

Table: Noncompliance Reports in Response to FOIA2021-263

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M332	FPL Food	{27BA8E36-B359-425C-AF5D-B7F457A688CA}	ACA1509012813N-1	01/13/2021	04C02	Livestock Humane Handling	313.1	<p>9CFR 313.1 (a) Livestock pens, driveways, and ramps shall be maintained in good repair. They shall be free from sharp or protruding objects which may, in the opinion of the inspector, cause injury or pain to the animals. Loose boards, splintered or broken planking, and unnecessary openings where the head, feet or legs of an animal may be injured shall be repaired. At approximately 0605 today, while performing AnteMortem Inspection, I observed the following noncompliance at Establishment M332 FPL Food: A bull had his head trapped in an opening between the metal pen bars. His body was in Pen 15 and his head was in Pen 16 which contained cows. I immediately stopped AnteMortem Inspection. Plant employees were not able to free his head. I immediately requested a supervisor, (b) (6) and (b) (6) arrived. The cows in Pen 16 were released to another pen and the bull was humanely euthanized. The bull did not appear to have sustained injuries. (b) (6) consulted with plant maintenance workers to eliminate the opening. The pen gates were secured to make the pen unaccessible to hold cattle until repairs are made. This is noncompliant with CFR 313.1(a) as an unnecessary opening entrapped the animal's head and could have caused injury.</p>	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M332	FPL Food	{B188D9B4-6996-4288-ABA9-69B33B4677F1}	ACA0213012327N-1	01/27/2021	04C02	Livestock Humane Handling	313.1	<p>9CFR 313.1 (a) Livestock pens, driveways, and ramps shall be maintained in good repair. They shall be free from sharp or protruding objects which may, in the opinion of the inspector, cause injury or pain to the animals. Loose boards, splintered or broken planking, and unnecessary openings where the head, feet or legs of an animal may be injured shall be repaired.</p> <p>At approximately 0535 today, while performing AnteMortem Inspection at Establishment 332M, FPL Food, I observed the following noncompliance: A steer had his head trapped in an opening between the metal pen bars. His body was in Pen 21 and his head was in Pen 22 which contained cows. I immediately stopped AnteMortem Inspection. I immediately requested a supervisor; plant employees were not able to free his head. (b) (6) and (b) (6) arrived. The cows in Pen 22 were released to another pen and the steer was humanely euthanized. The lateral and ventral aspects of the right mandible appeared to be swollen. (b) (6) consulted with a plant maintenance supervisor to eliminate the opening. The pen gates were secured to make the pen unaccessible to hold cattle until repairs are made. This is noncompliant with CFR 313.1(a) as an unnecessary opening entrapped the animal's head and may have caused injury. The same occurrence of the failure of Establishment 332M to prevent discomfort and distress by having an unnecessary opening where the head, feet, or legs may be injured is documented on NR # ACA1509012813N/1 dated 01/13/2021. The establishment's previous preventive measure was not implemented or did not prevent occurrence of the same noncompliance. Continued failure to comply with regulatory requirements may result in further regulatory or administrative actions.</p>	CLOSED

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M332	FPL Food	{D79197D2-A8F4-48DA-B1EC-14D2808D9B9B}	ACA2715033212N-1	03/12/2021	04C02	Livestock Humane Handling	313.2	<p>9CFR 313.2(e))</p> <p>Animals shall have access to water in all holding pens and, if held longer than 24 hours, access to feed. At approximately 0855 March 12, 2021, at M332 FPL Food, LLC, I observed the following noncompliance during Ante Mortem inspection: At 0855 I found the water trough in the unloading pen contained no water.</p> <p>Although this pen is normally used to unload cattle, when I observed the animals, the pen was being used as a holding pen for Pen 23 cattle because no plant employees were in the area actively unloading animals, the cattle had been held in the pen for 30 minutes, and most of the animals were at rest laying on the floor. Also, pen 16 was moved to the unloading pen for 30 minutes while antemortem was being performed and no water was present at that time. I immediately took regulatory control by notifying (b) (6) and she called for a Supervisor. I tagged the water trough with US Rejected tag # B41502346. (b) (6) arrived at the barn. She called a plant maintenance employee who removed the automatic waterer, and water flowed into the trough. She assured me that all the water troughs in the barn would be checked. I removed the US Rejected tag.</p>	CLOSED
M482	St. Croix Abattoir	{85A2E79A-A74A-4334-BF8C-8B3CB1890B01}	NAA5013033222N-1	03/22/2021	04C02	Livestock Humane Handling	313.2	<p>On March 22, 2021, at approximately 8:55a.m., (b) (6) observed a farmer (b) (6) delivering three sheep for slaughter at the St Croix Abattoir. (b) (6) was inside the abattoir in the knocking box area and saw the incident through the window. Plant employees, including (b) (6) and (b) (6) were in the pen area when the sheep were being delivered. The farmer unloaded the animals and was observed pulling the first animal (a large ram) by his ears through the alleyway and into pen #4. No one stopped the farmer. (b) (6) notified (b) (6) of the incident. (b) (6) went outside and notified the plant employees that pulling an animal by its ears was not allowed. The establishment's Humane Handling Program dated February 2, 2021, includes that the firm: "Shall minimize excitement and discomfort of livestock during movement. Livestock shall not be kicked or pushed." and "Shall prevent injury of livestock during movement by moving livestock at a normal walking speed." (b) (6) notified (b) (6) that this NR would be issued. The establishment failed to meet 9 CFR 313.2 (a).</p>	OPEN

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M18731+P18731+V18731	Towson Cold Storage	{45680028-8EE0-4EAB-A073-F0D860097291}	UMA2210032824N-1	03/23/2021	04C02	Livestock Humane Handling	313.16(a)(1)	On Tuesday March 23, 2021 at approximately 1:00 PM at Towson Cold Storage Establishment # M18731, IPP observed a humane handling non-compliance during routine slaughter of hogs. The employee responsible for stunning attempted to render 1 sow unconscious with a gunshot (sow continued standing). Establishment took immediate corrective action by applying 2 shot by gunshot. Sow was rendered unconscious, and establishment applied 3 shot by captive bolt to perform safety shot. The animal did not vocalize. Tag number #14988596X was applied to the stunning area and slaughter was halted. On postmortem inspection the skinned head was examined, and two distinct points of entry were identified. IPP notified Supervisor and District PHV. After postmortem and review District PHV instructed to remove tag and issue Non-Compliance. Non-compliance violates regulation 313.16 (a) (1). Plant management was notified of this non-compliance both verbally and in writing.	CLOSED
M18867	Macelo Central S.E. Inc.	{44886F8D-7141-47DF-8C65-EB5687E25A56}	IJA1505021802N-1	02/01/2021	04C02	Livestock Humane Handling	313.2	Today, at 0710 hours, while performing PHIS Task Humane Handling - Review and Observations at the animal pens, I noticed one pen with approximately 10 piglets that did not have access to water. On the floor there was an empty water bowl and the pen did not have an automatic waterer as the rest of the pens have. Plant management was notified of this noncompliance immediately in order to implement appropriate corrective actions. The pen was Rejected with US Tag B37405997. This finding is a noncompliance with US Regulation 9 CFR 313.2 (e) that states: "(e) Animals shall have access to water in all holding pens and, if held longer than 24 hours, access to feed. There shall be sufficient room in the holding pen for animals held overnight to lie down." Failure to comply with regulatory requirements could result in additional regulatory or administrative action (b) (6)	OPEN

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M6590	Randolph Packing Co., Inc.	{67919DB6-E8F7-414D-BE47-7B6ED8FBE978}	YBB0009 013114N -1	01/13/2021	04C02	Livestock Humane Handling	313.15(a)(1)	At approximately 13:19 on 1/13/2021 I was approached by (b) (6) regarding a humane handling violation. She reported observing a cow standing and moving in the floor area where stunned animals are hoisted onto the rail. Insp. (b) (6) also observed that an employee delivered an immediate and effective stun using a hand-held captive bolt. I arrived and found a Holstein cow was prone and appeared insensible. When I arrived, Greg Dronen, the plant manager, was present and questioned the lead knocker about the incident. He reported that the cow was initially knocked using the pneumatic captive bolt device on the front of the skull. The cow reportedly collapsed after the application of the pneumatic captive bolt and rolled out of the box when the side panel to the hoisting floor was raised and then stood up. He came down immediately from the knocking position with a hand-held captive bolt device and applied it immediately and effectively to the poll of the cow. This incident represented a violation of 9 CFR 313.15(a)(1), however after consultation with (b) (6) no further action is being taken. Mr. Dronen suggested the corrective action of resuming active procedures to confirm correct stunning by observing each animal's eyes and ears for appropriate proof of successful use of the captive bolt, including the loss of a corneal reflex as needed. Mr. Drone said he would implement this plan immediately and sustain it for a week.	CLOSED
M6720	Martin's Pork Products, Inc.	{908BFB04-1144-468C-8F1D-981F7C8E9609}	AVA4905 015514N -1	01/14/2021	04C02	Livestock Humane Handling	313.2	At approximately 6:25am, on 01/14/2021, while performing the Ante Mortem inspection of the Livestock Humane Handling task, the following noncompliance was observed: Two pens of hogs in the barn were observed to not have water available in the holding pen. Regulatory control action was not taken due to an employee being on hand to handle the situation. (b) (6), was notified of the noncompliance and the failure to meet regulatory requirement 9 CFR 313.2(e).	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M18079+P27232	Smithfield Fresh Meats Corp.	{147E4247-CAA0-44AA-BA90-4E764B1DA994}	VFB0515023822N-1	02/22/2021	04C02	ane Handling	313.2	<p>At approximately 1155 while verifying HATS category V (Suspect and Disabled), I ((b) (6)) observed animals held for veterinary disposition with no access to water. The animals were located in the segregation pen near the B-side carbon dioxide chambers, and there were a total of 5 animals in the pen. All employees in that area had left for lunch. The pen contained two separate water lines and both lines were turned off. I notified (b) (6) of the issue and informed him that they were in noncompliance with 9 CFR 313.2(e). The valve lever handles used to open the water lines were missing.</p> <p>(b) (6) had to contact maintenance for pliers to open the lines. All animals in the pen were able to rise and ambulate and were passed for slaughter. The animals had access to water by 1158. As further planned actions, the establishment elected to re-train all 1st shift employees assigned to that area (including supervision) on checking the accessibility of water when placing any animals in pens. The re-training started today and is expected to be completed by the end of the week.</p>	CLOSED
M18079+P27232	Smithfield Fresh Meats Corp.	{9B0D6B09-FE3C-4B13-86EF-01D4E261BF0F}	VFB0514034623N-1	03/23/2021	04C02	Livestock Humane Handling	313.1, 313.2	<p>At approximately 1425 while verifying HATS category VI (Electric Prod/Alternative Object Use), I ((b) (6)) observed a humane handling noncompliance. In the driveway leading to the outside carbon dioxide chamber on A-side, I observed the establishment employing a cut gate with no roller while moving animals to the carbon dioxide chamber. The cut gates are used by the establishment to assist in maintaining manageable groups or cuts of animals when moving them to the carbon dioxide chamber. The cut gates are equipped with a roller at the end. When passing between the gate and the wall, the roller prevents an animal from being pinned against the wall by the gate and being injured while the gate is closing. I notified (b) (6), and (b) (6) of the establishment's failure to comply with 9 CFR 313.2(a) and 313.2(c). The establishment elected to discontinue the use of the gate until it could be equipped with a roller. I observed no injured animals as a result of this issue.</p>	CLOSED
M8547+V8547	Champlain Beef Company Inc.	{897B3255-8B99-404D-98F5-FE9B01B6E47C}	PRO2106023310N-1	02/10/2021	04C02	Livestock Humane Handling	313.2	<p>At 07:10 hours while performing odd hour inspection the observed livestock pen closest to the slaughter floor did not have any water. The pen had approximately 30 livestock present at the time of the observance. A plant employee was notified of the noncompliance with 313.2(e). The employee made appropriate corrective action and made water available to the livestock.</p>	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M17776	Trenton Halal Packing Company	{F5D58046-BD2A-4D73-A90D-2F56EC86A7F}	BBE1912022801N-1	02/01/2021	04C02	Livestock Humane Handling	313.2	At 11:45am on 2/1/2021, while conducting a Livestock Humane Handling review and observation task at Trenton Halal (Est. 17776), I, (b) (6), inspected the establishment holding pens during goat and lamb slaughter operations and observed the following: Approximately 20 lambs had no access to water, since they were separated and enclosed in a different pen section with no water container. These 20 lambs were there at least 30 to 40 min with no water, from the time they were unloaded off the truck. This is a humane handling non-compliance of 9 CFR 313.2(e) since the establishment failed to provide the animals access to water in the holding pens. Once the humane handling non-compliance was found, (b) (6) was informed of the non-compliance, who then immediately took corrective actions providing water to the affected livestock.	CLOSED
M17965	Gold Medal Packing Inc.,	{E8F11468-B038-4169-A1F1-DF1330A6ED60}	JRF0106020909N-1	02/09/2021	04C02	Livestock Humane Handling	313.2	CATEGORY III WATER AVAILABILITY On 02/09/21, at approximately 0630 hours, while performing Ante-Mortem, I, (b) (6) observed multiple bob veal located in the smaller ramp section usually designated for dead calves. Two of the Three veal in the area were still alive. The area had no water available for the animals. The non-compliance is a violation of 313.2(e), Handling of Livestock, which states-" Animals shall have access to water in all holding pens and, if held longer than 24 hours, access to feed There shall be sufficient room in the holding pen for animals held overnight to lie down ."I notified (b) (6) & (b) (6) of the forth-coming NR for corrective action.	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M17965	Gold Medal Packing Inc.	{C7DC445F-DAA F-4777-9ECE-BD C57703E746}	JRF4609 035131N -1	03/31/2021	04C02	Livestock Humane Handling	313.16(a)(1)	<p>At approximately 8:15 am, while performing a HATS category VIII stunning effectiveness task, myself, (b) (6), and (b) (6) observed a hog that was being stunned using a .38 pistol firearm. When the plant employee said they were going to shoot, I moved into the adjoining room with Mr Dave Anguzza (establishment manager) and (b) (6) for safety. Before leaving the area, the hog was observed by (b) (6) to be standing parallel to the blood chute directly facing the ladder to climb up to the hide wash station. The hog was not restrained. The hog was rapidly shot in the head two times with the .38 handgun. Approximately 5 seconds passed before a third shot was fired. We allowed approximately a minute to pass before examination to ensure that there were not going to be continued shots for personal safety. At that time, the hog was observed standing 12 yards from the original location. It had moved around the blood chute and back onto the kill floor. It was standing steadily with the top of his head even with his back. There was no droop in the neck or unsteadiness in its stance. The establishment did not take corrective actions as described in their corrective action plan. Normally, this establishment either uses a hand-held captive bolt as a backup device for veal or a secondary electric shock for pigs but a pig of this size has never been slaughtered here before (>900#). The PHV and (b) (6) moved back into the safety area and two more shots were taken with a 9 mm handgun (reportedly a successful shot and a safety shot). I, the PHV, determined after these secondary shots that the pig was unconscious. I allowed the unconscious hog to continue through the slaughter process and there were no other animals in the pens for slaughter today. The hog chute and the calf stunning chute were tagged with US Rejected tags #B43780160 and #B43780159 to stop any continued slaughter. I verbally notified Mr. Dave Anguzza, Establishment Manager, of the decision to take a regulatory control action to prevent the slaughter of animals. I advised Mr. Anguzza that I was contacting (b) (6), our humane handling veterinarian at our district office, about possible enforcement action due to an egregious humane handling noncompliance and that the District Office would be following up with a written suspension or NOIE letter to the establishment.</p>	OPEN

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M20403	American Halal Meat Inc.	{8CD4E519-0BEF-4885-ACDF-8D2FA26E76D2}	PID2413 023322N -1	02/22/2021	04C02	Livestock Humane Handling	313.1	<p>On Monday February 22nd, 2021 at approximately 1005 hours, I was walking through the barn to check on the animals when I saw a heifer's hind leg was caught on the upper portion of the gate in the chute and the heifer was recumbent. I observed as they attempted to remove the heifer for 2 minutes and the heifer began to vocalize continuously. I got the (b) (6) to come to the barn and view the heifer. After he confirmed with me that the heifer was in distress I instructed him to look for (b) (6). When (b) (6) returned, I stated that the heifer needs to be humanely euthanized due to it being in pain and no resolution. Around 1015 hours Cahit Comak, plant manager, came with the captive bolt and talked to the truck driver about placement of the captive bolt on the heifer's head. The first shot was an effective stun, and a security shot was followed about 1 minute after. After the security stun, an employee cut the heifer's throat to allow it to bleed out. I explained to Mr. Comak and (b) (6) that this is a noncompliance in regards to the heifer being caught on the gate. The failure to recognize that there was a hazard that can injury to an animal is a noncompliant with the regulatory requirements of 9 CFR 313.1(a).</p>	CLOSED
M5300	Rhode Island Beef & Veal Inc.	{275E0D9C-1CF2-4383-BE20-12242AEDF56B}	VEL0007 015526N -1	01/26/2021	04C02	Livestock Humane Handling	313.1	<p>Humane Handling Category VII: Observations for Slips and Falls: On 1/26/21 at approximately 0704 while conducting antemortem the following noncompliance was observed: The kill floor lead presenting the livestock for ante-mortem assisted with unloading the sheep and cattle from the trailer into the first pen. During the movement 3 cattle slipped in between the pen landing on its front legs. Of the 3 cattle one struggled to gain traction to stand back on its feet. The kill floor lead then went to get a few buckets of salt and salted the pen to help treat and prevent the slipperiness in the pen. The establishment lead was also notified of this finding and a noncompliance would be documented.</p>	CLOSED
M5497+V5497	Adams Farm Slaughterhouse LLC	{580AA995-561D-4130-9F88-C2CA9C592AFF}	FWJ171 1031615 N-1	03/15/2021	04C02	Livestock Humane Handling	313.1	<p>This morning, at approximately 0700 hours, while in the barn to perform antemortem I observed the following: 1) the second section of fencing between pens 1 and 2 had 5 bars (out of 6) broken and sticking out into pen 2. 2) the small door that is on the side of the corral has a space about 3 inches tall that extends from end to end from where a piece broke off; 3) one of the panels in the corral is broken down toward the bottom leaving a hole about 12 inches wide by 3 inches high. At the time I observed the noncompliance there were no animals in either pen nor in the corral. I informed (b) (6) of these findings visually, verbally and with this notice. I took regulatory control action and applied retain tags No. B41286081, B41286082, B41286083 and B41286084 to the above mentioned areas.</p>	CLOSED

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M6785	BEF Foods, Inc.	{D7F0C48E-9C37-4419-BC13-13564AB2CCE9}	NRL0406011114N-1	01/13/2021	04C02	Livestock Humane Handling	313.2	On 1/13/2021 at approximately 10:00 am while in the barn observing and verifying HATS Category VI Electric Prodding/Alternative Object Use, I, (b) (6) observed the following non-compliance. I observed an establishment employee excessively paddling a sow on her back in the old scale house. I observed the employee raise the arm holding the rattle paddle above his head and forcibly paddle the sow in a rapid sequence four times repeatedly on her back. The sow did not move into the chute in response to the handling. Upon observing this non-compliance I asked the employee to stop what he was doing. I then took regulatory control by asking the employee electrically stunning the sows to stop. I then found the (b) (6) and informed him of the observation. I also informed him that the establishment would receive a non-compliance record as a result of the observed non-compliance. A few minutes later I was informed by (b) (6) that the employee who was involved in the non-compliance had been moved to another job position non-humane handling related for the remainder of the day. I then returned regulatory control back to the establishment at approximately 10:10am. The establishment was non-compliant with 9 CFR 313.2(b). This document serves as a written notification that continued failure to meet regulatory requirements can lead to enforcement action as described in 9 CFR Part 500.4	CLOSED
M7464+P7464	F.B. Purnell Sausage Co., Inc.	{D7456350-022A-4357-9580-65AD4BD6D749}	CMN4008011406N-1	01/06/2021	04C02	Livestock Humane Handling	313.30(a)(1), 313.30(a)(3)	HATS category VIII: stunning effectiveness While performing the livestock Humane Handling Activities Tracking System (HATS) task at Purnell Sausage Co. (M7464) Simpsonville, KY, the following observation was made at approximately 0830 hrs. EST by the (b) (6) : A suspect sow in the holding pen of the barn was observed being electrically stunned with the portable electric stunner; the establishment uses a head-then-heart application of the wand. Establishment personnel applied the wand to the neck of the sow sitting in a sternal position; when the wand was removed for placement on the heart, the sow was observed sensible (conscious eye-tracking). The wand was again placed on the neck of the sow; the sow went lateral recumbent and was again observed sensible (conscious eye-tracking) and rolled back to the sternal position (conscious righting reflex). Establishment personnel applied the wand multiple times (6) to the head and heart until the sow was rendered insensible; at one point the sow was heard vocalizing as well. The SVMO immediately took a regulatory control action and applied U.S. Rejected tag #B45-422669 to the restrainer; (b) (6) was informed of the above observation and regulatory control action, as well as documentation of the non-compliance. The chain of command was notified for further guidance.	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M7464+P7464	F.B. Purnell Sausage Co., Inc.	{EB971B7F-D81E-47F9-834B-1208F700F392}	CMN2210032126N-1	03/26/2021	04C02	Livestock Humane Handling	313.2	<p>HATS category III: water and feed availability While performing the Livestock Humane Handling task at Purnell Sausage Co. (M7464) Simpsonville, KY (b) (6)</p> <p>made the following observations: At approximately 0900 hrs. EDT IPP (inspection program personnel) advised the SVMO two suspect sows in the alleyway of the holding pens required ante-mortem inspection; the sows were passed for slaughter as suspects with disposition pending post-mortem inspection. The sows had a 3-gallon red plastic bucket in proximity with water. Establishment personnel were informed the suspect sows were passed for slaughter. At approximately 1115 hrs. EDT the above suspect sows were still in the alleyway, in the same location, in the same position (one sternal recumbent, one sitting upright), but without access to water; the red bucket was observed lying on its side. The establishment had ceased slaughter activity at approximately 1100 hrs. EDT for lunch. (b) (6)</p> <p>was informed of the above observation and the forthcoming non-compliance record.</p>	CLOSED
M8078+P8078+V8078	Boone's Abattoir, Inc.	{83DDCCBA-781D-4F5E-8FC8-FF69D3B67D03}	APM5106033231N-1	03/31/2021	04C02	Livestock Humane Handling	313.2	<p>HATS category III: water and feed availability While performing the Livestock Humane Handling task at Boone's Abattoir (M8078) Bardstown, KY (b) (6) and (b) (6)</p> <p>observed the following at approximately 0740 hrs. EDT: A hog in pen #6 was found without access to water. The orange tub in the pen was observed upside down and there was no water flowing from the hose in the elevated water trough; the animal was delivered to the establishment the previous day. Establishment personnel was informed of the above observation as was establishment owner Jerry Boone, who was likewise informed of non-compliance with 9 CFR 313.2(e) and the forthcoming documentation.</p>	CLOSED
M9112+P9112	Hometown Butcher	{1A06305B-F22C-4994-A73E-2FE B780877B9}	EJO4411013428N-1	01/28/2021	04C02	Livestock Humane Handling	313.16(a)(1), 313.16(a)(3)	<p>On 1/28/2021 while performing the Humane Handling Verification Task under HATS Category 8 at Hometown Butcher, an ineffective initial shot was delivered with a 22 magnum at 10:45 CT which did not render the animal insensible to pain. (b) (6), after hearing the first shot, entered the slaughter floor and witnessed the sheep still standing and the sheep actively pulled its head out of the headgate. (b) (6) also witnessed that the movement of the sheep was restricted within the squeeze chute. The stun operator delivered an immediate and effective corrective action stun with the 22 magnum and rendered the animal insensible to pain, and it remained so thereafter. The chute was rejected with tag number B45241236. The plant owner, Mr. Stone, was notified of the noncompliance.</p>	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M4271+P427 1+V4271	GREISE BROTHERS PACKING INC.	{50B37B2B-1A5A -4FD1-BC4F-FE ED7FAE4B8A}	CZF5213 010304N -1	01/04/2021	04C02	Livestock Humane Handling	313.16(a)(1)	<p>HATS Category VIII On 1/4/21 at approximately 11:50 am I, (b) (6) while observing the Human Handling stunning effectiveness task, observed a missed stun of a bull by using the stunning method with a .410 firearm. The bull was brought down and placed into the chute. No head restraint was used in the stunning process. (b) (6) stated that he was about to fire the first shot while I walked into the cooler to wait. I heard the shot fired and opened the door. As I start to walk through the door (b) (6) shouted security shot. I hurried to close the door and heard the second shot fired. At this time, after the second shot was fired, I noticed the bull still was not properly stunned. I was able to see its head moving through the gap between the rotating metal wall of the chute and the head restraint portion of the chute. I went over to the gut room door as the third shot was fired. The bull still was not stunned as (b) (6) was preparing to take a fourth shot which was ineffective. (b) (6) fired a fifth shot finally rendering the bull unconscious. He then proceeded to open the chute to allow the bull to fall into the pit where (b) (6) stuck it. It took roughly five minutes in total from the first shot until the final shot was taken. I waited to make sure there was no sign of life before I went to get my supervisor and tagged off the chute with B37604788 at approximately 12 pm. The establishment does not have a robust systematic approach. Because of the egregious nature of the incident, I am recommending a suspension of slaughter activities. I notified (b) (6), (b) (6), and (b) (6) that I would be contacting my supervisor and the district office at approximately 12 pm.</p>	OPEN
M4271+P427 1+V4271	GREISE BROTHERS PACKING INC.	{EFF0F0C3-24A D-4C95-96C1-FD 13DEB24A70}	CZF3611 033710N -1	03/09/2021	04C02	Livestock Humane Handling	313.2	<p>Hats Category III. Water and Feed Availability On March 9, 2021, at approximately 915 hours, I, (b) (6) while performing Antemortem inspection duties observed 6 beef in pen #5 without any source of water. (b) (6) was verbally notified of this noncompliance. This NR serves as the establishments failure to comply with 9CFR 313.2(e).</p>	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M7415+P7415+V7415	HOFFMAN'S QUALITY MEATS	{46FD1F71-7ED1-4A88-8FA6-50BF2CAA0DD1}	YUN3204030203N-1	03/02/2021	04C02	Livestock Humane Handling	313.1, 313.2	<p>On 3/2/2021 at approximately 0610 hours, while performing a Livestock Humane Handling task, I, , observed 13 market beef for (b) (4) in the holding pen closest to the alleyway leading to the knock box. The pen contained one blue poly barrel cut in half and used as a water trough. The barrel was completely empty and dry on the bottom, indicating no water had been presented recently. This is non-compliant with 9 CFR 313.2(e) which states, "Animals shall have access to water in all holding pens and, if held longer than 24 hours, access to feed. There shall be sufficient room in the holding pen for animals held overnight to lie down."</p> <p>, was verbally notified.</p> <p>When asked about the lack of water, he told me he had just transferred the animals to that holding pen so they would be easier to move up the alleyway and had simply forgotten to fill up the barrel but did mention that the animals had water overnight in the pen from which they just came. My food inspector corroborated the story since he had witnessed them in the other pen during AM inspection. I also observed another partially filled half cut blue poly barrel in the pen from which they came. (b) (4) attempted to fill the first bucket with water, however, due to the below freezing temperatures, the water hose was partially frozen and slow running. Therefore, he decided to open the gate to allow the animals back into the pen from which they recently came in order to gain access to water. As he did, the animals began to move on their own without pushing or prodding. All went smoothly until the final two animals, which startled and changed direction quickly to follow their contemporaries. When doing so, the first slipped and fell onto its left hip with its sternum and front knees also touching the ground. It rose quickly, seemingly without injury. The last animal hastily followed and proceeded to lose footing and slip, only touching a hock to the ground before regaining footing. This is non-compliant with 9 CFR 313.1(b) which states, "Floors of livestock pens, ramps, and driveways shall be constructed and maintained so as to provide good footing for livestock. Slip resistant or waffled floor surfaces, cleated ramps and the use of sand, as appropriate, during winter months are examples of acceptable construction and maintenance."</p> <p>When all animals had moved, I entered the pen to assess the texture of the flooring. The flooring was slick with wet manure, yet when scraped away with my boot heel, did not provide sufficient traction, even with my slip resistant footwear. Another noteworthy observation occurred as I was entering the pen. Two animals headed directly to the water barrel and began drinking intently and undeterred, even though I was standing less than five feet away in an open gateway, indicating their level of thirst was significant. Additionally, prior to the animals switching pens, I had observed the floor drain cover in the center of the pen was dislodged and instead of lying flat across the drain, was</p>	CLOSED

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
								lying perpendicular and in an upright fashion. This caused one animal to step into the open drain and another to have the dislodged drain cover impact directly between the two hooves of its right rear foot as it was navigating toward the open gate. Neither animal appeared to be injured by the incident, however, the above findings related to insufficient flooring increase the likelihood of an injured or downed animal, which according to 9 CFR 309.2(b) and 309.3(e), cannot be presented for slaughter if non-ambulatory. I requested a meeting in the USDA office with Mr. Michael Trippett, President and GM of Hoffman's Quality Meats (M-7415) at 0930 hours to apprise him of the non-compliant humane handling observations. Mr. Trippett and I discussed the need for both long-term (concrete etching, rebar grid overlay) and short-term (rubberized stall mats, sandy/gritty material) preventive measures that we had seen work in other facilities. For a short-term solution, Mr. Trippett chose a product called (b) (4) from the local supply store. It is a crushed limestone material used to provide traction. Shortly after our meeting, Mr. Trippett procured four bags from the supply store, applied the product, and instructed employees on proper usage, particularly around high traffic areas. FSIS will closely monitor and verify the effectiveness of the establishment's prescribed short-term corrective actions and requests notification when longer-term solutions are decided upon.	

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M7415+P7415+V7415	HOFFMAN'S QUALITY MEATS	{E01E9FC1-63A6-4945-B4FE-4B06EEAC0E10}	YUN3411032723N-1	03/23/2021	04C02	Livestock Humane Handling	313.1, 313.2	<p>On 3/23/2021 at approximately 1040 hours, while performing a Livestock Humane Handling task, I, (b) (6), observed two market hogs in the holding pen closest to the alleyway leading to the knock box. The pen contained one blue poly barrel cut in half and used as a water trough. The barrel was approximately 1/3 full of water, however, the barrel sides were too tall to allow the market hogs access to drink from it. Additionally, a separate hog was in an individual stall (#4) without access to water. A black rubber tub had been pushed under the gate into the alleyway and was completely empty. This is non-compliant with 9 CFR 313.2(e) which states, "Animals shall have access to water in all holding pens and, if held longer than 24 hours, access to feed. There shall be sufficient room in the holding pen for animals held overnight to lie down." (b) (6)</p> <p>(b) (6), was verbally notified. When asked about the lack of water, he told me he had provided water to the hog in stall #4 in the black rubber tub previously but that it must have spilled it. Given that this is a recurring Livestock Humane Handling non-compliance and will be associated with another recent non-compliance for lack of water availability (YUN3204030203N, dated 3/3/2021), it would be advantageous for management to consider other measures to avoid further non-compliance. Furthermore, as I proceeded with my Livestock Humane Handling task, I encountered four market beef destined for slaughter on 3/24/2021 for Whole Foods in the "now L-shaped" holding pen that connects to the loading ramp and runs adjacent to the old scale. The reason I say, "now L-shaped" is because the cattle rubbed the gate off its hinges, which had been previously tied up to the fencing in the pen. However, it was now lying in the pen covered in manure with exposed metal bolt ends pointing upward in direct opposition to the cattle's feet. Additionally, the metal hinges were left exposed as protruding objects in a high-traffic area and turning point between pens. Also observed within the portion of the holding pen nearest the loading ramp was a bundle of eight wires draped down from the ceiling, across the pen, to within approximately 2 1/2 feet of the floor, thereby posing a threat of entanglement or electrical shock to the four beef currently in the pen. The above observations are non-compliant with 9 CFR 313.1(a) which states, "Livestock pens, driveways and ramps shall be maintained in good repair. They shall be free from sharp or protruding objects which may, in the opinion of the inspector, cause injury or pain to the animals. Loose boards, splintered or broken planking, and unnecessary openings where the head, feet, or legs of an animal may be injured shall be repaired." (b) (6)</p> <p>(b) (6), and Plant Manager, Gene Rhodes, were verbally notified of the aforementioned non-compliances. Plant Co-Owner, Michael Trippett, Plant Manager, Gene Rhodes, and (b) (6), are</p>	OPEN

