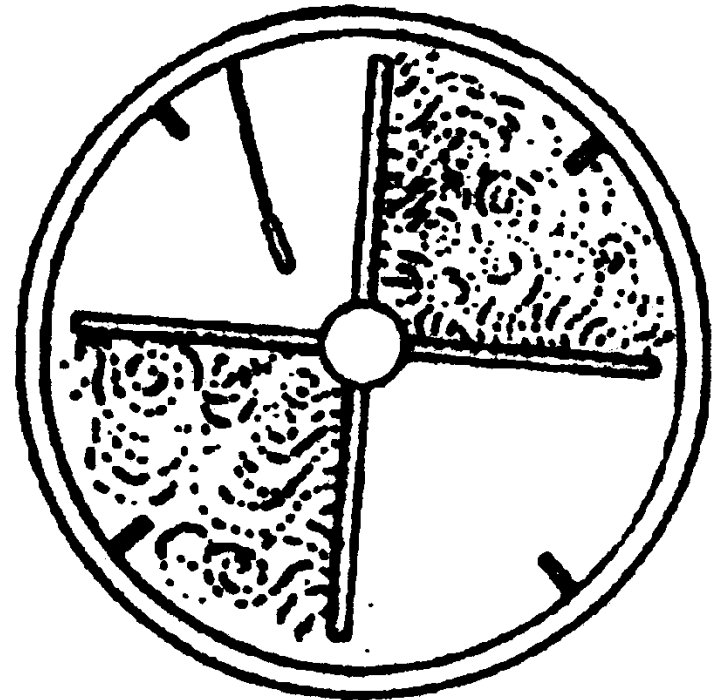
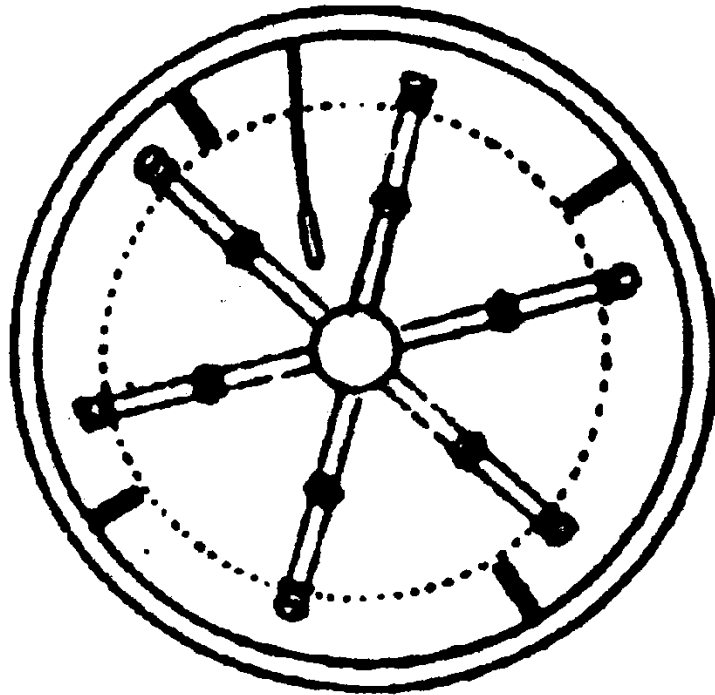
- During processing, the air volume is reduced to recommended level
- The air in the retort headspace maintains the overpressure
- Two steam spreader designs



# Vertical Retorts - Spreader Configurations



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# Horizontal Retorts - Design

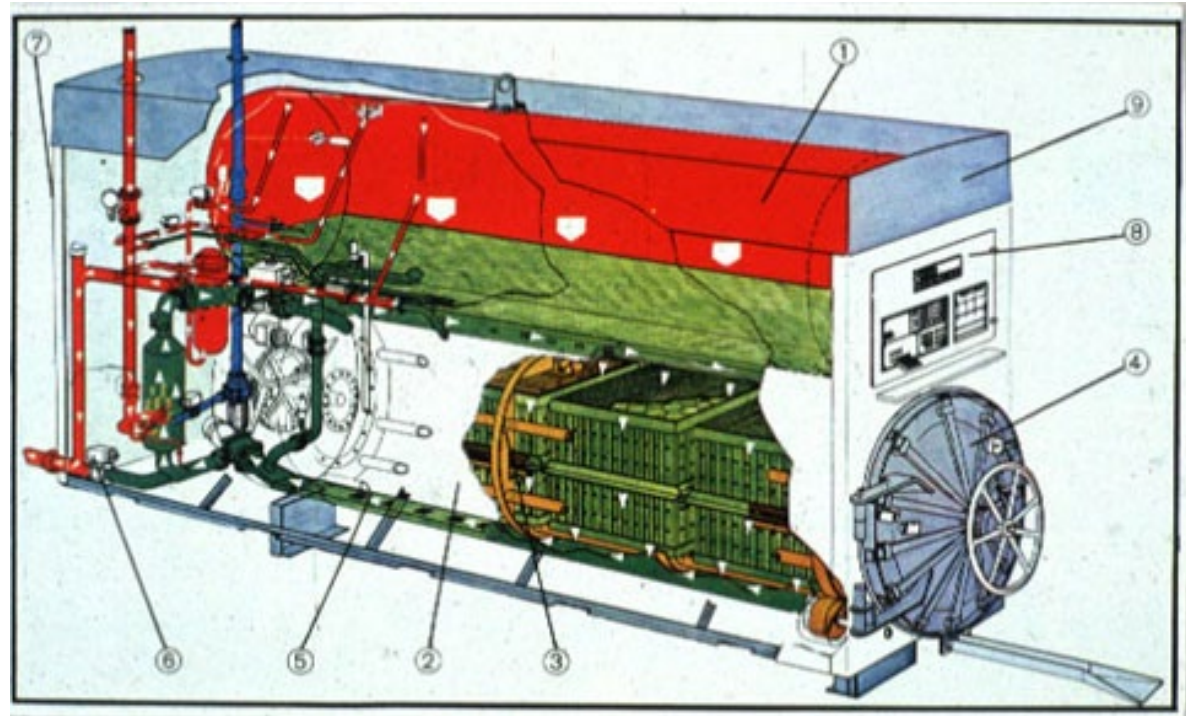


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## AllPax



## Stock Rotomat

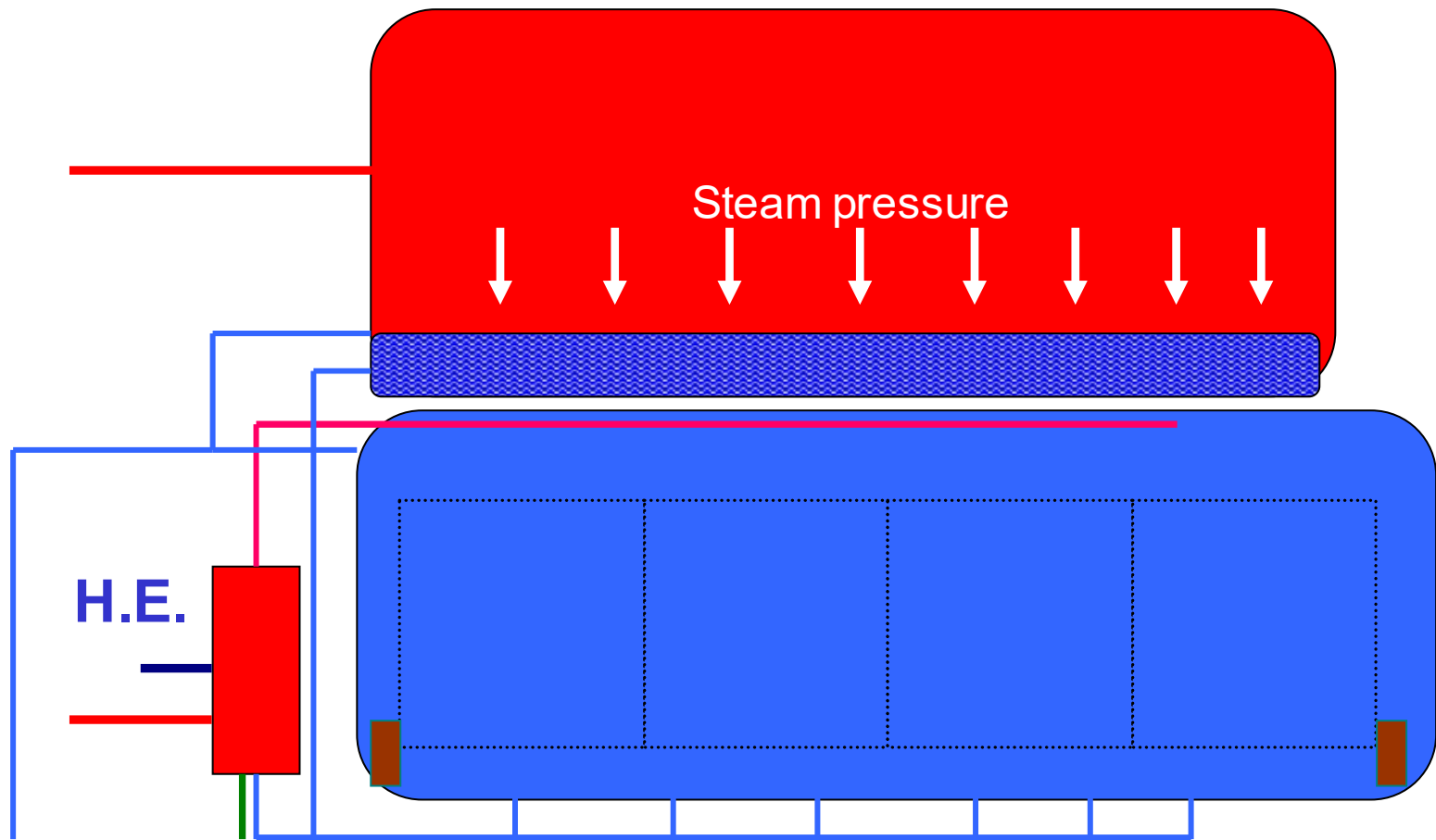


# Horizontal Retorts - Design



THERMAL PROCESSING  
TRAINING

## AllPax or Stock Rotomat



# Horizontal Retorts - Mechanical Water Circulation



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- Horizontal retorts need a water recirculation system which is usually a pump
- Suction manifold in the bottom of the retort
- Distribution system (spreader) along the top of the retort
- Suction outlets **must** be protected with screens
- Pump **must** be equipped with warning device to indicate when it is not functioning





# Water Immersion Retort - Crates/Container Orientation/Loading Configuration



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- Racks designed to positively hold containers
- **Must** provide free movement of the water
- Adequacy **must** be documented in HD/TD data



# Water Immersion Retort - Cooling Water Supply



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- Should not strike jars directly
- Vertical retorts - introduced into process water about 4 inches above top layer of jars
- Horizontal retorts - introduced into suction side of water circulation system

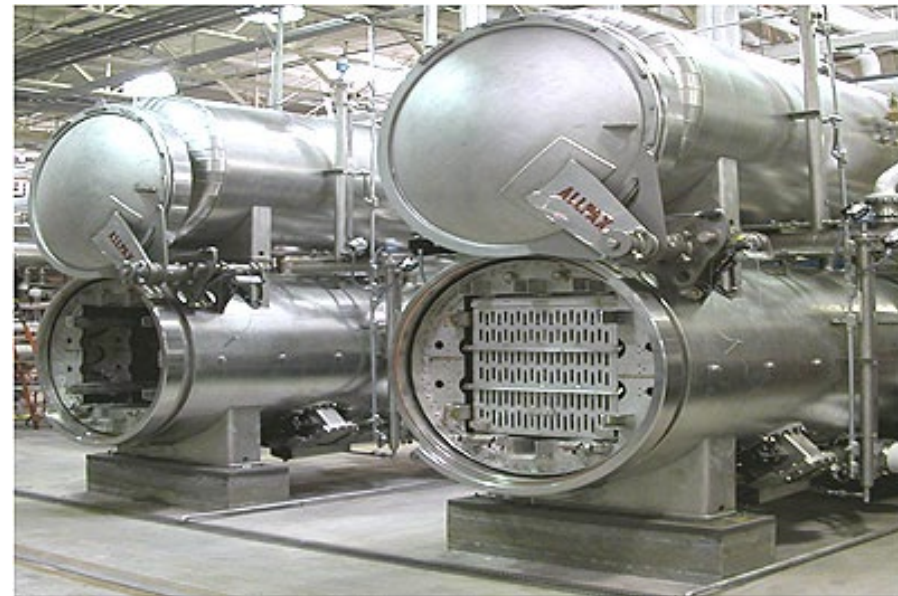


# Water Immersion Retort – Critical Operating Parameters



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- Water level
- Air circulation rate for vertical retorts
- Water recirculation rate for retorts using pumps
- Overpressure
- Reel speed timing for agitating processes



# Agitating Water Immersion Retorts - Rotational Speed



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- **Must** be checked before process timing starts and, if needed, adjusted as specified in the process schedule
- **Must** be determined and recorded for each retort load
- Prevent unauthorized changes
  - Lock or Posted Sign

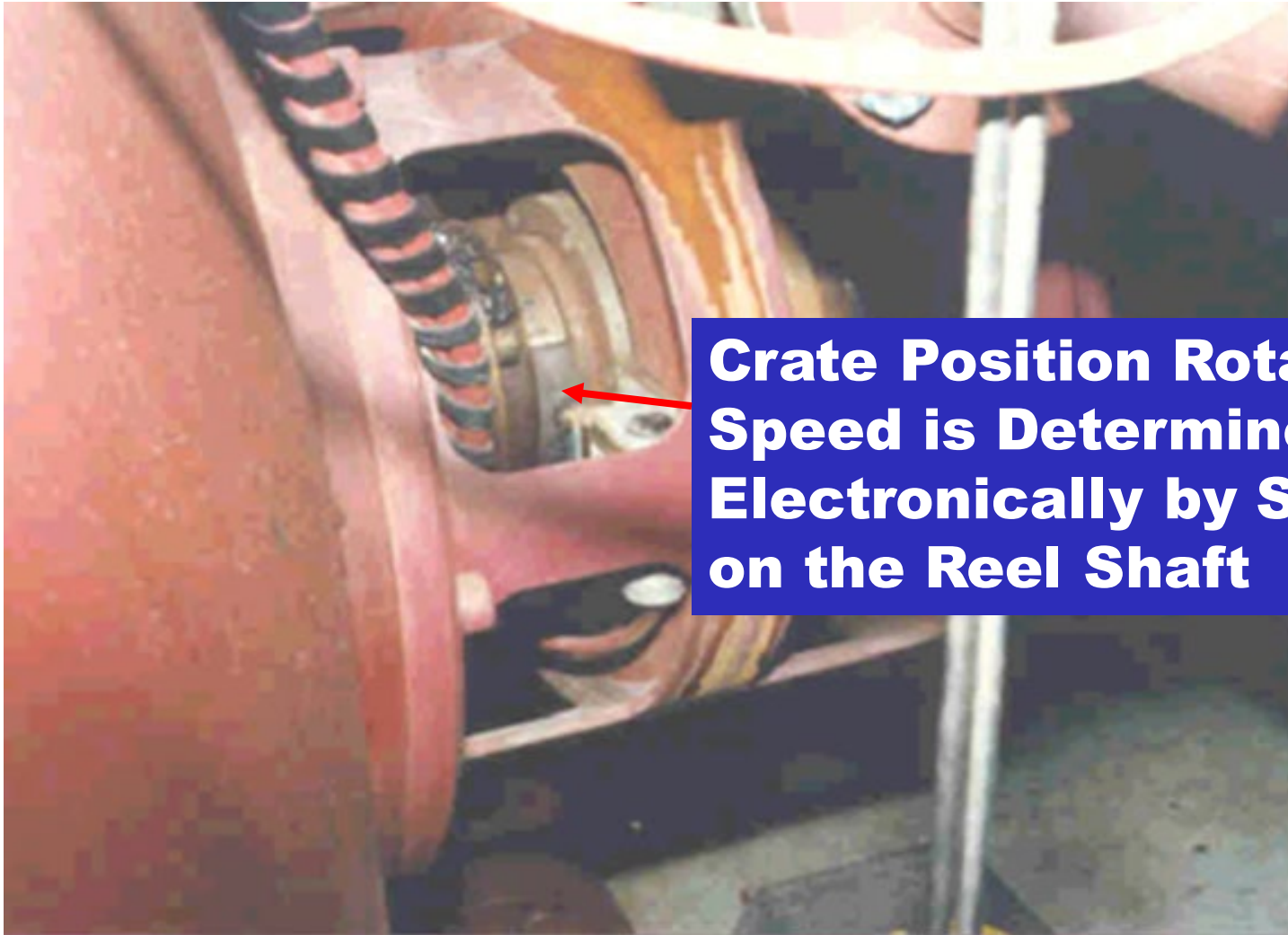




# Agitating Water Immersion Retorts - Rotational Speed



THERMAL PROCESSING  
TRAINING



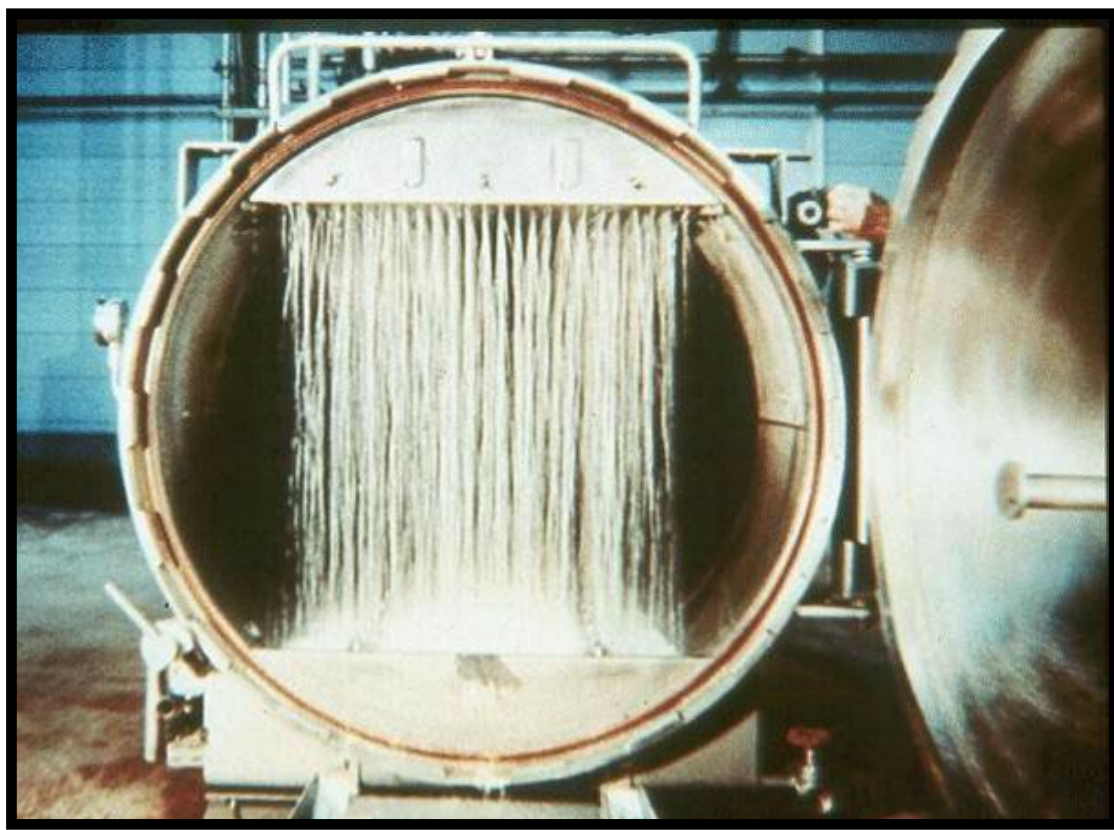
**Crate Position Rotational  
Speed is Determined  
Electronically by Sensors  
on the Reel Shaft**





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# Cascading Water Retorts



# Cascading Water Retort Characteristics



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- Water is the heating/cooling medium that rains (cascade) down over and through the containers
- Horizontal configuration
- Introduced air provides overpressure
- Several manufacturers