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
								hereby notified in writing of this Livestock Humane Handling non-compliance.	
M7882+P7882	Horst Meats	{780D0D2A-1DE1-4AA3-B4DB-115F454E4CB6}	IMM4412014106N-1	01/06/2021	04C02	Livestock Humane Handling	313.15(a)(1)	<p>While performing post-mortem inspection at Horst Meats on 01/06/2021 at approximately 1241 hours, I, (b) (6), observed the following humane handling noncompliance. (b) (6) realizing that he had an exceptionally excited animal in the knocking area, decided to defer to a more experienced employee to do the knocking with their usual handheld 25 caliber captive bolt stunner. This employee then attempted to stun the animal, a large Angus heifer, but after this first knocking, the animal remained conscious; it was still standing and vocalizing within the knocking area. Immediately, (b) (6) reloaded the device and attempted to stun the animal again. This time the animal dropped to the floor but again the attempted stun did not achieve loss of consciousness; the animal again vocalized and was moving about. (b) (6) and another employee then hoisted the heifer from the rear limbs with a chain while it was still conscious and administered a third stun with a second handheld captive bolt that was effective in rendering the animal unconscious. (b) (6) and myself agree that the animal was conscious while being hoisted due to vocalization. (b) (6) states that he hoisted the animal to get control of it in order to administer the effective last stun. Closer examination of the skull revealed 3 holes; one high and off to the right, one off center to the right and one slightly off center to the left. Taking more than one stunning attempt to achieve unconsciousness and hoisting a conscious animal represent a noncompliance with regulation 9CFR 313.15(a)(1), which states: "The captive bolt stunners shall be applied to the livestock in accordance with this section so as to produce immediate unconsciousness in the animals before they are shackled, hoisted, thrown, cast, or cut. The animal shall be stunned in such a manner that they will be rendered unconscious with a minimum of excitement and discomfort." (b) (6) was notified of the noncompliance and the fact that an NR would be issued. U.S. Rejected Tag No. B38884027 was applied to the knock box at approximately 1300 hours. The establishment does not have a robust systematic approach to humane handling.</p>	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M10804+P10804	Wagner Meats, LLC.	{5E1A74A3-B49D-4BAA-9E20-F9D1035AE549}	IWC0908015821N-1	01/21/2021	04C02	Livestock Humane Handling	313.16(a)(1)	<p>While performing a humane handling verification task at Wagner Meats on 01/21/2021 at approximately 0850 hours, I, (b) (6), observed the following humane handling noncompliance. After a heifer was run into the knocking area on the kill floor, an establishment employee attempted to stun the animal with their usual .22 caliber rifle. They fired a shot, the animal dropped to the ground, the gate to the knocking area was opened, and (b) (6), immediately prepared to hoist the animal. Since I was standing just outside the doorway to the kill floor while they were using the firearm, I entered the kill floor to inspect the animal to assess stunning effectiveness. As I walked closer to the animal, I noticed that it was rhythmically breathing, blinking, and tearing excessively, suggesting that the animal remained conscious. (b) (6), was already beginning to hoist the rear limbs of the animal. As he was hoisting, I saw the animal's eyes tracking movement and tested its palpebral and corneal reflexes, which were intact. The heifer was still rhythmically breathing as well. I yelled over the sound of the kill floor equipment to John Murphy that the animal can not be hoisted and that it needed to be stunned again. He instructed the employee who performed the stunning to get the gun and stun it again. While the gun was being retrieved, (b) (6) continued to hoist the animal several more feet so that the head was not resting on the ground. The animal was stunned a second time with the same firearm, and unconsciousness was achieved. Closer examination of the skull revealed 2 holes; one significantly off center to the left side of the skull and one in the center of the appropriate area to achieve an effective stun. Taking more than one stunning attempt to achieve unconsciousness and hoisting a conscious animal represent a noncompliance with regulation 9CFR 313.16(a)(1), which states: "The firearms shall be employed in the delivery of a bullet or projectile into the animal in accordance with this section so as to produce immediate unconsciousness in the animal with a single shot before it is shackled, hoisted, thrown, cast, or cut. The animal shall be stunned in such a manner that they will be rendered unconscious with a minimum of excitement and discomfort." (b) (6) was notified of the noncompliance and the fact that an NR would be issued. U.S. Rejected Tag No. B24794527 was applied to the knock box at approximately 0853 hours. The establishment does have a robust systematic approach to humane handling in place. This NR is being linked to IWC5210114516N-1 and IWC5512112702N-1, which were recent similar failures to stun with a firearm.</p>	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M19252+P19252	Farm 2 Table Meats LLC	{003E1B37-CBA4-458F-B739-6F4F0095A659}	IHC2808023919N-1	02/17/2021	04C02	Livestock Humane Handling	313.1	HATS Category I: Inclement Weather, 9CFR313.1(b) On February 17, 2021, at approx. 0749 hours, I, (b) (6) during the performance of an Ante-Mortem inspection of livestock, observed ice on the floor of the Aisleway leading from the livestock pens to the staging pen and inside the staging pen (which leads directly into the slaughter floor knocking box). I immediately informed (b) (6) of the non-compliance. He immediately applied salt on all the surfaces that had ice. I reinspected at 0751 hours approx. and the action acceptable (the floors were gritty and provided good footing). No regulatory control action was taken due to no livestock being affected and the corrective action was immediate. This NR serves as notification of the establishment's failure to comply with 9CFR313.1(b).	CLOSED
M19252+P19252	Farm 2 Table Meats LLC	{10698FAE-3741-447B-B17C-A0986EEF61C7}	IHC2110031804N-1	03/02/2021	04C02	Livestock Humane Handling	313.1	HATS CATEGORY IV: Ante-Mortem Inspection, 9CFR313.1(a) On March 2, 2021, I, (b) (6) during the performance of Ante-Mortem livestock inspection (at approx. 0758 hours), observed the bottom board on the left, as you enter, Pen#3, was unattached from the rear post exposing the nails in the post & one nail in the board pointing inward toward the livestock (1 beef) in pen. I immediately notified (b) (6) of the non-compliance. She immediately moved the 1 beef out of the pen and into the staging pen. I tagged (US Reject tag #B43223890) Pen#3 and informed (b) (6) that no livestock was permitted in pen #3 until it was properly repaired and verified by USDA IPP. I also, notified Mr. Mike Shreve (Plant Manager) of the non-compliance and the immediate actions taken by (b) (6). This NR serves as notification of the establishment's failure to comply with 9CFR313.1(a).	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M85B	Swift Pork Company	{8CA0CFFD-3AC8-44F1-9B15-9C4C6D1FA0B2}	GYM3623015418N-1	01/18/2021	04C02	Livestock Humane Handling	313.15(a)(1)	<p>Category VIII - Stunning Effectiveness On January 18, 2021, at approximately 18:18, while performing Humane Handling Tasks (Category V - Suspect and Disabled and Category VIII - Stunning Effectiveness) in the livestock barn, I observed the following noncompliance. I saw a group of employees in subject pen #2 attempting to stun a hog that was in lateral recumbency and appeared poorly responsive. A front-end employee applied a captive bolt gun to the hog's head and fired. In response to the captive bolt discharge, I heard the hog squeal and simultaneously the hog quickly raised itself to a sitting position and remained sitting on its hind legs and looking around. I could see a bloody wound on the hog's head slightly above the eyes. The hog remained sitting and moving its head with its eyes open. Then the front-end employee picked up a second, already loaded, captive bolt gun and placed it to the hog's head and fired. In response to the second discharge, the hog immediately fell into a laterally recumbent position and was unresponsive. I observed as the employees verified that the hog was unconscious.</p> <p>(b) (6) arrived shortly after the hog had been rendered unconscious and I explained to him what I had observed. I informed (b) (6) that a noncompliance record would be created. Failure to produce immediate unconsciousness in animals when stunning is a noncompliance with 9 CFR 313.15 (a)(1).</p> <p>(b) (6) stated that he would follow up on the situation with the employees.</p>	OPEN

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M85B	Swift Pork Company	{CC84B4D6-8768-4480-8835-39548C512606}	GYM0223023319N-1	02/18/2021	04C02	Livestock Humane Handling	313.2	<p>Category II-Truck Unloading On February 18, 2021, at approximately 13:20, while performing Humane Handling Task (Category II – Truck Unloading) in the livestock barn, I observed the following noncompliance. While observing unloading of the top deck of the trailer at Dock 2, I saw the driver was driving a group of hogs down the ramp toward the bottom floor of the trailer. The hogs were still near the top of the ramp when I observed a hog near the edge of the ramp, toward the center of the truck, step sideways and move its body toward the edge of the ramp. As the hog began to move sideways, I noted that the gate, which usually rests against the edge of the ramp and confines the hogs to the ramp, was not against the ramp and not visible to me. The hog then stepped sideways off the side of the ramp and fell, from very near the top of the ramp, to the floor in the bottom of the trailer a distance of approximately three to four feet. I then lost sight of the hogs on the ramp and on the floor, as I moved to get the attention of personnel to stop further unloading. When I approached the trailer more closely, I noted that a hog was sitting near the bottom of the ramp and appeared unable to rise. This hog was euthanized by the establishment. No injuries were apparent on this hog. I was later informed that the hog that fell had already run out of the trailer by the time I arrived at the trailer. I was informed by (b) (6) that employees would secure the gate alongside the ramp and hold it to make certain it remained in place while the remaining hog was unloaded from the trailer. I observed as the establishment employees pushed the gate up to the ramp, and held it in place, while the final hog from the top deck of the trailer was unloaded. The hog falling from the ramp to the floor of the trailer, while being driven for unloading, is a noncompliance with 9CFR 313.2(a). I informed (b) (6) and (b) (6) that a noncompliance record would be created. They informed me that the incident was being investigated and the establishment would be developing further corrective actions based on the findings.</p>	OPEN

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M17496	Tri Eagle Provisions, Inc.	{6716A1C3-6583-454D-9C64-C597D93031F3}	QNI5510 035615N -1	03/15/2021	04C02	Livestock Humane Handling	313.2(f), 313.30(a)(3)	<p>HATS Category VIII-Stunning Effectiveness On March 15, 2021 at approximately 0830 hours while performing stunning effectiveness verification as part of the Humane Handling Verification task (b) (6) observe the following egregious noncompliance. One large market hog was electrically stunned and moved out of the restrainer to the automatic incline belt to the shackling station, which is approximately 6 feet in length. The hog immediately vocalized upon dropping onto the incline belt loud enough to be heard. The hog was paddling its front legs while in lateral recumbency and vocalized a second time just a few seconds later. On the third vocalization the hog was at the shackle table. The shackler was in the process of preparing to place the shackle on the hind leg of the hog. Upon the fourth vocalization (b) (6) halted the stunning process due to the lack of immediate action being taken by the stunner or shackler to address the hog.</p> <p>(b) (6) drew attention to the hog that was exhibiting signs of consciousness. At the time the process was stopped the sticker, who was sticking hogs on the overhead rail approximately 15 feet away, was summoned immediately by (b) (6) to address the hog. The hog continued to exhibit signs of consciousness until appropriately captive bolt stunned. After the hog was captive bolt stunned the vocalization ceased and the hog was shackled and stuck as normal. (b) (6) explained to the lead employee in the area what had happened and that the remaining conscious hogs in the system at the entrance to the restrainer could not be electrically stunned and would need to be addressed by other means. The lead employee after some further discussion effectively captive bolt stunned the remaining animals in the system and those animals were allowed to proceed through the system to being shackled and stuck. US Retain tag number B-45238008 was placed on the restrainer. The establishment management Steve Mejer, Plant Manager; Steve Lilovich, Plant Owner; and (b) (6) were notified of the failure to comply with the regulatory requirements of 9 CFR 313.2(f), 9 CFR 313.30(a)(3), and 9 CFR 313.30(b)(1). 9 CFR 313.30(b)(1) reads: (b) Facilities and procedures; operator—(1) General requirements for operator. It is necessary that the operator of electric current application equipment be skilled, attentive, and aware of his or her responsibility.</p>	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M17D+P761 3+V17D	Smithfield Packaged Meats Corp.	{8E92F550-1EFD -4228-BE4F-24C 9195B3AFA}	WLJ271 4012830 N-2	01/30/2021	04C02	Livestock Humane Handling	313.2	HATS Category VI - Electric Prod/Alternative Object UseOn 01/30/2021 at approximately 1553 hours, while verifying HATS Category VI, I noted the following noncompliance. I observed a (b) (4) room employee using a sort board and rattle paddle driving hogs towards the circle pen just prior to the (b) (4) room alleyways. He was raising the rattle paddle above shoulder height and bringing it down forcefully on the dorsum of multiple hogs. The hogs that were hit moved quickly away from the stimulus and several vocalized in response. I immediately instructed for the employee to stop hitting the hogs so hard and began looking for a supervisor in the area. As I did not see a supervisor in the immediate area, I continued to observe the employee as he moved several more groups of hogs without excessive force. After watching for several more minutes, I found (b) (6) and informed him of the forthcoming noncompliance report. While he went to speak with the employee, I went back to the USDA office to speak with (b) (6) to receive the immediate corrective action. This is noncompliant with 9 CFR 313.2.	OPEN
M17D+P761 3+V17D	Smithfield Packaged Meats Corp.	{F27017C5-E5C6 -462B-BDA2-739 E3F98D202}	WLJ271 4012830 N-1	01/30/2021	04C02	Livestock Humane Handling	313.2	HATS Category III - Water and Feed AvailabilityOn 01/30/2021 at approximately 1240 hours, while performing HATS Category IV Antemortem Inspection, I noted the following noncompliance. The pen checklist for Pen 1, which is used for resale hogs, indicated that the last time it had been checked was 0615 hours on 01/29/2021. No other information was written on the form. I asked (b) (6) when the last round of resale hogs had been picked up. The pen checklist was filled out by an employee soon after the resales were picked up on 01/29/2020. After that point, the first hogs to be placed in Pen 1 were unloaded at approximately 0625 hours. After review of the resale records from 01/29/2021, it was noted that there were 31 hogs within the resale pen that had been on site for more than 24 hours when the noncompliance was discovered. The pen checklists from 01/29/2021 and from 01/30/2021 did not indicate that the hogs had been fed, and the night shift barn manager informed (b) (6) that they had not fed the hogs. No feed was visible in the pen, and no one on day shift had fed the hogs. I informed (b) (6) of the forthcoming noncompliance. He indicated that he would immediately begin working on corrective actions and went to make sure the hogs were fed. This is noncompliant with 9 CFR 313.2(e).	OPEN

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M17D+P761 3+V17D	Smithfield Packaged Meats Corp.	{AB0CEB03-589 6-42D4-B343-F8 6540B4D685}	WLJ050 3032626 N-1	03/25/2021	04C02	Livestock Humane Handling	313.1, 313.2	HATS Category VI – Electric Prod/Alternative Object Use At approximately 0144 hours on 3/26/2021 at the conclusion of the stunning operations in the (b) (4) room for the second shift starting on 3/25/2021, I observed the following non-compliance. As I entered the (b) (4) room from the South end, I heard a hog vocalized excessively. I noticed the East and West (b) (4) drive alleys were almost empty of hogs. As I approached the North end of the East (b) (4) drive alley, I observed a standing hog lodged at the midsection behind the East Sliding Wall (final gate that slides forward driving the hog into the (b) (4) gondala basket) and the housing for the East PD Gate (the final automatic dividing gate of the East (b) (4) drive alley). The hog was standing and excessively vocalizing whilst having its midsection lodged between the South edge of the East Sliding Wall and the Northern most point of the East PD Gate housing. Establishment employees immediately engaged the East Sliding Wall moving it forward effectively freeing the hog. The hog immediately ceased vocalizing and sat down on its hind end (dog-sitting position). No outwardly injuries could be observed on the hog. Establishment employees immediately rendered the hog insensible by a hand-held captive bolt device. I informed (b) (6) of the forthcoming non-compliance report. After discussions with both (b) (6) and (b) (6), corrective actions were given. This is non-compliant with 9 CFR 313.1 and 9 CFR 313.2.	OPEN
M253	Long Prairie Packing Company, LLC	{94BDA7EE-7BD E-43F7-B197-03 58523E7A22}	AGL4311 024715N -1	02/13/2021	04C02	Livestock Humane Handling	313.2	At approximately 0915 hours on 2/13/21, while performing Odd Hour inspection in the barn, I observed the following: There was a group of cows in pen 34. Pen 33 was empty. There was no steam rising from the waterer that is used for both pens, so I went into pen 33 to look at the waterer. I found that the water was froze over and the cattle did not have access to the water. There were no employees in the barn at the time, so I informed the guard at the guard shack of the frozen waterer and the guard immediately called maintenance of the frozen waterer. On 2/15/21 at approximately 0900 hours I informed (b) (6) of the forthcoming noncompliance with regulation 9 CFR 313.2(e), which states animals shall have access to drinkable water in all holding pens.	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M2460+P2460	Cimpl's, Inc.	{8A42634B-73F1-418B-A5B2-16DE3FDA412C}	PMB3112025310N-1	02/10/2021	04C02	Livestock Humane Handling	313.1	<p>On 2/10/2021, at approximately 1105 hours while performing HATS task category IV Ante-Mortem Inspection. I (b) (6) observed 15 animals exiting Pen #1 returning to Pen #15, the last animal (a red angus cow) turned to exit the pen and slipped and fell onto her left side. The animal immediately rose again with no visible injury and exited the pen. I observed a thin layer of bedding in this pen. At this time I ceased Ante-Mortem inspection. I requested (b) (6) to the barn. While waiting for (b) (6), one of the barn employees retrieved a bucket of hay/bedding and dumped and spread it around in the pen. When (b) (6) arrived I informed him of my observations. (b) (6) entered the pen and observed the area where the animal had fallen. At this time I allowed Ante-Mortem Inspection to resume. There were no like observations for the remainder of Ante-Mortem Inspection. After Ante-Mortem Inspection was completed (b) (6) informed me that he would take Pen #1 out of service and have it cleaned so as to get a better observation of the floor surface and determine what needed to be done to prevent a recurrence of slips/falls. This finding is not in compliance with 9CFR 313.1(b).</p>	CLOSED

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M7627+P7627	North Dakota State University Meat Laboratory	{325E02DA-0AD3-40BB-A9CE-01C8895C6808}	RDI2914022822N-1	02/22/2021	04C02	Livestock Humane Handling	313.15(a)(1)	<p>HATS Category VIII – Stunning Effectiveness At approximately 0705 hours, while observing HATS Category VIII – Stunning Effectiveness, I observed the following non-compliance: An establishment employee attempted to stun a heifer with a hand-held captive bolt device. The first attempt was ineffective as the heifer remained conscious. The heifer vocalized immediately after the hand-held captive bolt was fired, continued blinking and remained standing. The establishment employee immediately reloaded the hand-held captive bolt and attempted a second stunning procedure. After the second attempt, the animal remained conscious and continued vocalizing, blinking and standing. Blood was observed coming out of the nose of the heifer as well as a wound approximately halfway between the eyes and nose of the animal. A third attempt with the same hand-held captive bolt device by the same employee and the animal remained conscious, continued vocalizing, blinking and standing. The establishment interim manager then disassembled, reassembled and reloaded the hand-held captive bolt. The next attempt to stun the animal was made by the interim plant manager. Upon firing the hand-held captive bolt while the bolt was in contact with the animal's head, the stunning round inside the captive bolt misfired. The hand-held captive bolt was reloaded with a new stunning blank and on the next attempt (fifth), the animal was rendered insensible, showing no signs of consciousness. Immediately after determining the unconsciousness of the heifer, I placed a U.S. Reject tag #A3120201 on the restrainer and informed (b) (6), (b) (6), of my observations regarding the plant employee's inability to render the animal unconscious after multiple stunning attempts. (b) (6) and I then observed the skull of the heifer. Three holes, approximately less than or equal to a half inch below the brain were observed, showed evidence that all three holes missed the brain. A fourth hole was observed approximately 1" above the other three holes. I verbally informed (b) (6) of the forthcoming non-compliance record and that the establishment failed to render the animal immediately unconscious with the first application of the captive bolt stunner and failed to meet the regulatory requirements of 9 CFR 313.15(a)(1). I notified the establishment that I would be contacting the Des Moines District Office through supervisory channels for further guidance regarding possible enforcement action.</p>	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M7717+P7717+V7717	White's Wholesale Meats	{E9F55F39-897A-4C66-AE19-25C88E90EE49}	JLI5912015919N-1	01/19/2021	04C02	Livestock Humane Handling	313.2	HATS Category III (Water and Feed Availability) On 01/19/21 at approximately 0805 hours while performing the Livestock Humane Handling Verification task in the pens for HATS Category III (Water and Feed Availability), I observed the following non-compliance. I observed the metal stock tank in Pen 1 was frozen over. The tank heater was not in the stock tank but was lying on the ground outside the pen. The water was iced over with approximately ½ - ¾ inches thick sheet of ice on the top. There were 5 steers and 2 heifers in Pen 1 at the time of this observation. Not having water available for livestock on premise does not meet the requirements of 9 CFR 313.2(e). I immediately notified plant manager Ben White of the non-compliance. The immediate corrective action by the plant was to break the ice in that stock tank. I then verified the establishment's corrective action was sufficient to allow the livestock accessibility to fresh water. I notified plant manager Ben White both verbally and in writing with the issuance of this NR. There are no associated NRs for the same root cause within the last 90 days.	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M8971+P8971+V8971	R Four Meats	{D6167EAB-0209-4E21-9776-33EB75E36C17}	DBJ0212024002N-1	02/01/2021	04C02	Livestock Humane Handling	313.1, 313.15(a)(2), 313.15(b)(1)(iii), 313.2	<p>On 2/1/21, while I was verifying HATS Category VIII – Stunning Effectiveness, while the establishment was attempting to stun the third bovine of five presented for antemortem inspection, I observed the following noncompliance: The third beef was captured in the restrainer, as it entered for the third time, by (b) (6) ; (b) (6) touched the beef with the electric prod while trying to load it into the restrainer. The beef was observed to be excited as it moved faster than a normal walking pace, was looking around in all directions quickly, was open mouth breathing very hard and vocalizing loudly. It attempted to jump through the top of the restrainer as it approached the front of the restrainer. When it came down its right front hoof slipped between the metal tubular bars of the restrainer and the wall that is a few inches away from the restrainer. (b) (6) was attempting to stun the beef with a hand-held captive bolt stunning device when the beef was able to free its leg which caused its hair to be scraped away from its leg by the metal tubular bars of the restrainer. After the animal was humanely rendered unconscious and bled, I observed an area on the right front leg approximately 5 inches long and 4 inches wide that was missing hide. The wound went from its hoof across the fetlock joint on its right front leg. I also observed multiple tufts of hair on the ground in the area where its foot became stuck in the restrainer. I informed Mr. Remme of my observations with the animal's leg. I pointed out the area of concern on the animal, and that I would be contacting my (b) (6). I applied U. S. Reject tag #B33621140 to the restrainer. I informed (b) (6) that a noncompliance would be issued. After Mrs. Connie Remme, establishment owner, provided verbal preventative measures, I relinquished my regulatory control action by removing the U.S. Reject tag.</p>	OPEN
M244L+V244L	Tyson Fresh Meats, Inc.	{FF304FFE-B236-489E-AD10-76328FA77960}	TWH2612010128N-1	01/28/2021	04C02	Livestock Humane Handling	313.1, 313.2	<p>On 1-28-21 I, (b) (6), was performing antemortem inspection (HATS category IV) at approximately 6:15 am when I noticed a market hog in pen #10 with its head stuck between a gate and the wall. The animal was unresponsive but still breathing. The establishment employee accompanying me for antemortem immediately notified employees to bring a captive bolt gun. The animal was humanely euthanized using the hand held captive bolt gun and the gate was opened. Pen # 10 was emptied of all animals and the broken gate was removed completely. The gate in pen #10 was broken and had been for several days, this allowed the gate to open completely and trap the affected animal. (b) (6) was present and arrived at the area at the time that the animal was euthanized. I told him that I would be documenting this incident in an NR, the condition of the facilities caused entrapment of the animal's head, leading to distress and lack of access to water.</p>	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M86M	Cargill Meat Solutions	{C56DA597-B80F-4EAF-BD3F-81C13461D40E}	PAI3623 035830N -1	03/29/2021	04C02	Livestock Humane Handling	313.2	HATS Category III Noncompliance Noncompliance observed at approximately 1510 hours on 3/29/2021 at the yards. During the performance of routine antemortem inspection at the pens On Monday March 29, 2021 at approximately 1510 hours, I noticed that the water trough supplying pens 15b and 16 was empty. The pens were filled during first shift and left about 125 heads of cattle without water during a hot day. The atmospheric temperature in Schuyler was about 78 degrees F at this time. I showed my findings to (b) (6) and (b) (6) who immediately began to investigate the deviation. They found out that the pipe carrying water to this trough was not turned. After it got turned on, water was made available to the cattle. (b) (6), (b) (6) and (b) (6) were informed of the forthcoming noncompliance. This finding is noncompliant against Title 9 CFR 313.2(e).	OPEN
M245C+V24 5C	Tyson Fresh Meats, Inc.	{A3310E57-1465-42CF-AABF-A1F0F9073915}	ZRG2020 024811N -1	02/10/2021	04C02	Livestock Humane Handling	313.15(a)(1)	At approximately 18:20 on 2/10/2021 while performing the Humane Handling Category VIII – Stunning Effectiveness, I, SPHV (b) (6), observed the following noncompliance: I observed a steer enter the center-belly restrainer; an establishment employee attempted a head stun by discharging the pneumatic captive bolt. The first discharge of the pneumatic captive bolt was ineffective, and the steer remained conscious, standing calmly with his head up and did not vocalize. I observed blood exuding from the steer's right nasal cavity. The (b) (6), promptly got the backup pre-loaded hand-held captive bolt device and applied a second, effective stun, rendering the animal unconscious. I tagged the restrainer with the U.S. Reject Tag NO. B39764701 and halted production. I asked (b) (6) to radio the (b) (6), so I could inform him of my observation. (b) (6), gave me verbal corrective actions and preventative measures to prevent reoccurrence. I removed the U.S. Reject Tag and slaughter operations resumed. The skull was split on post-mortem exam, there was a confirmed hole that entered the nasal cavity. The hole entered the right nasal cavity, approximately ½ inch below and ½ inch towards midline from the right orbit. There was also a second stun wound, on midline approximately 2 inches above the eyes. This is noncompliant with regulation 9 CFR 313.15(a)(1). I informed (b) (6), of the noncompliance and the forthcoming noncompliance record for failure to render the animal unconscious on the first attempt.	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M245C+V24 5C	Tyson Fresh Meats, Inc.	{1BBD2CF5-8EF 0-454B-B80C-B9 55B47A8553}	ZRG0400 035924N -1	03/23/2021	04C02	Livestock Humane Handling	313.15(a)(1)	<p>At approximately 2108 hours on March 23, 2021, while performing the Humane Handling Category VIII – (b) (6), observed the following noncompliance: I observed a heifer enter the center-belly restrainer and after difficulties placing the pneumatic captive bolt in place as the animal moved: the secondary team member attempted a head stun by discharging the hand-held captive bolt gun. The first discharge of the pre-loaded hand-held captive bolt was ineffective, and the heifer remained conscious: the animal remained postured, head held up, blinking, looking around, although it did not vocalize. I observed blood exuding from the location of the stun. The other establishment team member promptly used the pneumatic captive bolt device and applied a second, effective stun, rendering the animal unconscious. I then initiated a regulatory control action of stopping the line, and I asked (b) (6), to radio for the (b) (6), to the restrainer to inform of events that occurred. After this instruction, (b) (6) arrived, and I explained the observations made that resulted in the line stoppage and informed establishment management of the forthcoming NR. The establishment then gave me verbal corrective actions and preventative measures to prevent reoccurrence. (b) (6) arrived, I explained the observations made. After discussing the issue with the establishment, production was resumed. Afterwards, the skull was split on post-mortem exam, there were two confirmed stun holes that entered were applied to the skull. One shot was center of the frontal bone, with complete penetration of the skull, while the secondary stun was on the off-center bottom-right of the frontal bone, angled right and it failed to penetrate deeply into the skull. I then showed (b) (6), and (b) (6), my observations of the skull. This noncompliance record (NR) is being associated with NR-ZRG202020024811N documented on February 10, 2021 for a similar incident with ineffective stunning with a stunning device in the restrainer. The previous corrective actions and preventive measures were either not implemented or not sufficient to prevent recurrence of the noncompliance.</p>	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M969G	Swift Beef Company	{1D450E72-3968-4B84-9D0F-1CEC952F8BEA}	NDH3705021503N-1	02/02/2021	04C02	Livestock Humane Handling	313.15(a)(1)	On 2/2/2021, at approximately 1401 hours, I, (b) (6), performed a directed humane handling task at the stun box after observing approximately 6 heads railed out from the head rail for improper stun hole placement. I observed the primary stun operator apply an ineffective stun with the pneumatic captive bolt device. I observed the market beef immediately lowered its head and I could observe its ears moving. I observed the beef then raise its head using controlled movements to move its head from side to side, with blood coming out of its nose. I observed the beef then lowered its head down again into the restrainer by the belly belt. The second stun operator was able to apply a second stun attempt with a handheld captive bolt device once the animal settled, which rendered the market beef insensible. I took the regulatory control action of stopping production until I was able to inform establishment management what I had observed. I verbally notified (b) (6) that an NR was forthcoming. The Denver District Management Team was notified through supervisory channels. There have been no Humane Handling NR's or MOI's documented for stunning effectiveness within the past 90 days.	CLOSED
M4653A+P4653A+V4653A	Agri Star Meat and Poultry, LLC	{3FE68A8C-325B-4D43-B6E2-47E4E879BE14}	HRJ0315024908N-1	02/08/2021	04C02	Livestock Humane Handling	313.2	HATS Category III- Water and Feed Availability At approximately 0640 I observed the following noncompliance. The automatic waterer between pens 7 and 8 of the new barn was completely dry and the one between pens 1 and 2 had less than a half inch of water in it, rendering it unusable by the cattle in these pens. There are no other sources of drinking water available in these pens. At that time there were 38, 36, 36, and 35 cattle held in pens 1, 2, 7, and 8, respectively. I notified (b) (6) of the noncompliance. Maintenance was immediately notified and determined that the pipes to the automatic waterers had frozen. They thawed the pipes, refilled the waterers, and planned to replace the plug in the bottom of the waterers with ones that would allow constant draining, preventing the pipes from refreezing by ensuring the water was running continuously.	CLOSED
M5537	Sioux-Preme Packing Co.	{1203951B-49CC-4CCA-8623-6753F1E1AE93}	TJF5113031910N-2	03/10/2021	04C02	Livestock Humane Handling	313.2	At approximately 12:45, while performing HATS Category IV-antemortem inspection with (b) (6), I noticed 12 pigs penned up at the north end of the south alleyway. Upon further examination of the pen, I noticed one waterer. After checking to see if water would flow from the nipple, I found that it had been shut off at the valve. Since the pigs were penned up with no water, I determined that the establishment was not in compliance with regulation 9 CFR 313.2(e) which reads: Animals shall have access to water in all holding pens. I notified (b) (6) of the forthcoming noncompliance record. The water valve was turned back on so the pigs would have access to water.	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M5622+P5622+V5622	Albion Locker	{1354DDB7-DBE9-46BB-AF22-5D8F098882F1}	XDH5111035104N-1	03/04/2021	04C02	Livestock Humane Handling	313.16(a)(1), 313.16(b)(1)(iii)	HATS Category: VIII Stunning Effectiveness On March 4, 2021 at 11:30, while performing a humane handling procedure, I observed a trainee slaughter personnel fire a shot at a market hog in the knocking pen. During the course of this event, due to the smaller size of the animal in a larger chute, the trainee took his time and carefully aimed the 22 rifle for a shot. I noticed the animal did not go down, nor did it respond in any manner. The animal took two steps forward with its head down then looked at the trainee. A senior employee took the 22 rifle and immediately took a second shot, at which point the hog dropped in place without further movement. I inspected the animal and verified that it did not have rhythmic breathing and was rendered insensible with the second stun. I verbally notified the plant owner, Dustin Frey, of the non-compliance with the ineffective stunning of the animal. I inspected the head and discovered that the penetrating stun hole was outside the of the acceptable target zone for firearm stunning. The other penetrating stun hole was closer to the appropriate location but still outside the target zone. The (b) (6) , was contacted. There have been no other non-compliances for the same root cause within the last 90 days.	OPEN
M5622+P5622+V5622	Albion Locker	{A88A8E94-3F03-4FB3-B42F-88979F965E71}	XDH0910031708N-1	03/08/2021	04C02	Livestock Humane Handling	313.16(a)(1), 313.16(b)(1)(iii)	On March 8, 2021 at 9:38am, while performing a humane handling stunning effectiveness task, I observed a slaughter employee utilize a mag rifle to stun a beef in the stunning box. The stunning attempt did not immediately render the animal unconscious. The beef did not vocalize but did get a bloody nose. The employee lined up for a second shot, at which point the beef dropped in place without further movement. I inspected the animal and verified that it had no rhythmic breathing and had been rendered insensible with the second shot. Then, I inspected the head and discovered that one of penetrating stun was below the acceptable target zone for firearm stunning. Another penetrating stun was slightly above the target zone. I informed (b) (6) of the non-compliance due to ineffective stunning of an animal. The (b) (6) was contacted. There was a non-compliance documented for the same root cause on 3/4/21.	OPEN

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M20748+V20748	Sig International, Iowa, Inc.	{99DDF586-D971-4A99-9D57-EFE30E7DA45C}	ZSK0508024011N-1	02/10/2021	04C02	Livestock Humane Handling	313.15(a)(1), 313.15(b)(1)(iii)	At approximately 0935 hours, while observing HATS Category VIII – (b) (6), observed the following noncompliance: A disabled market hog was brought to the sticking area in a sled by an establishment employee and released from the sled. Another establishment employee attempted to stun the hog with a hand-held captive bolt (HHCB) device. The device was heard to engage, and the hog rolled to its side but then immediately righted itself into sternal recumbency, controlling its head movement as it looked around. The hog was conscious. The establishment employee sticking, and bleeding hogs reached down to stick the hog, but an establishment employee stopped him. This establishment employee reloaded the HHCB device and the hog was immediately and effectively stunned resulting in an unconscious hog. Ms. Wendy Soule, Establishment Manager, was in the area and was notified of the ineffective stun noncompliance. I notified Ms. Soule of the forthcoming noncompliance record for failure to meet regulations 9 CFR 313.15(a)(1) and 313.15(b)(iii). She provided verbal preventative measures. The skinned head was reviewed, and two separate distinct wounds were located approximately one inch above the cross section between the eyes. The (b) (6), observed the split head. One wound bolt entry was anterior to the brain cavity entering the nasal sinus. Another wound bolt entry entered the anterior portion of the brain cavity on the very edge of the brain.	CLOSED
M2934	Star Packing Co., Inc.	{1CC5F4BC-6F4F-4A1D-A649-7FEE0FA880E4}	LCA4710030401N-1	03/01/2021	04C02	Livestock Humane Handling	313.1	At or about 0958 while conducting a humane handling task I, (b) (6), did observe six veal calves standing and walking throughout and around a holding pen which also had hazards such as a pile of two by four boards with nails protruding through them thus exposed to the livestock. Also, in this pen were over a dozen two by four boards loosely leaning and lying throughout this pen in a hazardous disarray presenting danger for footing and other injury. I immediately notified owner Kadhem Alyasserli that this is noncompliance under 9CFR 313.1(a). Owner Kadhem A. immediately moved all the livestock into an adjoining pen and locked it so they may not reenter. For this reason a US Retain/Reject tag was not applied. After reviewing the past ninety days of NR's I conclude there is not a repeating root cause to this issue.	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M2936+P2936+V2936	Valley Oaks Meats, LLC	{E53F39E4-0B48-41DC-8759-F2D9B6ABFF02}	XOB1414025405N-1	02/05/2021	04C02	Livestock Humane Handling	313.15(a)(1), 313.15(a)(3)	<p>HATS Category VIII – Stunning Effectiveness On 2/5/21, at approximately 0940 hours, I, (b) (6), verbally notified Jake Huddleston, Plant Manager, of my decision to take regulatory control action to prevent the slaughter of animals. I advised Mr. Huddleston that I had contacted the District Office about enforcement actions due to an egregious humane handling noncompliance and that the District Office would be following up with a written suspension or NOIE letter to the establishment. I based my decision to take a regulatory control action on the following: At approximately 0925 hours, while performing HATS Category VIII – Stunning Effectiveness, I heard an establishment employee, that had entered the knock box to stun a hog, fire a handheld captive bolt stunning device. From my vantage point, I could see that the animal was still standing, and I observed blood coming from the animal's snout. The employee applied a second stun with the captive bolt to the animal and again, the animal remained standing, and I could see that it was fully alert and I observed more blood coming from the animal's snout and from behind its ear. The employee stated to another establishment employee that he had applied two stuns to the animal and that it was still conscious, and he needed help. The second employee retrieved a pistol (.40 caliber) and gave it to the employee performing the stunning, which he used to apply a third stun, which rendered the animal immediately unconscious. At this time, after contacting the SPHV and the DVMS for guidance, I placed U.S. Rejected tag #B22311474 to the knock box as regulatory control (the hog in question was the last animal being slaughtered on this date). It should be noted that after all hair had been removed from the animal's head, I observed two entry holes behind one ear and one entry hole in the forehead. This noncompliance represents a failure to meet the regulatory requirements of 9 CFR 313.15(a)(1) and 313.15(a)(3). The establishment does not currently have a Robust Systematic Approach to Humane Handling Plan. A review of NR history at this establishment revealed no recent noncompliance records with a similar cause.</p>	OPEN