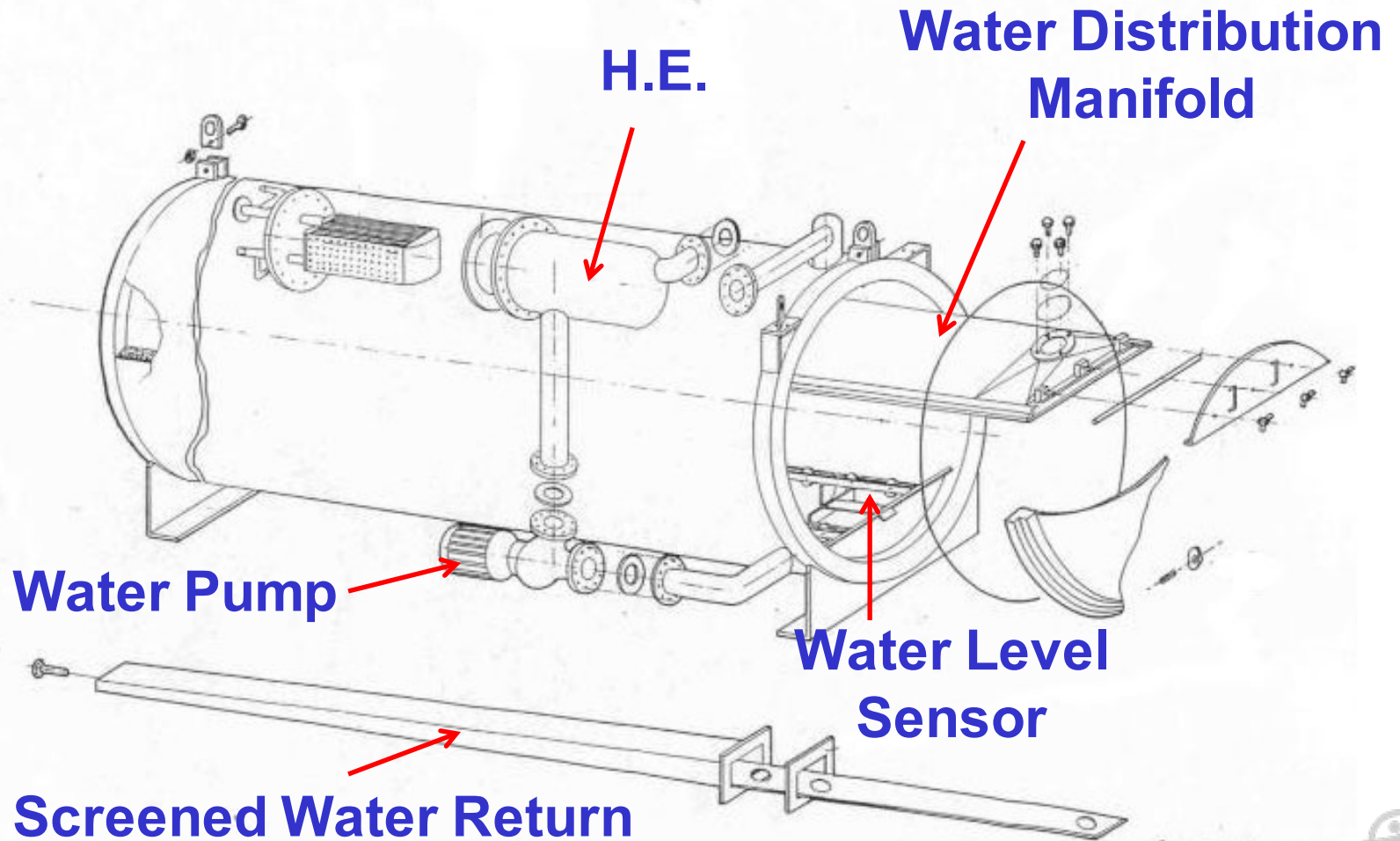




# Exploded View of Cascading Water Retort



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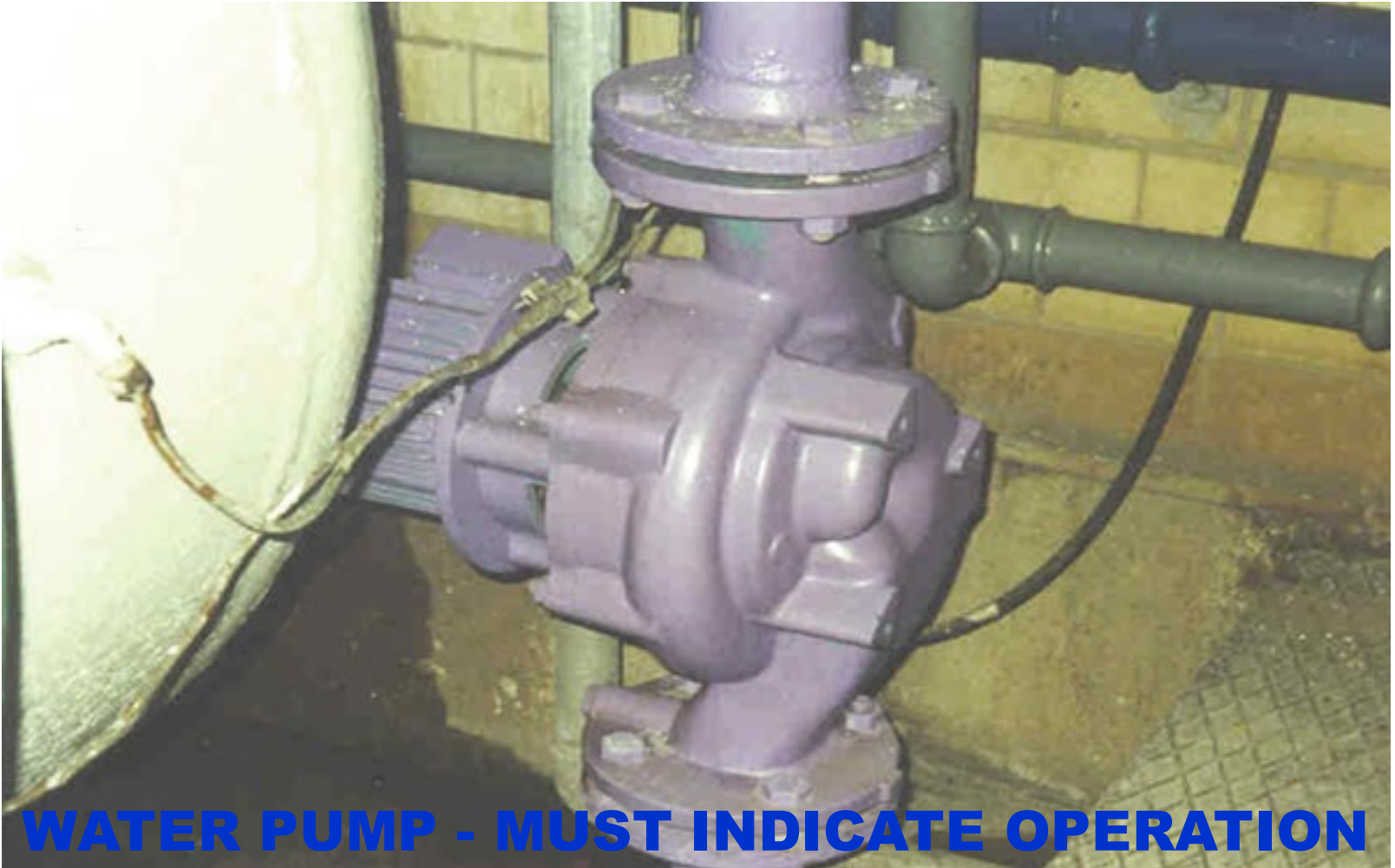


# Cascading Water Retort Design



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## Water is Recirculated with a Pump



# Cascading Water Retort Design



THERMAL PROCESSING  
TRAINING





# Cascading Water Retort Design



THERMAL PROCESSING  
TRAINING

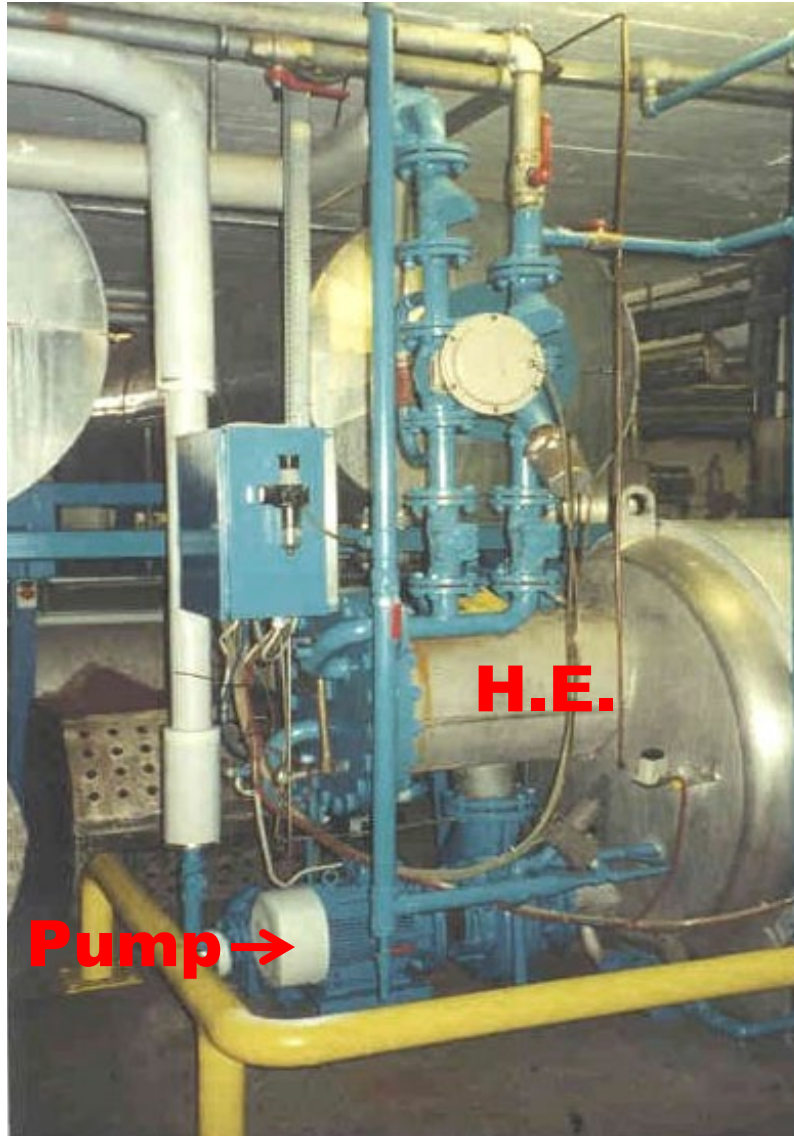
## Screen in Water Return Trough on Retort- 1.5 mm Holes



# Cascading Water Retort Design



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**Rear View with  
Heat Exchanger  
and Water Return  
at Rear of Retort**