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M2936+P2936+V2936	Valley Oaks Meats, LLC	{5E40552E-B50F-41DC-9D91-1FE B1844FA3B}	XOB5510 022110N -1	02/09/2021	04C02	Livestock Humane Handling	313.15(a)(1), 313.15(a)(3)	HATS Category VIII – Stunning Effectiveness On 2/9/21, at approximately 0805 hours, I, (b) (6), was on the slaughter floor verifying HATS Category VIII-Stunning Effectiveness, when I observed the following noncompliance: I heard an establishment employee, that had entered the knock box to stun a hog, fire a handheld captive bolt stunning device, then heard the animal vocalize loudly. I also observed that the animal was still standing. The employee immediately applied a second stun which rendered the animal unconscious. It should be noted that after all hair had been removed from the animal's head, I observed two entry holes along the center line of the forehead, approximately one inch apart. This noncompliance represents a failure to meet the regulatory requirements of 9 CFR 313.15(a)(1) and 313.15(a)(3) and I informed Plant Manager Jake Huddleston that a noncompliance record would be issued. The establishment does not currently have a Robust Systematic Approach to Humane Handling Plan. This noncompliance is being associated to another NR (XOB1414025405N/1), dated 2/5/21 for the similar cause of failure to produce immediate unconsciousness when stunning an animal. The preventative measures of employee training proved to be ineffective in this instance.	CLOSED
M2969+P2969+V2969	Swiss Processing Plant Inc.	{1FA96F29-9068-460F-A30E-ECB 206FE0763}	DEG230 9022001 N-1	02/01/2021	04C02	Livestock Humane Handling	313.15(a)(1), 313.15(a)(3)	On Monday February 1, 2021 at or about 0815 hours ^(m) , I notified me (b) (6) the establishment failed to successfully stun a lamb on the first attempt as per HATS Category VIII—Stunning Effectiveness. The captive bolt failed to render the lamb unconscious due to the placement. (b) (6) did not observe the animal after the initial stun attempt but he did observe the employee immediately apply the second stun using the alternate device which was successful in rendering the lamb unconscious. After investigation into the incident, the establishment employee skinned the head and determined the captive bolt round entered at an angle on the upper right side of the skull and exited the side of the skull. The employee indicated the lamb's head moved as the shot was being delivered. The establishment has failed to comply with 9 CFR 313.15(a)(1) and 9 CFR 313.15(a)(3). QA Manager was notified at 0840 hours of this noncompliance.	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M5536+P5536	Banner Creek, LLC	{2B0D7097-5095-42B6-A7F4-2FB221F99CE1}	DCI4409020217N-1	02/15/2021	04C02	Livestock Humane Handling	313.1	A. Category I - Inclement Weather (9 CFR 313.1 Livestock are slipping and falling due to icy floor conditions (9 CFR 313.1 (b) On February 15, 2021, I, SPHV Prillaman, rejected Pen 9A for use for livestock. At 0530 hrs. during ante mortem inspection, Pen 9A held approximately 90 sows for slaughter. I observed a pipe leaking water in Pen 9A. The pen floor had a circular area of ice, approximately 5 feet in diameter, about 8 feet from the front of the pen. I observed several sows walk across the ice, slipping excessively and splitting out on hind limbs. I rejected the pen for further use. Establishment personnel moved the sows out of Pen 9A and into the alley where the footing was adequate for livestock. I informed (b) (6) of the noncompliance.	CLOSED
M5777A	University of Missouri Meat Market	{64A89FE0-B502-427D-854A-BD173679C9DC}	VDO2913023824N-1	02/24/2021	04C02	Livestock Humane Handling	313.15(a)(1)	HATS Category VIII- Stunning Effectiveness On February 24, 2021 at approximately 0915 hours, the following noncompliance was observed by (b) (6): A plant employee attempted to stun a beef utilizing a handheld captive bolt device and failed to render it unconscious. The animal vocalized, took a step back, continued to stand and remained fully alert after the first stunning attempt. The employee immediately applied a second stun using the captive bolt device and successfully rendered the beef unconscious. The establishment manager was informed that a noncompliance would be issued. The establishment failed to comply with 9 CFR 313.15(a)(1).	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M10624	Arkansas Department of Corrections	{F1020D6E-E877 -4467-9879-7CC 28FBA87C7}	EAA2711 024009N -1	02/09/2021	04C02	Livestock Humane Handling	313.1	On the date of 02/09/2021 at the approximate time of 07:30 a.m. while I, (b) (6), was in the process of performing the verification of a Humane Handling task which included HATS Category IV- Handling During Ante Mortem Inspection and HATS Category VII - Observations for slips and falls, the following non compliance was observed: I observed 8 beef cows standing in sewage water (plant agri. manager Mr. Horner did confirm this was sewage water) that was approximately 8 inches deep. The above cited regulation states: Pens, floors, and driveways, including entrance and exits, are to be maintained in good repair. The observation of sewage water in the holding pens do not represent the pens in good repair or represent the pens in a sanitary condition. Establishments are to provide adequate footing in their livestock facilities. Water or sewage, which would measure approximately 8 inches deep does not provide adequate footing for livestock. Sewage disposal should be properly conveyed and not end up flooding livestock holding pens. Regulation 9 CFR 416.2(e)(2) Plumbing. Plumbing systems must be installed and maintained to: Properly convey sewage and liquid disposable waste from the establishment. Mr. Scott Horner-agri. plant manager was verbally informed of the failure to meet the above cited regulations. The above stated observations represent a failure to properly implement the procedures listed in your SOP Humane Slaughter plan. The following regulatory control action was implemented by USDA personnel: The animal holding pens were rejected by applying U.S. REJECTED tag NO. B 22621966 to the entrance of the holding pen entrance gate.	CLOSED

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M86E	Cargill Meat Solutions Corporation	{BA4465B5-97AE-4631-A8D3-87592C65AEE9}	UHM4511031927N-1	03/27/2021	04C02	Livestock Humane Handling	313.15(a)(1)	I M(b) (6) observed the following non-compliance under verification of HATS Category VIII on 3-27-21 at establishment 86E, Cargill Meat Solutions in Friona, TX. At approximately 0840 hours while verifying sanitary dressing procedures on the skinning line I heard bawling in the knocking area. When I arrived in that area, I observed a conscious steer on the incline belt below the knocking box. The steers left rear leg was between the incline belt and the bottom incline belt shield. The steers right front leg had an open transverse fracture mid metacarpal. The incline belt was running, and the knocker was continuing to knock cattle thus preventing the secondary knocker from approaching the steer and rendering it unconscious. I motioned for the knocker to cease knocking and the secondary knocker was able to stun the steer with a single blow. I took regulatory control and rejected the knocking box with US Retain/Reject Tag NO. B36250686 at approximately 0845 hours. I allowed the establishment to process the animals that had already been knocked. The establishment has a current written Robust Systematic Approach to Humane Handling. After the establishment management presented corrective actions to prevent a reoccurrence of the event in the future, I relinquished regulatory control of the knocking box at 0955 hours. The establishment has failed to comply with 9CFR 313.15(a).	OPEN
M7050+P7050	Ryan's Custom Meats	{C062EE91-AFF4-4AB7-96FF-0CDBDEB227F6}	SVK1715034222N-1	03/22/2021	04C02	Livestock Humane Handling	313.2	On 03/22/2021 HATS Category III: Water and Feed Availability 3CFR 313.2(2) While performing Anti-Mortem Inspection: HATS Category III Three Sheep ready for Anti-Mortem Inspection were being held in the Suspect Pen, were without access to water Plant Management Linda Swain was notified and was informed that a non-compliance would be issued Management immediately went and filled up the water This is a violation of 9 CFR 313.2(e) Which states in part "Animals shall have access to water in holding pens"	OPEN
M13276+P13276+V13276	Edes Custom Meats	{A56D21AF-D19D-434E-BAD0-70DA424D67A7}	AJA3413020311N-1	02/11/2021	04C02	Livestock Humane Handling	313.2	On 2-11-21 while performing Antemortem Inspection on the cattle that had been left overnight at Est. 13276 Edes Custom Meats, the following noncompliance was observed in Pen 3 of the Livestock holding pens. There were 3 cattle in Pen 3 which is clearly posted it is for holding two cattle only. I do not know how long the cattle had been at the establishment's holding pen. The cattle in Pen 3 were cramped and there was not any room for the cattle to lay down. I also observed that the cattle did not have access to any water as all the water troughs were frozen solid. Est. 13276 is not in compliance with 9CFR. 313.2(e).	CLOSED

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M13445+P13 445+V13445	Huse's Processing Inc.	{4BE1687C-F2B6 -473C-991B-8E6 29C49052A}	ABI4709 013107N -1	01/07/2021	04C02	Livestock Humane Handling	313.15(a)(1), 313.15(a)(3)	At approximately 0725 hours on 01/07/2020, while performing the stunning effectiveness and consciousness on the rail categories of the livestock humane animal handling task, I observed one of the sheep in the sticking area open and close its mouth, blink, and attempt to sit upright from laying on its right side. The plant employee who was working in the sticking area made no attempt to inform the foreman that the sheep was regaining consciousness, so I informed the foreman that the animal was regaining consciousness. The foreman got the captive bolt gun and stunned the animal again, effectively rendering it unconscious and insensible to pain. FSIS Directive 6900.2 Revision 3, dated 09/24/20, Chapter 1, Section V.B. states, "Egregious inhumane treatment: An egregious situation is an act or condition that results in severe harm to animals, for example: ... 5. Stunning of animals and then allowing them to regain consciousness". Since the sheep was stunned then regained consciousness, this was an egregious humane handling violation. The employee who was stunning the animals was not the employee who usually performs the stunning procedure, as the foreman almost always does it. I had not seen that employee perform the stunning procedure, which meets the definition set forth in subsection 7, part d of the above stated chapter in Directive 6900.2 Revision 3. Subsection 7, part d, states, "Multiple ineffective stun attempts (2 or more) that are due to one or more of the following establishment failures to properly handle or stun the animal: d. Poorly trained/untrained operator or inexperienced operator." The sheep regaining consciousness after being stunned is a noncompliance with 9 CFR 313.15(a)(1) and 313.15(a)(3). I informed (b) (6) of the egregious humane animal handling noncompliance (b) (6)	OPEN

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M19478	ABF Packing, Inc.	{60C658CB-9800-4529-BCBE-F3666EE06EC8}	AMH330 8020012 N-1	02/12/2021	04C02	Livestock Humane Handling	313.15 (b)(1)(i), 313.15(a)(1), 313.15(a)(3), 313.15(b)(1)(iii)	<p>On February 12, 2021, at approximately 0630 hours, (b) (6), was performing the humane handling verification task at establishment M19478. (b) (6) was verifying compliance of the HATS category IV, handling during ante mortem inspection. (b) (6) observed two yard employees moving an unrestrained dairy cow into the triangle restrainer pen that was inspected and passed ante-mortem inspection. The dairy cow was conscious, standing up right with rhythmic breathing and tracking eye movements. The dairy cow was bleeding from the back of her head behind the poll. Mr. (b) (6), stated that she was knocked in the back of her head with a captive bolt gun and it was not effective. He proceeded to go get the shot gun from the gun safe in the yard shack. After several minutes had passed, (b) (6) returned with the 410 shot gun and applied another stun attempt. The dairy cow was rendered immediately unconscious by the stun attempt and immediately dropped to the ground, displayed no tracking eye movements, rhythmic breathing or righting reflex. (b) (6) immediately notified (b) (6), of the situation that had transpired. After correlation with (b) (6), (b) (6) notified (b) (6) that a noncompliance record would be issued to document the inhuman handling. (b) (6) observed the skinned head of the dairy cow #30. There were 2 knock holes present and adjacent to each behind the poll of the dairy cow. Additionally, there was a 2 inch hole on the front of the forehead. This represents a failure to comply with 9 CFR 313.15(a)(1), 9 CFR 313.15(a)(3), 313.15(b)(1)(i), 313.15(b)(1)(iii), and 313.15(b)(1)(iv).</p>	CLOSED

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M7455+P7455+V7455	Williams Sausage Co., Inc.	{76897179-F034-4A74-9994-FA31400574A7}	UQD1608025715N-1	02/15/2021	04C02	Livestock Humane Handling	313.1, 313.2	HATS CATEGORY I, III, and IV While performing the Livestock Humane Handling Task at Williams Sausage M7455, On 2/15/21 at approximately 5:45 a.m., (b) (6) observed the following noncompliance while performing antemortem inspection: In the establishment hog pens, the mist sprayers were actively spraying over the hogs in pen 6 on arrival and had been spraying for some time based on the amount of ice buildup both in the pen and on the hogs. The temperature in the area over the weekend had been below freezing the entire time, and there were 2 deceased hogs in the pen with 98 total hogs. All hogs had ice on their hides, and there was ~1/2" thick ice covering the floor of the pen. The available water trough in the pen was also frozen. Additionally, all walkways in the holding area were covered with a 1/4"-1/2" thick coating of ice, and there were no available pens to which the hogs could be moved safely due to a thick, diffuse coating of ice in the 2 open pens. Lastly, there was a 10' x 6' x 2' pile of shoveled ice along the side wall of Pen 1 preventing safe unloading of additional animals (b) (6) immediately notified (b) (6), of the noncompliance with 9 CFR 313.1(b), 9 CFR 313.2(a), and 9 CFR 313.2(e), and applied US Reject Tag #B37786371 to the establishment holding pens. The establishment began performing their corrective actions of clearing the ice and making the pens suitable to hold animals safely.	CLOSED
M9065+P9065+V9065	Wamplers Farm Sausage	{8EA26042-DB66-4DD6-8E64-92115AFF60BD}	GHB0413013805N-1	01/05/2021	04C02	Livestock Humane Handling	313.2	HATS category IV (Handling during Antemortem Inspection)-At approximately 1100 hours while monitoring stunning effectiveness I observed the following: The employee performing the stunning stopped the V-belt restrainer with a hog halfway through the belt. He then reversed the motion of the V-belt restrainer to run backwards. Only the belt on the left side of the hog ran backwards. The belt on the right side of the hog did not run backwards but stayed stationary. This placed torque on the hog with the left belt running backwards but the right belt remaining stationary. I waved my hands and told the employee to stop the belt as this was causing undue excitement and stress on the hog with potential to cause injury and unnecessary pain. I placed U.S. Reject tag B-45235679 on the control panel for the V-belt restrainer and notified (b) (6) and (b) (6) of the noncompliance with 313.2(a),	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M9085+V9085	Snapps Ferry Packing Company	{B0BCDE67-5570-4322-A830-76D7407448A1}	QSF1411021024N-2	02/24/2021	04C02	Livestock Humane Handling	313.1, 313.2	HATS Category III-Water and Feed Availability. At approximately 11:00 am on 2/24/2021, I, (b) (6), observed the following non-compliances in the plant's livestock pens while performing ante-mortem inspection. Pens #2 and #3 contained cattle, but no water receptacles or water for the livestock was observed. This is a violation of 9 CFR 313.2(e).HATS Category VII-Slips and Falls. In the chute which leads to the knock box, there were several metal poles laying on the ground which livestock would have to step over and around to be led into the knocking pen. This is a violation of 9 CFR 313.1(a) and (b).I immediately tagged the pens with USDA Reject Tag #B17582784. Establishment owners Jason Southerland and Jeremy Southerland were notified of the non-compliances. Mr. Jason Southerland's immediate corrective actions were to remove the poles from the chute area and place tubs in the pens and fill them with water.	CLOSED
M9085+V9085	Snapps Ferry Packing Company	{AA79C9AD-17DC-47ED-BF95-B C2AAB760595}	QSF5407034001N-1	03/01/2021	04C02	Livestock Humane Handling	313.2	HATS Category III - Water and Feed Availability. On 3/01/21, at approximately 0805 hours while performing ante-mortem inspection at Snapps Ferry Packing Co. in Afton, TN, I, (b) (6), observed six hogs in Pen #3 did not have access to water. The water trough in the pen was observed to be empty. Jeremy Southerland, Owner, was notified of the noncompliance with 9 CFR 313.2(e).Immediate corrective actions were taken by the establishment to provide water.This noncompliance record is being linked to QSF1411021024N/2 dated 2/24/2021.	CLOSED
M9085+V9085	Snapps Ferry Packing Company	{414C82BF-0DD4-4ECF-9F24-18BB355EAD64}	QSF0508030115N-1	03/15/2021	04C02	Livestock Humane Handling	313.2	HATS Category III - Water and Feed Availability. On 3/15/21, at approximately 0833 hours while performing ante-mortem inspection at Snapps Ferry Packing Co. in Afton, TN, I, (b) (6), and (b) (6) observed two hogs in the pens that did not have access to water. The water trough in the pen was observed to be empty. Jason Southerland, Owner, was notified of the noncompliance with 9 CFR 313.2(e).Immediate corrective actions were taken by the establishment to provide water.This noncompliance record is being linked to QSF5407034001N/1 dated 3/1/2021.	CLOSED
M9085+V9085	Snapps Ferry Packing Company	{4E4C533B-847F-4EA5-9874-51A4633C7248}	QSF4110033725N-1	03/25/2021	04C02	Livestock Humane Handling	313.2	HATS Category III - Water and Feed Availability. On 3/25/21, at approximately 1015 hours while performing ante-mortem inspection at Snapps Ferry Packing Co. in Afton, TN, I, (b) (6) observed seven hogs in pen # 2 that did not have access to water. The water trough in the pen was observed to be empty. Jason Southerland, Owner, was notified of the noncompliance with 9 CFR 313.2(e).Immediate corrective actions were taken by the establishment to provide water.This noncompliance record is being linked to QSF0508030115N/1 dated 3/15/2021 and QSF5407034001N/1 dated 3/1/2021.	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M17301+P17301	Yoder Brothers Meat Processing	{59898D87-9161-448F-AEE0-6FB44FB32528}	YPK3410030522N-1	03/22/2021	04C02	Livestock Humane Handling	313.15(a)(1), 313.15(a)(3)	HATS Category VIII - Stunning Effectiveness - On March 22, 2021, at approximately 0850 hours, I, (b) (6) was performing a routine verification task for during Humane Handling. The following noncompliance was observed: An establishment employee moved a hog into the stunning box. A designated employee attempted to stun the animal using a captive bolt device. After administering the first shot, the animal went down, released a long breath, and immediately stood up. The designated employee reloaded the captive bolt device and administered a second shot, that was ineffective, exhibited by the animal remaining standing. The captive bolt device was reloaded for a third time and a third shot was administered that did not render the animal unconscious and insensible to pain, as the animal still remained standing. At that point, the establishment summoned an additional employee from processing who is specifically authorized to administer a gun shot. That employee immediately retrieved the firearm from its location, came to the slaughter floor, and dispensed a single shot which rendered the animal unconscious and insensible. The stunning box was tagged, and (b) (6) was notified of the noncompliance.	CLOSED
M86R	Cargill Meat Solutions	{70F7C97F-D69F-4B08-A2E7-FB437512FACC}	EHN2618011221N-1	01/21/2021	04C02	Livestock Humane Handling	313.15(a)(1)	HATS Category VIII: Stunning Effectiveness At approximately 5:58pm on Friday, February 26, 2021 while observing stunning effectiveness during a routine humane handling task, I, (b) (6) observed the following noncompliance. The plant employee was using the pneumatic captive bolt as the primary stunning device. I observed the employee apply the first stun attempt on a beef heifer or steer with the pneumatic captive bolt applied directly to the head of the animal. Following the first stun attempt, the bovine remained with its head upright and conscious with the head moving about, eyes tracking and blinking, and rhythmic breathing. The employee immediately stopped the conveyer and carefully realigned the captive bolt and applied the second stun attempt, which was effective at rendering the animal unconscious. The time in between stunning attempts was approximately 4 seconds. No additional stunning attempts were made. The animal remained unconscious during shackling, sticking, and bleeding. This is not in compliance with regulation 313.15(a)(1): Immediate unconsciousness after a captive bolt stun. (b) (6) and (b) (6) were notified of the noncompliance as was (b) (6). Denver District management was contacted through supervisory channels. This noncompliance is associated with NR# EHN2618011221N / 1 issued on 1/22/2021 for the same root cause.	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M86R	Cargill Meat Solutions	{EB907FD0-5C39-4247-8430-028313FD8B8C}	EHN4200021427N-1	02/26/2021	04C02	Livestock Humane Handling	313.15(a)(1)	<p>HATS Category VIII: Stunning Effectiveness At approximately 5:58pm on Friday, February 26, 2021 while observing stunning effectiveness during a routine humane handling task, I, (b) (6) observed the following noncompliance. The plant employee was using the pneumatic captive bolt as the primary stunning device. I observed the employee apply the first stun attempt on a beef heifer or steer with the pneumatic captive bolt applied directly to the head of the animal. Following the first stun attempt, the bovine remained standing and conscious with the head moving about, eyes tracking and blinking, and rhythmic breathing. The employee immediately stopped the conveyer and carefully realigned the captive bolt and applied the second stun attempt, which was effective at rendering the animal unconscious. The time in between stunning attempts was approximately 8 seconds. No additional stunning attempts were made. The animal remained unconscious during shackling, sticking, and bleeding. This is not in compliance with regulation 313.15(a)(1): Immediate unconsciousness after a captive bolt stun. (b) (6) and (b) (6) were notified of the noncompliance as was (b) (6). Denver District management was contacted through supervisory channels. This noncompliance is associated with NR# EHN2618011221N issued on 2/21/2021 for same root cause.</p>	OPEN

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M86R	Cargill Meat Solutions	{2A2AABA9-5A85-4749-AAF2-4CA0F896AD79}	EHN1718033820N-1	03/02/2021	04C02	Livestock Humane Handling	313.2	<p>*Note this noncompliance was originally issued as part of noncompliance #EHN2418030203N. It has been separated out at the request of district office and is no longer part of that noncompliance*On Tuesday, March 2, 2021, I, (b) (6) while performing a routine humane handling task observed the following noncompliance. HATS Category III: Water and Feed AvailabilityAt approximately 6:15pm on Tuesday, March 2, 2021 while observing the pens for water and feed availability during antemortem examination, Pen 20 was observed to be overcrowded and unable to move past each other to access water. The cattle were unable to move past each other or turn around even when the pen (b) (6) attempted to move them forward to allow several cattle laying at the A side gate (outer ally) that had other cattle standing over them to stand up. A large number of cattle within the pen were forced to rest their heads on the backs of other cattle. The cattle on the ground were starting to get stepped on when he attempted to shift them forward, causing pen (b) (6) to immediately open the gate and guide the outer standing cattle around those lying down to an area of the ally behind the gate. After getting the cattle standing, he extended out the pen, opening an area of the ally to the cattle to allow more freedom of movement.Upon further investigation, it was discovered that (b) (6) head were in the pen, with a posted limit of (b) (6) head per the plan of the establishment. The posted limits were confirmed as up to date with the establishment last week by (b) (6). The check in time for the pen card was labeled 3:09pm. No regulatory control action was taken due to observation of corrective actions. This is not in compliance with regulation 313.2(e). (b) (6) was verbally notified of the noncompliance as was (b) (6). Denver district management was contacted through supervisory channels. There have been no noncompliance records for the same root cause issued within the past 90 days.</p>	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M86R	Cargill Meat Solutions	{BA0550B7-D3B A-4E5D-80FA-E8 4B0FA2E939}	EHN2418 030203N -1	03/02/2021	04C02	Livestock Humane Handling	313.15(a)(1)	<p>On Tuesday, March 2, 2021, I, (b) (6) while performing a routine humane handling task observed the following noncompliance. HATS Category VIII: Stunning Effectiveness At approximately 9:22pm on Tuesday, March 2, 2021 while observing stunning effectiveness, I observed the following noncompliance. The plant employee was using the pneumatic captive bolt as the primary stunning device. I observed the employee apply the first stun attempt on a beef heifer or steer. The animal moved its head and the stunning attempt hit the skull forward of the right ear. Following the first stun attempt, the animal remained with its head upright and conscious with rhythmic breathing and eyes tracking. The employee immediately stopped the conveyer and carefully realigned the pneumatic captive bolt and applied the second stun attempt, which was effective at rendering the animal unconscious. A third "safety" stun was applied as per plant protocol. The animal remained unconscious during shackling, sticking, and bleeding. The duration between first and second stun was approximately 2 seconds. This is not in compliance with regulation 313.15(a)(1): Immediate unconsciousness by captive bolt. This was initially judged to not be an egregious noncompliance due immediate and effective corrective actions to render the animal unconscious, therefore no regulatory control actions were taken. (b) (6)</p> <p>(b) (6) was verbally notified of the noncompliance as was Denver District management was contacted through supervisory channels. This noncompliance is associated with NR# EHN4200021427N</p>	OPEN

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M86R	Cargill Meat Solutions	{0C4E45C0-C3D9-45CC-8F1A-5918E4D06115}	EHN5921030208N-1	03/08/2021	04C02	Livestock Humane Handling	313.15(a)(1)	<p>Humane Handling Routine Task: HATS Category VIII: Stunning Effectiveness At approximately 6:29pm on Monday, March 8, 2021 while observing stunning effectiveness, I, (b) (6), observed the following noncompliance. The plant employee was using the pneumatic captive bolt as the primary stunning device. I observed the employee apply the first stun attempt on a beef heifer or steer. Following the first stun attempt, the animal remained with its head upright and conscious, vocalized, and attempted to climb with its forelegs over the back of the animal forward of it. The employee immediately stopped the conveyer and carefully realigned the pneumatic captive bolt and applied the second stun attempt, which was effective at rendering the animal unconscious. The animal remained unconscious during shackling, sticking, and bleeding. The duration between first and second stun was approximately 3 seconds. This is not in compliance with regulation 313.15(a)(1): Immediate unconsciousness by captive bolt. This was initially judged to not be an egregious noncompliance due immediate and effective corrective actions to render the animal unconscious, therefore no regulatory control actions were taken. (b) (6) and (b) (6) as well as (b) (6) were verbally notified of the noncompliance as was (b) (6).</p> <p>Denver District management was contacted through supervisory channels. This noncompliance is associated with NR# EHN2418030203N from 3/2/21.</p>	OPEN

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M874+P1923 7+V874	Utah State University Meat Science Lab	{38717FDB-A600 -4D40-AF99-49F 709F2B001}	SHJ4415 013619N -1	01/19/2021	04C02	Livestock Humane Handling	313.30(a)(3)	<p>While performing the Livestock Humane handling task under the "HATS" category "Stunning effectiveness" at Establishment M874 Utah State University Meat Science Lab. I (b) (6) along with (b) (6) noticed the following Humane Handling noncompliance. At approximately 11:15am (b) (6) administered the electric shock to the sow behind the ears on the back of the head. The sow dropped to its side and then the wand was placed on the sow's chest behind the front leg to contact the heart in the knock box. As the electric wand was taken off the sow fell forward onto her head and neck without any vocalization or movement was not observed, but when the door to the knock box was opened it was observed that the sow blinked, and no other conscious behaviors were observed. (b) (6) immediately grabbed the pre-loaded captive bolt gun from the edge of the knock box, the sow had to be rolled over to reach her head to administer the knock correctly. The knock was then administered correctly and then was rendered unconscious at that time. The sow was then shackled and hoisted into the air for bleeding. I verbally informed (b) (6) that a non-egregious humane handling noncompliance would be issued for the mis stun of the sow. I (b) (6) along with (b) (6) observed the rest of stunning of the Hogs for the days slaughter activities and observed that all the animals were stunned correctly. The plant failed to comply with 9 CFR 313.30a(3). No tag was used, after reviewing the plants history no other noncompliance's were found with the same cause in the past 90 days.</p>	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M4975+P497 5	Dale T. Smith and Sons Meat Packing Company Inc	{D46452CB-E136 -4FAF-8D07-2E6 C4AF29672}	IYC3511 033529N -1	03/29/2021	04C02	Livestock Humane Handling	313.2	HATS Category II: Truck Unloading and HATS Category V: Handling of Suspects and Disabled On 3/29/21, at approximately 0556 hours, I observed the following while watching a load of cattle being offloaded from a semi-trailer. There were multiple non-ambulatory disabled (NAD) cattle in multiple areas of the trailer. During the process of euthanizing NAD animals and using a skid steer to drag them off, the trailer was pulled away from the unloading ramp. While one of the NAD animals was being prepared to be dragged off, after stunning, another NAD animal became ambulatory. The animal stood up and then proceeded to quickly make its way toward the rear door of the trailer. The skid steer bucket was in a raised position and in contact with the rear of the trailer. The animal proceeded to jump from the trailer, over the skid steer bucket, and onto the ground which was approximately three feet down. I observed the animal after it jumped off the truck and saw that it was an angus steer or heifer. I did not observe any visible signs of injury and the animal was able to ambulate normally. I notified (b) (6) of the noncompliance. There have been no other noncompliances for the same root cause within the last 90 days.	OPEN
M4975+P497 5	Dale T. Smith and Sons Meat Packing Company Inc	{5EE6398F-C25 C-4DD2-8FBC-1 9448255621C}	IYC0310 035531N -1	03/31/2021	04C02	Livestock Humane Handling	313.2	HATS Categories 3 and 4On 3/31/2021 at approximately 0515 hours, (b) (6) was viewing the cattle in the holding pens at rest for antemortem inspection when he identified the cattle in Pen 2 not having enough room to lie down. There were 12 head in the pen, 9 beef cows and 3 dairy cows, and none were lying down. These cattle were held in this pen overnight. (b) (6) applied retain tag B43736935 to Pen 2 for me to observe when I came into work, and he continued antemortem inspection. When I came outside at approximately 0545 hours, (b) (6) was finishing antemortem inspection when he notified me of his concern about overcrowding in pen 2, with the cattle not having room to lie down in the pen overnight. I first observed the cattle in the pen at rest, then (b) (6) moved the cattle in pen 2 around for me to observe them in motion. In motion, they could all access water if they used force to shove other cattle out of the way. I did not see any animals lying down in pen 2 and determined that there was not enough space for all cattle to lie down overnight. (b) (6) removed retain tag B43736935 at approximately 0600 hours. This is a noncompliance with 9 CFR 313.2(e). There have been no Humane Handling NR's or MOI's documented for this same root cause within the past 90 days.	OPEN

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M6004+P6004+V6004	Wolf Pack Meats / University of Nevada Reno	{11184184-F19A-475A-835B-954E707BAABA}	QDA3714023619N-1	02/18/2021	04C02	Livestock Humane Handling	313.1	On 2/18/21 at approximately 1300 hours, I, (b) (6), and (b) (6) observed the following non-compliance while performing as HATS task. Seven sections of fence in the pig/ lamb slaughter chute had broken welds on the supports exposing sharp edges. These areas are approximately 32 inches from the ground and could potentially cause injury or pain to animals. (b) (6) was shown the areas of concern. There was no evidence that these issues had caused harm to any animals on site. I searched the previous 90 days of NRs and found no NR with like or similar circumstances. § 313.1 Livestock pens, driveways and ramps. (a) Livestock pens, driveways and ramps shall be maintained in good repair. They shall be free from sharp or protruding objects which may, in the opinion of the inspector, cause injury or pain to the animals. Loose boards, splintered or broken planking, and unnecessary openings where the head, feet, or legs of an animal may be injured shall be repaired.	CLOSED
M6482	York Meats	{8166400E-02C1-4EA1-B95D-BAF8C2B08D30}	UPJ5713015020N-1	01/13/2021	04C02	Livestock Humane Handling	313.1	On January 13, 2021, at approximately 0816 hours, while performing HATS III: Water/Feed, the following non-compliance was identified. While walking around the pens outside to make sure all livestock had access to water, I observed with (b) (6) in the establishments "pen 3" that the animals did not have access to water. The water was frozen and had a thick sheet of ice that the animals would've been incapable of breaking through. I then proceeded to the other pens in the outside area and identified one other pen that had frozen water; however, the ice was not as thick and had the appearance of cracks in the ice. I informed Plant Owner, Mr. Lawrence Mori, Sr. of my findings and told him I would be documenting a noncompliance based on these observations. Mr. Mori then went outside and broke up the ice so the animals could access the water in the holding pens. This is a noncompliance with Title 9 CFR 313.1(e), which states "Animals shall have access to water in all holding pens and, if held longer than 24 hours, access to feed."	CLOSED

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M6482	York Meats	{EBA5F6C6-24C8-41A6-B6BA-66E766D21694}	UPJ5413013020N-1	01/13/2021	04C02	Livestock Humane Handling	313.16(a)(1)	<p>On January 13, 2021, at approximately 0855 hours while performing HATS VIII Stunning Effectiveness inspection on the kill floor at York Meats, I (b) (6) along with (b) (6) observed the following non-compliance. While observing plant employees on the kill floor, I heard a gunshot, 1st attempt knocking a heifer in the knock box, followed by loud vocalizations of the animal heard on the slaughter floor and banging sounds on metal knock box flip door, followed by a second gunshot, 2nd attempt to knock heifer in knock box. The second shot was fired approximately 5 seconds after the first shot. After hearing the first knock followed by animal vocalization, I went to the knock box, and observed that the second knock to the heifer rendered the animal unconscious. (b) (6) stated that two knocks were applied to the heifer. When the animal was brought onto the kill floor establishment employee, (b) (6) stated that the 1st knock attempt was with a 22 caliber handgun and the 2nd knock was conducted with the backup stunning device 45 caliber/410 gauge Rifle in order to render the animal unconscious. After removal of the hide from the head, there were two apparent knock holes in the head. I informed Mr. Lawrence Mori, Sr. that I would be documenting a noncompliance based on this incident. No U.S. retain tags were applied to the knock box due to the establishment taking immediate corrective actions. In reviewing the previous 90 days of noncompliance reports one was found (UPJ0015123702N). This is a noncompliance with 313.16(a)(1); "The firearms shall be employed in the delivery of a bullet or projectile into the animal in accordance with this section so as to produce immediate unconsciousness in the animal by a single shot before it is shackled, hoisted, thrown, cast, or cut. The animal shall be shot in such a manner that they will be rendered unconscious with a minimum of excitement and discomfort."</p>	CLOSED

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M6482	York Meats	{B36029D1-44DB-422A-AA5D-6BA13CFB5AC8}	UPJ2109024611N-1	02/10/2021	04C02	Livestock Humane Handling	313.16(a)(1)	<p>On February 10, 2021, at approximately 0615 hours while performing HATS VIII Stunning Effectiveness on the kill floor at York Meats, I observed the following non-compliance. While observing plant employees on the kill floor, I heard a gunshot, 1st attempt knocking the cow in the knock box, followed by loud vocalizations of the animal heard on the slaughter floor and banging sounds on metal knock box flip door, followed by a second gunshot, 2nd attempt to knock the cow in the knock box. The second shot was fired approximately 5-7 seconds after the first shot. After hearing the first knock followed by animal vocalization, I went to the knock box, and observed that the second gunshot to the animal rendered it unconscious. (b) (6) stated that two knocks were applied to the cow in order to properly stun the animal. I asked (b) (6) which firearms he used to perform stunning he told me the first shot was with the .357 which did not put the animal down (render it unconscious) so he followed up with the .410 shotgun in order to properly knock the cow. (b) (6) then explained to me that the animal turned its head as he was about to fire the initial knock, which caused the shot to slightly miss where he was aiming. He then informed me that at that time he grabbed the .410 rifle, in order to properly knock (render it unconscious) the animal. When the animal was brought onto the kill floor establishment employee, (b) (6) stated that the 1st knock attempt was with a .357 caliber handgun with jacketed hollow point ammunition and the 2nd knock was conducted with the backup stunning device which is a .410 gauge shotgun loaded with "slug" ammunition. After removal of the hide from the head, there were two gunshot wounds in the head. Mr. Mori Jr. stopped production until the plant filled out its "Mis Stun Log," then resumed once they had completed it and shown it to IPP. I informed Mr. Lawrence Mori Jr. that I would be documenting a noncompliance based on this incident. No U.S. retain tags were applied to the knock box due to the establishment taking immediate corrective actions. Upon reviewing the previous 90 days the following NRS were found and will be linked in PHIS: UPJ5413013020N and UPJ0015123702N This is a noncompliance with 313.16(a)(1); "The firearms shall be employed in the delivery of a bullet or projectile into the animal in accordance with this section so as to produce immediate unconsciousness in the animal by a single shot before it is shackled, hoisted, thrown, cast, or cut. The animal shall be shot in such a manner that they will be rendered unconscious with a minimum of excitement and discomfort."</p>	CLOSED