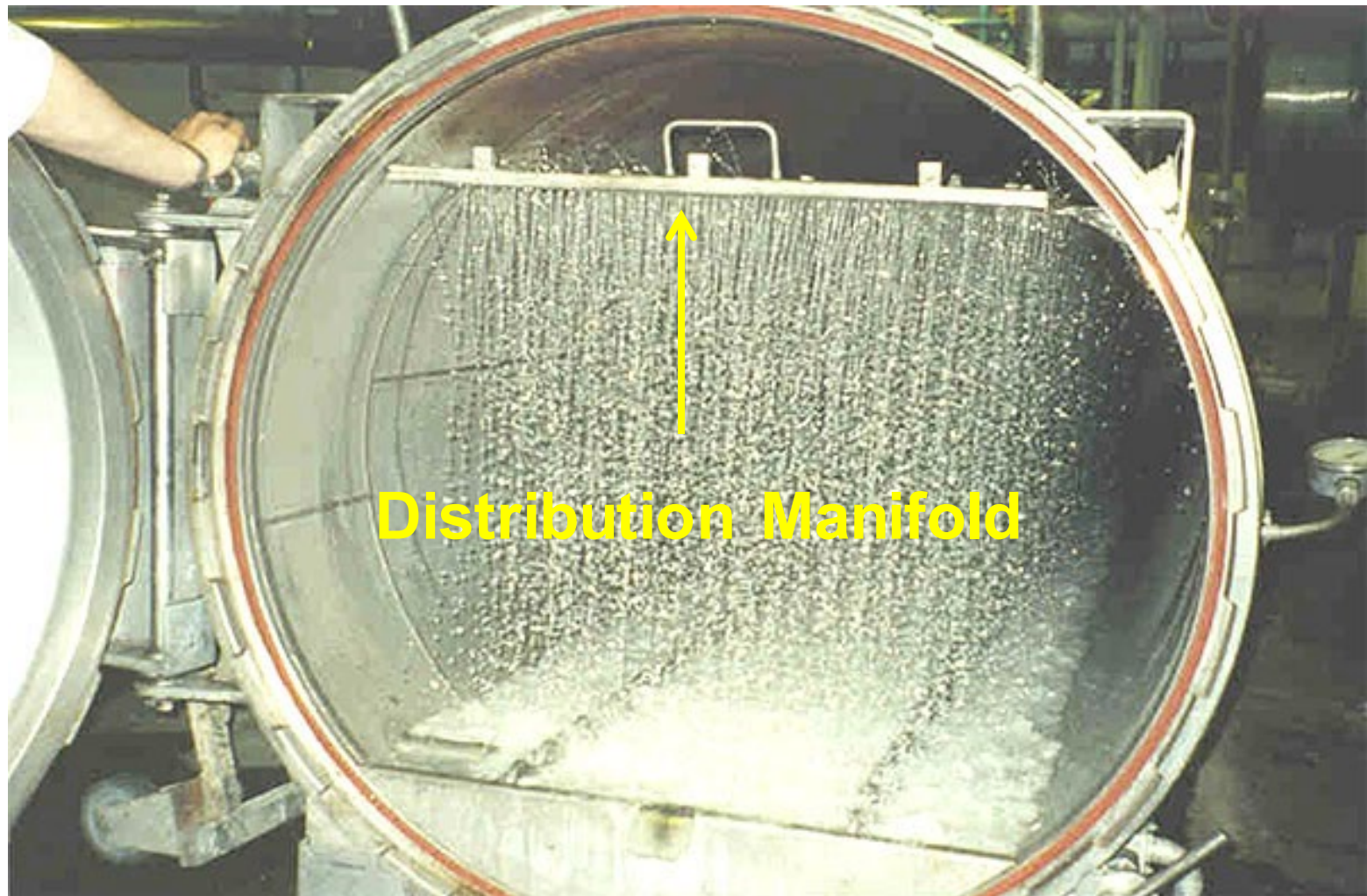


# Cascading Water Retort Design



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## Water Shower



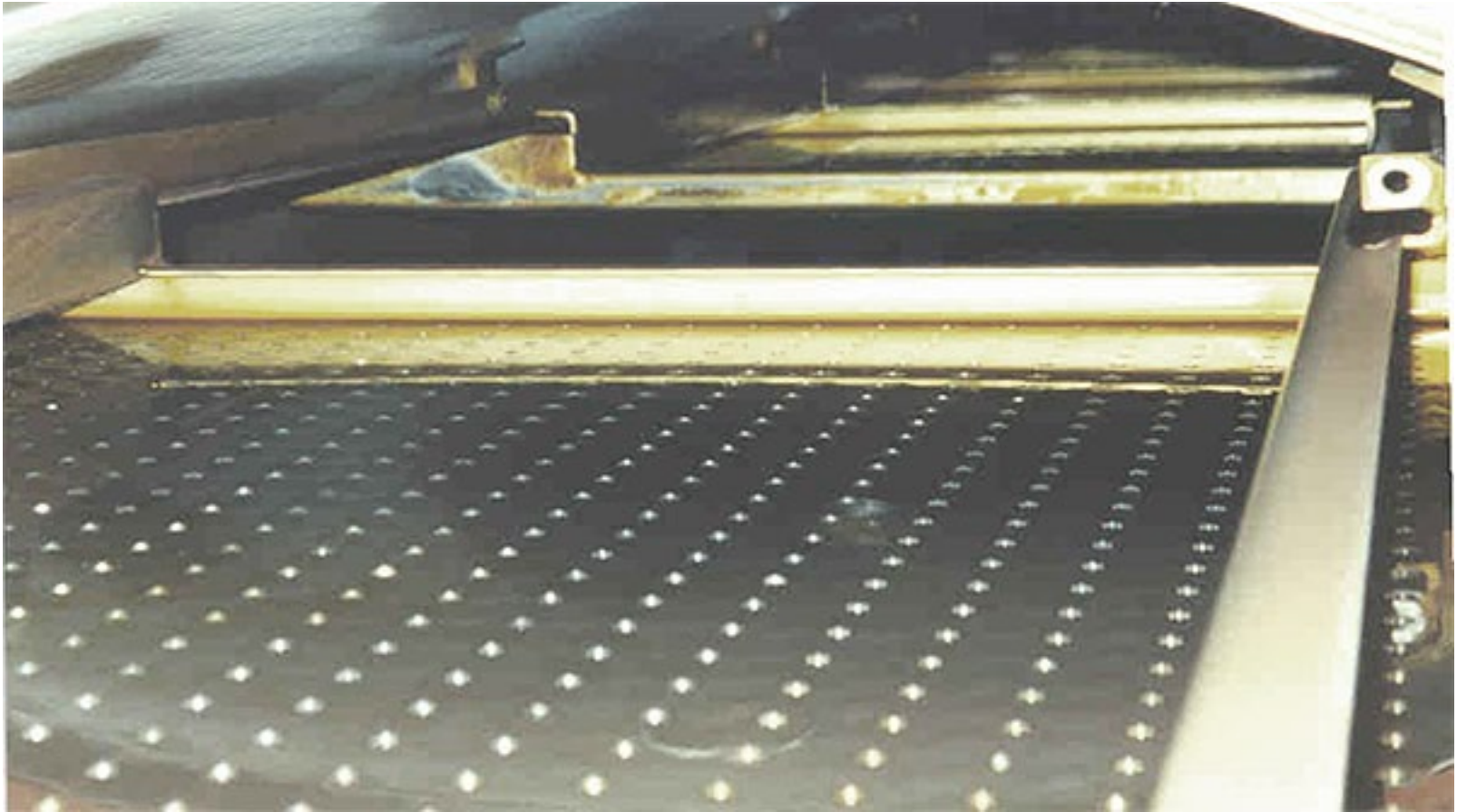


# Cascading Water Retort Design



THERMAL PROCESSING  
TRAINING

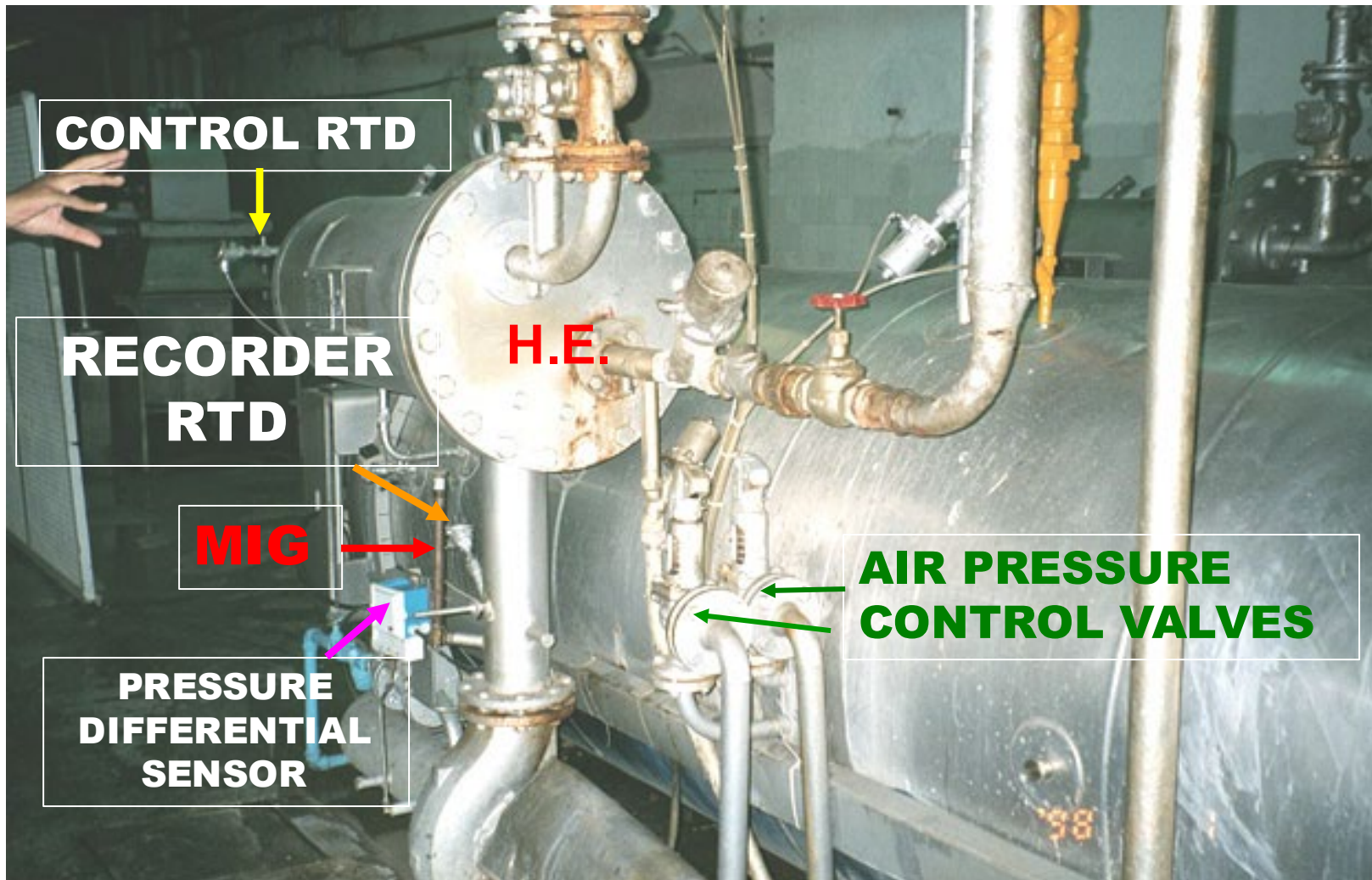
## Separate Water Distribution Manifolds



# Cascading Water Retort - Instrumentation



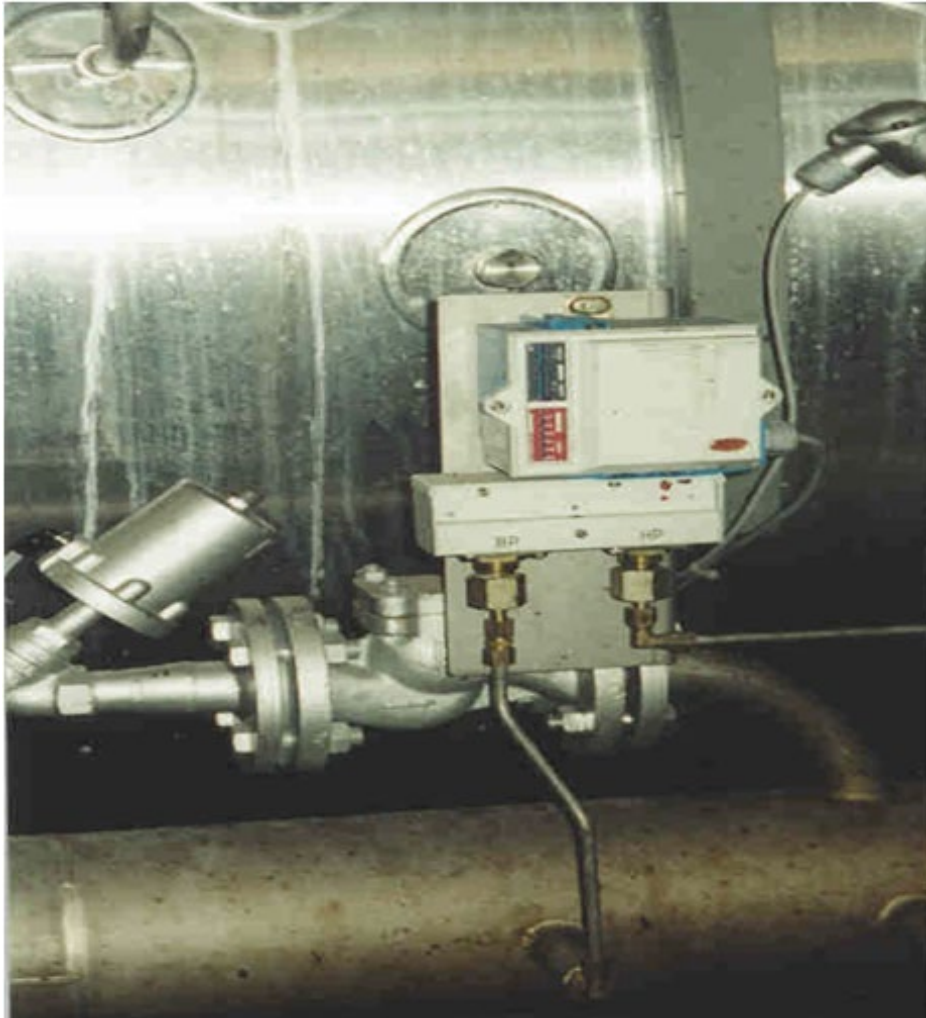
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# Cascading Water Retort – Water Pressure Monitoring



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**Pressure Differential Sensor is used to Alert Operator to Changes in Pressure from One Side of Pump to the Other Side**







# Cascading Water Retort – Compressed Air Entry

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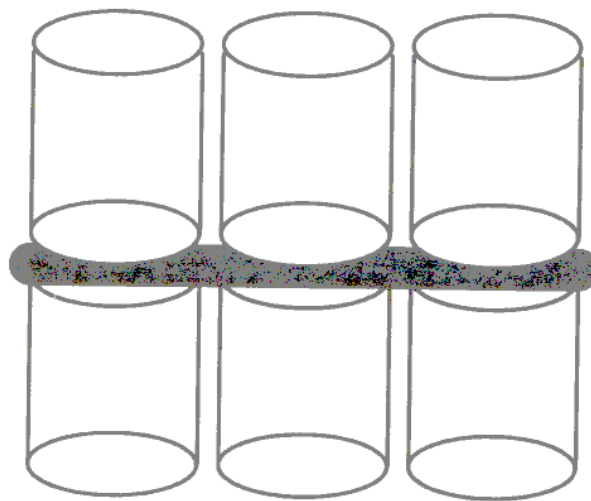


# Cascading Water Retort - Crates/Container Orientation/Loading Configuration



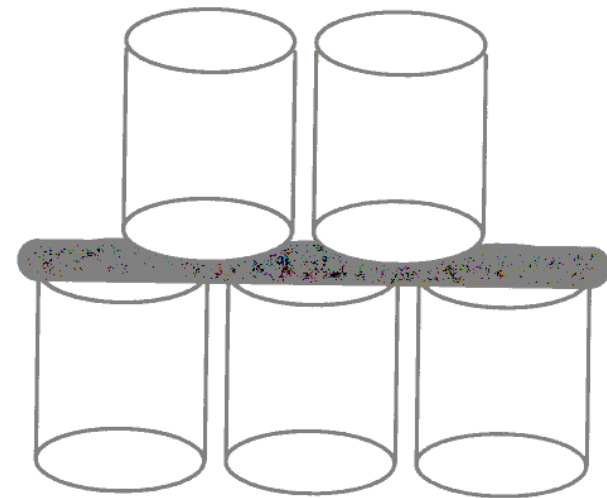
THERMAL PROCESSING  
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- **Must** provide free movement of the water and allow water to contact the containers
- Adequacy **must** be documented in HD/TD data



stacked in columns

layer  
pad



off-set



# Cascading Water Retort - Water Distribution Manifold Maintenance



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## Mineral Scale Buildup





# Cascading Water Retort – Water Level



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- Water level must be maintained within the range specified by retort manufacturer or PA during come-up, thermal processing, and cooling periods





# Cascading Water Retort – Water Recirculation



THERMAL PROCESSING  
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- Water recirculation (flow rate, e.g., gallons per minute) for maintaining uniform heat distribution in the retort must be documented by HD/TD data or other documentation from the PA

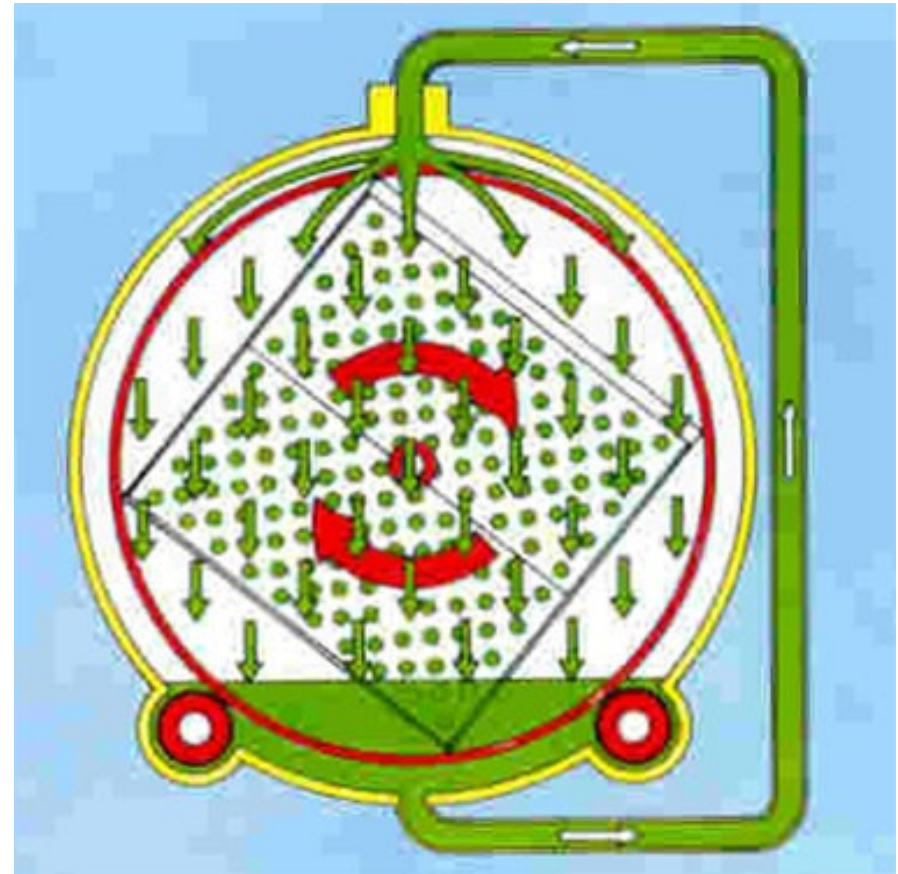


# Cascading Water Retort – Critical Operating Parameters



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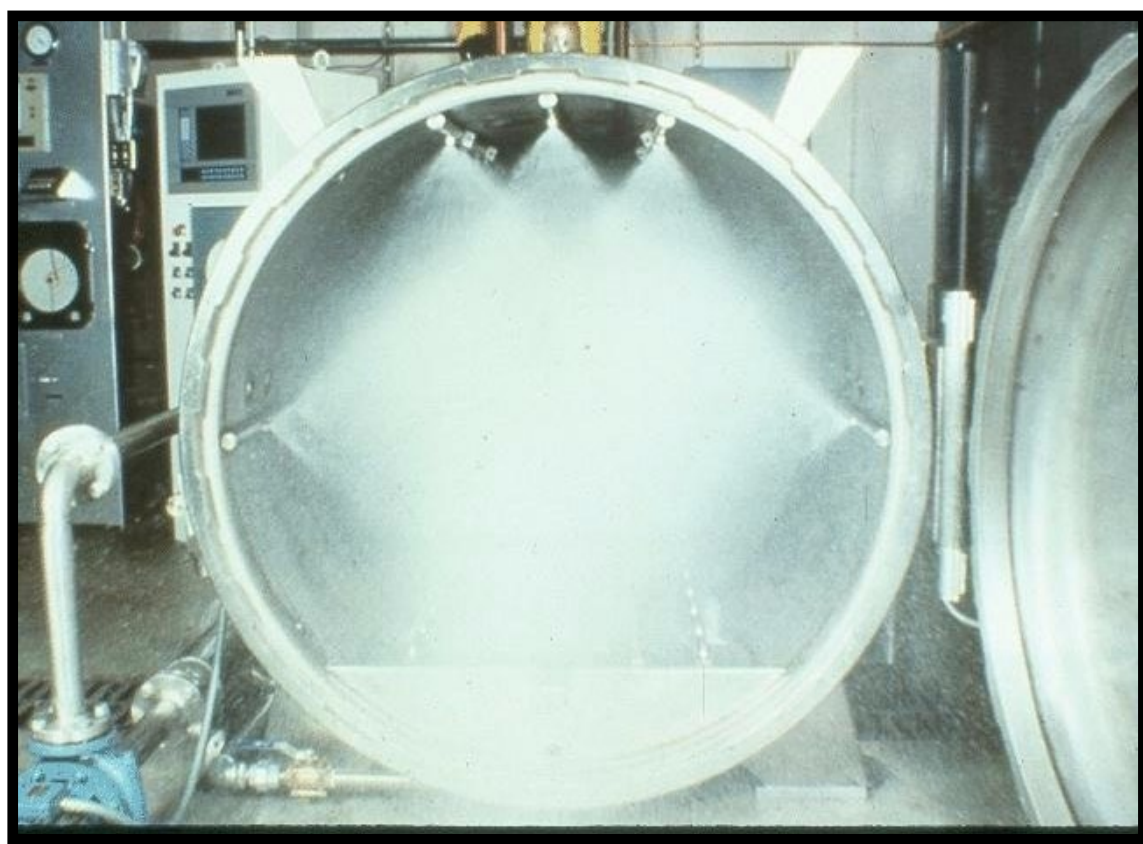
- Stepped come-up procedures
- Water recirculation (flow) rate
- Overpressure
- Reel speed timing for agitating processes