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M301+V301	Yosemite Valley Beef Packing Co., Inc.	{8235E92B-C72C-4CEA-90B3-0371565E895A}	TOH4515011518N-1	01/18/2021	04C02	Livestock Humane Handling	313.2	<p>On January 18, 2021 the following noncompliance was identified: At approximately 0645 hours, (b) (6) noncompliance under HATS: Category III-Water and Feed Availability while performing ante mortem inspection. There were approximately 10 cattle present in the lane in front of pens 1, 2, 3, 4 that did not have water available. These cattle were unloaded Sunday night January 17, 2021 or early morning on Monday January 18, 2021. (b) (6) notified (b) (6) . (b) (6) notified (b) (6) of the noncompliance with 9 CFR 313.2(e) as 10 animals did not have access to water. Immediate corrective action was taken by moving the cattle and making water available at approximately 0655 hours. The animals did not immediately start to drink water as soon as it was made available. (b) (6) was verbally informed that a noncompliance report would be documented for failure to meet the above regulatory requirement.</p>	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M400	Los Banos Abattoir	{9DCC034C-3C8A-47C1-AAAF-B CA0773E7970}	ZJG0617 023203N -1	02/03/2021	04C02	Livestock Humane Handling	313.15(a)(1)	<p>HATS VIII: Stunning EffectivenessAt approximately 1213 hours while performing postmortem inspection, (b) (6) heard the sound of the captive bolt firing, then the knock box gate swung open to drop the cow on the kill floor, and suddenly the cow was standing in the sticking area just beside the knock box. (b) (6) observed the cow being immediately re-stunned successfully within 30 seconds on the kill floor in the sticking area. At approximately 1215 hours, (b) (6) went into the USDA office to inform (b) (6) that there had been a conscious cow standing on the kill floor. (b) (6) arrived at the kill floor at approximately 1220 hours.] An examination of the head of the cow showed two holes, indicating that the cow had been shot twice, which differed from (b) (6) statement that the animal had not been stunned prior to standing in the sticking area. (b) (6) that the cow was only stunned once when on the kill floor. This would indicate that the cow received a knock in the box that was ineffective at rendering it unconscious throughout shackling and sticking as required by 9 CFR 313.15(a). (b) (6) discussed the matter with (b) (6) and (b) (6) and stated that this was a humane handling noncompliance, as the stunner had failed to realize that the first stun was not effective and rolled the cow onto the kill floor. (b) (6) rejected the knock box at approximately 1300 hours with U. S. Rejected tag B38163607, instructing (b) (6) and (b) (6) that they could complete slaughter of the animals that were already knocked and inside the kill floor. (b) (6) advised them that FSIS would require a formal response for corrective actions to prevent reoccurrence before the knock box was released. 9 CFR 313.15(a) requires that the captive bolt stunner shall be applied to the livestock so as to produce immediate unconsciousness in the animals, and that they shall be stunned in such a manner that they will be rendered unconscious with a minimum of excitement and discomfort. The delay in time between the first and second stun, and the fact that there was a conscious animal on the kill floor post-stunning indicates noncompliance with this regulation.</p>	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M400	Los Banos Abattoir	{61862EAC-5E60-45CD-9029-414B80167F6D}	ZJG4913034817N-1	03/17/2021	04C02	Livestock Humane Handling	313.15(a)(1)	<p>HATS VIII: Stunning Effectiveness At approximately 0900 hours on March 17, 2021, (b) (6) was performing ante-mortem inspection outside on the stunning platform of M400, the Los Banos Abattoir. When the second cow for the day was stunned with the captive bolt stunner, (b) (6) observed that the cow remained standing, blinking and she could hear it vocalizing. (b) (6) observed that the stunner reloaded the captive bolt gun before the second stun, which rendered it unconscious. Upon receiving the detailed description from (b) (6), at approximately 0915 the SPHV informed the (b) (6) that this was a humane handling violation, slaughter was to be discontinued, and applied U. S. Rejected tag B38163821 to the knocking box gate. Inspection of the skinned head of the cow involved showed a captive bolt hole in the correct location of the forehead, but the damage to the bone was in an oval/teardrop shape, and a probing instrument indicated that the path of the bolt was at an angle. The second captive bolt hole was at the base of the skull, directly into the brain stem. 9 CFR 313.15(a)(1) requires that the captive bolt stunner shall be applied to the livestock so as to produce immediate unconsciousness in the animals, and that they will be rendered unconscious with a minimum of excitement and discomfort. The incident described above is noncompliance with this regulation. It is noted by the SPHV that a similar violation of 9 CFR 313.15(a) occurred on February 3, 2021, due to a failed initial stun that resulted in the conscious cow at the bleeding area. NR ZJG0617023203N / 1 was issued for that noncompliance, and this NR will be linked to it for similar cause. Upon receiving corrective actions from M400 management, the SPHV informed (b) (6) that the knocking box tag would be removed, which was performed at approximately 1015 hours, and the plant resumed operations.</p>	CLOSED

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M400	Los Banos Abattoir	{4919ECA9-7F09-47CF-B067-A1A373F13E30}	ZJG2716032724N-1	03/24/2021	04C02	Livestock Humane Handling	313.15(a)(1)	<p>On Wednesday, March 24, 2021, at approximately 1235 hours, I, (b) (6), observed the following humane handling non-compliance. At approximately 1235 hours, while standing by the knock box performing HATS category VIII (Stunning Effectiveness), I observed a plant employee ineffectively stun a dairy cow in the knock box. The plant employee applied and discharged the captive bolt gun on the left side of the forehead of the dairy cow. The dairy cow remained standing in the knock box. Within approximately 5 seconds, the plant employee applied an effective captive bolt stun to the middle of the cow's forehead. The dairy cow immediately collapsed in the knock box and was unconscious. I then went inside and observed the cow's forehead before they shackled the cow. At that time, I could only see one knock hole in the middle of the forehead. The plant employee that stunned the cow told me that the first captive bolt gun did not discharge. I waited on the slaughter floor until the cow's head was skinned and removed. I then observed the skinned head of the dairy cow and confirmed that there were two knock holes on the forehead, so the first captive bolt gun did discharge and was an ineffective stun. The first knock hole was on the left side of the forehead approximately 2 inches from midline, and a probing instrument indicated that the path of the bolt was aimed slightly laterally away from the brain. I applied U.S. Reject Tag# B38163822 to the knock box to stop all further stunning. I informed (b) (6) of the ineffective stun and that I tagged the knock box. I also informed him of the forthcoming non-compliance. Captive bolt stunners must be applied to produce immediate unconsciousness. This is a non-compliance of the regulatory requirements of 9 CFR 313.15(a)(1). Similar violations of 9 CFR 313.15(a)(1) occurred on 3/17/21, documented in NR ZJG4913034817N/1, and on 2/3/21, documented in NR ZJG0617023203N/1. Both non-compliances were issued for an ineffective stun, and this non-compliance will be linked to them for similar cause.</p>	OPEN

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M4928+P4928	Islamic Meat & Poultry Co.	{CF2B6877-E4C9-41E3-99E0-4D21215B1490}	DTD5709023501N-1	01/29/2021	04C02	Livestock Humane Handling	313.1	<p>On 1/30/2021, from approximately 0830 to 1030 hours, I, (b) (6), inspected the pens and alleyways (HATS Category IV, Ante-mortem Inspection), during an odd-hour inspection. I observed a small piece of wire fence panel attached to the pen 5 gate (alleyway side of the gate). The small wire fence panel extended past the west end of the pen 5 gate, and the 5 wire ends of the panel had sharp pointed wire ends that extended outward creating a sharp object hazard for any animals that would move past/near the west end of the gate whenever the gate was opened. This was a noncompliance with Title 9 CFR 313.1(a). I applied U.S. Reject tag B45402611 to the pen 5 gate. No animals had access to the pen 5 gate sharp protruding wires at the time of inspection, and no animals showed any signs of injury that could have resulted from previous exposure to the sharp wires. I also observed a front corner (low end) of the wood unloading ramp positioned underneath the ante-mortem pen gate and into the pen space approximately 12-15 inches; the corner of the wooden unloading ramp protruded into the pen space created a significant trip hazard for any animals placed in the pen. This was a noncompliance with Title 9 CFR 313.1(a). I applied U.S. Reject tag B45402610 to the ante-mortem pen gate. No animals were in the antemortem pen and no animals had access to the trip hazard at time of inspection, and no animals showed any signs of injury that could have resulted from previous exposure to the trip hazard. (b) (6) was notified of the noncompliance.</p>	OPEN

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M4928+P4928	Islamic Meat & Poultry Co.	{C3463ADE-630F-4594-87F4-4EB32BDE3FEB}	DTD3416032617N-1	03/17/2021	04C02	Livestock Humane Handling	313.2	At approximately 1345 hours while monitoring conditions in the livestock holding pens, I observed the following noncompliance. In animal holding pen # 4 there were approximately 40 goats in the pen. These animals are held in the pen by the establishment for greater than 24 hours. There was little to no remaining unoccupied space the pen. The number of animals in the pen made it difficult for an individual animal to move freely in the pen or reach the one water source. Additionally, the animal density was such that it would not have been possible for all animals to lie down at the same time. I found the alley way and the back pens to contain approximately 200 lambs with the only available water being in the back pens making it difficult for all of them to gain access. With taking a quick head count I found the holding pens on the official premises to contain approximately 480 head of sheep and goats. The number of animals exceeds what the facility can store to meet the requirements of CFR 313.2(e). 9CFR 313.2(e), which states in part: animals shall have access to water in all holding pens and, if held longer than 24 hours, access to feed. There shall be sufficient room in the holding pen for animals held overnight to lie down. I show (b) (6) my findings and informed him of the forth coming non-compliance record. (b) (6) moved some animals around to give them more spacing and better access to water.	OPEN
M4969+P4969	J J Meat Co.	{F6C02C48-0BE9-4EA4-96AC-D1876F7E91EC}	JCO3017035615N-1	03/11/2021	04C02	Livestock Humane Handling	313.1, 313.2	At approximately 1445 while conducting HAT's tasks on goats I heard goats crying in distress. Upon going to the area where the goats were crying. I observed several goats jammed together on the scale area of the livestock pens. I could not see the goats that were crying as they had fallen under the other goats in the scale area. Establishment employee (b) (6) who is responsible for the moving and stunning of the goats started pulling goats forward into the alley in front of the scale, until he was able to get the majority of the goats off the 2 goats in distress. I immediately stopped the stunning procedures and informed the PHV and FLS of the situation. Upon conferral with them and the fact that no animals appeared injured the establishment was informed of the noncompliance and the forth coming NR. After corrective actions and Preventive measures were given the establishment was allowed to return to slaughtering animals. This noncompliance does not meet the requirements set forth in block 6 of this NR in that, the establishment failed to handle livestock in a manner to prevent injurious harm or pain to occur during driving or handling of such animal or animals.	OPEN

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M934	Charlie DiMaria & Sons	{83A47B44-2E88-4EEA-BCB6-08A88BEBD7D5}	VMH2410021802 N-1	02/02/2021	04C02	Livestock Humane Handling	313.1	At approximately 0700 hours, I, (b) (6), observed the following noncompliance while performing a Livestock Humane Handling Task HATs Category II Truck Unloading: The base at the ramp had a 2 feet metal rail that was no longer adhered (raised) to the ground creating 4 areas of sharp edges at every ~4 inches. This is an area of where a majority of the cattle step on to turn right. According to 9 CFR 313.1(a), "Livestock pens, driveways and ramps shall be maintained in good repair. They shall be free from sharp or protruding objects which may, in the opinion of the inspector, cause injury or pain to the animals. Loose boards, splintered or broken planking, and unnecessary openings where the head, feet, or legs of an animal may be injured shall be repaired." (b) (6), was notified of the noncompliance. This noncompliance record will be linked to VMH0618121123N and VMH4209113430N.	CLOSED
M934	Charlie DiMaria & Sons	{8B46CDF5-9C9A-43EE-AD72-A091B8D925C}	VMH2814020203 N-1	02/03/2021	04C02	Livestock Humane Handling	313.1	At approximately 1130 hours, I, (b) (6), while performing a Livestock Humane Handling Task HATs Category IV Antemortem Inspection (Facilities) observed the following noncompliance: In Pen 10, at the location of the feeding trough, a ~5 foot wooden beam was split diagonally full length into two pieces, one piece was adhered to the beam perpendicular to it, and the other piece was attached to the beam with the other end into the feeding trough. Pens, driveways, and ramps must be maintained in good repair. Loose boards, splintered or broken planks, broken pipe rails, broken unloading ramps, and unnecessary openings where the head, feet, or legs of an animal may be injured must be repaired. Todd Manning, Plant Manager, and (b) (6), was notified of the noncompliance. This NR will be linked to VMH2410021802N, VMH0618121123N, and VMH4209113430N.	CLOSED
M934	Charlie DiMaria & Sons	{9DC4B1F4-8702-4237-84A7-AD4079ECB1F8}	VMH5310024408 N-1	02/08/2021	04C02	Livestock Humane Handling	313.1	On February 8th, 2021, at approximately 0720 hours, I, (b) (6), observed the following noncompliance while performing a Livestock Humane Handling Task: In the serpentine leading to the knock box, there were 2 metal rods with jagged edges projecting approximately 1 inch from the ground, no longer encased in cement. The projecting metal rods with jagged edges may cause harm if stepped on by cows. Regulatory control action was taken place with a U.S. Rejected tag No. B45702997. (b) (6) was notified of the noncompliance. Livestock pens, driveways, and ramps shall be maintained in good repair. They shall be free from sharp or protruding objects which may cause injury or pain to the animals.	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M454+P4988 +V454	Owyhee Meat Company	{870CA0AF-5B8 B-4E64-9622-465 9C1D34D08}	HKD3208 024505N -1	02/05/2021	04C02	Livestock Humane Handling	313.2	HATS Category III: Water and Feed AvailabilityAt 0700 hours on 02/05/2021, (b) (6) performed ante-mortem inspection for animals presented for slaughter at M454 Owyhee Meat Company. Seven young cattle, which were assessed by (b) (6) during ante-mortem inspection on 02/04/2021 at 0700 hours, were included in the group of animals to be assessed on 02/05/2021. (b) (6) inquired if these animals had been fed and the operator replied that the animals had not. (b) (6) was verbally notified of the impending non-compliance record.The cattle were first in the slaughter line-up on 02/50/2021 and were all slaughtered by approximately 0830. There has not been a non-compliance issued for the same root cause at M454 in the last 90 days.	CLOSED
M6423+P642 3+V6423	Walt's Wholesale Meats, Inc.	{0DB2AE17-4395 -4CD0-87D2-760 42DB6C7C9}	MFO251 2011418 N-1	01/16/2021	04C02	Livestock Humane Handling	313.15(a)(2), 313.2	At approximately 1142 hours, On January 16, 2021. While performing antemortem inspection from the AM box I (b) (6) observed four black beef cattle (angus) being driven to the knock box loading chute. The cattle were upset and refusing to go up into the chute and had turned back toward the pens. I then observed a plant employee start jabbing the cattle with the electric prod. The employee was intensely jabbing the cattle in the face several times (more than 5 times). I told the employee to stop what he was doing and notified (b) (6). I then notified my (b) (6) and Mr. Rafael Gomez (Plant Manager). Mr. Gomez was verbally notified that an NR would be issued and that a MOI would be written. This is a violation of the above listed 9CFR regulations, and the HATS Category VI Electric Prodding/ Alternative object. The plant implemented their corrective action and retraining of the employee. A review of the company's NR history shows no similar noncompliance with the same root cause within the last 90 days. Continued failure to meet these Regulatory requirements could result in additional Regulatory or Administrative action.	CLOSED

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M9228+P9228+V9228	Carlton Packing Company	{11A188C0-B7B A-4ED5-83B1-C D6180F5966C}	YQB3514 033702N -1	03/02/2021	04C02	Livestock Humane Handling	313.15(a)(1)	<p>HATS Category VIII: Stunning Effectiveness At approximately 0620 hours: A Carlton Farms employee informed Food Inspector Burge and Supervisory Consumer Safety Inspector (SCSI) Puikunas of a hog which stopped walking while in the alley to the electrical stun area. 0621 hours: (b) (6) accompanies Mr. Kuhnly-Rodgers to the alley where hog is immobile and resting on hind quarters (i.e., in a dog sitting position). (b) (6) observes that the hog is alert, breathing normal, aware of its surroundings and allows the establishment to perform a "Knock and Drag". 0623 hours: (b) (6) arrived with a blue hand-held captive bolt device and without any restraint administered the first stun attempt to the hog, (b) (6) heard vocal sounds from the hog and observed the animal remain in a sitting upright position with the head upright and moving in lateral directions looking at surroundings with eyes tracking. (b) (6) immediately vacated the alleyway where the hog was being stunned and goes into the designated stun area, approximately 20 feet away, to retrieve the green hand-held captive bolt device. 0624 hours: (b) (6) returned and administered the second stun attempt. (b) (6) observes that the second stun is ineffective. FI Burge observes the hog vocalize for a few seconds, head remains upright and moving laterally, observing its surroundings with eyes tracking and remaining in the sitting position. A few seconds later vocalization stops but animal remains conscious with head moving occasionally. 0625 hours: (b) (6) asks (b) (6) where the back-up gun is? (b) (6) states this is the back-up gun. At approximately 0627 hours: (b) (6) goes from the pen alley to the employee break room and sees (b) (6) emerging from his office with fresh captive bolt device shells. At approximately 0628 hours: (b) (6) observed (b) (6) re-load one of the hand-held captive bolt devices and administers an effective stun application which renders the animal unconscious. During this time (b) (6) had gone to the USDA office and informed (b) (6) of the incident. (b) (6) asks (b) (6) to immediately note the time and write down her observations of the incident, including location, names of individuals present and time frames. At approximately 0630 hours: (b) (6) informs (b) (6) that the scalding tank will be placed under regulatory control with U.S. Reject tag B35731564. (b) (6) asks if he can run out the heated hogs and eviscerate them so that there are no issues with quality. (b) (6) allows the evisceration of 15 hogs hanging on the rail and asks that no other hogs be killed. At approximately 0635 hours: (b) (6) has a meeting with</p>	(b) (6)

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
								(b) (6) and discusses the facts of the incident. (b) (6) and (b) (6) state they believe the cause of the ineffective captive bolt applications is wet shells. (b) (6) states he is going to type up (b) (6) observations and submit them to Denver District Office through supervisory personnel (b) (6) observed the dressed head and verified accurate placement and penetration of the stunning attempt. There have been no noncompliance record of the same root cause issued in the past 90 days.	
M9228+P9228+V9228	Carlton Packing Company	{B6640C94-A1FE-4F26-AFA8-E745D2AF2B9F}	YQB1408032324N-1	03/23/2021	04C02	Livestock Humane Handling	313.15(a)(1)	HATS Category VIII - Stunning Effectiveness On 03/23/2021 while performing the 30-Day Verification Plan (VP) visit at approximately 1430 hours, I, (b) (6) observed the establishment attempt to stun a non-ambulatory swine in the pens with a hand-held captive bolt (HHCB) device. When I arrived at holding pen #17, establishment personnel already had two HHCB devices and additional ammunition in a watertight container readily available. I observed the stun operator apply the first stun attempt with the HHCB device to a non-ambulatory swine which was in sternal recumbency. Following the ineffective stun attempt, I observed the animal remain conscious, vocalize repeatedly and attempt to drag itself away from the stun operator utilizing its front limbs while trying to stand with its hindlimbs. I observed the stun operator immediately retrieved the backup HHCB device and apply a second stun attempt within approximately seven seconds. I observed the second stun to be effective at rendering the animal insensible. I observed the stun operator and establishment trainee verify insensibility by checking the animal for a corneal reflex. I observed the animal remained unconscious while being dragged to the slaughter floor for shackling, hoisting and bleeding. I verbally notified the slaughter floor supervisor that I would be documenting a noncompliance. A regulatory control action was not taken as immediate and effective corrective actions were observed. The Denver District Office was contacted. Upon review of the dressed and split head, I observed two stun holes had penetrated the skull. Both penetrating holes appeared to be within the appropriate anatomical location, however the more rostral of the two penetrating holes did not penetrate through the sinus bone to the brain. This noncompliance is being associated NR # YQB3514033702N-1 documented on 3/2/2021 for same root cause.	OPEN

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M11032+P11032+V11032	Northwest Premium Meats, LLC	{B91A45CC-1D0B-488A-8685-CC154E6C738F}	AOC2313012606N-1	01/06/2021	04C02	Livestock Humane Handling	313.15(a)(1)	HATS Category VIII - Stunning Effectiveness On 01/06/2021 at approximately 0715 hours, I, (b) (6) observed the establishment attempt to stun a bovine. I had observed the stun operator calmly move the steer from the pens, through the chute and into the stun box. The animal was vocalizing while in the stun box, but I did not observe an underlying cause for the vocalization. The initial stun applied with the hand-held captive bolt (HHCB) device was ineffective as the animal remained standing and was attempting to turn around inside the stun box; eyes were tracking; and the animal exhibited rhythmic breathing. I observed blood in the fur around the penetrating stun hole on the front of the skull and blood dripping from both nostrils. There were no signs of distress or any further vocalization. The establishment stun operator immediately reloaded the HHCB device and applied a second stun attempt within approximately sixty seconds. The second stun was immediately effective, and the animal remained unconscious throughout the shackling, hoisting and bleeding process. A regulatory control action was not taken as immediate and effective corrective actions were observed and this was the last bovine being slaughtered for the day. The Denver District Office was contacted. Establishment management was verbally notified of the noncompliance. Upon review of the dressed head, I observed two stun holes had penetrated the skull. Both penetrating holes appeared to be within the appropriate anatomical location, however the more dorsal of the two penetrating holes did not have an acceptable trajectory. The establishment is currently under a Humane Handling Verification Plan due to multiple ineffective stunning attempts.	CLOSED
M11032+P11032+V11032	Northwest Premium Meats, LLC	{8DD54BDB-193E-4A25-B062-3BF050664F00}	AOC4112020103N-1	02/03/2021	04C02	Livestock Humane Handling	313.2	HATS Category III: Water and Feed Availability At approximately 1010 on 02/03/2021, (b) (6) observed two pigs in a holding pen at Northwest Premium Meats M11032. The two pigs had arrived onsite at approximately 0935 on 02/02/2021. The establishment had not offered the pigs feed since their arrival onsite. (b) (6) was verbally notified of the impending non-compliance record. The pigs were slaughtered at approximately 1100. The establishment did not have available feed onsite for the pigs and would not have been able to acquire and feed the animals before their scheduled slaughter time. There has not been a non-compliance for the same root cause at M11032 in the last 90 days.	OPEN

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M11032+P11032+V11032	Northwest Premium Meats, LLC	{3C88D4F4-2B3F-4384-92CA-BC299CE11DC1}	AOC0709024725N-1	02/24/2021	04C02	Livestock Humane Handling	313.16(a)(1)	HATS Category VIII: Stunning Effectiveness On 2/24/21 at approximately 0930, I, (b) (6) was verifying HATS Category VIII, stunning effectiveness. I observed the stun operator move a sow through the chute and into the stun box. The stun operator applied the first stun using a .22 firearm. After the first stun was applied, the sow went down but was still rhythmically breathing. I observed about 5 to 6 breaths in succession, as well as blinking. I was also able to observe the sow's eyes tracking movement. The stun operator immediately reloaded and applied a second stun attempt with the .22 firearm. Following the second stun attempt, the sow stood back up and shook its head side to side. The stun operator immediately retrieved the backup .308 rifle and applied a third stun. The third stun with the .308 rifle successfully rendered the sow unconscious. I informed (b) (6) of the non-compliance. Regulatory control was taken by placing US Reject Tag B35205715 at the stunning area. Denver District Management was contacted through supervisory channels. The dressed head was examined, and three penetrating stun holes were observed.	CLOSED

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M11032+P11032+V11032	Northwest Premium Meats, LLC	{E41F3258-BB8D-4691-9EC8-064E9D2C9CA0}	AOC5509030304N-1	03/03/2021	04C02	Livestock Humane Handling	313.16(a)(1)	<p>HATS Category VIII: Stunning Effectiveness On 3/3/2021, at approximately 1142, (b) (6) observed the following non-compliance. The stun operator loaded two market hogs into the stunning area at Northwest Premium Meats M11032. The stunning area for swine is a 5'x5' area, enclosed by the concrete wall of the building on the west side, the concrete wall of the stun box on the south side, a solid metal door of the stun box on the east side, and a metal "hog panel" gate approximately 3 feet tall on the north side. The stun operator effectively electrically stunned the first hog, and while retrieving the shackles to hoist this hog, the second hog within the stunning area jumped over the metal gate. The second hog appeared agitated when entering the stunning area and did run into the gate once before the jump. The hog moved through the kill floor and into a separate gut buggy wash and utility room. The first stun operator applied the first stun attempt using a .22 magnum rifle, at an approximate distance of six feet, through the forehead of the hog. The hog dropped to the floor with its head and neck extended and upright. I observed the hog blinking and turning its head and looking at my hand as I waved it to the side of its head. The second stun operator applied a second stun attempt, at a distance of approximately one inch and less than 10 seconds after the first stun attempt, using the .22 magnum rifle through the forehead and the hog was confirmed unconscious. The head of the affected hog was removed and skinned. There was a penetrating hole through the most dorsal aspect of the skull. A second penetrating hole was observed on midline approximately 2 inches above the eyes. (b) (6) was outside the facility during the event and heard both stun attempts with the firearm less than 10 seconds apart. This noncompliance was shared with the Denver District Management team through supervisory channels. The incident was discussed with (b) (6) and he was verbally notified of the impending non-compliance report. This noncompliance is being associated with NR#AOC0709024725N issued on 2/24/2021 for same root cause.</p>	CLOSED

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M11032+P11032+V11032	Northwest Premium Meats, LLC	{461D716F-B2A1-46CC-A51B-E9D4D6E2B907}	AOC3213033309N-1	03/09/2021	04C02	Livestock Humane Handling	313.2(f)	HATS Category VIII: Stunning effectiveness At approximately 11:30 am, I (b) (6) observed three hogs moved into the stunning area. The stun operator went to stun the first hog utilizing electrical current. The stun operator applied the first stun attempt behind the ears on the head. I observed the hog then fall over on its side away from the stun operator causing the stun operator to lose contact between the electrical stunner and the hog. I observed the hog laying on its side and vocalizing loudly until the second stun attempt was applied successfully behind the ears on the head about 3 seconds later. The animal was rendered unconscious and the stun operator applied a security stun to the chest. I verbally notified M ^{(b) (6)} of the impending noncompliance. The Denver District Management Team was contacted via supervisory channels. This noncompliance is being associated with NR#AOC5509030304N issued on 3/3/2020 for same root cause.	CLOSED
M12455+V12455	Sanchez Slaughterhouse	{29FC2C48-BAA2-4430-BA71-6C8CBD2EF569}	SCG2216035003N-1	02/20/2021	04C02	Livestock Humane Handling	313.16(a)(1), 313.16(a)(3), 313.16(b)(1)(i), 313.16(b)(2), 313.2(f)	On February 20, 2021 at approximately 0530, I (b) (6), while performing Livestock Humane Handling task, noted a deficiency. While I was waiting for the next carcass to come out of the stun box. I heard 1 shot and the animal was not unconscious. I then heard a second shot and still the animal was alive. At this time, I went to the stun box and demanded to put the animal down now. I was asking the stunner what happened, and he could not speak English. While I was talking to stunner and went to the other side, I had noticed the sheep was chained up and already split and beheaded. I asked where the head went, and I was told it's out back and already gone. I notified (b) (6) to continue to slaughter the last 2. You need to correct this stunning issue. I was told this stunner is one of their best stunners and usually uses a flashlight since the stunning box area is dark and hard to see. IPP noticed there was a flashlight there but not being used when I came to observe the 3rd shot. (b) (6) had told me that this sheep came down from the mountains. (b) (6) that normally they do us a flashlight and has talked with the employee and would watch each kill of the 2 pigs left. To ensure it does not happen again. I notified (b) (6) that there is noncompliance with 9 CFR 313.2 (f), 313.16(a)(1), 313.16(a)(3), 313.16(b)(1)(i), 313.16(b)(2) and the issuance of the noncompliance report. IPP also notified (b) (6) who ended up showing up just as the 2 final pigs were slaughter and put away about 0645. I explained what happened and the issuance of the noncompliance report.	OPEN

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M18957	Ralphs Ranches, Inc.	{97659862-01F8-4056-9942-A02C0CE9719B}	CWC2308010620N-1	01/19/2021	04C02	Livestock Humane Handling	313.2	<p>January 19, 2021, while performing a FSIS Ante-Mortem inspection, (b) (6) observed a Humane Handling noncompliance: At approximately 0705 hours, (b) (6) observed three of the pens that contained livestock destined for slaughter, the water in the stock tanks were frozen solid thus, the animals could not have access to drinking water. (b) (6) immediately notified (b) (6) of the issue.</p> <p>Plant corrective action by breaking the frozen solid water were implemented. (b) (6) was notified of the noncompliance and the forthcoming NR. The establishment failed to comply with 9 CFR 313.2 (2)(e) Animals shall have access to water in all holding pens and, if held longer than 24 hours, access to feed. There shall be sufficient room in the holding pen for animals held overnight to lie down. No similar noncompliance report with the same root cause within the last 30 days to associate it with.</p>	OPEN

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M1311	JBS Souderton, Inc.	{242BC204-DA2D-440B-8107-114660999998}	KID3116010412N-1	01/12/2021	04C02	Livestock Humane Handling	313.15(a)(1), 313.15(a)(2)	<p>On 01/12/2021 at approximately 1407 hours operations had temporarily ceased for a separate concern on the slaughter floor when a large hydraulic line ruptured at the base of the knock box resulting with the stun box restrainer minimally operable. There was one animal on belly band and another animal in queue near the descending stun area that was poised to descend into the conveyor position. The second animal could move forward pressing onto the first animal and also back up to a standing position. The (b) (6), was on the shackle floor addressing the hydraulic failure when I, (b) (6), called his attention to the second animal as it had descended on top of the first animal. Upon coaxing the animal to back up away from the stunning area, the back gate was opened and a plant employee drove a third animal into the area, effectively pushing the third animal onto the second animal which was now on top of the first animal on the belly conveyor. Attempts to back this third animal up with an air wand agitated it enough to the point of vocalization and frantic struggling. I then observed the animal struggling because its left front hoof became entrapped in the stun box squeeze gate. I immediately advised the supervisor to stop trying to move the animal. At this point, a small amount of hydraulic control returned and the supervisor told the stunning operator to open the restrainers to see if the animal could free itself, the operator moved the doors the wrong direction effectively closing the doors harder on the entrapped foot and all hydraulic pressure had been expended and was unable to remove the added pressure on the leg, resulting in increased and persistent struggling and vocalization. The first two animals were effectively stunned and pulled out of the situation. The barn supervisor applied a hand-held captive bolt stun, while reaching over the side of the metal panel, to the trapped animal which was ineffective. The animal vocalized, had rhythmic breathing, moved its head, and blinked after the first attempt. I immediately informed him the animal was still conscious and directed the supervisor to apply an additional stun attempt. He applied the second attempt which was ineffective due to the animal vocalizing, blinking, and rhythmic breathing with bloody nasal discharge moving in and out of its nostrils with each breath. I immediately told the supervisor the animal was still conscious to which he disagreed and did not attempt a third knock. His rationale was that the stun had to be effective because he shot in "the same hole" and he left the stun box area. A plant employee who was previously performing routine stunning procedures performed another stun. The employee utilized the belly conveyor and applied a captive bolt third stun which was effective. Regulatory control action was taken and tag #B-45450249 was applied to the stun box. Other personnel present and informed of the situation were (b) (6), (b) (6), and Adrian</p>	OPEN

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
								Ramos (General Manager). This is the establishment's failure to comply with the regulations of 9 CFR 313.15(a)(1) and 313.15(a)(2).	
M1311	JBS Souderton, Inc.	{AE08062A-F5A2-4465-A55D-3B4728BDA115}	KID5108034108N-1	03/08/2021	04C02	Livestock Humane Handling	313.1	This morning (3/8/21), while performing Ante-mortem inspection, I (b) (6) observed the following noncompliance. Steer were moving independently from Pen 8 through the gate and into the alleyway to return to Pen 16. I observed several steer slip and fall to their knees on the smooth section of concrete flooring directly at the exit of the pen. Steer immediately rose and continued forward. No evidence of any injury was noted. Pen 8 and adjoining Pen 9 was immediately rejected with US REJECTED Tag B-45 449308. (b) (6) was notified verbally and in writing with this Noncompliance Record of the regulatory control action as a result of the establishments failure to comply with Code of Federal Regulation Part 9 Section 313.1(b).	CLOSED

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M1311	JBS Souderton, Inc.	{D1BCF7B4-0B11-436E-9056-F5BA7EACC3D2}	KID4515035730N-1	03/30/2021	04C02	Livestock Humane Handling	313.2	<p>HATS Category IV – Antemortem Inspection On March 30th at around 1017am I, (b) (6), was performing observations of employees moving cattle in the crowd pen and serpentine area. Unusual and loud noises at the serpentine area caused me to go over and focus my attention there. I saw an animal already down in lateral recumbency and struggling at the mouth of the serpentine. Animals were trampling its body and tripping over it. I immediately called loudly to the serpentine and crowd pen operators to stop moving the animals, as it appeared that they were unaware of the situation. It took a bit, but the downed animal righted itself and stood up, apparently unharmed. I spoke to the crowd pen operator and reminded him to pay attention and stop moving animals when one went down. I looked for a supervisor to express my concerns, but he was not in the barn at that time. Less than 3 minutes later I was observing the same spot and the same operators. An animal went down in the circle and was being trampled by the very large group of other animals that were being pushed too fast over it by the same crowd pen operator I had just spoken to. The downed animal struggled and was trampled by several animals before my loud calls to the operator stopped the herding activity. As the other animals settled down, the downed animal righted itself and appeared unharmed. Momentarily, the (b) (6), appeared at the serpentine and I informed him of the situation. Also informed and notified of the noncompliance and the establishment's failure to comply with the regulatory requirements of 9CFR 313.2(a) as they came out to the barn were (b) (6), (b) (6), and (b) (6). Moving animals into the serpentine was temporarily halted. No corrective actions were discussed in my presence, but management talked with the operators and then restored operations.</p>	OPEN
M4763+P4763	P&N Packing Inc.	{5437C1A1-3381-40A2-BD76-47BD1649022D}	UVF2913014304N-1	01/04/2021	04C02	Livestock Humane Handling	313.2	<p>On January 4, 2021 at approximately 0920hrs, while performing a Humane Handling Inspection task at establishment 4763, I observed the following non-compliance: Due to harsh weather conditions, Est. 4763 did not begin unloading calves until 0920 hrs. As the animals were finished being unloaded from the first trailer, I observed, in Pen #1, the calves walking up to the water bucket attempting to drink and not getting any water. I notified the nearest herdsman that Pen #1 was without water. The employee took immediate corrective action and filled the water bucket. At this time, I informed (b) (6) of this non-compliance and the violation to CFR 313.2(e). CFR313.2(e) states that all animals will have access to water at all times.</p>	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M8615+P8615	Musselman's Meats LLC	{FB2DBEEF-3055-4524-A1B9-96B16B65ABAA}	KAG0606031217N-1	03/17/2021	04C02	Livestock Humane Handling	313.15(a)(2)	HATS Category VI- Electric Prod/Alternative Object UseOn 3/17/2021 at approximately 0655 hours while performing HATS Category VI Electric Prod/Alternative Object Use, SPHV Dr. Bianchi and I observed the following humane handling noncompliance in the holding pen. We observed (b) (6) repeatedly and intentionally strike a Holstein steer in the face with a rattle paddle. The steer was reluctant to move, and (b) (6) was attempting to drive it to the stunning area. We immediately took a regulatory control action (RCA) by instructing him to stop hitting the steer in the head. After he stopped, we verified the establishment drive the steer to the stunning area humanely. I later notified Plant Manager Willy Leahy and (b) (6) of the noncompliance with 9 CFR313.2b. While (b) (6) was attempting to move the stubborn steer, he was repeatedly hitting the steer in the head with the rattle paddle. (b) (6) and I both told him to stop hitting the steer in the head. After being told to stop hitting the steer in the head, (b) (6) stopped hitting the steer in the head.	CLOSED
M9368	Zrile Bros., Inc Packing Co., Inc	{EC6CBA36-359A-4E64-8BA2-C6B296DE35A7}	GCI4612020722N-1	02/22/2021	04C02	Livestock Humane Handling	313.2	On 02-22-2021 at approx. 0605 hours the following Non-Compliance was observed. During the Ante-Mortem Inspection part of the livestock humane handling task, Inspection noticed that there was not any water made available to the animals that were present in holding pens 1 and 5. The troughs in each pen were dry and animals were in the pens at the time of observance. Establishment Co-owner Marko Zrile was verbally made aware of this finding. This finding represents the Establishments inability to comply with 9CFR 313.2(e) {summary}Animals shall have access to water in all holding pens.	CLOSED
M9380+P9380	Bierly's Meat Market	{DE18F746-39B8-4585-AB94-E5D4014603E9}	EHF4209035217N-1	03/17/2021	04C02	Livestock Humane Handling	313.15(a)(1)	HATS Category VIII - Stunning Effectiveness On 17, March 2021, at approximately 0918 hours while performing humane handling verification activities at Establishment 9380, the Establishment moved a market hog into the stun box for stunning. The employee performing the stunning used a captive bolt on the first stunning attempt which caused the market hog to immediately fall to the floor and then immediately stood back up vocalizing. The stunner took immediate corrective action by using the captive bolt and delivering a second stun with the captive bolt rendered the market hog insensible. Mr. Douglas Stover, Plant Owner, was notified of the Noncompliance and the Establishment's failure to adhere to the regulatory requirements of 9 CFR 313.15(a)(1). Mr. Stover has been notified that this noncompliance record has been closed.	CLOSED