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# Water Spray Retorts

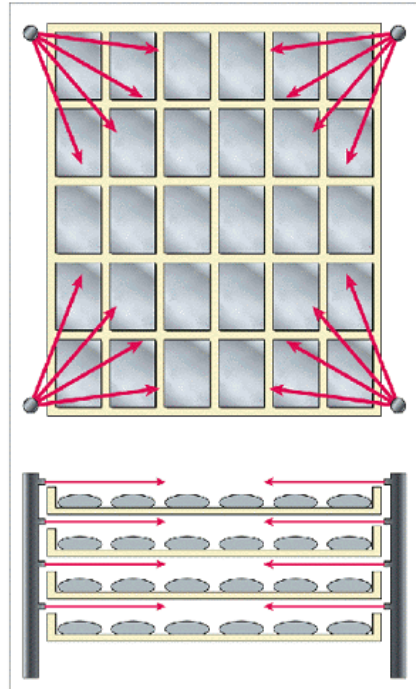
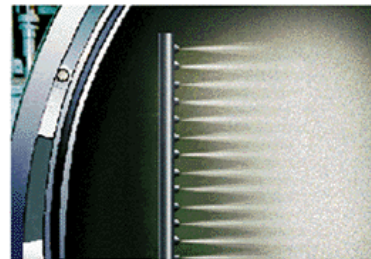
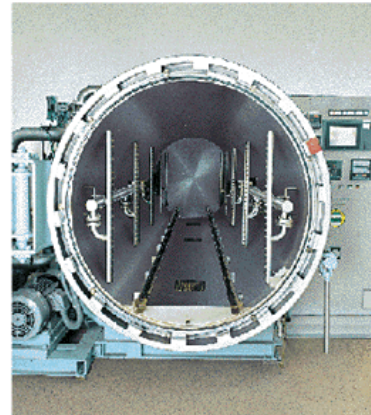


# Water Spray Retort Characteristics



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- Water is sprayed over the containers from several angles
- Direct and indirect steam heating of the process water
- Some inject steam into the retort
- When steam is injected, a steam/water mixture heats the containers



# Water Spray Retort Characteristics



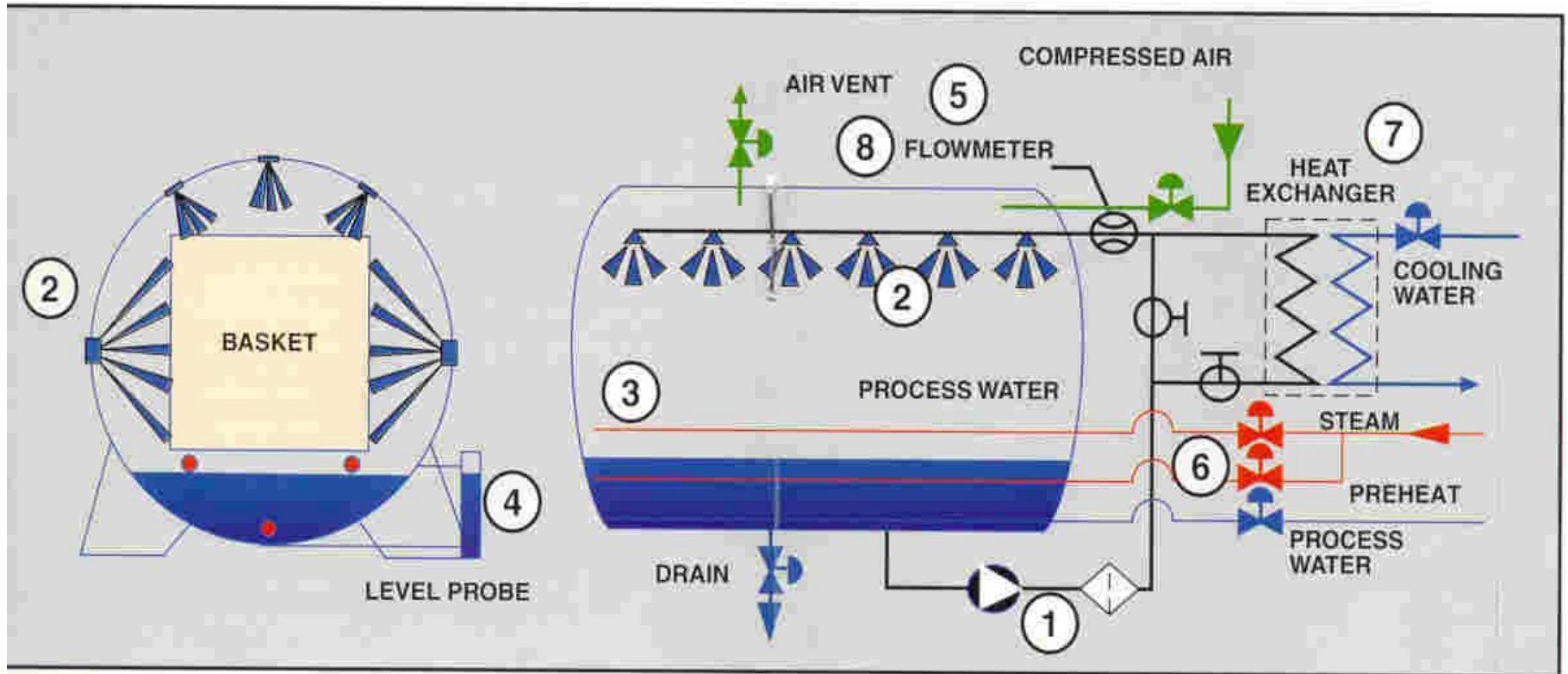
THERMAL PROCESSING  
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- Horizontal configuration
- Introduced air provides overpressure
- Several manufacturers





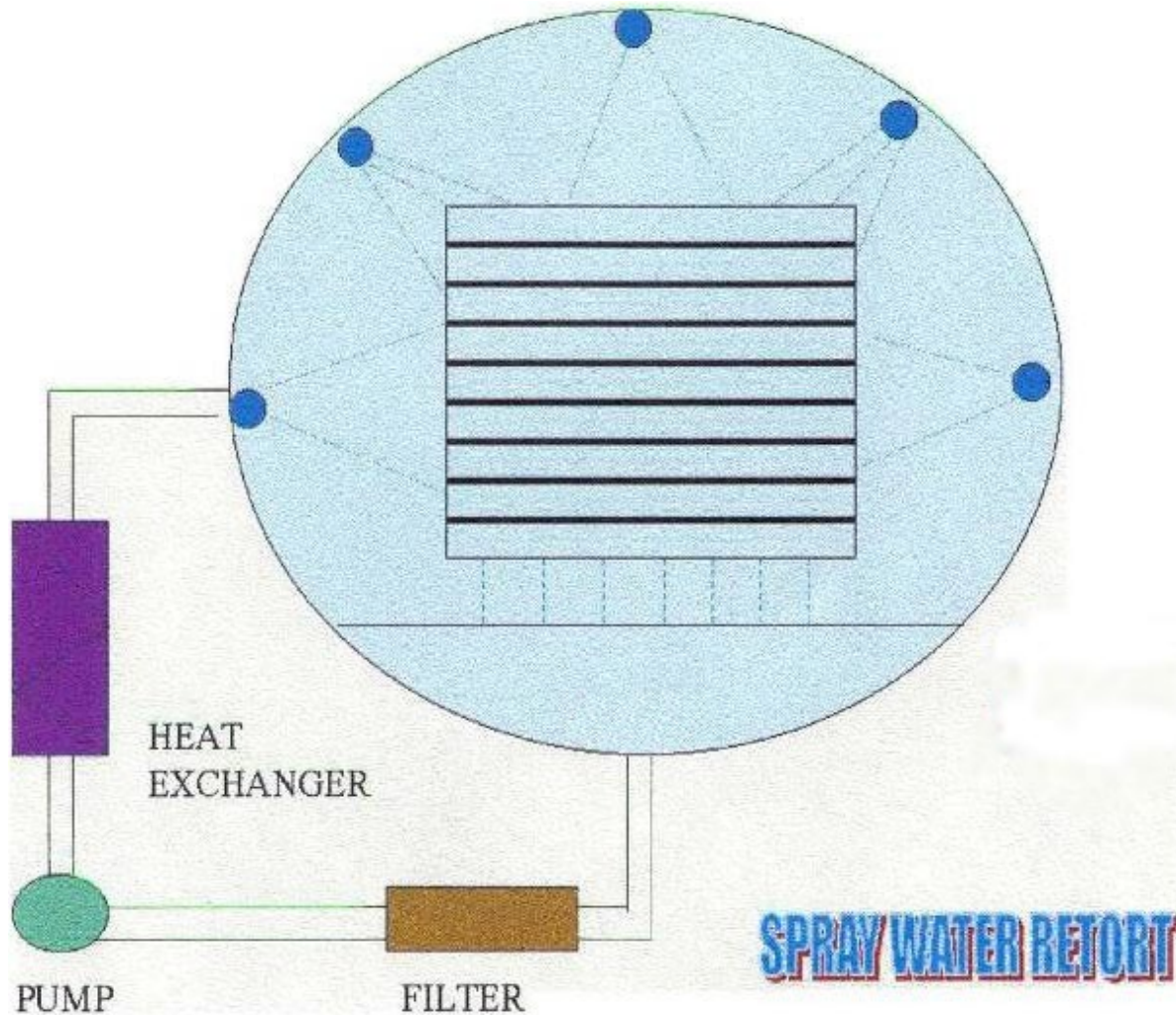
## THERMAL PROCESSING TRAINING



# Water Spray Retort Design



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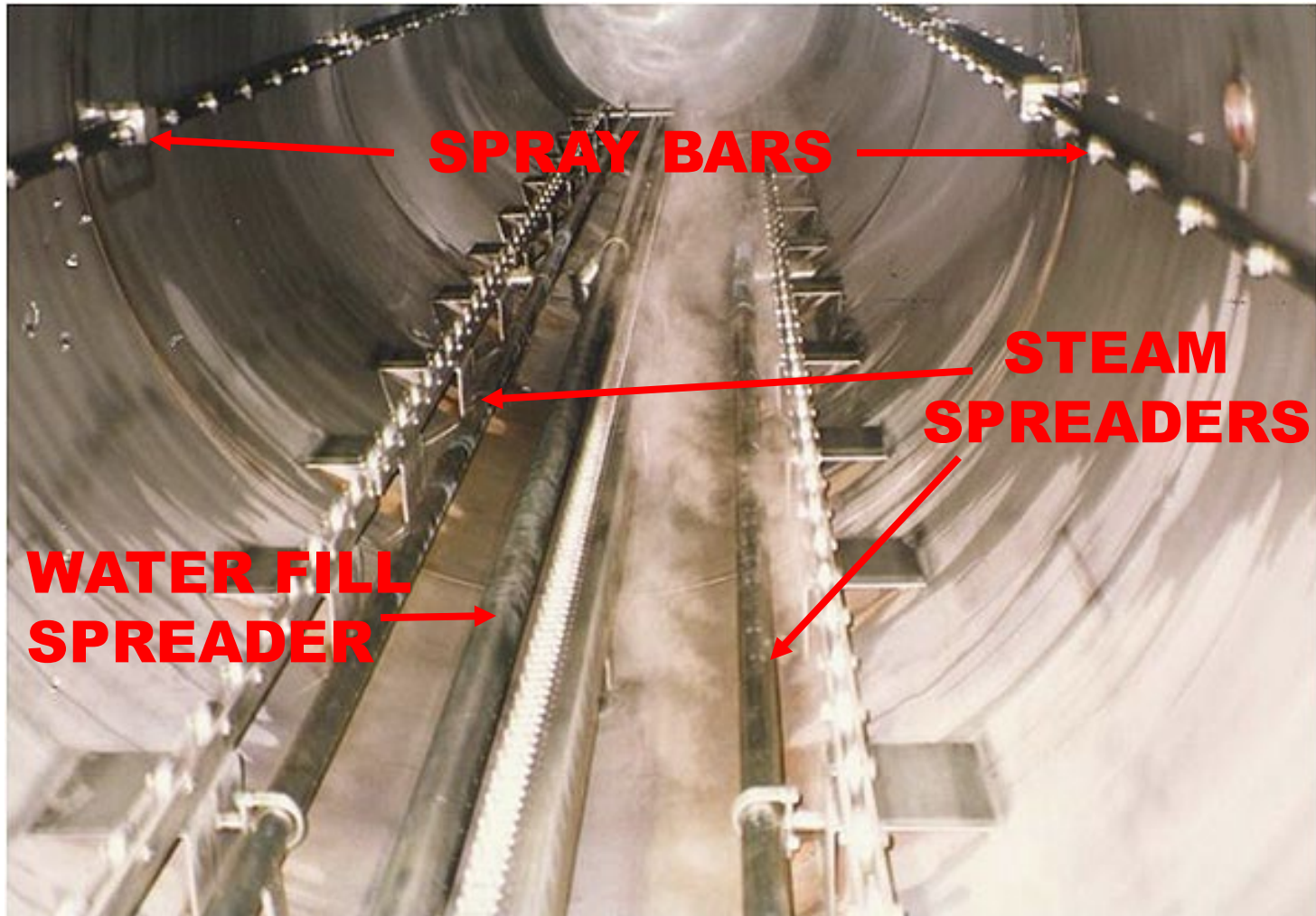


# Water Spray Retort Design



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## Steam Spreaders and Spray Bars

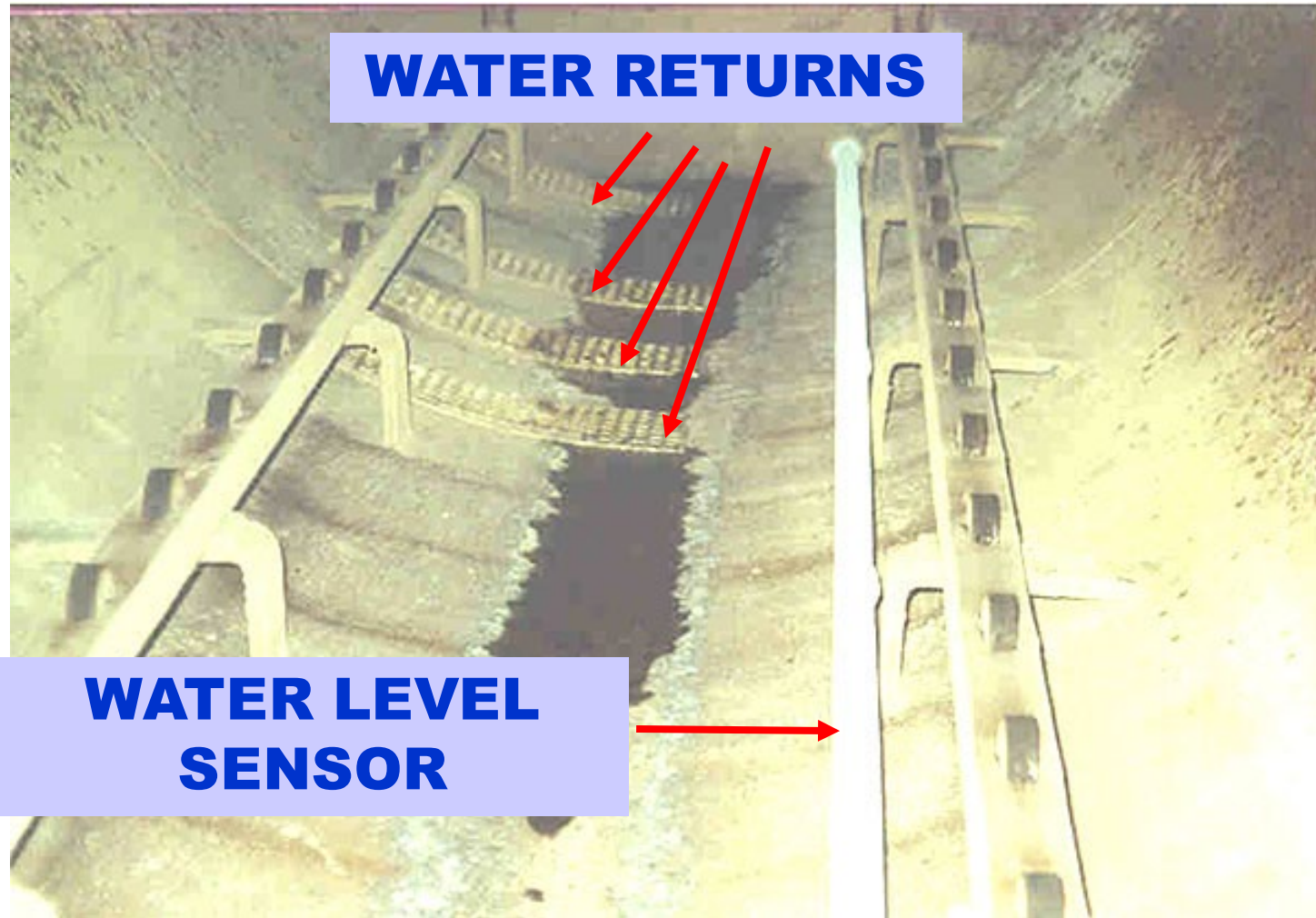


# Water Spray Retort Design



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## Water Return Through Screened Exit Ports



# Water Spray Retort – Come-Up Procedures



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- Like cascading water retorts, a stepped program with a temperature overshoot is used to bring the retort's cold spot up to process temperature



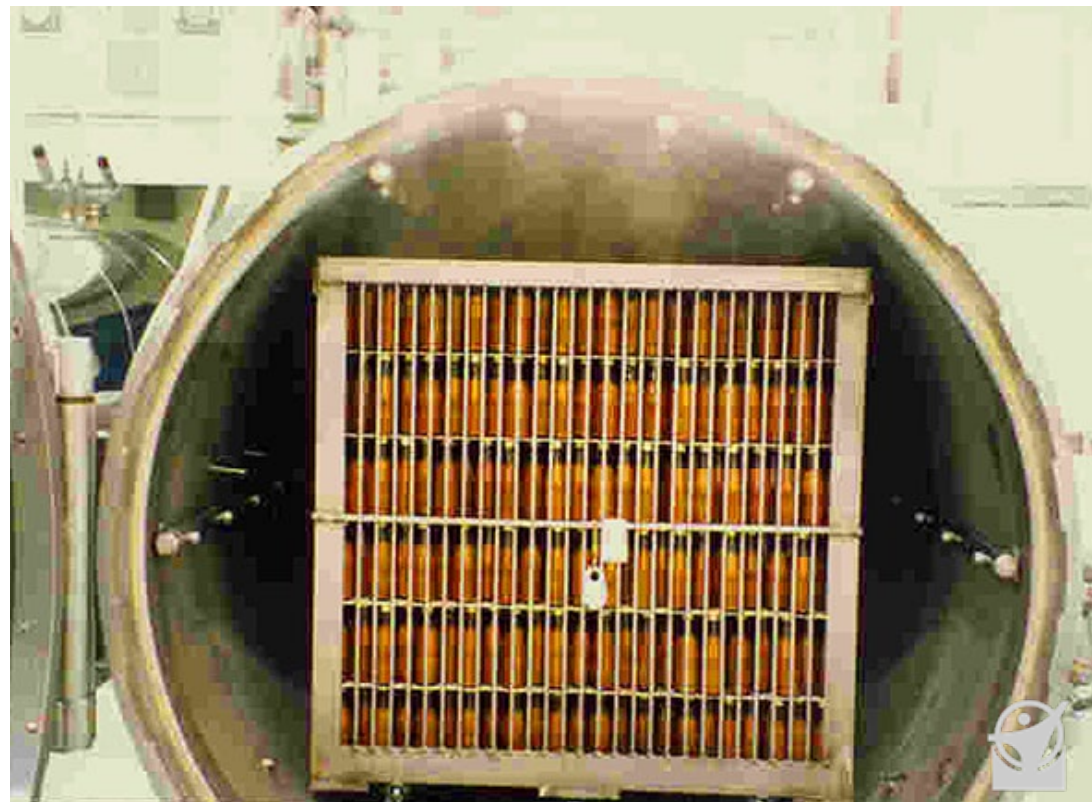


# Water Spray Retort – Crates/Container Orientation/Loading Configuration



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- **Must** provide free movement of the water and allow water to contact containers
- Adequacy **must** be documented in HD/TD data



# Water Spray Retort – Water Level



THERMAL PROCESSING  
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- Water level must be maintained within the range specified by retort manufacturer or PA during come-up, thermal processing, and cooling periods

**Water in Bottom?** →





# Water Spray Retort – Water Recirculation



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- Water recirculation (flow rate, e.g., gallons per minute) for maintaining uniform heat distribution in the retort must be documented by HD/TD data or other documentation from the PA