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M9442+P9442	Groff Meats Inc.	{1DB223C3-2C78-47C6-8E5C-BC12B390F518}	UTC0909035824N-1	03/22/2021	04C02	Livestock Humane Handling	313.1, 313.2	HATS Category IV - Ante-mortem Inspection Today. Monday, March 22, 2021 at approximately 0717 hrs. while (b) (6) was observing pigs being moved into the stunning area he observed the following noncompliance. (b) (6) observed an employee climb up the holding pen rails to get behind and past pigs in order to bring them into the stunning area. While the employee was moving across the rails a hog jumped up at him at which time the employee kicked the pig in the head/neck area to get it down. No retain tag was applied as immediate corrective actions were implemented. Mr. John Groff, Plant Owner, was notified of the noncompliance and spoke to the employee emphasizing the importance of proper handling of the animals. The plant is not complying with 9CFR313.1 & 313.2.	OPEN
M9520+P9520	Leidys, Inc.	{507C0FF3-5CB4-4D09-A3EF-3E01D5BF6A85}	UGA1605014608N-1	01/08/2021	04C02	Livestock Humane Handling	313.2	HATS Category IV Ante-Mortem InspectionHats Category III Water and Feed AvailabilityOn 1/8/21 at approximately 0440 hrs. while performing humane handling activities (ante-mortem inspection) at Est. 9520, I (b) (6) observed the following noncompliance. The Establishment had part of lot # 500 in the back hallway approximately 35 hogs. I observed that the water trough for this area had no water in it. Employee immediately turned on the water and filled the trough so no regulatory control action was taken. (b) (6) was informed of this noncompliance and failure to meet 9CFR 313.2(e).	CLOSED
M9548+P9548	Wayne Nell & Sons Meats Inc.	{4B03F641-50C7-4CED-A2C7-B30C8804A3E5}	ODJ4113010519N-1	01/19/2021	04C02	Livestock Humane Handling	313.15(a)(1)	HATS Category VIII Stunning Effectiveness On January 19, 2020, (b) (6) observed a mis-stun of a bull in the stun box at Wayne Nell & Sons Meats M9548, while observing stunning effectiveness, at approximately 02:00PM. The bull was standing freely inside the stun box. An Establishment employee made the first stun attempt with a handheld captive bolt. The employee lifted the chute and as the bull was coming out the bull stood up. The four USDA inspection employees standing on the slaughter floor exited immediately. One of the employees went and quickly retrieved the .45mm pistol and shot the bull again. At this point the bull was rendered unconscious so the employees attached the bull to the chain, hoisted it up, and began to bleed it out. While the bull was hanging from chain its eyes began to blink. The employee quickly grabbed the captive bolt and stunned the bull again. No regulatory control action was taken, as the establishment employee took immediate corrective actions. Upon postmortem, (b) (6) observed three holes in the the skull. Shane Nell, Establishment owner, was informed of this non-compliance with 9 CFR 313.15(a)(1). This NR is linked to NR: ODJ1013114605N	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M9958	Jamison Packing	{D72A1C8B-3F39-4965-9DB8-A443E96B789E}	SSA3007030209N-1	03/09/2021	04C02	Livestock Humane Handling	313.15(a)(1)	HATS Category VIII - Stunning Effectiveness. On March 9th, 2021, at 8:05am, while observing stunning effectiveness for the HATS task, (b) (6) attempted to stun a steer in the stunning pen with a captive bolt gun. I heard the captive bolt fire and saw that the animal was still standing. (b) (6) took the backup captive bolt gun and made a second stunning attempt. The steer immediately dropped on the second attempt and was rendered unconscious. Two holes in the skull were observed - one small hole at the midline and one larger hole about 1.5 inches to the right of midline. The hole on the midline did not fully penetrate the skull. Both (b) (6) and (b) (6) were verbally informed of this noncompliance and it was presented in writing as well. There are no previous humane handling noncompliances in the past several months. This is a noncompliant with 9 CFR 313.15(a)(1).	CLOSED
M20760	USA Pork Packers Inc	{89B1D5C2-697F-482A-912B-5BAF95BAE318}	YKM1812025218N-1	02/18/2021	04C02	Livestock Humane Handling	313.30(a)(1), 313.30(b)(3)	Category VIII - Stunning Effectiveness 02/18/2021 1240 hours. On Thursday February 18, 2021 approximately at 1240 hours when I was at the slaughter floor observing the stunning procedure I saw the following noncompliance: I saw the stunning employee stunning a market hog approximately 270 Lb. inside the stunning chute and after he applied the electric current on the hog head and thorax I noticed that the hog was not rendered unconscious by vocalizing loudly and breathing regularly, the hog was not rendered unconscious after applying the first stun. I saw the stunning employee performing an immediate corrective action by applying a second head stun while the hog was still inside the stunning chute which was effective and rendered the hog unconscious. I informed (b) (6) with the noncompliance. The plant was not compliant with 9 CFR 313.30 (a)(1), and 9 CFR 313.30 (b)(3)	CLOSED
M562	JBS Green Bay, Inc.	{6F09DC92-B645-4438-B1ED-7C6F05A11238}	QSM1914013304N-1	01/04/2021	04C02	Livestock Humane Handling	313.2	On 01/04/2021 at 0614 hours while performing Handling During Ante-mortem Inspection (HATS category IV) and Water and Feed Availability (HATS category III), it was observed that pen 45 had no water available as the water tank was empty and dry. Eight cattle had been held in the pen overnight. U.S. Reject tag# B37033401 was applied to pen 45. The animals were moved and followed by IPP to the new pen with water. The animals were not dehydrated and did not immediately drink from the tank in the new pen. (b) (6) was notified of the noncompliance and was advised that cattle were not to be held in pen 45 until water was available. After assuring the tank was turned on and filled adequately with water, the U.S. reject tag was removed. (b) (6) was notified of the noncompliance with regulation 9 CFR 313.2(e) and that a noncompliance record would be issued.	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M562M	JBS Plainwell, Inc.	{98EE31AC-0CFD-43BD-8F2D-7B255482B39C}	CFO0214032826N-1	03/26/2021	04C02	Livestock Humane Handling	313.15(a)(1)	At approximately 2:35PM, Friday, March 26th, 2021, (b) (6) was observing (b) (6) knocking cattle at the knock box. (b) (6) lined up a shot on a Holstein animal and fired the pneumatic bolt gun. (b) (6) could observe the animal still holding its head upright, so he moved closer to observe the animal and could see it still had its eyes open, ears up, head upright and was moving it's head freely yet calmly from one side of the restraint to the other. Furthermore, (b) (6) could see a hole in the animal's head where the pneumatic bolt gun hit. (b) (6) immediately grabbed the handheld stunner, however the gun did not fire when he lined up a shot. He quickly reloaded the gun, lined up the gun and fired which rendered the animal unconscious. (b) (6) informed (b) (6) of the issuance of a NR. The requirements of 9CFR 313.15(a)(1) were not met. HATS Category VIII.	OPEN
M952	BEF Foods, Inc.	{17358C76-8FD3-4AEB-92F7-21B987DF962C}	YUC4508021802N-1	02/02/2021	04C02	Livestock Humane Handling	313.2	HATS Category IV-Handling During Ante Mortem Inspection At approximately 0845 hours, I went down to the barn to perform an ante-mortem check on a load of pigs. Upon entering the barn, I heard vocalization of multiple animals. The round pen prior to the chute leading to the stunning area was overloaded with pigs, such that all pigs were piled on top of each other and three of the pigs were easily visible over the approximately five-foot-high sides of the pen and the animals were vocalizing. Upon further investigation, the gate separating the round pen and the chute was open and the animals being driven to the stunning area had backed out of the chute and crowded into the round pen. After I got their attention, the animal handlers were able to get some of the pigs up the chute again and opened the back gate to the round pen to allow some pigs out. None of the animals appeared to be injured, but the vocalization did not stop until all animals had all feet on the ground. This is in violation of regulation 9 CFR 313.2 (a) "Driving of livestock from the unloading ramps to the holding pens and the holding pens to the stunning area shall be done with a minimum of excitement and discomfort to the animals." I notified (b) (6), and Plant Manager, Paul Monahan of this non-compliance.	CLOSED

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M1816+V1816	West Michigan Beef Co. LLC	{5C5E498D-44D C-4A23-B7B0-D C64802732D8}	TMB3208 025609N -1	02/09/2021	04C02	Livestock Humane Handling	313.2	On February 9, 2021 at approximately 9:13am, I, (b) (6) was in the barn performing a humane handling task. While verifying HATS activity category III, Water and Feed availability, (b) (6) observed that the water in the outside pen's automatic water container was frozen solid. At the time, there were 17 head of Holstein and mixed breed cattle in the pen and the temperature was 10 degrees Fahrenheit. This is noncompliant with 9 CFR 313.2(e) which states that animals shall have access to water at all times in holding pens. The (b) (6) was notified of this noncompliance. In response, cattle were moved out of this pen and into a pen with access to water.	CLOSED
M10038+P10038+V10038	Scotts Hook & Cleaver Inc.	{8E46558E-85FF -403B-BBDD-2A A1526AD288}	RSH5713 014804N -1	01/04/2021	04C02	Livestock Humane Handling	313.2	HATS CATEGORY III—WATER AND FEED AVAILABILITY On 1/4/2021 while verifying the humane treatment of livestock, I found Humane Slaughter of Livestock—Handling of Livestock noncompliance. At approximately 1410 hour while verifying livestock access to water, I found six beef cattle in pen 3. Normally water is provided to the livestock in pens 3 and 4 by a shared automatic watering trough. However, both sides of the automatic water trough shared by pens 3 and 4 were filled with a solid block of ice. Moreover, there was no other source of drinking water provided to the cattle in pen 3, besides what was supposed to be provided by the automatic watering trough. This finding illustrates noncompliance with 9 CFR 313.2 (e), because animal in a holding pen did not have access to water. I immediately notified (b) (6) of that the above-described finding would be documented on a noncompliance record. In response, (b) (6) promptly removed the ice from the automatic water trough. This allowed the trough to fill automatically with water.	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M21156+P21 156	Den's Country Meats, Inc.	{9B1CAB14-C2A 0-48A1-9228-8D 312B8013BD}	FFH5313 032317N -1	03/17/2021	04C02	Livestock Humane Handling	313.16(a)(1), 313.16(b)(1) (iii)	<p>HATS Category VIII-Stunning EffectivenessAt approximately 0850 hours, while observing HATS Category VIII – Stunning Effectiveness, (b) (6), and (b) (6), observed the following noncompliance: An establishment employee attempted to stun a beef cow in the restrainer, with no head catch, with a firearm. The firearm was heard to discharge at the same time the animal moved its head slightly. The cow was still conscious as it remained standing and moved its head in a controlled manner away from the employee. The establishment employee immediately and effectively stunned the animal with the same firearm and the animal dropped from a standing position. The establishment employee performed a security stun on the unconscious animal with the same firearm. The skinned head was observed and there were two overlapping holes present approximately three inches above the half-way point between the medial canthus of the eyes. A third hole was present approximately one inch above and to the right of the other holes. A metal wire was used to follow the path of the holes and all three holes entered the brain cavity with the third hole on the upper edge of the cavity. I notified Mr. Dennis Schaardt, Establishment Owner, of the forthcoming noncompliance and the failure to meet the regulatory requirements of 9 CFR 313.16(a)(1) and 313.16(b)(1)(iii). We discussed preventative measures during the down time between the arrival of the next animal and prior to additional stunning attempts.</p>	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M21069	Premium Iowa Pork, LLC	{9FB98DB5-79B8-4E8C-B947-90957C460257}	CME251 5031008 N-1	03/08/2021	04C02	Livestock Humane Handling	313.15(a)(1)	<p>At approximately 7:30 am while verifying HATS Category VIII - Stunning Effectiveness, I observed the following noncompliance: While observing the stunning of slow hogs with a hand-held captive bolt device, one hog was not immediately rendered unconscious with the first stun. Two establishment employees were present. Restraint was by one employee standing in front of the hog and the other pressing into the hog's side with his legs. The hog was dog sitting but still moving. When the employee attempted to stun the market hog with the hand-held captive bolt device, I heard a pop sound as the device went off. When the hand-held captive bolt gun discharged the hog's head dropped and he went down. The barn employee and I observed the hog rapidly blinking. The assisting barn employee observed the hog tracking the employee with the stun gun, which I could not see since he had stepped into my line of sight, signaling a conscious hog. The assisting employee told him to immediately reload the hand-held captive bolt device to restun the hog. The hog was immediately and effectively stunned on the second attempt and unconsciousness was verified. I immediately notified (b) (6), and (b) (6), of the noncompliance with stunning and verbally requested that all stunning be discontinued. (b) (6) instructed employees to stop loading hogs into the (b) (4) system. The ineffectively stunned hog was allowed to be processed and was railed out at the head inspection. (b) (6), and I examined the head. Both bolt wound angles were followed and had penetrated the bone of the skull. One bolt wound was approximately one inch above the medial canthus of the eyes on the midline. The other bolt wound was approximately three-fourths of an inch above the medial canthus of the eyes and one quarter of an inch off the midline (b) (6) and (b) (6) provided verbal preventative measures and I verbally removed the regulatory control action and allowed stunning to continue. This is a noncompliance with regulation 9CFR 313.15(a)(1) for not producing immediate unconsciousness and 9CFR 313.15(b)(1)(iii) for improper restraint.</p>	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M21585+P21585+V21585	Kiowa Locker System, LLC	{E4DA4FEF-7BB4-459A-875F-732AD1C21CC6}	WDD2710011423N-1	01/23/2021	04C02	Livestock Humane Handling	313.2	On 01/23/21, approximately 0600 hours, while doing antemortem inspection was noticed that 7 heads of beef were held in the alley way. They were unloaded the day before slaughter. These animals have a bucket of water at the end of the alley, where only the animal in front have access to it. These animals do not have space either to move back/forward nor to lie down overnight. Employee was notified about this noncompliance and some of the animals were moved into a holding pen while the rest were waited until slaughter started to be moved in. These findings do not meet regulatory requirements of 9CFR 313.2(e) Animals shall have access to water...there shall be sufficient room in the holding pen for animals held overnight to lie down. Plant owner was notified about this noncompliance verbally and also in writing with the issuance of this noncompliance record.	CLOSED
M21595+P21595	Mayar's Halal Meat Processing	{DBAF94AA-2CEB-41BE-9AB0-9FA93685BF86}	KPD3818020301N-1	02/01/2021	04C02	Livestock Humane Handling	313.2	While inspecting on the kill floor I (b) (6) was standing between the two kill boxes and looked into the sheep/goat kill box I saw the following noncompliance. One animal was hoisted up by his hind leg with his front two feet touching the ground. The butcher who was assigned to do the killing was not at the kill box. I called over Mr. Schawali Mayar and shown him the animal, informing him of the establishments failure to meet regulation 9 CFR 313.2. Corrective action was taken right away, and the animal was lowered so all four feet were on the ground.	CLOSED
M21595+P21595	Mayar's Halal Meat Processing	{A2035750-40B6-4974-8FB9-873AFC4456D0}	KPD3110031230N-1	03/29/2021	04C02	Livestock Humane Handling	313.2	On March 29, 2021 at approximately 0815 hours as the (b) (6) was walking through the parking lot at the establishment to begin a verification plan visit, the EIAO observed establishment employees moving animals from the pasture to the holding pens. As approximately 10 animals, sheep and goats, were traveling down the corridor an establishment employee was attempting to stop the animals from going straight through the end gate and trying to get them to turn and go into a holding pen. Approximately five animals went straight by the employee despite his attempts to turn/block them. The sixth animal, a goat, attempted to go straight with the previous animals when the employee raised his leg and extended it in a kicking fashion and made contact with the goat causing the animal to roll and flip backwards. After discussion with Alameda District Office management, reject tag #B41802235 was placed on the knock box. The EIAO notified Schawali Mayar, plant manager, of the incident and informed him that he needed to submit corrective actions and preventive measures. This represents noncompliance with 9 CFR 313.2(a). Failure to comply with 9 CFR may result in further administrative or regulatory actions.	OPEN

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M21700	Island Grown Farmers Cooperative	{08F991B8-9D45-48FC-9E47-CD47D1B0F518}	OXL0517020009N-1	02/09/2021	04C02	Livestock Humane Handling	313.16(a)(1)	At approximately 9:15 am on 02/08/21 while observing stunning of a heifer with a 22 mag Ruger rifle, I C ^{(b) (6)} observed the following noncompliance. An establishment employee was attempting to stun an animal which was loaded in a gooseneck cattle trailer standing facing the back end of the cattle trailer. I observed the first stun attempt contact the head of the animal. The animal remained standing and did not visibly react to stun attempt or visibly vocalize. The stun operator immediately aimed the rifle again and promptly delivered a second stun attempt. The second stun attempt rendered the animal immediately insensible and the animal dropped down to the trailer floor. A third security stun was then applied with the handheld captive bolt device (HHCBD), and I then verified that the animal was not displaying any signs of consciousness. I (b) (6) promptly informed (b) (6), of the non-compliance and regulatory action was taken. I attached US Retain tag # B42117571 to the trailer door and then I contacted (b) (6) on how to proceed. (b) (6) allowed establishment to finish processing the carcass and establishment elected to cease operations for the day to provide a corrective action and preventive measures. The Denver District Office was contacted through supervisory channels. Upon review of the dressed head, I observed three stun holes had penetrated the skull. There have been no non-compliance records issued for the same root cause with in the past 90 days.	OPEN
M22095+P22095+V22095	Creston Valley Meats	{9ACC02D5-C4C0-456A-9D45-0E24EDD1A8E9}	QOI1813034523N-1	03/23/2021	04C02	Livestock Humane Handling	313.1	On March 23, 2021, at approximately 0745 hours, I, (b) (6), observed 2 beef steers to be slaughtered under Federal Inspection, which was indicated by (b) (6) during direct observation of antemortem inspection, slipping in the large holding pen. Both steers were observed walking at a normal pace slipping onto their front knees on multiple occasions during antemortem inspection. Inside the holding pen it was observed to have a heavy buildup of water and mud/feces puddles in multiple areas of the large holding pen causing the 2 steers to slip and loose their footing while walking. It was discussed with (b) (6) per 9 CFR 313.1(b) the livestock pens shall be maintained in a way to provide adequate footing for the livestock. I informed (b) (6) of the noncompliance and verbally stated I would be documenting my findings. At approximately 0835 it was observed (b) (6) moved the 2 steers into a smaller holding pen to provide the steers with better footing and no more slipping. Plant Owner Simon Caleb was also informed of the noncompliance. I reviewed the previous 90 days and found none with like or similar cause.	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M21265+P21 265+V21265	Smucker's Meats	{855B5232-C35F -4B40-A46E-4C4 CDD7331A5}	RYI2910 012021N -1	01/21/2021	04C02	Livestock Humane Handling	313.15(a)(1)	HAT Category VIII Stunning Effectiveness (9 CFR 313.15) On January 21, 2021, at Establishment M-21265, Smucker's Meat, at 0950 hours, (b) (6) observed the following noncompliance while covering slaughter duties. The establishment moved a beef steer into the stun box for stunning with a hand-held captive bolt. The steer was standing freely in the stun box. The stunner made the first stunning attempt with the captive bolt, then the captive bolt fell from the employee's hand onto the ground in-front of the stun box. The steer then began to vocalize loudly. It could not be determined if the steer fell or was still standing due to the solid stun box. The stunner took corrective action by immediately picking up the captive bolt, he reloaded it, and delivered a second stun which made the steer insensible. Examination of the skull revealed two full-thickness holes in the forehead of the animal, one in the center of the forehead, and one approximately 2 inches off center toward the right eye. This observation combined with what was heard after the first shot, confirmed that the first stunning attempt did not render the steer immediately unconscious. (b) (6) was notified of noncompliance with 9 CFR 313.15(a) (1).	CLOSED
M33845+V33 845	Moonlight Meat Processing Inc	{78F7AB96-F249 -418F-85F4-21D 62D7A3E33}	PPQ2008 010515N -1	01/15/2021	04C02	Livestock Humane Handling	313.16(a)(1), 313.16(a)(3)	On 01/15/2021 at approximately 09:05 am at Moonlight Meat Processing while Performing Livestock Humane Handling Task Stunning Effectiveness verification. I (b) (6) observed a stunning failure. A Steer was driven into the knockbox, and restrained with the head catch. An initial stun attempt with a .410 rifle was made and was ineffective, as the animal remained standing and looking around. The stun operator rendered an immediate and effective corrective action utilizing the same stunning apparatus, which rendered the steer insensible to pain, and it remained so thereafter. Plant Manager Jason Griffin was notified of the Non-Compliance and US Reject Tag #B 31 985696 was applied to the Kill Chute.	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M33916+P33916	Loris Cold Storage and Retail	{C22001B1-F033-4A2B-B8A6-5A9C00E5D24E}	BZI0414022718N-1	02/16/2021	04C02	Livestock Humane Handling	313.1	HATS Category IV, "Handling during Ante-Mortem Inspection": Pens, floors, and driveways, including entrances and exits, are to be maintained in good repair (9 CFR 313.1). On February 13, 2021 at 7:31 A.M. (b) (6) doing an Odd – Hour Inspection observed in the antemortem pen #7 at the bottom of the metal siding there was some sharp edges exposed. (b) (6) emailed me on February 15, 2021 and told me to write a noncompliance on his finding and discuss the finding with the management. I was informed by Mr. Tim Rogers that he had already tagged the pen not to be used. I verified that the date on the plant tag was in fact 1/15/2021. I contacted Mr. Tim Rogers, plant manager, and told him of the noncompliance and gave him a copy of the Odd Hour Inspection Report. Mr. Tim Rogers said he would have the pen repaired over the weekend. 9CFR 313.1 states that Livestock pens, driveways, and ramps shall be maintained in good repair. They shall be free from sharp or protruding objects which may, in the opinion of the inspector, cause injury or pain to the animals. Loose boards splintered or broken planking, and unnecessary openings where the head, feet, or legs of an animal may be injured shall be repaired.	CLOSED
M33940	Fauquier's Finest Custom Meat Processing, Inc.	{FAAA76CF-D0DF-4E94-AE23-9ACE0A0FE19A}	DAG3005012004N-1	01/04/2021	04C02	Livestock Humane Handling	313.1	On 01/04/2021 around 06:00 am, while performing Humane Handling Review and Observation. I, (b) (6) found wire is sticking out inside three pens from the left side ; pens # 1, 2 and 5. I told (b) (6) about the lower part of sticking wire at these three pens which could hurt the animals. I notified (b) (6) about the non-compliance, this noncompliance with 313.1: Livestock pens, driveways and ramps.	CLOSED
M34265	Naturally New Mexico Food Products LLC	{B0490561-141F-49BE-ADE4-1EE7A6D2DC3D}	TJS3415023824N-1	02/24/2021	04C02	Livestock Humane Handling	313.2	At approximately 1037 hours while performing an ante mortem inspection on a suspect animal, (b) (6) and I, (b) (6), observed the following noncompliance in the livestock pens: No water was available to the livestock in the pens that were visited. The establishment failed to meet the regulatory requirements of 9 CFR 313.2(e). (b) (6) was notified of this noncompliance verbally.	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M34360+P34360	House of Halal Meat, Inc	{F990D203-7770-4BB1-8CD3-5B19489103F3}	VUA4309021223N-1	02/23/2021	04C02	Livestock Humane Handling	313.1	On Tuesday, February 23, 2021 at approximately 9:55 A.M. (b) (6) observed the following non-compliance while doing a routine humane handling task:A) In sheltered pen number 3, I observed a metal gate with corrosion to a degree that exposed sharp metal protrusions with the potential of causing harm to an animal pressing against same. Most of the non-compliance protrusions were located on the cross bars that crossed diagonally across the gate.Additionally, a second gate in the same pen, showed similar degree of deterioration.Retained Tag number B39 530208 was applied to the pen. This non-compliance was brought to the attention of Lyle Iqbad, the manager on duty.The Plant is being advised that further disciplinary or regulatory measures maybe taken in the absence of appropriate corrective actions.	OPEN
M34360+P34360	House of Halal Meat, Inc	{22E797E4-AC27-4EEE-8982-A67C34086BA2}	VUA0014035026N-1	03/26/2021	04C02	Livestock Humane Handling	313.2	On Friday, 3/26/21 at approximately 10:30 A.M while performing a routine humane handling task, I, (b) (6) observed the following non-compliance:* A holding pen with approximately 7 (seven) cows being held without water. Mr. Mohammad Iqbad, the owner of the Plant was immediately notified. Mr. Iqbad immediately repaired the water hose problem and refilled the empty water container with fresh water.(b) (6) the previous day notified the Plant that the water container was low in water.The Plant is notified that without appropriate corrective action, further measures will be taken by the Agency to remedy the non-compliance.	OPEN
M34449+P34449+V34449	Texas Natural Meats	{B6AD1217-7551-4972-A753-182F32BDB796}	NMV0714032326N-1	03/26/2021	04C02	Livestock Humane Handling	313.1	HATS Category IV Inspection HandlingAt approximately 0703 hours while conducting Antemortem activities in the catwalk of the pens and prior to the start of the kill, the following noncompliance was observed: One wild hog among approximately 15 waiting to be slaughter and located in the alleyway next to the door chute maneuvered to escape free thru one of the open spaces of the alleyway wall to the back entrance of the kill floor and where both inspection personnel and establishment personnel use on a constant basis. This small wild hog jumped to the back door three to four times trying to find a way to escape. The hog did not seem to be injured. The height of the alleyway is approximately 15 feet tall from the entrance floor and there is a gap of approximately 7.5 inches between each post. There are total of 4 open spaces in between each post. The hogs were already agitated and stressed out as they were trying to find a way to escape, both (b) (6) and I (b) (6) were able to walk back thru the catwalk to the other back entrance of the kill floor and alert (b) (6). Approximately 10 minutes later and with inspection personnel present (b) (6) was able to render the hog unconscious humanely by applying a single gunshot. I notified (b) (6) and Ms. Herzog (Plant Manager) of this noncompliance.	OPEN

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M34729	White Oak Pastures	{381C8A69-A63F-47FA-85E5-A52EBB48366B}	ZME2510 015308N -1	01/08/2021	04C02	Livestock Humane Handling	313.30(a)(1), 313.30(a)(3), 313.30(b)(2), 313.30(b)(3)	On Friday, January 8th, 2020 at approximately 8:08 AM at White Oak Pastures Establishment 34729, IPP observed a humane handling non-compliance during routine slaughter of swine. The second hog of the group was moved into the stunning area. The pig fell to the floor, lay in lateral recumbency, had rhythmic breathing, and vocalized with the first electric shock. The employee immediately attempted to render the pig unconscious a second time with the electricity. The pig remained in lateral recumbency and vocalized again. The employee took immediate corrective actions by retrieving their secondary method of stunning, the captive bolt, and rendered the pig unconscious with the first blow. Reject tag #B39288777 was placed on the stunning area and slaughter was halted. This non-compliance violates regulations 313.30 (a) (1), (a) (3), (b) (2), and (b) (3). The plant's corrective actions including reassessing the electricity box and increasing the gain from 1-2 to 7, having the captive bolt readily accessible and close to the stunning area, and retraining the employee.	CLOSED
M34799	Braggs Corner Meat Corp.	{F3425FF3-FE80-45B3-84B8-F6585BE0E1D6}	PCJ2411 013314N -1	01/13/2021	04C02	Livestock Humane Handling	313.1, 313.2	On 1/14/21, at approximately 08:30am, I, (b) (6) and (b) (6), while performing an Odd-Hour Inspection of Establishment Humane Handling task at Braggs Halal (M34799), observed the following noncompliance: Animals, too numerous to count (>20 lamb), had no access to water. The outside enclosure where animals were present contained too numerous to count (>20) protruding, sharp nails that could cause injury to the animals. Protruding nails containing clumps of animal hair were on the hay container. Other nails were protruding from loose boards (2x4 remnants) on the ground at various places in the enclosure. These protruding nails were partially obstructed by vegetation increasing the likelihood for animal injury. More than 10 nails were protruding from a piece of old plywood and one lamb was observed resting on this sheet of plywood. (b) (6) called plant manager, Mr. Ammar Mian, and notified him of these findings of noncompliance and forthcoming noncompliance record. We waited for a worker to provide the animals with water. The animals were then moved from the current enclosure to an enclosure without protruding nails. These findings represent non-compliance with CFR 313.2(e) and 313.1(a).	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M39968+P39 968	Donald's Meat Processing, LLC	{5498709D-6B83 -4308-92CC-717 E258619E2}	PIF4709 014807N -1	01/07/2021	04C02	Livestock Humane Handling	313.16(a)(1)	At approximately 10:30am while performing HATS category #8, Stunning effectiveness, of a Humane Handling Task in the stunning area I observed the following Non-compliance: (b) (6) took a shot with a .22 Magnum rifle to stun a Market hog. The hog appeared properly stunned so he opened the knock box and rolled it out onto the bleeding area floor. (b) (6) grabbed the animal by the ear and attempted to stick it, penetrating the skin approximately 1 inch with the tip of the knife. When penetration occurred the animal tried to right itself. (b) (6) tried to reposition to accomplish the sticking and the animal at that time did right itself into a sternal position. At this point (b) (6) instructed (b) (6) to shot it again. (b) (6) grabbed the rifle, chambered another round and immediately applied an effective stun. After the event occurred I applied Tag# B 20 223667 to the knock box and contacted (b) (6) for further instruction. (b) (6) said she would consult with (b) (6). After consulting with (b) (6) and determining the incident to be non egregious (b) (6) instructed me to remove the tag and write this Non-compliance Report. This is a Non-compliance under 313.16(a)(1). (b) (6) was notified of this Non-compliance verbally and management in writing with this NR. No product was affected.	CLOSED
M39968+P39 968	Donald's Meat Processing, LLC	{D132DD06-4712 -4987-8156-193A BE6E0701}	PIF5811 012714N -1	01/14/2021	04C02	Livestock Humane Handling	313.16(a)(1)	At approximately 11:00am while performing HATS category #8, Stunning effectiveness, of a Humane Handling Task in the stunning area I observed the following Non-compliance: Employees were loading a steer with a high strung disposition into the knock box. After repeated efforts to get the animals head into the Hydraulic Head Catch kill floor (b) (6) decided to stun the animal without the Head Catch. Tony took the shot with a .40 caliber pistol. The animal moved his head at the last minute and the shot hit him approximately 1" down from the very top center of his head. The steer remained standing. Tony immediately shot again (semi automatic weapon) and applied an effective stun. After the event occurred I applied Tag# B 20 472316 to the knock box and contacted (b) (6) for further instruction. (b) (6) said she would consult with (b) (6). After consulting with (b) (6) and determining the incident did not require suspension due to repetitive non-compliance or egregiousness (b) (6) instructed me to remove the tag and write this Non-compliance Report. This is a Non-compliance under 313.16(a)(1). (b) (6) was notified of this Non-compliance verbally and management in writing with this Non-compliance Report. No product was affected.	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M40342+P40342	The Ohio State University	{5C373D6A-8881-46A6-9BBB-C9F0A6CC2A22}	RNX5610033829N-1	03/11/2021	04C02	Livestock Humane Handling	313.15(a)(1)	On March 11, 2021 at approximately 0815hrs while performing a Livestock Humane Handling Review and Observation the following observation was made. The animal was in the cage to be stunned by captive bolt. Establishment personnel attempted to stun the animal with the captive bolt and was not successful, the animal was moving and flailing about. The establishment then attempted to stun the animal again with a different stunning bolt device and was also not successful, the animal was still moving and flailing and vocalizing. The establishment then attempted to stun the animal again with the captive bolt again and was successful the animal was not vocalizing or moving. The establishment was immediately notified of the mentioned findings. This does not represent insanitary conditions or the chance for product adulterity.	OPEN
M40207+P40207+V40207	Appalachian Ag, LLC	{CF49209C-CDE9-43C3-B68B-09FAEF7BCE55}	XUI1112024925N-1	02/25/2021	04C02	Livestock Humane Handling	313.2	Upon entering the holding/pen area at 0832 I observed the following noncompliance cfr 313.2 (e). (b) (6) was escorted by owner Jonathan Reinford of Establishment M40207 of Appalachian Ag located in Prestonburg, Ky. I observed in the Swine holding/pen an empty bucket type vessel. I asked Mr. Reinford at what time the Swine were delivered, he answered, "last night.". I clarified, "overnight?", Mr. Reinford stated, "Yes." Mr. Reinford explained that, that specific holding/pen had water on this same morning and they, "tipped it", as I could visually see a small narrow two foot stream of water at the outside of the swine's holding area. Mr. Reinford called to his staff employee to immediately fill the empty vessel, and it was filled. Mr. Reinford was informed that a noncompliance will be issued according to C-Category III cfr 313.2 (e) Water is to be available to livestock in all holding/pens at all times.	CLOSED
M40244+P40244+V40244	Gray's & Danny's Investment, Inc.	{98C79230-4144-4952-A30F-6DE0E35F8FB1}	LCP3314034502N-1	03/02/2021	04C02	Livestock Humane Handling	313.1	At approximately 1430, while performing the Livestock Humane Handling task, the following noncompliance was observed: I observed plant personnel back up the transport trailer to the door of the slaughter trailer where they bring in the animals onto the slaughter floor. I noticed an approximately six-inch gap between the floor of the transport trailer and the slaughter floor. While manually removing a goat from the trailer onto the slaughter floor, (b) (6) and I observed a goat slip through the gap with its front legs while plant personnel continued to try to pull the goat onto the floor. I stopped the employee and notified him that the goat's legs had fallen through the gap, and he lifted the goat onto the trailer after making sure the legs were no longer stuck. I then notified (b) (6) of the noncompliance.	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M9398A	Dixon Meats	{6B735155-2C1A-46F5-A825-9A1312B3A9B1}	PWO0912015805N-1	01/04/2021	04C02	Livestock Humane Handling	313.15(a)(1)	Hats Category VIII- Stunning Effectiveness On January 4, 2021 at approximately 13:15 hours while performing Humane Handling Verification activities at Establishment M9398A, I (b) (6), observed the following noncompliance. The establishment had a market swine in the stun box for stunning with a hand-held captive bolt. The market swine was standing in the stun box as the stunner made the first attempt with the captive bolt. The captive bolt failed to penetrate the swine's skull fully causing the swine to jump up and vocalize. No regulatory control action was taken as the stunner took immediate corrective action and delivered a successful second stun, which made the swine unconscious and insensible. Mr. Mark Dixon was verbally informed of the noncompliance and the establishment's failure to adhere to the regulatory requirements of 9 CFR 313.15 (a)(1).	OPEN
M9398A	Dixon Meats	{723637E0-0003-48D7-8BC2-322334204C9F}	PWO2511030626N-1	03/25/2021	04C02	Livestock Humane Handling	313.15(a)(1)	HATS CATEGORY VIII: STUNNING EFFECTIVENESS On March 25th 2021 at approximately 08:20 hours while performing the Livestock Humane Handling verification task at establishment M9398A, I, (b) (6), observed the following noncompliance: The establishment had a steer in the stun box for stunning with a hand-held captive bolt. The steer was in the head restraint. As the stunner made the first stun attempt with the captive bolt, the steer moved its head, causing the captive bolt to not fully penetrate the steer's skull. No regulatory control action was taken as the stunner took immediate corrective action and delivered a successful second stun which made the steer unconscious and insensible. Mr. Mark Dixon, the establishment owner, was verbally informed of the noncompliance and the establishment's failure to adhere to the regulatory requirements of 9 CFR 313.15 (a)(1). A past, similar noncompliance was documented in NR# PWO0912015805N, dated 1/4/2021, when a similar issue arose while stunning a market swine.	OPEN
M1052	MSM Meat Company	{35561AC8-C747-44F8-AA39-98C42B6BB4DA}	VUV2114025822N-1	02/22/2021	04C02	Livestock Humane Handling	313.16(a)(1)	On Monday February 22nd, 2021 at approximately 9:45 AM at MSM Establishment # M1052, IPP observed a humane handling non-compliance during routine slaughter of swine. The employee responsible for stunning attempted to render 1 pig unconscious with a gunshot. The pig was not rendered unconscious and continued to stand, vocalize, and a small amount of blood was noted. The employee immediately used the firearm to administer a second shot that rendered the pig unconscious. Tag number #288782 was applied to the stunning area and slaughter was halted. Because plant management took immediate corrective actions including immediately applying a second shot the pig's head, the tag was removed and slaughter resumed. This non-compliance violates regulation 313.16 (a) (1). Plant management was notified of this non-compliance both verbally and in writing.	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M1052	MSM Meat Company	{FF2ECB78-F677-4679-B1BB-0876FA801241}	VUV1515032004N-1	03/04/2021	04C02	Livestock Humane Handling	313.16(a)(1)	On Thursday March 4, 2021 at approximately 9:45 AM at MSM Establishment # M1052, IPP observed a humane handling non-compliance during routine slaughter of cattle. The employee responsible for stunning attempted to render 1 steer unconscious with a gunshot. The steer was not rendered unconscious and continued to stand, and a small amount of blood was noted. The animal did not vocalize. The employee immediately used the firearm to administer a second shot that rendered the steer unconscious. Tag number #B39288783 was applied to the stunning area and slaughter was halted. On postmortem inspection the skinned head was examined, and two distinct points of entry were identified. This non-compliance violates regulation 313.16 (a) (1). Plant management was notified of this non-compliance both verbally and in writing.	CLOSED
M40147+P40147+V40147	This Old Farm Meats and Processing	{A0DD56AD-C72A-4E1A-943A-8243901713D2}	LDY3206020224N-1	02/23/2021	04C02	Livestock Humane Handling	313.1	VII. Slips and Falls (9 CFR 313.1 and 313.2): On February 23, 2021, the following non-compliance was observed. (b) (6) was performing a Humane Handling Verification task by watching establishment personnel bringing in cattle into the establishment's holding pen's. As one of cattle were walking around in holding pen 3, the steer's feet and hind legs had slipped from underneath causing the animal to fall onto the cement floor. The area where the animal fell did not have slip resistant mats. After the animal got back up, (b) (6) was discussing with the establishment's employee about the fall, and the livestock slipping and fall hazards. While speaking to the establishment's employee, (b) (6) observed another beef slip in the same holding pen. There were pieces of mats in pen 3 but not covering the entirety of the cement floor. (b) (6) spoke to owner Jessica Roosa about the above observations, and the inadequate footing and the lack of slip resistant flooring in the livestock holding pens. The establishments corrective action was to put another mat in pen 3. Owner Jessica Roosa was verbally notified that they would be receiving a non-compliance. That these observations are noncompliant with the regulatory requirements of 9 CFR 313.1(b) and 313.2(a).	OPEN
M40157	Sylvester Quality Meats	{7F52C0D2-C5F4-4744-94A5-5FF43C82630F}	XNA0608020011N-1	02/08/2021	04C02	Livestock Humane Handling	313.2	At approximately 1415 hours on 02/08/21, (b) (6) during plant visit at slaughter operation hours of Sylvester Quality Meats. (b) (6) observed noncompliance in the pens of regulation 313.2(e) Handling of Livestock. Which states animals shall have access to water in all holding pens and if held longer than 24 hours, access to feed. Noncompliance#1-Pen 3 upon observation livestock had no water. Noncompliance#2-Pen 2 upon observation water provided for livestock was frozen in the trough. Establishment personal was verbally notified for failing to meet regulatory requirements of regulation 9CFR part 313.2(e). Plant personal immediately relocated the livestock to a different pen that did have an adequate water supply to restore humane handling of livestock.	OPEN