# Water Spray Retort – Critical Operating Parameters



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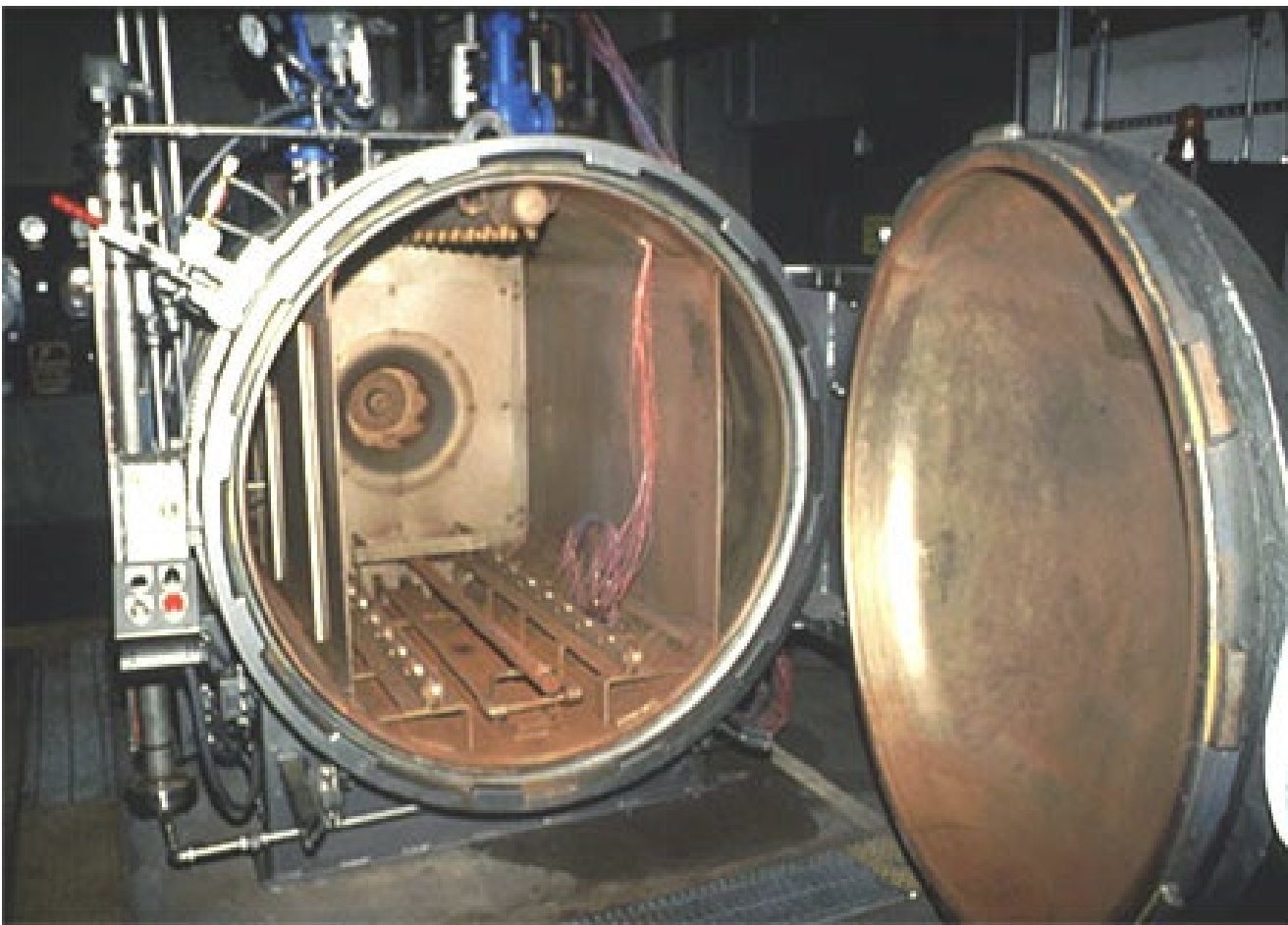
- Stepped come-up procedures
- Water recirculation (flow) rate
- Overpressure to maintain container integrity
- Reel speed timing for agitating processes





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# Steam/Air Retorts



# Steam/Air Retort Characteristics



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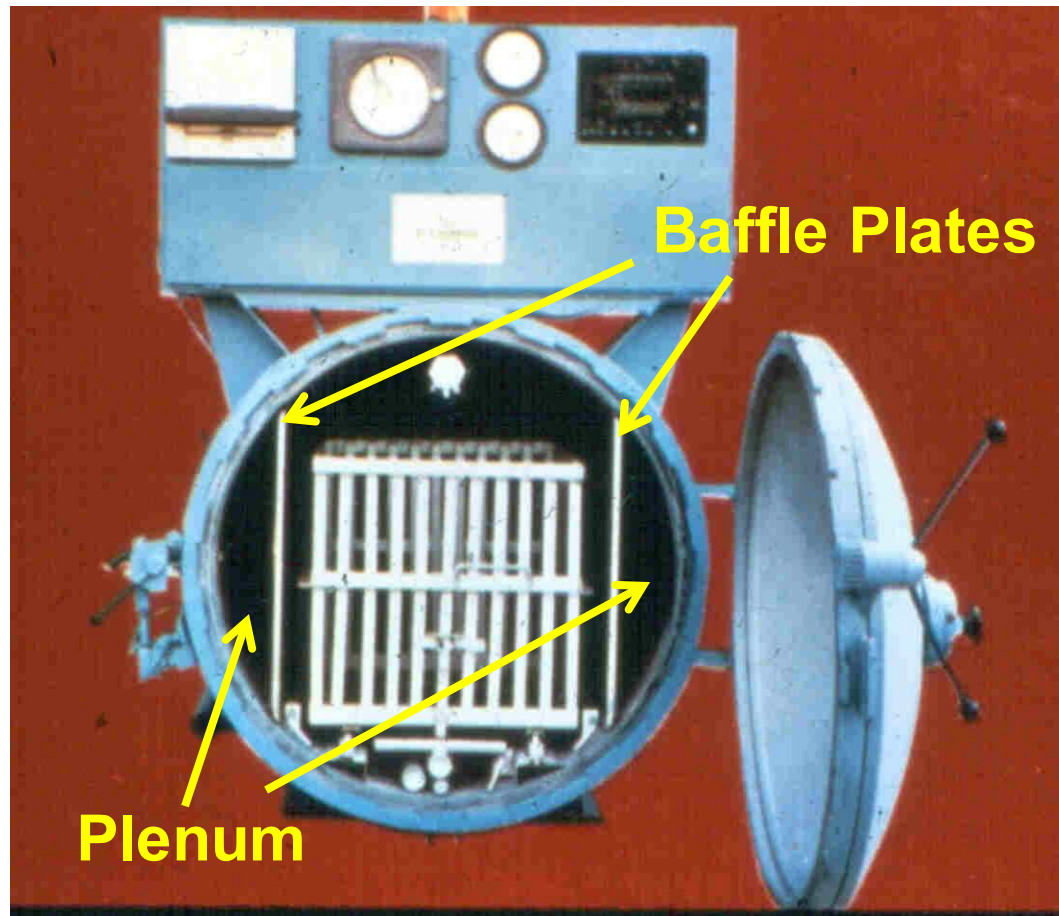
- A mixture of steam and air is the heating medium
- Steam/air ratios range from 75% steam/25% air to 95% steam/5% air
- A fan is used to maintain uniform steam/air circulation
- Introduced air provides overpressure
- Several manufacturers



# Steam/Air Retorts



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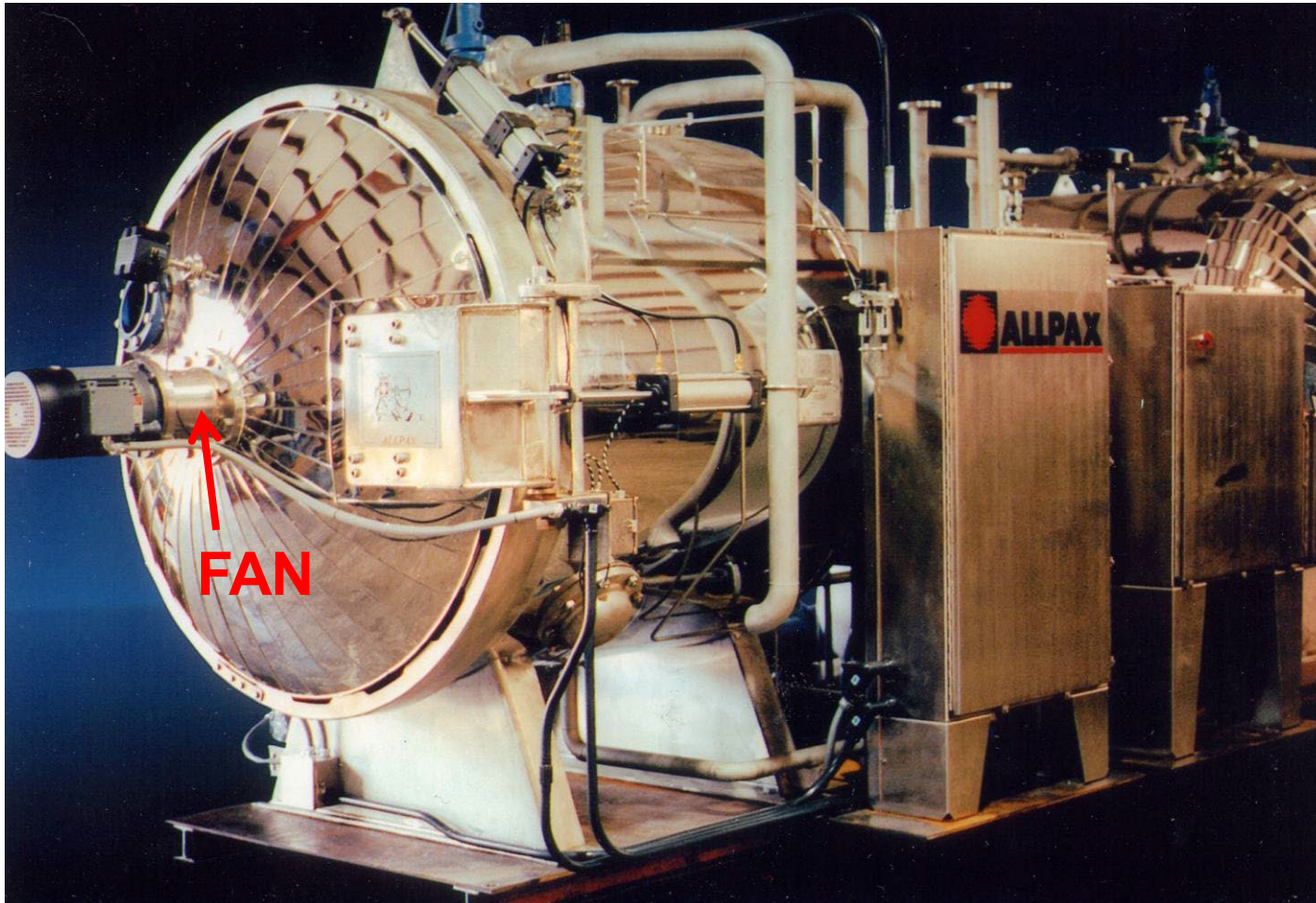




# Steam/Air Retorts



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# Instrumentation-Temperature Device/Recorder



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- Temperature indicating device and recorder-controller probes are usually inserted directly into retort shell in such a position that steam does not strike them directly
- The location of the probes on the retort may depend on the type of steam/air retort.



# Steam/Air Retort – Come-Up Procedures



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- Like cascading water/water spray retorts, a stepped program with a temperature overshoot is used to bring the retort's cold spot up to process temperature



# Steam/Air Circulation



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- A method of circulating the steam/air mixture **must** be provided
- The circulation system, *usually a fan*, **must** be checked for proper functioning and **must** be equipped with device to warn the operator when it is not functioning



# Steam/Air Retort - Critical Operating Parameters



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- Stepped come-up procedures
- Percent steam/air mixture (e.g., 90%/10%), or maximum pressure (e.g., 25 PSIG)
- Steam/air mixture circulation and flow rate (e.g., 30 cubic feet/second)
- Reel speed timing for agitating processes





## Steam/Air Mixture



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- The steam/air mixture *or* the **temperature and pressure** (that represents a specific steam/air ratio) used to thermal process a product **must** be the same mixture *or* temperature and pressure documented in the heat penetration tests used to establish the product's process schedule



# Questions



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*Questions?*