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M44824+V44 824	Western Meat Processing, Inc.	{263D9885-21E3 -4EC9-ABE0-148 5A6886360}	XTB1215 032301N -1	03/01/2021	04C02	Livestock Humane Handling	313.15(a)(1)	On Monday March 1, 2021, at approximately 0946 hours, I, (b) (6), observed the following humane handling non-compliance. At approximately 0946 hours, while standing by the knock box performing HATS category VIII (Stunning Effectiveness), I observed a plant employee ineffectively stun a dairy cow in the knock box. The plant employee applied and discharged the captive bolt gun low on the forehead of the dairy cow. The dairy cow remained standing, shook her head slightly, and then turned her head to look at the plant employee and me. The plant employee immediately grabbed a loaded back-up captive bolt gun and applied an effective second stun to the back of the cow's poll. The dairy cow immediately collapsed in the knock box and was unconscious. I applied U.S. Reject Tag# B45402846 to the knock box to stop all further stunning. I informed Plant Manager Fidel Ibarra of the ineffective stun and that I tagged the knock box. I also informed him of the forthcoming non-compliance. I then went to the slaughter floor and observed the skinned head of the dairy cow that had been ineffectively stunned and confirmed that the knock hole on the forehead was too low. The knock hole was at the level of the lateral canthus of both eyes. Captive bolt stunners must be applied to produce immediate unconsciousness. This is a non-compliance of the regulatory requirements of 9 CFR 313.15(a)(1).	CLOSED
M44910+P44 910+V44910	Abattoir Associates Inc.	{5DB3A042-E3C 9-4E5C-A1EA-16 BA43A82A5F}	JCH0912 012422N -1	01/22/2021	04C02	Livestock Humane Handling	313.16(a)(1)	HATS Category VIII- Stunning Effectiveness On 22, January 2021, at approximately 0810 hours, at establishment 44910, the slaughter inspector observed and reported the following humane handling stunning effectiveness noncompliance. The establishment moved a bovine steer into the stunning chute for stunning with a .22 magnum caliber rifle. The Steer was standing freely in the stunning chute, not in the head restraint. The stunning attempt hit the head as evidence by both the steer falling to a sitting position, vocalizing once, and trying to regain footage to stand. The stunner took immediate corrective action by using the back-up captive bolt and delivering the second stun, which rendered the steer insensible, unconscious. Mr. John Young, Plant Owner, was notified of the Noncompliance and the Establishment's failure to adhere to the regulatory requirements of 9 CFR 313.16(a)(1)	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M44910+P44910+V44910	Abattoir Associates Inc.	{657CC8C0-66AB-4D77-9F0A-0D19F61300A2}	JCH3413031305N-1	03/05/2021	04C02	Livestock Humane Handling	313.16(a)(1)	On 5, March 2021, at establishment 44910, Abattoir Associates, while performing voluntary inspection, the slaughter inspector reported that plant employees attempted to stun a Water Buffalo at approximately 0910 hours. The first attempt was made with a slug, .410-gauge long gun, the Water Buffalo remained standing. An additional employee aided and performed immediate corrective action, fired the second round from the same weapon which rendered the Water Buffalo unconscious. Mr. John Young was notified of the noncompliance with 9 CFR 352 and 9 CFR 313.16. Mr. John Young performed re-training, stunning of livestock, domestic and exotic, with all slaughter floor employees. Mr. Young has been notified that this noncompliance will be closed.	CLOSED
M45099+P45099+V45099	Responsible Transportation LLC	{8334C0A2-EAF3-4350-A0DB-A41A4E68E8AD}	VOT2316022301N-1	02/01/2021	04C02	Livestock Humane Handling	313.15(a)(1)	On February 1, 2021 at approximately 1350hrs, I, (b) (6), was performing a HATS Category VIII task, stunning effectiveness, in the barn of establishment M45099 when I observed a non-compliance. I observed the designated employee apply a hand-held captive bolt device to the forehead of a non-ambulatory disabled cow laying in Pen 4. The hand-held captive bolt device audibly discharged and contacted the skull, causing a small/shallow penetrating wound that was approximately 1cm in diameter near the center of the forehead. The animal remained in sternal recumbency, breathing and blinking. The animal remained calm, showing no indications of discomfort or excitement. The plant employee immediately administered a second stun that rendered the animal unconscious. I immediately informed (b) (6) of the noncompliance and he provided verbal corrective actions. I informed (b) (6) that a noncompliance report would be forthcoming.	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M45261	FM Meat Products Limited Partnership	{2E239F0C-5FC6-46EA-B562-7C90F5280690}	LXG0013013608N-1	01/08/2021	04C02	Livestock Humane Handling	313.15(a)(1)	<p>On January 8th at approximately 1 p.m., while performing the humane handling verification task (specifically verifying HATS Category VIII: Stunning Effectiveness), I, (b) (6), observed the following noncompliance:</p> <p>A fractious heifer, the last animal to be killed for the day, refused to enter the knock box. The establishment employee attempted to stun her in the serpentine. The captive bolt was placed appropriately and discharged, making contact with the heifer's head. After the stun attempt, I observed the heifer still standing and vocalizing. The employee immediately stunned her a second time. She fell to the ground and was insensible. (b) (6) was present and observed the noncompliance. He subsequently applied several security knocks after the successful stun and bled her in the serpentine. I determined that the stunning failure was non-egregious because it was a rare, discrete failure to render the animal insensible with a single blow and the establishment promptly and effectively corrected the noncompliance. This does not meet the regulatory requirements of 9 CFR 313.15(a)(1). This document serves as written notification that your failure to comply with regulatory requirements could result in additional regulatory or administrative action.</p>	OPEN
M48087+P48087+V48087	Marin Sun Farms, Inc.	{3D8EEB61-BF06-4DAF-B0AC-D567F6325A47}	RAP0907015606N-1	01/05/2021	04C02	Livestock Humane Handling	313.15(a)(1)	<p>On 1/5/20 at approximately 0845, I, (b) (6), observed the following noncompliance while performing HATS category VIII, stunning effectiveness for the Livestock Humane Handling task. On the slaughter floor, while I was watching the lamb stunning, I saw an animal (tag CACF2 242) still standing after the first hand-held captive bolt knock attempt, but was not vocalizing. The stunning employee then applied the second captive bolt knock and the animal went down briefly but then popped back up immediately with stiff legs, but was not moving or vocalizing. No eye movement was observed. I informed (b) (6) of the issue, and left the floor to notify (b) (6). I asked the FSIS line inspectors to observe the animal, and they reported that the animal was down after the 2nd knock, and the establishment then applied a 3rd knock to the down animal which was not showing signs of consciousness (no vocalization or eye tracking) at that time. A U.S. Rejected tag B19890445 was applied to the knock box. (b) (6) verified a knock hole in the back of the lamb's head caudal to the correct position for a poll knock, as well as a forehead knock hole. The Knocking box was released at approximately 1050 after corrective action was taken from the Establishment. As the establishment failed to produce immediate unconsciousness with the first stunning blow, this is noncompliant with 9 CFR 313.15(a)(1).</p>	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M45422+V45422	Messina Meats	{12B31ED1-6C2A-471C-9861-369F7AF94302}	BEJ1810011812N-1	01/11/2021	04C02	Livestock Humane Handling	313.2	On January 11, 2021 at approximately 1530 hours while performing antemortem inspection on three heavy calves, the Supervisory Public Health Veterinarian (SPHV) asked an establishment employee to move one of the heavy calves around so that the animal could be better seen in motion from both sides. The employee attempted to move the animal by using the handle end of a spade shovel and proceeded to jab the animal twice in the flank area. The animal jumped and move forward abruptly. (b) (6), was in the immediate vicinity and notified of the deficiency and forthcoming humane handling noncompliance. After consulting with the Front Line Supervisor, a regulatory control action was taken and USDA Reject tag #B42071686 was applied to the cattle stun box. These observations are noncompliance with 9CFR 313.2(c).	CLOSED
M46433	SeraTec Inc.	{042C7EE7-04F9-4E28-9CFB-0E7288EBAFEA}	VGf1715015028N-1	01/28/2021	04C02	Livestock Humane Handling	313.15(a)(1)	HATS Category VIII - Stunning Effectiveness 1/28/2021 At approximately 10:15 a.m. while performing online inspection (b) (6) observed the live stunning operations. The stunner took action to apply a stun using a pneumatic captive bolt stunning instrument and after the first stun attempt the calf vocalized. The employee performing stunning immediately applied a second stun with the pneumatic captive bolt. The second discharge of the stunning instrument was immediate and effective, no sign of consciousness was observed after the second stun was applied.. (b) (6) and (b) (6) observed the head of the calf on the bleeding table, two penetrating marks had occurred one of which was outside the acceptable areas for effective stunning. The other penetrating mark inside the acceptable areas for effective stunning. The first stun did not follow CFR. 313.15 (a) I notified (b) (6) of the non-compliance above shortly after this occurred. The establishment has a Robust Humane Handling Plan and has not had any humane handling non-compliances for this issue in the last ninety days.	OPEN

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M45471+P45471	New Angus, LLC	{0F00D411-E231-4095-99A4-2CE118DB1BC4}	VUE1013032023N-1	03/23/2021	04C02	Livestock Humane Handling	313.1	On 3/23/2021 at approximately 0930 hours, I was performing HATS Category VII – Observation for Slips and Falls when I observed the following noncompliance: I observed adult beef cows moving from the scale to pen 7. 6 of the cattle were observed to slip and fall to where their belly's touched the floor in front of pen #19. The cattle immediately got up and appeared unhurt. The flooring near pen #19 had debris build-up that was covering the waffle pattern and was damp and slippery. U.S. Reject tag #B22024648 was applied to the cross gate #23 and (b) (6), was notified of the noncompliance with regulation 9 CFR 313.1(b) for failure to provide adequate footing. Establishment employees applied sand to the area, I removed the regulatory control and harvest operations resumed.	CLOSED
M45670	Roanoke Packing Company	{B35A707A-EFD9-49FC-A488-CD89E696751F}	FQF4910022505N-1	02/05/2021	04C02	Livestock Humane Handling	313.2	HATS Category III – Water & Feed Availability – While performing my verification task, my observation of the pens at Roanoke Packing Company on 02/05/2021 revealed an excessive number of head of sheep in outside pen number 2 and overflowing into outside pen number 3 in such a way as to prohibit the furthest animals access to water. I notified Chris Holloway at 10:30 am of the non-compliance. I rejected the area by placing a USDA Reject/Retain Tag #B 30 299550. This represents non-compliance with 9 CFR 313.2(e).	CLOSED
M45856+V45856	HyLife Foods Windom, LLC	{9ACF8DEE-0471-4654-8D39-2ECCBBF2248C}	ODB2219033315N-1	03/15/2021	04C02	Livestock Humane Handling	313.15(a)(1), 313.15(b)(1)(iii)	At approximately 1841 hours, I was performing a HATS Category VIII task, Stunning Effectiveness, in the barn when I observed the following noncompliance. An establishment employee was attempting to euthanize a fatigued market hog by stunning the animal with a hand-held captive bolt device. The hog was standing in the center of receiving pen two with no restraint. As the employee placed the device, the hog moved its head. The hand-held captive bolt device audibly discharged and contacted the skull, causing a bleeding wound. The hog remained conscious in a standing position. It vocalized and walked three feet across the pen towards the wall. A second establishment employee immediately reloaded the hand-held captive bolt device and applied a follow-up stun, which effectively rendered the animal insensible. I then observed the skull of the hog. I observed a penetrating wound approximately two inches above the medial canthus of the eyes and one-half inch to the left of midline. I observed a second penetrating wound on midline approximately one-half inch above the medial canthus of the eyes. I notified (b) (6) of the ineffective stun noncompliance and he provided verbal corrective measures. The establishment failed to meet the requirements of 9 CFR 313.15(a)(1) and 313.15(b)(1)(iii).	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M45858	Puget Sound Processing, LLC	{0995AC94-7694-4771-83FC-444E2CC12552}	QSV0910033919N-1	03/18/2021	04C02	Livestock Humane Handling	313.15(a)(1)	HATS Category VIII: Stunning EffectivenessAt approximately 3:45 pm while verifying HATS Category VIII, Stunning Effectiveness, the CSI observed the following non-compliance.A hog was loaded into the squeeze chute and the first stun was attempted with a hand-held captive bolt device. After the first stun attempt, the animal remained standing and was vocalizing, with eye's tracking movement. The second stun was then attempted with a backup hand-held captive bolt device. The second stun attempt made contact with the animal above the left eye. The animal was still standing and vocalizing with eye's tracking movement following the second stun attempt. The third stun attempt was applied with the original hand-held captive bolt device that had been reloaded. The third stun rendered the animal immediately unconscious. All three stun attempts were applied in rapid succession. The head was observed, and three defects in the hide caused by the hand held captive bolt were discovered. Cameal Strawn, Plant Manager was informed of this non-compliance. There have been no other non-compliances for the same root cause within the past 90 days.	CLOSED
M45858	Puget Sound Processing, LLC	{D9390B11-CE02-45BD-BECD-97E9F8E24875}	QSV0114034231N-1	03/31/2021	04C02	Livestock Humane Handling	313.15(a)(1)	HATS Category VIII: Stunning EffectivenessOn 3-31 at approximately 8:28 am a long-horned steer was loaded into the stunning chute. The steer jumped through the head catch at the front of the chute and was caught by the hips. The first stun was then attempted with a hand-held captive bolt device (HHCBD). The animal remained standing and a second stun attempt was immediately applied with a backup HHCBD that was loaded and ready to use. The animal remained standing and vocalized. The primary HHCBD was then reloaded, and the third stun was immediately applied. The third stun rendered the animal unconscious. The dressed head was inspected, and three penetrating stun holes were observed. When the head was split, two of the stun attempts could be seen to have penetrated the frontal sinus, but not into the brain. Regulatory control was taken and USDA Reject Tag B37026696 was applied to the stunning box. Supervisor Cameal Strawn, was verbally informed of the non-compliance. Denver District Office was contacted through supervisory channels. This is being associated to NR QSV0910033919N for the same root cause.	OPEN

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M34554+P34554	Wilson Farm Meats, Inc.	{23DE0446-CB61-4868-AB27-535AB1D1C9AF}	ORQ3014012506N-1	01/06/2021	04C02	Livestock Humane Handling	313.1	On 1/6/2021, at approximately 8:00 a.m., while performing a routine Livestock Humane Handling task and in the company of (b) (6) we observed the following noncompliance. While verifying HATS Category 1 (Inclement Weather), we observed the truck unloading driveway and ramp covered with snow. Below the snow, we observed a layer of ice. There was no evidence of any effort by the establishment to address this issue prior to our observations. At the time of the observation, a total of 10 beef and 3 goats were already in the pens because they arrived the day before. We contacted Plant Manager Justin Corman and explained that even though no animals were observed to slip or fall on the snow-covered ice, the establishment must maintain adequate footing to prevent livestock from slipping and falling. Mr. Corman told us that an employee would address the matter right away before unloading any more animals. Because an immediate corrective action was implemented no regulatory control action was taken. Around 10:00 a.m., I verified that the establishment removed the snow off the driveway leading to the truck unloading area and used salt to melt any remaining ice and prevent recurrence. Additionally, while verifying HATS Category 4 (Ante-mortem Inspection), we observed holes in the floor in the main hallway and in pens 2 through 5. This was also brought to the attention of Mr. Corman. We explained to him that this could lead to a failure of HATS Category 7 (Slips and Falls). This is a noncompliance with 313.1(a) and (b). Mr. Corman was notified of this noncompliance and the issuance of this noncompliance record.	CLOSED
M45948	Ida-Beef LLC	{886CB8A2-8022-40D1-934B-042DA171EF66}	AKL2009010519N-1	01/19/2021	04C02	Livestock Humane Handling	313.2	HATS Category III Water and Feed Availability On January 19, 2021 I, (b) (6), was outside in the yards to perform antemortem inspection when I observed at approximately 7:19AM that the water in the water bucket supplying water to pens 3 and 4 was frozen. Cattle were present in both pens 3 and 4 at the time I made the observation. The establishment has a heater in this bucket to prevent freezing, however the water level was lower than the level of the heater. An establishment yards employee broke up the ice in the water bucket to allow the cattle access to water and I verbally notified (b) (6) of the noncompliance at approximately 7:24AM. After performing antemortem inspection and upon returning to the USDA office I verbally notified (b) (6) of the noncompliance. A review of the previous 90 days did not identify similar noncompliances therefore this noncompliance record will not be associated.	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M45948	Ida-Beef LLC	{C25B1260-C494-4D42-AFC8-3D33182EEC68}	AKL4115021219N-1	02/19/2021	04C02	Livestock Humane Handling	313.2	<p>HATS Category III-Water and Feed Availability On February 19, 2021 at approximately 1PM I, (b) (6), was in yards performing antemortem inspection. During antemortem inspection I observed that the 11 head of cattle in pen 2 and the 5 head of cattle in pen 3 had both arrived yesterday February 18, 2021. After antemortem, at approximately 1:15PM, I reviewed the establishment's cattle receiving log and observed that the cattle in pen 3 had arrived at 11:15 February 18, 2021 and the cattle in pen 2 had arrived at 1:10 February 18, 2021. No AM or PM designation was listed, however when I reviewed the other cattle listed on the receiving log and compared it to the cattle slaughtered February 18, 2021 the records supported that the pen 3 cattle arrived at 11:15AM and the pen 2 cattle at 1:10PM. At 1:15PM February 19, 2021 both pen 2 and 3 still had the same cattle present and no feed was available nor had been provided. At 1:20PM I verbally notified (b) (6) of the noncompliance. The cattle from pen 3 were moved to the drive alley for slaughter and the cattle from pen 2 were moved into the corner pen and provided with feed by an establishment employee. At approximately 2PM I verbally notified (b) (6) of the noncompliance. (b) (6) and (b) (6) reviewed the receiving log and the camera footage and (b) (6) informed me that the receiving time for the cattle in pen 2 was 1:10PM February 18, 2021 and the receiving time for the cattle in pen 3 was 11:15AM February 18, 2021. There has not been a non-compliance issued for the same root cause in the last 90 days.</p>	CLOSED

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M45948	Ida-Beef LLC	{2A959C3F-A62D-4259-89C5-15D4B30F368B}	AKL5119 024525N -1	02/25/2021	04C02	Livestock Humane Handling	313.15(b)(1) (iii)	<p>HATS Category IV-Ante-Mortem Inspection At approximately 3:50PM on February 25, 2021 I, (b) (6), was notified by (b) (6) that (b) (6) was requesting my presence at the stunning area. I arrived at the stunning area to observe a Holstein cow lying in sternal recumbency in the stun box with both her right front and right rear legs outside of the stun box. Both front and rear legs on her right side were resting within the gap between the stun box floor and metal hydraulic lift door of the stun box leading into the bleeding area. The animal was unable to rise due to both right feet being on the angled concrete outside of the stun box. The animal did not appear distressed, as she was not vocalizing nor attempting to rise. (b) (6) informed me that he had observed the animal walk into the stun box, and the establishment employee had placed the head catch on the animal and then the animal sat down. When the establishment employee released the head catch, (b) (6) observed the animal's right rear and right front legs slip out of the stun box through the gap between the concrete stun box floor and the metal hydraulic lift door of the stun box, which prevented the animal from being able to rise. After I observed the animal's position to make a determination on its ambulatory status, I observed the establishment employee render the animal immediately insensible with a hand held captive bolt device. I notified (b) (6) of the noncompliance at approximately 3:56PM. No regulatory control action was taken due to the observed immediate temporary corrective action. There have been no noncompliance records for the same root cause issued with the past 90 days.</p>	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M46017	ZMDR DBA Republic Foods	{C619F618-3FA6-414D-A335-C7000CD825DC}	SDY4706 022104N-1	02/03/2021	04C02	Livestock Humane Handling	313.1	<p>HATS Category IV: Antemortem Inspection On Wednesday, February 03, 2021 at approximately 1423 hours, the following noncompliance was observed. While returning to the USDA office after giving USDA breaks, I, (b) (6), observed an angus heifer's head stuck between the top two steel beams of the Box 2 gate. Establishment employees were working to dislodge her head from the gate when I arrived. I witnessed the angus heifer slowly lower her hindlimbs which made dislodging her head more difficult. The establishment employees tried to dislodge her head for approximately 2 minutes after I arrived but ultimately knocked her at approximately 1425 hours, achieving immediate insensibility with a single knock. I observed progressive bradypnea until the heifer was stunned. (b) (6) informed the establishment CEO at 1435 hours of the noncompliance. After discussing the situation with (b) (6), I took regulatory control action of the Box 2 gate, placing US Reject tag #B-3999147 at 1517 hours. On Thursday, February 04, 2021 at approximately 0600 hours, I inspected the gates to Box 1 and Box 2 and found the area between the top two steel beams now had an additional steel beam welded securely between the two beams. The available space between the steel beams is small enough to prevent future head trapping occurrences and therefore I removed the above US Reject tag. The establishment has failed to meet the regulatory requirements of 9 CFR 313.1(a). A review of NR history at this establishment revealed no recent noncompliance records with a similar cause.</p>	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M46017	ZMDR DBA Republic Foods	{96F1E18B-AAB D-4D6F-9513-A5 6FA0AF1A08}	SDY3913 031722N -1	03/22/2021	04C02	Livestock Humane Handling	313.1	HATS Category IV: Antemortem Inspection On Monday, March 22, 2021 at approximately 1020 hours, the following noncompliance was observed. While performing HATS Category VIII: Stunning Effectiveness task, I, (b) (6), was approached by an establishment employee who stated an angus heifer's head was stuck between two steel beams lining the alleyway leading to the knock box. I assessed the extent of the entrapment, noting no obvious injuries and no signs of distress. I observed establishment employees cut the top beam, which allowed them to dislodge her head at approximately 1025 hours. The heifer appeared unharmed. Establishment employees welded the steel beam back into place prior to driving more livestock to the knock box. I informed FSQA Director of the noncompliance. The establishment has failed to meet the regulatory requirements of 9 CFR 313.1(a). This is being associated with NR SDY4706022104N/1, dated February 05, 2021, for similar cause of failure to maintain facilities in a manner to prevent entrapment and/or injury. Preventive measures of adding additional steel beams on the gates was implemented but ineffective in preventing incidents along the alleyway. On Tuesday, March 23, 2021 at approximately 0610 hours, I verified installation of additional beams along the alleyway.	CLOSED
M51302+P51 302	Belmont Meats LLC	{253914EA-48F7 -4087-B6BB-D4C 58971EC3F}	YAY4706 022626N -1	02/26/2021	04C02	Livestock Humane Handling	313.2	HATS Category II-Truck Unloading On 2/26/2021 at approximately 0711 hours while performing HATS Category II Truck Unloading, I observed the following humane handling noncompliance. I observed one of the truck unloaders repeatedly kicking approximately three live pigs in the head and hind legs while driving them up the unloading ramp. I immediately took a regulatory control action (RCA) by having him stop the unloading process and requested him to stop kicking the pigs. After he stopped, I allowed him to continue driving the animals into the holding pen and verified that he did so humanely. No establishment management was present at the time, but I notified (b) (6) of the noncompliance with 9CFR 313.2(a) approximately one minute later. He informed me that these truck unloaders typically wait to unload animals until the establishment comes out to help them. He also informed me that he would establish a procedure with all the truck unloaders for them to wait to unload the animals until the establishment comes out to help.	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M51302+P51302	Belmont Meats LLC	{CA6088A3-F88C-4CC6-825D-65C61F2D3E57}	YAY3608035005N-1	03/05/2021	04C02	Livestock Humane Handling	313.2	HATS Category III: Water and Feed Availability On 3/5/2021 at approximately 0848 hours while performing humane handling verification activities at establishment M51302, I observed the following humane handling noncompliance. I observed approximately six live pigs in two uncovered holding pens with no access to water. The two buckets in the pens that had held water earlier the same morning were laying on their sides and completely empty. I immediately informed (b) (6) of the noncompliance with 9 CFR 313.2(e). He immediately had all the pigs moved into the holding pen above the power room and had the basin there filled with fresh water.	CLOSED
M51302+P51302	Belmont Meats LLC	{259F8673-C113-4147-B7E1-3681734645AF}	YAY4906031219N-1	03/19/2021	04C02	Livestock Humane Handling	313.2	HATS Category III: Water and Feed Availability On 3/19/2021 at approximately 0705 hours after performing antemortem inspection, I observed the following humane handling noncompliance in the holding pen above the power room. I observed approximately eight live pigs in the holding pen with no access to water. The blue water basin was laying on its side and completely empty. I immediately informed (b) (6) of the noncompliance with 9 CFR 313.2(e). This noncompliance has been observed previously, as documented on 3/5/2021 in noncompliance record (NR) YAY3608035005N/1. informed me that, in response to the NR from 3/5/2021, he had fastened the blue water basin to the wall to prevent the pigs from tipping out all the water. He immediately re-fastened the basin to the wall and filled it with fresh water. We also discussed the use of heavier, stable basins such as the one in the holding pen next to the kill room to prevent recurrence of this noncompliance.	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M51306+V51306	Powell Meat Company LLC	{84D29C27-7B96-4CFE-A894-F5ACB3C587AE}	MCU0310022218N-1	02/18/2021	04C02	Livestock Humane Handling	313.1	<p>HATS Category VII - Slips and Falls At approximately 0850 hours, I, (b) (6), was notified by Plant Manager, Joe Applegate, that an animal was down with its head entrapped under a gate in the area behind the knock box. This animal had passed antemortem inspection earlier in the morning. I immediately went to the area and observed an animal down on the floor with its rear end toward the knock box and its head entrapped in an approximate 8 inch gap where the west side gate does not reach the floor. The animal had apparently turned around and slipped and fallen to the floor and in the process had wedged its head in this gap and it was unable to rise. Establishment employees said they had tried to dislodge the animal's head, but they were unable to get the animal freed. The animal was not vocalizing but he was unable to get loose. At this time, the animal was immediately knocked with a gunshot and it was rendered insensible with one shot. After discussion with (b) (6), I notified Plant Manager, Joe Applegate, that I would be documenting this deficiency in a NR and I applied U.S. Rejected Tag#B-45908984 to the area behind the knock box until temporary corrective actions could be taken to fill the gap between the bottom of the gate and the floor and thereby prevent any further animal entrapments in this space. This noncompliance represents a failure to meet the regulatory requirements of 9 CFR 313.1(a) and 313.1(b). At approximately 1026 hours, the establishment came to have me reinspect the area and there was a wooden barricade filling both the east and west side gaps between the bottom of the gates and the floor thereby temporarily correcting this facility deficiency. At this time, I removed the U.S. Retained Tag and allowed slaughter to resume. A review of recent NR's showed no similar cause NR's that will be linked to this NR.</p>	CLOSED
M51306+V51306	Powell Meat Company LLC	{FC8D3648-695D-4E57-AF79-177AAC5E0F11}	MCU2410035829N-1	03/29/2021	04C02	Livestock Humane Handling	313.2	<p>HATS Category III -Water and Feed Availability (9 CFR 313.2(e)): Today at approximately 0940 hours while performing antemortem examination of cattle presented for USDA inspection, I, (b) (6), observed a cow being driven from pen #3 and observed the pan was dry with no water in the black rubber pan in the pen. I also observed 5 head of beef in pen #4 with no water pan in the pen. I immediately notified and showed an establishment employee of the missing water and informed them that a noncompliance would be documented for this deficiency. The employee immediately placed a black rubber pan with water in pen #4 and pen #3 pan was filled so no regulatory control action was taken. I immediately went to the front office and notified Plant Manager, Joe Applegate, of this deficiency and that a NR would be written. This deficiency represents a failure to meet the regulatory requirements of 9 CFR 313.2(e). A review of recent NR's did not show any similar cause NR's that will be linked to this NR.</p>	OPEN

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M48277	WJ Wainright and Son, Inc	{53722F68-A774-4B30-A71B-BB73 F3B0AA87}	YAQ2808 033111N -1	03/09/2021	04C02	Livestock Humane Handling	313.2	At approximately 7:00 A.M. while performing the antemortem task at EST. 48277 it was noted that there was no water available in pen number one, which had four bovine and pen number two which had two bovines in it. Plant management was immediately notified, and water was provided. This is a violation of 9CFR 313.2(e). Troy Wainright, plant owner, was notified both verbally and with the writing of this NR that this non-compliance exists.	CLOSED
M46172+P46 172+V46172	JM Watkins, LLC	{C4294CCC-A72 6-46EA-AF46-2E 3515ABC67C}	IGT0815 010605N -1	01/05/2021	04C02	Livestock Humane Handling	313.15(a)(1)	On 1/5/2021, at approximately 1015 hours, I, (b) (6), was performing a Humane Handling Category VIII (Stunning Effectiveness) Task and observed the following noncompliance: An establishment employee attempted a head stun on a beef steer in the restrainer by discharging the hand-held captive bolt on the forehead area of the beef steer. After the hand-held captive bolt was discharged, it had no effect on the animal, as the beef steer remained conscious, standing calmly and did not vocalize. The establishment employee immediately switched to the backup pre-loaded rifle and applied an effective stun, rendering the animal unconscious. I tagged the restrainer with U.S. Reject Tag NO. B36822317. Establishment Owner, Mr. Brandon Clare, gave me verbal corrective actions and preventative measures to prevent reoccurrence and I removed the U.S. Reject tag and slaughter operations resumed. During post-mortem inspection, I viewed the skull and observed one hole had penetrated the skull, with the hole located two inches above the eye line in the forehead of the skull. The establishment employee said he had shot the steer in the same spot with the back-up rifle as the hand-held captive bolt. This is noncompliant with regulation 9 CFR 313.15(a)(1). I informed Mr. Clare, of the noncompliance and issuance of the noncompliance record.	CLOSED

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M46351	Meatworks	{443A4D81-771E-46AB-B36B-99EBC28AD68A}	KJR3408010129N-1	01/29/2021	04C02	Livestock Humane Handling	313.15(a)(1)	Humane Handling Category VIII: Stunning Effectiveness At approximately 0730 hours, while observing stunning on the first cow of the day, the following noncompliance was observed: The SPHV and the CSI observed a black cow standing in the chute with its head placed properly in the head restraint. The first captive bolt was fired and did not render the animal unconscious evidence by the animal remained standing and looked around the room with normal blinking and tracking. The establishment had a second captive bolt loaded as a backup. This was immediately fired and again the animal did not lose consciousness as evidenced by the animal remained standing and looked around the room with normal blinging and tracking. During the second stunning attempt, the first captive bolt used was being reloaded. When the establishment employees realized the 2nd stunning attempt was unsuccessful, they again attempted to stun the cow with the first captive bolt that had been reloaded. On the third attempt, the animal dropped slightly and closed its eyes for a couple seconds, however within a couple of seconds opened its eyes again and had normal blinking and was looking around the room. Once the establishment employees realized the animal was conscious, they reloaded the 2nd captive bolt and on the 4th attempt, successful stunned the animal. The cow fully dropped, there was no blinking, and there were no voluntary movements observed. This is noncompliance with 9CFR 313.15(a) (1). The cow chute was rejected for use with Tag No. B42155644. Kill floor supervisor was first notified of the noncompliance as establishment management was not yet in for the day. Establishment management was then notified of the noncompliance. Upon observation of the skinned head on post-mortem exam, there was one larger cluster of three penetrating captive bolt holes (1in tall x 1/2 in wide) that was off midline to the cows' left and it was evident that the penetrating rod entered only the sinus cavity. There was a smaller, single captive bolt hole, approximately 2 inches below the cows' poll, approximately 1/2 inch higher from the previous cluster and directly on midline, where it was evident that the penetrating rod entered the cranial cavity as brain matter could be seen. This was the 4th stun attempt that rendered the animal unconscious. Although the plant is operating under a robust humane handling plan and daily records are maintained, the robust systematic approach was not properly implemented as the stunning of an animal must produce immediate loss of consciousness, 3 failed attempts is egregious. The most recent similar noncompliance issued for failure to render an animal unconscious occurred on 12/31/2020, NR KJR4509123731N, in which establishment employees required two stun attempts using a hand-held captive bolt device to render a beef cow unconscious.	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M46498+P46498	Westminster Meat Packing Inc.	{6804E375-DDE1-4426-BF18-E8D3A8B3ADB9}	YKB5406010505N-1	01/04/2021	04C02	Livestock Humane Handling	313.1	On January 4, 2021, while conducting the Livestock Humane Handling task the following noncompliances were observed. There were two protruding objects found in the establishment's holding pens that could possible injury animals. In pen 1, there was a 3-inch nail protruding from the cement wall where animals could be injured if they made contact with the object. In pen 4, there was a 3-inch screw protruding from a latch attached to a string tied to a feeding rack used to store straw to feed animals. I notified Lashane Fedal (Supervisor) oral and visually about the condition of the holding pens and that an NR would be written. A regulatory action was initiated, and two rejected tags (B42101480, B42101481) was applied to entrance gates of both pens. The plant supervisor removed both protruding objects and the reject tags were removed from the entrance gates. Livestock pens, driveways and ramps shall be maintained in good repair. They shall be free from sharp or protruding objects which may, in the opinion of the inspector, cause injury or pain to the animals. A 90-day review of the establishment's previous noncompliance reports did not reveal any related non-compliances.	CLOSED
M46498+P46498	Westminster Meat Packing Inc.	{75F25039-66C8-4947-9F89-8BA89BB3376A}	YKB0007010719N-1	01/19/2021	04C02	Livestock Humane Handling	313.2	On January 19, 2021 at 0715, while conducting the Livestock Humane Handling task the following noncompliances were observed. There were two holding pens (2 and 4) that were filled to capacity with lambs, goats and sheep. Both holding pens are 14 ft. by 12 ft. with more than 60 animals inside the pens. The pens consisted of a mixture of lambs, sheep and goats. There are too many animals in the pens to get an accurate account of the total amount housed in the pens. A regulatory action was taken and reject tags (B40608357, B40608370) were placed on the entrance of the doors to both pens. There shall be sufficient room in the holding pen for animals held overnight to lie down. There should also be enough room in the pens to conduct ante mortem before animals are being slaughter. I notified (b) (6) oral and visually about the condition of the holding pens and that an NR would be written. A 90-day review of the establishment's previous noncompliance reports did not reveal any related non-compliances.	CLOSED

Table: Noncompliance Reports in Response to FOIA2021-263

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M46498+P46498	Westminster Meat Packing Inc.	{3D4C8808-17A6-4C89-AE5B-9A2F81529176}	YKB5909022324N-1	02/23/2021	04C02	Livestock Humane Handling	313.2	On February 23, 2021 at 0730 hours, while conducting the Livestock Humane Handling task the following noncompliance was observed. There were 4 holding pens filled with animals that did have access to water. The four holding pens without water were holding pen 3, 4, 5, and 6. Abdelkader Fedhal (Owner) stated that the employee sometime turns off the water to the holding pen to allow more water pressure when cleaning during pre-operational procedures. Abdelkader was notified about the condition of the holding pen and that an NR would be issued. The animals were immediately given water by plant management turning the water back on. Water must be available at all times. The animals were immediately given water. The animals showed no signs of duress. Additionally, plant management was notified in writing through issuance of this NR. After a review of NRs for the last three months, there are no NR's issues for the same root cause can be linked at this time. Continued failure to meet these regulatory requirements may result in additional regulatory or administrative action per the Rules of Practice (9 CFR 500).	CLOSED

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M46554+P46554	AEE Inc. DBA Emory's Processing	{82C3AA19-915A-48AB-8EA4-98C78925D1C}	KRP3911033110N-1	03/10/2021	04C02	Livestock Humane Handling	313.15(a)(1)	<p>On 03/10/21 at approximately 1020, I, (b) (6) along with (b) (6) , were observing the HATS Stunning Effectiveness (Category VIII) on a beef cow with a captive bolt. The following egregious stunning incident occurred. A beef cow was loaded into the chute with the head in a restraint device to be stunned. The establishment uses a gunpowder charged penetrating captive bolt for stunning. After the first stun, the head restraint was released, and the animal remained standing and looked around blinking. No effort on the plant employee's part was made to make a second stunning attempt. I told the employee the animal is still conscious, and something more needs to be done. The employee performed another captive bolt stun with the same results of the animal standing, looking around and blinking. The employee did not take any immediate action and then questioned me about what else he could do. Another employee who will be performing Halal ritual slaughter suggested shackling and hoisting the animal to cut its throat. (The establishment does not yet have approval for ritual slaughter.) I informed him that a conscious animal cannot be shackled, hoisted, or cut until rendered unconscious. I informed the employee doing the stunning that I could not tell him what to do but what had been done was not effective and the animal is still conscious so something else needs to be done now. The employee applied a third captive bolt stun which was effective in rendering the animal unconscious. The establishment was informed they were in violation of 9 CFR 313.15(a)(1)&(3) which requires the captive bolt stun to be applied to livestock to produce immediate unconsciousness in the animals before they are shackled, hoisted, thrown, cast, or cut. The kill floor employees were notified that the events were an egregious humane handling non-compliance and no further slaughter activities could occur. A USDA Reject tag No. 39324515 was applied to the knock box. I verbally informed the plant owner, Anton, Kotar, of my observations and that I had taken a regulatory control action on the chute. I notified Mr. Kotar that I was contacting the District Office through my supervisory chain-of-command for further guidance, of my recommendation for an enforcement action, and that no further stunning could take place.</p>	CLOSED

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M46554+P46554	AEE Inc. DBA Emory's Processing	{7D67EDBF-7BF C-4B1A-A9AD-5 C1F11D273AC}	KRP3913 030122N -1	03/22/2021	04C02	Livestock Humane Handling	313.15(a)(1)	On 03/22/21 at approximately 1305, I, (b) (6) along with (b) (6) , were observing the HATS Stunning Effectiveness (Category VIII) on a beef cow with a captive bolt. The following stunning incident occurred. A beef cow was loaded into the chute with the head in a restraint device to be stunned. The establishment uses a gunpowder charged, penetrating captive bolt for stunning. After the first stun, the the animal remained standing and looked around blinking. Three employees standing nearby evaluating for consciousness immediately noted that the animal was not unconscious. They immediately notified the owner who was nearby, he had a firearm backup on hand with which he delivered an effective stun. The establishment was informed they were in violation of 9 CFR 313.15(a)(1) which requires the captive bolt stun to be applied to livestock to produce immediate unconsciousness in the animals before they are shackled, hoisted, thrown, cast, or cut. On this date, the establishment started an abeyance of suspension for slaughter following a beef cow stun failure on 3/10/21, NR: KRP3911033110N. I verbally informed the plant owner, Anton Kotar, that I would contact the district office for further guidance. District advised that the suspension for slaughter could remain in abeyance and an NR would be issued for today's event. I notified Mr. Kotar that an NR would be issued but the plant could continue with slaughter operations. He informed me that their first step would be to evaluate the skull to check placement of the first stun attempt.	OPEN

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M46578+P46578	Ram Country Meats	{51E79EAE-9BB6-4834-AE4E-93CBF7E4508F}	QGB4010011027N-1	01/27/2021	04C02	Livestock Humane Handling	313.15(a)(1), 313.15(a)(3)	<p>HATS Category VIII: Stunning Effectiveness On Wednesday, January 27, 2021 at 0802 the following Livestock Humane Handling noncompliance was observed at Ram Country Meats. The third beef was knocked followed by the knock box door being lifted. When the door was fully lifted, I, (b) (6), was able to see that this beef was in a sitting position with the head lifting and eyes blinking. The beef slid out of the knock box into the blood pit area and made an attempt to stand. It did not make any vocal sounds. The Innovative Meats employee that performs stunning procedures had an additional hand held captive bolt device (HHCBD) ready, came down the stairs, and immediately stunned the beef for the second time. I observed the beef for any signs of consciousness again and determined that after this second stun attempt with the HHCBD, the beef was now unconscious. The employee shackled the beef, raised and then stuck it to bleed. He stated that the beef had moved its head at the last moment as he was knocking it the first time. The following personnel involved with slaughter operations were verbally notified of the noncompliance observed: (b) (6), (b) (4), (b) (6), Ram Country Meats General Manager Dr. Robert Delmore, and Ram Country Meats (b) (6). (b) (6) stated when he was notified that he had checked both captive bolt guns prior to operations this date and had determined that they were in good working order. All managers also stated that they were aware that noncompliances are issued under these circumstances. There are no associated noncompliances issued within the past 90 days.</p>	CLOSED
M46622	920 Fries Frozen Foods, LLC	{093AC598-5C24-482F-A939-894A3292EFBB}	QCZ3009013619N-1	01/19/2021	04C02	Livestock Humane Handling	313.16(a)(1), 313.16(b)(1)(iii)	<p>At approximately 0900 hours while performing routine HATS at 920 Fries Frozen Foods the PHV on duty observed a humane handling violation noncompliance. A steer was led from the holding pens to the knock box for slaughter as normal. The plant's standard gun was used in an attempt to render the animal unconscious, but the first blow was unsuccessful. The operator immediately reloaded the firearm but the animal's head was moving, the second shot was also unsuccessful at rendering the animal unconscious. The third immediate shot rendered the animal unconscious. The plant effectively implemented their planned corrective action by rendering the animal unconscious. Three bullet holes were confirmed in the skull after the head was removed. The PHV and inspector placed a retain tag (B26036546) on the knock box until the enforcement action could be determined and plant management was notified of the noncompliance. The inability of the stunning area to restrain the animal during stunning and the three shots are in non-compliance with 9CFR313.16(a)(1) and 313.16(b)(1)(iii). Please implement corrective actions to restrain the animal during stunning.</p>	OPEN

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M46734+P46734	Davis Meat Processing LLC	{135A9504-F3BD-4277-B297-FA539C48CEAC}	MGC2412023418N-2	02/18/2021	04C02	Livestock Humane Handling	313.15(a)(1)	HATS Category VIII - Stunning Effectiveness On February 18, 2021 at approximately 0815, the following noncompliance was observed by (b) (6) : An establishment employee attempted to stun a beef utilizing a handheld captive bolt device and failed to render it unconscious. The animal was trying to right itself and vocalized after the first stunning attempt. The establishment employee immediately applied a second stun using a handheld captive bolt device and successfully rendered the animal unconscious. The establishment owner, was notified of the noncompliance. I (b) (6)) discussed the noncompliance in further detail with the owner and FI Garcia upon my arrival at the plant. The establishment failed to comply with 9 CFR 313.15(a)(1).	CLOSED
M46734+P46734	Davis Meat Processing LLC	{F8348FD8-7469-4886-9E72-4D1151A538E1}	MGC2412023418N-1	02/18/2021	04C02	Livestock Humane Handling	313.2	HATS Category III - Water and Feed Availability On February 18, 2021 at approximately 0700, the following noncompliance was observed by FI (b)(6) While conducting antemortem inspection, (b) (6) stated none of the five cattle held overnight at the facility had any access to water. The water that was in the troughs was frozen solid. The establishment owner, was notified of the noncompliance. I (b) (6)) discussed the noncompliance in further detail with the owner and upon my arrival at the plant. The establishment failed to comply with 9 CFR 313.2 (e).	CLOSED
M45554A+P45554A+V45554A	Great Frontier Meats	{50459039-CB84-4CCA-874A-B19054BAD4F8}	OXR3611030924N-1	03/24/2021	04C02	Livestock Humane Handling	313.30(a)(3)	At approximately 0817 hours during swine slaughter while performing a Stunning Effectiveness (HATS Category VIII) task, I observed the following noncompliance on the first market swine of the day: Following the first application by an establishment employee of the electrical wand behind the animal's head, the hog dropped, squealed, and rose from where it had fallen in the small restraining box. The hog was still conscious. Immediately the establishment employee stunned the hog with the same electrical wand and unit a second time rendering the hog unconscious. I notified Plant Manager, Ms. Gabrielle Pioske, of the upcoming noncompliance. No reject tag was used as she provided immediate corrective actions and preventative measures before slaughter was allowed to resume. This is a noncompliance with 313.30(a)(1).	OPEN

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M46792+P46792+V46792	Integrity Meats	{0735D6C9-483C-4790-9DB8-39C-CF529F94A}	UYJ3817010619N-1	01/19/2021	04C02	Livestock Humane Handling	313.2	While performing humane handling verification. I observed that there were five cattle present in the barn's two pens and the establishment was about to unload another beef. I noticed that both blue water barrels were empty and dry in these two pens. I asked the employee where is the water and he said he did not know anything about it. I notified Elmer establishment owner when he returned to the establishment and asked if the cattle got water yet. Elmer replied he would haul some water out. At approximately 1pm, I observed him carrying two pails of water to the barn. Regulation 9 CFR 313.2(e) states animals shall have access to water in all holding pens. This noncompliance record (NR) is being associated with NR number UYJ0108121731N, dated 12/30/2020, for a similar incident involving lack of water to a pen of cattle. The previous corrective actions and preventative measures were either not implemented or were not sufficient in preventing recurrence of noncompliance.	CLOSED
M46792+P46792+V46792	Integrity Meats	{AFAD626F-A34E-447F-BB47-C3DFF3119914}	UYJ1013021116N-1	02/16/2021	04C02	Livestock Humane Handling	313.2	At approximately 10:58 am while performing a Humane Handling task HATS Category III, I observed three steers in pen #1 with no water or water containers. I notified (b) (6) who then notified Sam Hershberger employee to provide water to the animals, in which he did. No management was present at the time of inspection. The animals had just arrived that morning prior to my inspection. The animals seemed healthy. This is a non-compliance with CFR 313.2(e). Stating: That water be accessible to livestock in all holding pens, and that animals held longer than 24 hours have access to feed. This is Non-compliance is similar to the NR's written on January 19th and December 12th. The preventative measures of NR's UYJ0108121731 N and UYJ0108121731N-1 were not implemented or were effective in preventing a recurrence,	OPEN

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M47040+P47040	Indiana Halal Farms LLC	{85F422A9-941E-45C9-9F2F-656624D0FAEE}	EYZ5614014515N-1	01/15/2021	04C02	Livestock Humane Handling	313.2	HH Category IV-Antemortem Inspection On January 15, 2021 at approximately 1420 hours while observing the ritual slaughter (halal) of sheep Dr. Starkey observed the following noncompliance. The employee placed the twine around the fetlock area of the ram and placed the loop on the hoist hook in preparation for hoisting the ram for the ritual cut. After the loop was placed on the hook the hoist was activated and the ram was hoisted off of the floor while being suspended by the back leg. The employee hoisted the ram completely above the crowd gate attached to the open sided knock box. Upon getting the ram hoisted above the gate while it was struggling against the hoist it fell to the ground prior to the administration of the ritual cut. The ram immediately stood up and regained its footing without signs of injury. (b) (6) immediately inquired with Mohamed Hosani, Plant Manager and Aref Alhag, Plant Owner what had caused the ram to fall. Mr. Alhag responded that the employee placed the twine on the fetlock area instead of above the hock. The twine was placed appropriately above the hock of the animal and the loop placed in the hook for the hoist. Once the hoist was high enough to make the twine taught the knot then came untied and the ram was able to walk around the floor in the area. The twine was replaced and the ram was successfully shackled, hoisted, and cut. Mr. Hosani and Mr. Alhag were notified both verbally and now in writing with this NR of the establishment's failure to comply with the regulatory requirements of 9 CFR 313.2(a).	CLOSED

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M47040+P47040	Indiana Halal Farms LLC	{944B62BA-4306-4930-8A01-B85C4BC13DA5}	EYZ5313015528N-1	01/28/2021	04C02	Livestock Humane Handling	313.2	Hats Category III, Water and Feed AvailabilityToday, Thursday January 28th, 2021 at approximately 0715 hrs. I (b) (6) performed ante-mortem inspection on today's animals that were presented for slaughter. Among other animals there were five veal that were in Pen #7, that had arrived on Monday January 25th, 2021 and had been held over each day. The plant had held these five veal over due to not having any orders for meat. There was also one young beef cow that was held overnight (held over 24 hours) from the previous day, in Pen #3. There were five veal in Pen #7 that had been held over each day since 1-25-21 did not have access to any food, at the time I performed ante-mortem inspection. I immediately notified the (b) (6) who had accompanied me during ante-mortem inspection. I requested (b) (6) to provide food for the five veal in Pen #7 and replace the dirty water in Pen #3. All five veal in Pen # 7 immediately started eating when given the hay and were still eating when I left the barn. I also notified plant owner Aref Alhag. Mr. Alhag notified me that he had given food (hay) and water to the animals before he left the plant early in the afternoon on Wednesday, 1/27/21. 9 CFR 313.2(e) states, "Animals shall have access to water in all holding pens and, if held longer than 24 hours, access to feed." Refer to NR EYZ0309112925N/1, dated 11/25/2020 that has the same root cause.	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M47040+P47040	Indiana Halal Farms LLC	{365541B8-D7D6-48DD-9152-12BDEECB197E}	EYZ3813022024N-1	02/24/2021	04C02	Livestock Humane Handling	313.2	HATS Category IV: Ante-mortem Inspection On February 24, 2021 at approximately 1300 hours while observing humane handling of goats (b) (6) observed the following noncompliance. A plant employee went to the barn to bring the goats into the chute/holding area to await ritual slaughter following the cattle. The employee proceeded to bring all 12 goats into the holding area. The goats came up the ramp at a running pace with lots of excitement and one jumped through the opening in the head gates without injury. The employee then came in holding two battery powered prods in his hand. When asked he stated that he used them on the goats in order to get them to move faster. After a couple of minutes there was a large amount of commotion and vocalization coming from the goats in the chute/holding area. (b) (6) proceeded to the chute to assess what the issue was. While approaching another team member approached and reached over the gates and grabbed the back leg of one of the goats and yanked on it trying to release the crowding. (b) (6) then observed that there were at least two goats pinned to the ground under the other goats and the goats were all crowded into the chute area climbing on each other. The employee again grabbed the back leg of the goat and yanked on it trying to relieve the crowding, but the goat was also pinned against the framing of the chute. (b) (6) then instructed the employee to stop and open the door to allow some of the goats to go back into the hallway toward the barn. Once the door was opened and 5-6 of the goats were released back into the hallway, the vocalization stopped and those that had been pinned down were able to rise with ease and without injury. Aref Alhag, Plant Owner and Mohamed Hosani were notified both verbally and now in writing with this NR of the establishment's failure to comply with the regulatory requirements of 9 CFR 313.2(a) and 9 CFR 313.2(b).	CLOSED
M47051	I O Ranch Processing, LLC	{4C3678F3-2944-4CBC-B274-1298CF982C51}	ACH2415030308N-1	03/08/2021	04C02	Livestock Humane Handling	313.2	On 03/08/2021, while performing Livestock Ante-Mortem Humane Handling Inspection Verification Task, (b) (6) observed a Humane Handling Noncompliance: At approximately 0810 hours (b) (6) observed that no water was coming out of the pig water nipple on the holding pen #7 that contains 5 pigs. (b) (6) notified plants slaughter personnel of the noncompliance. An immediate corrective action by turning on the water supply line was implemented by the plant thus no tag was utilized. This noncompliance represent 9 CFR 313.2(e) (b) (6) was verbally notified of the finding and the forthcoming noncompliance This noncompliance is being link with NR #ACH1408083705N dated 8/5/2020.	OPEN

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M47104+V47104	Fort Worth Meat Packers LLC	{ED68F64C-949E-4C84-8F42-34A5E9376F8F}	EQV0012033922N-1	03/22/2021	04C02	Livestock Humane Handling	313.1	HATS Category VII : Slips and Falls (9 CFR 313.1) Today at approximately 5:40 am, I observed a heifer slip and fall down twice in pen #19 while the animals were moved to a pen across pen #19. I rejected pen # 19 using US Reject tag # B 30944133. I discussed it with the establishment (b) (6) and notified her that a noncompliance record would be issued for this deficiency.	CLOSED
M47172	FBS Hudson	{EA7DF50A-0F74-4EDF-A2D9-66486CACD37B}	GXP3711020024N-1	02/24/2021	04C02	Livestock Humane Handling	313.16(a)(1)	HATS Category VIII: Stunning Effectiveness, 9CFR313.16(a)(1). At approximately 0900 Wednesday February 24, 2021, while performing the HATS activity of "stunning effectiveness" on the slaughter floor, IPP observed the plant's employee stunning a beef cow. The plant employee was using a .22 magnum rifle as his stunning device. Following the first stun attempt with the .22 magnum rifle, the beef cow remained conscious and standing. The plant employee immediately applied a second stun using a 7.62x25 rifle which rendered the animal unconscious. There were no signs of rhythmic breathing and no palpebral reflex was present following the second stun. IPP took regulatory control action by placing a US Reject tag (#B36895837) on the establishment's stun box. At approximately 0910, IPP informed the establishments manager Jared Hamilton, of the inhumane handling incident. Upon further examination of the skinned head of the above-mentioned animal, IPP observed that the placement of the first shot appeared to be too high. IPP verbally informed Mr. Hamilton that a noncompliance would be issued. Denver District Management was contacted through supervisory channels. There has not been an incident of similar cause at this establishment before. The established has a robust systematic approach.	CLOSED
M47261	Nordik Meats Inc	{7ECEA6D5-12C2-44CD-B31D-B57E513F2619}	WZA0809010113N-1	01/12/2021	04C02	Livestock Humane Handling	313.1	On 1-12-21, at approximately 0920, while performing a routine humane handling task with (b) (6), we noticed the following noncompliance. While verifying HATS category 1 (inclement weather), we observed four hogs in the small holding pen beside Pen C. The floor of the pen was fully covered in a thick layer of ice. We contacted plant manager, Ross Williams, and informed him that although we did not observe any slips or falls, the establishment is required to maintain good footing for livestock to minimize the potential for slips and falls. Mr. Williams explained that he put salt in the pen; however, that corrective measure was inadequate to address the problem. Mr. Williams immediately moved the hogs to another pen with adequate footing. He also informed us that he would place more salt down and remove the ice from the pen before it will be used again. Regulatory control tag #B15260300 was placed on the pen. This is a noncompliance of 313.1(b). Mr. Williams was informed of this noncompliance and that the event would be documented in a Noncompliance Record.	CLOSED

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M47261	Nordik Meats Inc	{8E800793-72F9-4F8D-B03B-E4B-A26865F74}	WZA381 1014626 N-1	01/26/2021	04C02	Livestock Humane Handling	313.15(a)(2), 313.2	At approximately 10:13am while observing for HATS Category VIII Stunning Effectiveness, I observed a noncompliance in HATS Category VI Electric Prod/ Alternative Object Use as follows. I observed Mr. Ross Williams bring a large sheep into the stunning area. The animal laid down and Mr. Williams grasped the animal by the wool to lift it. The sheep showed no signs of discomfort or distress as it just laid back down. I immediately stopped Mr. Williams and informed him that pulling the wool is inappropriate way to move sheep and noncompliance. He immediately changed his approach to moving the sheep into the knocking area by manually lifting the animal from under it's abdomen. After the sheep was slaughtered and hide removed, I observed mild bruising in the subcutaneous areas anatomically located where the wool was pulled. The stunning area was not tagged due to the immediate change in methods for moving sheep into stunning area. This is noncompliance with 9 CFR 313.2 (a) which states, "Driving of livestock from the unloading ramps to the holding pens and from the holding pens to the stunning area shall be done with a minimum of excitement and discomfort to the animals;" 9 CFR 313.2 (b) which states, "Electric prods, canvas slappers, or other implements employed to drive animals shall be used as little as possible in order to minimize excitement and injury. Any use of such implements which, in the opinion of the inspector, is excessive, is prohibited;" and 9 CFR 313.15 (a)(2) which states, "The driving of the animals to the stunning area shall be done with a minimum of excitement and discomfort to the animals."	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M47261	Nordik Meats Inc	{904C95E7-3745-41B8-A401-841D004D8228}	WZA4613024603N-2	02/03/2021	04C02	Livestock Humane Handling	313.15(a)(2), 313.15(b)(1)(iii), 313.2	On 2-3-21 while conducting a humane handling task, HATS Category VIII, I noticed the following noncompliance with HATS category VI. At approximately 1145 a steer was being driven into the stunning chute using a handheld, battery operated electric prod. The animal was excited as it entered the stun box and was able to push its body halfway through the headgate. Plant Manager Ross Williams halted the steer and eased it back into the stun box to be properly secured in the headgate prior to stunning. I discussed this incident with Mr. Williams. At 1327 another steer was driven into the stun box by a battery operated, handheld electric prod. This animal was shocked multiple times on the back and hindquarters. The steer entered the stun box overexcited and pushed its body halfway through the headgate. Its front legs slipped but it gained footing. The steer was then halted and stunned effectively without being fully placed back into the stun box. After the hide was removed from this steer, the carcass had bilateral bruising near the pelvis. The bruises were approximately 5 inches in diameter. The left side of the animal had more severe bruising consistent with where the animal was stuck in the chute. I told Mr. Williams that I would be documenting the noncompliance in a noncompliance record. A control action was taken by placing U.S. Rejected Tag no. B15260299 onto the stun box. This is a noncompliance for 9 CFR 313.2(b) and 313.15(a)(2) which requires electric prods to be used as minimally as possible to reduce excitement or injury to the animals. This is also a noncompliance with 313.15(b)(1)(iii) which requires the stunning area to be designed and constructed as to limit the free movements of animals sufficiently to allow the operator to locate the stunning blow with a high degree of accuracy.	CLOSED
M47261	Nordik Meats Inc	{606D5FB7-91CE-4EDF-8603-91B015DBF09E}	WZA2507023418N-1	02/17/2021	04C02	Livestock Humane Handling	313.15(a)(1)	At 0945 hours, while performing a HATS Category VIII Stunning Effectiveness Task, Inspection Program Personnel (IPP) observed an establishment employee take two stunning attempts, with a hand-held captive bolt device (HHCB), to render a steer unconscious. After the first unsuccessful stun the animal was still conscious as it remained standing and bellowed. The animal did not move or seem distressed, but blood was seen coming from its nostrils. The employee immediately grabbed the pre-loaded backup HHCB and stunned the animal again rendering it unconscious. This is a noncompliance with 9 CFR 313.15(a)(1). IPP informed Mr. Williams of the noncompliance and the issuance of this noncompliance record. A regulatory control tag, Reject Tag #B15260290 was placed on the stunning area until verbal corrective actions and preventive measures were proffered. During postmortem inspection IPP observed two holes in the skull one being approximately 1 inch above at the eyelid and the other being 1 and a half inches above.	CLOSED

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M47366	Missouri Prime Beef Packers	{9B39599B-7F54-4716-AA14-1F8D8B2A082B}	ECA5307035626N-1	03/25/2021	04C02	Livestock Humane Handling	313.2	<p>At approximately 3:15 pm, I verbally notified (b) (6), of my decision to take regulatory control action to prevent the slaughter of animals. I advised (b) (6) that I was contacting the District Office about possible enforcement action due to an egregious humane handling noncompliance and that the District Office would be following up with a written suspension or NOIE letter to the establishment. I based my decision to take a regulatory control action on the following: At approximately 1205 pm, while performing a HATS Category VIII Stunning Effectiveness, (b) (6) observed the full muzzle including both nostrils of one black baldie cow pointing out the side of the knockbox and the cow's head being caught under the rear gate as the hydraulically controlled side gate was being lowered closed to reset the stunning process for the next cow. From the CSI's elevated vantage point the entire side and rear hydraulic gate of the knockbox was visible. Immediately as the side gate had closed, one each of the cow's front and rear legs jettied out underneath the alley way panels utilized to direct cattle to the knockbox. A barn employee identified with a brown colored hard hat began trying to push the cows legs back into the alleyway from under the panels. (b) (6) changed positions from the staircase to directly in front of the knockbox to gain visibility into the alleyway. At this time both the front and rear knockbox hydraulic gates had been lifted to the open position by the stunning employee providing 100% view down the alleyway. From this vantage point an observation was made of the cow previously described lying in right lateral recumbency within the alleyway and a second cow standing on top of her using both front legs. Three plant employees began attempting to move the standing cow back up the alleyway and off the downed cow. USDA reject tag B29606792 was applied to the knockbox and (b) (6) traveled back to the USDA office to report the incident to the (b) (6). Before leaving the area, (b) (6) visually observed the downed cow attempting to pick her head up and displaying breathing chest movements. At the time of (b) (6) arrival in the stunning area, plant employees had successfully removed the standing cow from the alley returning her to the barn pen system. The downed cow remained in the alley behind the knockbox in right lateral recumbency but her legs had been repositioned inside the alleyway. The downed cow was not displaying any signs of movement, and rhythmic breathing was absent. (b) (6) further verified the cow was already deceased as clinical signs of life were absent during a digital palpebral and corneal reflex test. While performing corneal reflex test, (b) (6) verified the cow had not received stunning procedures yet visible with the absence of any head holes produced by captive bolt equipment. I then advised (b) (6), (b) (6), and (b) (6).</p>	OPEN

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								that the cow was deceased. (b) (6) instructed company employees to administer the establishment's double knock procedure before shackling the cow for removal out of the alleyway system. I then advised (b) (6) I was implementing a regulatory control action to stop the slaughter of animals, but allowed all stunned cattle to move through the slaughter process. The knockbox remained under control using USDA Reject Tag number B29606792 to prevent cattle from entering the knockbox. The cow was exsanguinated in the live animal pen system, and slash with denature procedures were verified in the presence of (b) (6). The establishment is noncompliant with 9 CFR 313.2(a).	
M47369	JMF Slaughter (Mobile Unit)	{8FD4A241-3592-4F4C-85FE-6126F2860259}	OZK1007035929N-1	03/25/2021	04C02	Livestock Humane Handling	313.15(a)(1)	On 3/25/21 at approximately 0830 hours, (b) (6), while performing HATS category VIII, stunning effectiveness for the Livestock Humane Handling task, observed the following. A steer required a second stun to effectively stun the animal, after the first stunning attempt didn't produce unconsciousness. After the first stun with a handheld penetrating captive bolt device, the steer remained standing and vocalizing. The stunning employee then immediately and effectively re-stunned the cow with a loaded backup device. (b) (6) notified employee (b) (6) of the situation, and tagged the knock box with US Reject tag No. B42071709 in accordance with 9 CFR 313.50 (c). Upon inspection of the skinned head, there were two distinct knock holes, one centered just above the eyeline (1st ineffective stun), and one centered higher up in the middle of the forehead (2nd effective stun). As the establishment failed to produce immediate unconsciousness with the first stunning blow, this is noncompliant with 9 CFR 313.15(a)(1).	OPEN

EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M47370	PHP Schmidt Meat Processing	{DBBA4B24-C2C 5-497D-AFB3-ED D077658A15}	QOF4513 015721N -1	01/21/2021	04C02	Livestock Humane Handling	313.16(a)(1)	<p>HATS Category VIII- Stunning Effectiveness On Thursday, January 21, 2021 at approximately 0833 hours, (b) (6) observed a stunning failure on a Bull/Stag in the establishment's slaughter area. An establishment employee applied the initial stun with a (22 Maq). After Inspection Personnel heard the second stun (b) (6) observed the Bull/Stag down, but alert with labored vocalizing and heavy panting. Inspection Personnel stepped away from the knocking area as instructed by establishment personnel. Inspection Personnel then heard a third stun applied to the Bull/Stag. After the third stun, Inspection Personnel observed the Bull/Stag was unconscious. (b) (6) stepped away from the knocking area and I heard a fourth stun (secure shot) applied to the Bull/Stag. (b) (6) took regulatory control action by placing U.S. Rejected Tag number B 24916627 on the knock box to investigate how many ineffective stuns (shots) were applied. At approximately 01005 hours Inspection Personnel observed the head of the Bull/Stag and found (3) holes. The first stun was observed to be missed. The second stun was ineffective, and the third stun appeared to be on target. A hole from the fourth stun was not visibly observed on the head or the carcass of the Bull/Stag, which indicates that the fourth stun (secure shot) completely missed the Bull/Stag. I informed the Kill Floor and QA manager that a noncompliance report would be issued. This is a failure to meet the regulatory requirements of 9CFR 313.16(a).</p>	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M47399	Wyoming Ranch Foods, LLC	{E5C2DC8D-A548-4C26-8BAF-740EF53DD68B}	SLS4419021002N-1	02/02/2021	04C02	Livestock Humane Handling	313.15(a)(1), 313.15(b)(1)(iii)	At approximately 1505 hrs., (b) (6) was on the slaughter floor when I observed the establishment having an issue bringing an animal into the facility. I heard a beef vocalizing and observed the animal unwilling to walk to the stun box. The Owner was trying everything possible to humanely drive the animal. I observed an electrical prod humanely being used which caused the animal to vocalize several times, but the animal was still unwilling to move. The animal at one point got its leg stuck in between the poles of the alley and was then left alone. The animal remained alone for another 10 minutes and still was unwilling to move but did get its leg unstuck. The owner asked for both hand-held captive bolt devices to be able to stun the animal in the alley rather than to continue to try to drive the animal to the stun box. The owner elected to restrain the beef in the alley with a backstop (pole) A restrain was in place to prevent the animal from walking backwards. At approximately 1513 hrs. The Owner applied the first stun attempt to the animal with the hand-held captive bolt device. I observed the animal remain standing, knock down the backstop (pole) and walk backwards down the alley. I observed blood coming from the top of the head (poll). At approximately 1515 hrs. a second stun attempt was applied, which successfully rendered the animal unconscious. U.S. Reject Tag #B32613631 was applied to the stun box and the establishment management was verbally notified of the noncompliance. The Denver District Management Team was contacted through supervisory channels. Upon review of the dressed head, I observed only one stun hole in the target zone on the forehead which had penetrated the skull, but I did not observe the stun hole in the top of the poll. The have been no non-compliance records issued for the same root cause since the establishment started operations on December 1, 2020.	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M47484+P47484	The Durand Smokehouse LLC	{124E2C1F-324C-4F5A-8FA5-C7719B448BBB}	SLO3514023611N-1	02/11/2021	04C02	Livestock Humane Handling	313.15(a)(1)	On 2/11/2021, at approximately 0800 hours, I, (b) (6), was performing a Humane Handling Category VIII (Stunning Effectiveness) Task and observed the following noncompliance: an establishment employee attempted a head stun on a beef steer in the restrainer by discharging the hand-held captive bolt on the forehead area of the beef steer. After the hand-held captive bolt was discharged, it appeared to have no effect on the animal, as the beef steer remained conscious, standing calmly and did not vocalize. The establishment employee immediately reloaded the hand-held captive bolt and applied an effective stun, rendering the animal unconscious. The establishment employee then reloaded the hand-held captive bolt and applied a security stun. I took a regulatory control action by verbally informing Establishment Co-Owner Mitch Auld that I was suspending slaughter operations. Mr. Auld gave me verbal corrective actions and preventative measures to prevent reoccurrence and I informed him that slaughter operations can resume. During postmortem inspection, I viewed the skull and observed three holes had penetrated the skull, with one hole located two inches above the eye line in the forehead of the skull and the other two holes two inches above the aforementioned hole. This is noncompliant with regulation 9 CFR 313.15(a)(1). I informed Mr. Auld, of the noncompliance and issuance of the noncompliance record.	CLOSED

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EstNbr	EstName	NR Id	NR#	Date	Task	TaskName	Regs	Description	Status
M47484+P47484	The Durand Smokehouse LLC	{06ACB6E2-68B E-4104-956D-E7 9368B11B85}	SLO5112 034412N -1	03/11/2021	04C02	Livestock Humane Handling	313.15(a)(1)	On 3/11/2021, at approximately 0830 hours, I, (b) (6), was performing a Humane Handling Category VIII (Stunning Effectiveness) Task and observed the following noncompliance: an establishment employee attempted a head stun on a beef steer in the restrainer by discharging the hand-held captive bolt on the forehead area of the beef steer. After the hand-held captive bolt was discharged, it appeared to have no effect on the animal, as the beef steer remained conscious, standing calmly and did not vocalize. The establishment employee immediately reloaded the hand-held captive bolt and applied an effective stun, rendering the animal unconscious. I took a regulatory control action by verbally informing Establishment Co-Owner Mitch Auld that I was suspending slaughter operations. Mr. Auld gave me verbal corrective actions and preventative measures to prevent reoccurrence and I informed him that slaughter operations can resume. During postmortem inspection, I viewed the skull and observed two holes had penetrated the skull, with one hole located two inches above the eye line in the forehead of the skull and the other hole one inch above the aforementioned hole, which did not fully penetrate the skull to the brain cavity. This is noncompliant with regulation 9 CFR 313.15(a)(1). I informed Mr. Auld, of the noncompliance and issuance of the noncompliance record. A similar noncompliance was documented on 2/11/2021 on NR SLO3514023611N. The establishments further planned actions were either not implemented or were inadequate to prevent this noncompliance from reoccurring.	CLOSED
M47491	C&S Meat Design	{2D465310-B74A -4150-8FE3-35F E4BAA0714}	WJG081 1034323 N-1	03/23/2021	04C02	Livestock Humane Handling	313.16(a)(1)	On March 23, 2021 at approximately 9:15A.M. (b) (6), while Performing Robust Humane Handling review at C&S Meat Design est. No. M47491, observed Chet Clayburn, owner miss the first knock on beef #2, Mr. Clayburn immediately rendered the animal unconscious following the establishments humane handling plan (b) (6) informed Mr. Chet Clayburn that a non compliance record would be issued for a stunning miss knock as per (b) (6) instructions.	CLOSED

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M11032+P11032+V11032	Northwest Premium Meats, LLC	AOC4408023111G	11FEB2021	04C02	Livestock Humane Handling	Finalized	On 2/10/21 at approximately 1100 hours, it was observed that the record titled "maintenance log" was not filled out for the hand held captive bolt devices, prior to the beginning of the shift. I verbally notified (b) (6) of the issue. (b) (6) then asked (b) (6) if the maintenance was performed, and it was found the captive bolt maintenance was not performed before the shift. Item 2 – Maintenance of the current verification plan for M11032 states "Maintenance will be conducted daily (on slaughter days) prior to the start of slaughter operations. The establishment will maintain a record on the "Maintenance Log".
M1361	VPP Group, LLC	QOQ2413032423G	23MAR2021	04C02	Livestock Humane Handling	Finalized	When I was on the head station for 2pm breaks I saw the stunning operator using the hand knocker for multiple cows. I observed him leaning over the knocking box with the hand knocker. His legs were off the ground. Several minutes later, I noticed a cow's head that had three stunning holes. One of the holes was in the poll ~1.5 inches medial to where the right horn was cut off. Another hole was in the middle of the frontal lobe in the intersection of two lines each drawn from the medial canthus of the eye to the base of the opposite horn. A third stunning hole was ~2.5 inches from the middle hole toward the lateral canthus of the left eye. I notified Establishment management who when discussed this situation with the employee and emphasized to follow the establishment's protocol about notifying a supervisor with an ineffective stun.
M17D+P7613+V17D	Smithfield Packaged Meats Corp.	WLJ1415010019G	19JAN2021	04C02	Livestock Humane Handling	Finalized	While giving afternoon breaks on heads from approximately 1330 hours to 1400 hours, I railed out three hogs for further veterinary inspection because rattle paddle marks were seen on the dorsum of the three hogs. The first was tattooed with 8571, and the mark was close to the neck on the left side of the carcass. Approximately half of the paddle and 1-2 inches of the handle could be seen. The second was also tattooed with 8571, and the mark was just to the right of midline. Only the top quarter of the paddle could be seen. The third hog was tattooed with 8572, and the mark was just to the left of midline. The top quarter of the paddle could be seen. After indicating that the second hog should be railed out, I called for a supervisor to come to the line. (b) (6) came to the line and was informed of my findings. He made sure the carcasses were railed out and he took pictures of the injuries. He then called down to the procurement office to let them know and sent the pictures along. When I went to the veterinary disposition stand just after 1400 hours, I informed (b) (6) of the third hog with the different tattoo number. I also took pictures for documentation. At approximately 1434 hours, I called (b) (6) in the barn to inform him of my findings. He immediately began investigating and called back after a few minutes to inform me that both tattoo numbers were from the same farm. I then emailed the pictures along with a brief description to (b) (6) and (b) (6) with the (b) (6), cc'd. I informed (b) (6) and (b) (6) of the expectation of continued investigation and reporting back their findings.

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M18079+P27 232	Smithfield Fresh Meats Corp.	VFB0308010 804G	04JAN2021	04C02	Livestock Humane Handling	Finalized	<p>PREDICATIONWhile going to Livestock to perform ante mortem dispositions I observed a live animal lying outside of segregation pen.OBJECTIVEI met with (b) (6) , (b) (6) , and (b) (6) to make them aware of my findings.SUMMARYAt approximately 0752, I observed a live animal lying outside the segregation pen near the B-side CO2 chambers. The animal had been removed from the drive alley leading to the CO2 chambers and left there. All plant employees in that area were on break at the time. The area outside the segregation pen, in which the animal was left, contained various items (metal poles, metal screens, broken plastic panels with sharp edges, and two large unused fans) on the floor that could pose as a potential hazard for the animal. Also, a gate in the area allowing access to a staircase leading to a lower level was open.I notified (b) (6) , (b) (6) , and (b) (6) of my findings. (b) (6) had the animal euthanized upon notification. To prevent recurrence, (b) (6) stated that the establishment will retrain all applicable employees in the involved area for both 1st and 2nd shifts on the proper way to secure animals removed from the drive alley.The establishment's written humane handling program requires nonambulatory/fatigued animals removed from drive alleys to be placed in a dedicated area or euthanized. Failure to properly implement your written humane handling program could result in the program not being recognized as robust. Injury to animals from the described conditions could result in regulatory or administrative action.BACKGROUNDEst. 18079 is a very large hog slaughtering facility. A similar incident in which a live animal was left in an area outside of a segregation pen was observed on 11/24/2020 and documented on MOI VFB2709112424G.</p>
M18632+P18 632+V18632	Hudson Meats & Sausage, Inc.	TFO1312014 314G	14JAN2021	04C02	Livestock Humane Handling	Finalized	<p>On December 17th I met with (b) (6) , to review the establishment's Systematic Humane Handling Program in response to the establishment requesting a determination of whether their program meets the requirements of Robust. After reviewing the establishment's written program, implementation, and records, I determined the program meets the Agency's expectations for a robust systematic approach to humane handling and slaughter of livestock. The implementation of the robust program will be subject to ongoing verification by IPP during routine humane handling verifications tasks. The robust status designation may be removed if the results of the ongoing verification reveals the establishment is not implementing the program as designed.</p>
M19185	Spectrum Preferred Meats, Inc	ASE1908021 610G	10FEB2021	04C02	Livestock Humane Handling	Finalized	<p>At approximately 1030 on 2/9/2021, 2 trucks were observed on the establishment premises not properly boarded up for the weather conditions. One was approximately 87% closed and the other was approximately 78% closed. The air temperature was 2 degrees Fahrenheit. The establishment's written humane handling plan states that when the temperature is below 11 degrees Fahrenheit trailers should be 90 to 95% closed. These findings were discussed with (b) (6) and (b) (6) , who addressed the inadequate boarding of the trucks with the drivers.</p>

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M1962+P1962	Perry Way Foods, LLC	LIN3711031116G	16MAR2021	04C02	Livestock Humane Handling	Finalized	HATS Category IX - Conscious Animals on the Rail On 3/15/2021 at 1500 hrs, while observing consciousness on the rail between the sticker and the ear tag removal station, I observed a hanging, shackled sow showing signs of regaining sensibility. I observed spontaneous blinking as the sow was exiting the wash cabinet, however I could not determine if the eyes were tracking movement. I then signaled for the line to be stopped and for a captive bolt operator to stun the sow. While waiting for the captive bolt to arrive, an employee made a cut into the skin of the sow's leg with a knife. After the cut was made, the sow's legs began to move spastically. A captive bolt gun was used to give the sow a security knock, followed by more reflexive leg kicking. I took a regulatory control action by placing US Reject tag B33511812 on the opening to the "V" restrainer and instructed employees to discontinue stunning. I called the district management team for further guidance. I then spoke with (b) (6) and (b) (6) in my office to share my observations and final decisions. I stated there was not enough evidence to definitively say the sow was conscious. I did not observe a righting reflex, coordinated head or leg movement, nor did I hear vocalization. However, I did observe evidence the sow was in the process of regaining sensibility, and it was potentially close to being conscious. We discussed ideas for the company to improve identification and response time to similar incidents in the future, though no decision was made during our discussion.
M2049+P2049	Iowa State University Meat Laboratory	PRB2113024022G	22FEB2021			Finalized	Met with (b) (6) to discuss; Both plant and IPP have received copies via email of the Humane Handling audit done by (b) (6) on 2/4/2021. Slaughter this Thursday will be 4 beef, not 6. 1 each of steer, heifer, cow and bull. Animals will be dropped off Wednesday afternoon around 1400 hours. Animals are for ANSI 270 class. Darling Int., the company that handles all inedible removal for the plant has slowed down due to increase in cost/lower supply of natural gas. Plant is diverting some inedible to the University incinerator to avoid a backup. It is not clear when normal operations will resume at Darling.
M20608+P20608	The Pork Company	KVC0515025220G	20FEB2021			Finalized	At approximately 2:45pm, I was sitting at my desk in the office (trailer outside establishment) and I heard loud squealing/bawling coming from the barn. I went to the barn to see what was going on. As I approached the barn, I could tell that the squealing/bawling was coming from the back end of the barn (pens A1 to A4). I went to those pens and saw that there was an employee in pen A1 and an employee in pen A2. They were paddling at the pigs in the pens. Half of the pigs in both pens were pushed up against each other and the gate and the other half of the pigs were at the opposite end of the pens. I asked the employee in pen A1 what was going on. He stated that they needed to recount the pigs and he was having a hard time getting the pigs to move. I explained that what they were doing was causing the pigs stress and could lead to injury. No pigs were injured. There were several empty pens around so I suggested if they needed to recount pigs that they do it by moving the pigs into one of the empty pens that way the pigs could move about freely and not end up pinned against one another. The employee acknowledged that he should have done that and would do that in the future. I then left the barn. When I got outside the barn the (b) (6), was standing near the gate. I explained to him what had just happened and the conversation that I had with the employee. He stated that he would speak to the employees.

EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M20892+P20 892+V20892	Delta Meat & Sausage Co.	QGO5213022 119G	19FEB2021			Finalized	<p>Present; Ms. Jeannie Pinkelman (owner), (b) (6) This memo is to summarize our conversation on Wednesday February 17, 2021 regarding humane handling. 1. FSIS is required to observe animals being unloaded from trailers and moved throughout the barn. 2. People handling animals may use any tools or methods within the scope of their problem-solving capabilities provided that the tools and methods do not cause additional stress to the animals. 3. If necessary with a new stun operator, IPP, the plant manager and the new stun operator will agree on a new arrangement for IPP to verify effective stunning that supports safety for all and minimizes stress on the stun operator. FSIS inspection program personnel (IPP) are required to observe animals being unloaded from trailers into the barn and holding pens. IPP are also required to observe the handling and the movement of the animals throughout the barn. All people (employees, farmers, truck drivers, etc.) on the Delta Meat and Sausage premises are required to handle animals while inciting minimal amounts of stress beyond the animals' innate level of stress. IPP look for animal responses including but not limited to enhanced vocalization and running. On Thursday February 11, 2021, I observed bison from Scott Plagerman Farms being unloaded and moved into the stun box. I stood next to Ms. Connie Plagerman in the protected area next to the scale. This kept me as safe as possible and minimized my presence being a distraction to the bison. The bison were handled very well; I did not observe any actions that concerned me. Mr. Plagerman asked what tools are available to him for legally moving bison. My response was that he may use any tools or methods within the scope of his problem-solving capabilities provided that the tools and methods do not cause stress to the animals as described above. We specifically identified sorting sticks, sorting sticks with bags at the end and even electric prods as acceptable tools when used appropriately as described above. On Tuesday February 9, 2021, I observed an employee moving pigs from the barn on to the kill floor. The employee used his feet multiple times to move the pigs. The employee appeared to pick up the back legs of the pigs and walk them forward like wheelbarrows. And the employee pinched the septum of the nose between the nostrils with his fingers to turn the pigs. While observing, I noted that the pigs were vocalizing more than usual and were running away from the employee. While not convinced that this was inhumane, I felt these methods of handling were excessive and not necessary as the regular stun operator is able to move with just the use a push board the same aged and sized pigs from the same farm with less stress. You responded immediately by having the regular stun operator retrain the employee to ensure the highest quality animal handling possible. Thank you. Lastly, we discussed IPP safety during stunning with a firearm. IPP are required to verify effective stunning. When sheep, goats, sows and boars are stunned with a firearm with the firearm aimed towards the rear of the stun box, there is no safe place for me to stand in the barn, and I wait on the kill floor. When cattle, bison, yak and other animals are stunned with a firearm with the firearm aimed towards the front of the stun box, I feel safe in the barn standing around the corner at the rear of the stun box. This easily allows me to visually and verbally communicate with the stun operator after each stun if I feel the need to observe the animal's head to verify effective stunning. The current stun operator is comfortable with this arrangement. The current stun operator is leaving. We agreed that for a future stun operator, if the arrangement needs to be re-addressed, IPP, the plant manager and the stun operator will come to an agreement that supports safety for all, minimizes stress</p>

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							on the stun operator and ensures IPP the ability to verify humane handling requirements.
M20892+P20892+V20892	Delta Meat & Sausage Co.	QGO3816035524G	24MAR2021			Finalized	HATS Category VIII: Stunning Effectiveness At approximately 0905 hours on March 24, 2021, I observed an establishment employee, attempt to stun a very large mature bull yak with a .30-30 caliber rifle. The animal had large horns which would not fit into the head gate within the stun box, so no head restraint was used. For safety reasons, I was positioned outside the stunning area and could not directly observe the animal following each stun attempt. I heard the firearm discharge four times, at which point I approached the front of the stun box and observed that the yak was still conscious and standing. I did not observe the animal vocalize nor did the animal appear to be in distress. The stun operator reloaded the firearm, and I observed the stun operator apply a fifth stun attempt this time from behind the head in the poll. I observed the animal to continue to remain conscious and standing. I then observed the stun operator apply a sixth stun attempt to the animal from behind the head in the poll. After the sixth stun attempt the animal became non-ambulatory and was verified to be unconscious and remained so as it was shackled, hoisted, and bled. A regulatory control as taken at stun box with US Rejected tag #B39485839. I verbally notified the establishment management. The Denver District Management team was contacted through supervisory channels. The dressed head was observed, and there were four contact points in the forehead, and two penetrating stun holes observed behind the poll. None of the projectiles appear to have penetrated within the target zone. There have been no noncompliance records issued for the same root cause in the past 90 days.
M21741	GA Small Ruminant Research and ExtCenter Ag Research College of Ag	QVB0010014926G	26JAN2021			Finalized	On January 26th at 1000 hours, IPP discussed the following with plant management:1. New cattle restraining device/equipment to be installed 2nd week of February. Will facilitate better control of cattle during stunning procedures.2. 2 goats and 3 cattle were killed under custom-exempt today, 01/26/2021.3. New employees being trained in both stunning and dressing procedures at the plant under supervision of (b) (6) .
M21741	GA Small Ruminant Research and ExtCenter Ag Research College of Ag	QVB2411024509G	09FEB2021			Finalized	On Tuesday, February 9th, 2021 at 0900 hours, IPP and DVMS discussed verification of humane handling of swine. One swine was successfully stunned, stuck, and hung under supervision on this day. IPP discussed renovation of chute and head gate. This renovation will start next Monday, February 15th and no slaughter will take place this week. Slaughter will resume on Tuesday, February 23rd.

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M21898+V21898	Farmers Union Industries, LLC	OXG4118012709G	09JAN2021	04C02	Livestock Humane Handling	Finalized	Establishment M21898, Redwood Farms, Estherville, Iowa--Odd-Hour Inspection Report.On January 9th, 2021 from 1710 to 1910 hours, (b) (6) performed an Odd-Hour Inspection of Establishment M21898, Redwood Farms in Estherville, Iowa. During this period, the establishment was not operating:-- No staff was present during my inspection.-- No unloading of trucks or movement of hogs were observed during this time.-- Lighting throughout the new and old barn was good allowing me to easily observe the condition of the livestock, the availability of water and feed in all pens. No issues were noted either in alleys or pens that would lead to harm or injury to the hogs.All hogs occupying 8 pens had water and feed available to them at the time of my inspection. Temperature within the barn was pleasant-neither hot nor cold. I noticed no evidence that hogs were injured or mistreated nor that they were uncomfortable. No dead hogs were observed.In summation, no issues of concern were noted during my inspection.
M21938+P21938	EcoFriendly Foods	FYA0608011215G	15JAN2021			Finalized	I, (b) (6) discussed with establishment owner Mr. Eggleston on January 13, 2021 the humane handling verification activities. On 1/6/21, 11 market hogs were slaughtered using the electrical stun system, on 1/12/21 3 heifers were slaughtered using the .22 magnum pistol and the .45 as the backup, and on 1/13/21 5 market hogs were slaughtered using the electrical stun system; 100% stunning effectiveness. All verification activities were acceptable for this bi-weekly period ending 1/14/2021. I notified Mr. Eggleston that I recommend the continuation of the verification activities.No other topics discussed at this time.
M21938+P21938	EcoFriendly Foods	FYA4306012629G	29JAN2021			Finalized	On Thursday January 28, 2021 I held a discussion with establishment owner Mr. Eggleston to discuss the bi-weekly period of verification activities of the humane handling verification plan. During this 2 week period the following animals were slaughtered: On 1/20/21 2 steer and 2 heifer were slaughtered using .45 caliber pistol. On 1/26/21 2 beef cow were slaughtered using .45 caliber pistol and 6 lamb were slaughtered using .22 pistol. On 1/27/21 14 market hogs were slaughtered using the electrical stunning system; 100% stunning effectiveness. I recommend the continuation of the verification activities.
M21938+P21938	EcoFriendly Foods	FYA1711021016G	16FEB2021			Finalized	I, (b) (6) , held a discussion with plant owner Mr. Eggleston to discuss the Humane Handling verification activities during the bi-weekly period of January 28, 2021-February 11, 2021.On 2/10/21 13 market hogs were slaughtered using the electrical stunning system; 100% stunning effectiveness. I recommend the continuation of the verification activities.

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M22095+P22095+V22095	Creston Valley Meats	QOI1210024725G	25FEB2021	04C02	Livestock Humane Handling	Finalized	<p>On Wednesday, 2/24/2021, at approximately 10:45 am, the establishment was offloading market hogs from a customer's trailer into the establishment's holding pens. The establishment had some difficulty moving the hogs from the trailer and asked the customer to move the trailer into a better position, which caused a decrease in height from the trailer to the loading pen. After moving the trailer, the establishment attempted to move the hogs out of the trailer and noticed the customer near the opening of the trailer, Miguel Anducho, plant manager of the slaughter floor and his (b) (6), did not ask for any help or assistance from the customer and indicated they will handle the hogs. However, the customer still attempted to remove one of the hogs from the trailer by pulling on the right ear of the hog for approximately 2-3 seconds causing it to move approximately one foot forward close to the ledge of the trailer. The hog did not show any sign of distress and jumped down from the trailer onto the floor of the holding pen, which is approximately 6 inches off the ground. After the incident with the customer and all the hogs were safely moved into the holding pen, Miguel Anducho, plant manager of the slaughter floor, and his (b) (6), discussed with the customer a second time that the customer should not be handling the animals while offloading into the holding pens. It was discussed with establishment that once a vehicle carrying livestock enters, or is in line to enter, an official slaughter establishment's premises, the vehicle is a part of that establishment's premises. The animals within that vehicle are to be handled in accordance with 9CFR313.2 and required to minimize excitement, discomfort, or accidental injury. The establishment recently submitted a draft of their robust systematic approach to USDA on 2/18/2021. I would recommend evaluating this situation to see if there is notification, education, or improvement that the establishment could implement to address this vulnerability in the program. IPP recognizes the establishment's prompt action before and after to try to prevent the situation from occurring.</p>
M2444	Strauss Brands LLC	VFG0707014921G	21JAN2021	04C02	Livestock Humane Handling	Finalized	<p>Strauss Attendees: (b) (6); (b) (6); Juan Ruelas, Plant Manager USDA Attendees: (b) (6)</p> <p>This is a summary of what was discussed on 1/18/2021 outside of the barn at approximately 10:30 am and in the foyer at approximately 10:50 am. The electric stunner was tagged "US Rejected" by (b) (6) at approximately 10:24 am on 1/18/2021 on suspicion that a ewe had returned to consciousness during bleed out, (b) (6) consulted by phone with (b) (6), about the possible return to consciousness. It was decided that it could not be confirmed with 100% confidence that the ewe had returned to consciousness. USDA removed the tag at approximately 10:50 am. (b) (6) discussed some issues with the plant personnel. The stun to stick time is still very long, averaging sixteen to sometimes greater than twenty seconds, as (b) (6) had originally explained during the humane handling audit on 1/8/2021. Additionally, FSIS in-plant personnel are concerned that there have been some instances where the electric stunner has not reached at least 1.0 amps. (b) (6) explained to plant personnel that FSIS cannot regulate the number of amps. However, a weaker stun combined with a long stun to stick time may make a return to consciousness more probable. Plant personnel responded that they are going to have a meeting on 1/19/2021 where they will work through these issues.</p>

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M2444	Strauss Brands LLC	VFG0611010329G	29JAN2021	04C02	Livestock Humane Handling	Finalized	<p>Summary of Humane Handling Vulnerabilities from the week of 01/25/2021 1. On Wednesday, 1/27/2021, there was no "trained management personnel in the restraining and stunning area" as indicated in the verification plan for lambs during unloading of the second truck for approximately the first 50 head. (b) (6) pointed this out to (b) (6), who responded that (b) (6) was around the corner by the start of the second lamb rail (after the inversion step). (b) (6) stopped the antemortem inspection that she was performing to verify that (b) (6) was there, but he was not. The location of the second lamb rail does not provide direct line of sight to the stunning area. The establishment chose to have (b) (6), stationed in the restraining and stunning area while Alanna was unloading the lambs. If the establishment wishes to have (b) (6) fill in at the stunning position, documentation showing that he has had equivalent humane handling training as management needs to be provided. The importance of having someone in the restraining and stunning area was discussed previously with the establishment in MOI VFG06140103211 where it was recorded that (b) (6) would be present while Alanna is unloading trucks. 2. At approximately 11:20 am on 1/27/2021, (b) (6) spoke with (b) (6), and suggested that (b) (6) observe the placement of the electrodes on the lamb and make sure that it meets the manufacturer's recommendations because infrequently, the placement looked off-center compared to general recommendations for head-only lamb electric stunning.</p>
M2444	Strauss Brands LLC	VFG2508023805G	05FEB2021	04C02	Livestock Humane Handling	Finalized	<p>(b) (6) did not receive any humane handling training for the few lamb slaughter shifts that he worked as rear-leg shackler and hoist operator. Lambs are stunned, released from the conveyor, slid down an open-sided chute, stuck with a Halal cut, and then shackled and hoisted off the slide. The time from stun to stick is 9-10 seconds and the employee in the shackling position has a view of both stunning and sticking. The verification plan states that the establishment will "verify all pertinent employees (barn employees and outside contract sticking employee) are trained on Assessing Insensibility of Stunned Animals." The employee that took (b) (6) place has received insensibility training. The establishment was notified that this will be documented in a Humane Handling MOI in relation to their verification plan.</p>

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M2460+P2460	Cimpl's, Inc.	PMB3809015727G	27JAN2021	04C02	Livestock Humane Handling	Finalized	<p>At approximately 0900 hours, while performing HATS task category II Truck Unloading, I observed a Coover/Hinken trucking semi back into the unloading chute. I observed in the back compartment, 4 mature Holstein cows in a recumbent position. A barn employee arrived and performed a walk around the trailer and informed the driver of the downed animals and that he would have to leave the property and return when the cows are all standing. After the truck left, I asked (b) (6), how many animals were on this load. (b) (6) informed me that there were 41 head of mature Holstein cows on the load and that there were only supposed to be 38 scheduled from that producer. I informed (b) (6), of my observations and informed him that I would be issuing an MOI. At approximately 1050 hours the same truck arrived back at the truck unloading area. At this time, I observed 1 animal in a recumbent position with another animal straddling it. (b) (6) the load and the driver was instructed to proceed to the North Barn location to unload some of the animals and return with a partial load. (b) (6) informed me that the (b) (6) discussed his concerns of overcrowding the trailer with the driver. All cattle were unloaded at the North Barn and none were hauled back to the establishment.</p>
M2460+P2460	Cimpl's, Inc.	PMB0809013429G	29JAN2021	04C02	Livestock Humane Handling	Finalized	<p>On 1/29/2021 at approximately 0730 hours, while performing HATS task category VIII Stunning Effectiveness I observed a mature Angus bull enter the stunning area. The senior stunning employee restrained the animals head, performed the initial stun with the pneumatic captive bolt, touched the eye (with no corneal reflex), and apply the secondary stun with the pneumatic captive bolt. I noted that the eyes did not have the normal appearance of a properly stunned animal. I observed the animal being shackled, hoisted and placed onto the production line. I performed HATS task category IX Return to Consciousness as the animal was moved to the sticking station. I noted that the animals head and neck were stiff and slightly raised in line with the spine but was not actively righting itself. After the sticking procedure was performed on the animal and the employee removed the front legs, I observed 3 distinct movements of the eyelid, movement of the nose and sides of the animal that appeared to be breathing movements. I informed (b) (6) who was in the stunning area performing a stunning audit of my observations. (b) (6) retrieved the hand held captive bolt stunner and performed a poll stun on the animal to ensure insensibility. (b) (6) arrived to the stunning area and I informed him of my observations. A regulatory control action was taken by placing U.S. Retained tag #B38174343 at the stunning area. After a discussion with (b) (6) and (b) (6) it was decided that since there was no corneal reflex, no active eye tracking, no vocalization and no active righting reflex that there were no conclusive signs of return to consciousness. Since there was no return to consciousness there is no non-compliance. The retained tag was removed from the restrainer at approximately 0744 hours and normal operations resumed.</p>

EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M2460+P2460	Cimpl's, Inc.	PMB0610022618G	18FEB2021	04C02	Livestock Humane Handling	Finalized	<p>On 2/17/2021, at approximately 1405 hours. While performing HATS task category III Water and Feed Availability. I (b) (6) observed a Hereford cow being driven out of the shackling area and placed into pen 7. As the animal was driven into pen 7, I observed the animal limping and holding its right front leg up. The animal was clearly agitated and spinning around in the pen. As I approached the pen I observed a heavy flow of blood from the animal's right front hoof. Upon closer observation I noticed that the outside half of the animal's right front hoof was missing and bleeding heavily. I instructed a barn employee to contact (b) (6) and have him come to the area immediately. When (b) (6) arrived I informed him of the observation. (b) (6) (via the company's 2-way radio) called other barn employees to immediately retrieve the euthanasia equipment and come to the area. (b) (6) also called for (b) (6) to come to the area as well. Upon (b) (6) arrival he was also informed of the finding. The animal was successfully euthanized via gunshot & captive bolt. (b) (6) informed me that he would investigate as to how this occurred and inform me of his findings. After investigation (b) (6) informed me that the floor of the knock-box was raised too high (causing a pinch point in the right front corner) of knock box. He also informed me that maintenance installed a stop on the lift cylinder for the floor of the knock-box to prevent the floor from raising too high and separating from the right front wall causing the pinch point.</p>
M27435+P27435+V27435	The Cut Custom Processing LLC	ROP4006012507G	07JAN2021			Finalized	<p>NR Status: There is one open NR. Are there any Plant Tests that have been returned Positive? One positive Lm test. FSIS Samples: There are no USDA samples to schedule for January. Plant sampling/testing: Plant Listeria testing after intensified cleaning dated 1/5/21 Neg. for Lm spp. Carcass Intervention (before and after) dated 12/3/2020 acceptable; Thermometer calibrations dated 01/04/2021 Acceptable, Water Tests dated 7/28/2020 and 12/22/2020 were acceptable. New Directives/Notices; Notice 02-21 AVAILABILITY OF GUIDANCE DOCUMENTS ON DONATING PRODUCT AND RESPONDING TO CUSTOMER COMPLAINTS. Sanitation Concerns: Continue to address actions needed on open SPS NR. Scheduling (OT, Holidays and Dark Days); None Results of Reviews (custom, odd hour, FSA): Custom Review dated 2/26/2020 acceptable. Plant Discussion; There is one open NR: SPS Verification NR dated 9/29/2020. Notice 02-21 AVAILABILITY OF GUIDANCE DOCUMENTS ON DONATING PRODUCT AND RESPONDING TO CUSTOMER COMPLAINTS Thursday, January 7th is week 6 of the Suspension. All cattle on this date are to be slaughtered custom and stamped NOT FOR SALE. In response to Mr. Regers's question as to whether he would be required to continue using the captive bolt cartridge selection log after the suspension has been lifted, no he is not required to continue using it, but he should consider the benefits of using it, or at least using it occasionally. Mr. Reger sent in the second water sample for this calendar year, results were acceptable. Test results for Lm spp./Lm monocytogenes after they performed a deep clean and sanitized all food contact surfaces and associated areas was Neg. Mr. Reger also sent in a Ground Beef Sample for Shiga Toxin-Producing Escherichia coli which also returned a Neg. result. Attendees; Thad Reger. NOTE: Portions of the above minutes may have been discussed intermittently throughout the week.</p>

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M27435+P27 435+V27435	The Cut Custom Processing LLC	ROP5712012 714G	14JAN2021			Finalized	NR Status: There is one open NR. Are there any Plant Tests that have been returned Positive? One positive Lm test which has been followed up on. New swab tests were Neg. for L spp. FSIS Samples: There are no USDA samples to schedule for January. Plant sampling/testing; Plant Listeria testing after intensified cleaning dated 1/5/21 Neg. for Listeria spp. Carcass Intervention (before and after) dated 12/3/2020 acceptable; Thermometer calibrations dated 01/04/2021 Acceptable, Water Tests dated 7/28/2020 and 12/22/2020 were acceptable. New Directives/Notices; Notice 02-21 AVAILABILITY OF GUIDANCE DOCUMENTS ON DONATING PRODUCT AND RESPONDING TO CUSTOMER COMPLAINTS. Sanitation Concerns: Continue to address actions needed on open SPS NR. Scheduling (OT, Holidays and Dark Days); Monday, January 18th is a Federal Holiday, this plant is not working that day. Results of Reviews (custom, odd hour, FSA): Custom Review dated 2/26/2020 acceptable. Plant Discussion; There is one open NR: SPS Verification NR dated 9/29/2020. We will go through all the points in this NR next week and do a walk-through of the plant to see what needs to be done to close this out. Thursday, January 14th is week 7 of the Humane Handling Suspension. All cattle on this date were slaughtered USDA. (b) (6) was present for the slaughter and all stunning on the cattle went well. This was a 30 day evaluation for Humane Handling by (b) (6). It will be followed by a 60 and 90 day evaluation. Seven cattle were successfully slaughtered with no deviations on this date. KIS Test on Beef # B014-03 and B014-04 Tested Neg. KIS Test on Beef # B014-06 and B014-07 waiting for KIS test results. Attendees; Thad Reger. NOTE: Portions of the above minutes may have been discussed intermittently throughout the week.
M27435+P27 435+V27435	The Cut Custom Processing LLC	ROP1606012 722G	22JAN2021			Finalized	NR Status: There is one open NR. Are there any Plant Tests that have been returned Positive? None FSIS Samples: There are no USDA samples to schedule for January. Plant sampling/testing; Plant Listeria testing 1/19/21 Negative. Carcass Intervention (before and after) dated 12/3/2020 acceptable; Thermometer calibrations dated 01/19/2021 Acceptable, Water Tests dated 7/28/2020 and 12/22/2020 were acceptable. New Directives/Notices; None. Sanitation Concerns: Continue to address actions needed on open SPS NR. Scheduling (OT, Holidays and Dark Days); None Results of Reviews (custom, odd hour, FSA): Custom Review dated 2/26/2020 acceptable. Plant Discussion; There is one open NR: SPS Verification NR dated 9/29/2020. After going through the plant to see what needed to be addressed in the NR there were two minor areas that needed attention. Mr. Reger stated he has the stainless steel to fix the noted area and will address it along with the two plugs on the frame of the chill cooler. Thursday, January 14th marks week 8 of the Humane Handling Suspension. All cattle on this date were slaughtered USDA. Thirteen cattle and one goat were successfully slaughtered with no deviations on this date. There were no custom animals slaughtered this week. The Captive Bolt Cartridge Selection Log was appropriately documented during antemortem 1/21/21. Justification for the cartridge used per animal was per discussion between the Plant Manager Thad Reger and (b) (6) during antemortem. KIS Test on Beef # B014-06 and B014-07 from last week was Neg. Attendees; Thad Reger. NOTE: Portions of the above minutes may have been discussed intermittently throughout the week.

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M27435+P27 435+V27435	The Cut Custom Processing LLC	ROP1313012 828G	28JAN2021			Finalized	<p>NR Status: There is one open NR. Are there any Plant Tests that have been returned Positive? None FSIS Samples: The MT-60, MT 60 Cloth sample and MT-43 USDA sample (ground beef) are scheduled for Tuesday February 5th. Plant sampling/testing; Plant Listeria testing 1/19/21 Negative. Carcass Intervention (before and after) dated 12/3/2020 acceptable, new swab was taken today, 1/28/21 ; Thermometer calibrations dated 01/25/2021 Acceptable, Water Tests dated 7/28/2020 and 12/22/2020 were acceptable(coliforms and Nitrates were among the test results). New Directives/Notices; Notice 69-20 IN-FIELD STUDY TO TEST A NEW SAMPLE COLLECTION METHOD FOR BEEF MANUFACTURING TRIMMINGS. FSIS Notice 05-21 Quarterly Establishment Information Letters.Sanitation Concerns: Keep processing room floor picked up of debris (fat etc.). Scheduling (OT, Holidays and Dark Days); None Results of Reviews (custom, odd hour, FSA); Custom Review dated 2/26/2020 acceptable. Plant Discussion; There is one open NR: SPS Verification NR dated 9/29/2020. After going through the plant to see what needed to be addressed in the NR there were two minor areas that needed attention. (b) (6) stated he has the stainless steel to fix the noted area and will address it along with the two plugs on the frame of the chill cooler. The QUARTERLY ESTABLISHMENT INFORMATION LETTERS have posted and have been received by this establishment.Notice 69-20 IN-FIELD STUDY TO TEST A NEW SAMPLE COLLECTION METHOD FOR BEEF MANUFACTURING TRIMMINGS.Cloth samples will be analyzed for Salmonella and indicator organisms only. FSIS is focusing its surface sampling analysis on Salmonella and indicators during the in-field study. The traditional MT60 sample will be analyzed for Shiga-toxin producing E. coli (STEC), Salmonella, and indicator organisms. STEC testing of the MT60 sample requires establishments to hold product until test results are available. This sample is scheduled for Tuesday 1/2. Cloth sampling and how it would be performed along with the conventional MT-60 were discussed with Mr. Reger. Thursday, January 28th marks week 9 of the Humane Handling Suspension. All cattle on this date were slaughtered USDA. Ten cattle were successfully slaughtered with no deviations on this date. There were no custom animals slaughtered this week. The Captive Bolt Cartridge Selection Log was appropriately documented during antemortem 1/28/21. Justification for the cartridge used per animal discussion included Plant Manager Thad Reger, (b) (6) and was monitored by (b) (6) . Attendees: Thad Reger. NOTE: Portions of the above minutes may have been discussed intermittently throughout the week.</p>

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M27435+P27435+V27435	The Cut Custom Processing LLC	ROP2807020905G	05FEB2021			Finalized	<p>NR Status: There are no open NR's. Are there any Plant Tests that have been returned Positive? None FSIS Samples: There is an MT-60, and an MT 60 Cloth sample, and a MT-43 USDA sample (ground beef) scheduled for Friday February 12th. Plant sampling/testing; Plant Listeria testing 1/19/21 Negative. Carcass Intervention (before and after) dated 12/3/2020 acceptable, new swab was taken 1/28/21 waiting for test results ; Thermometer calibrations dated 02/02/2021 Acceptable, Water Tests dated 7/28/2020 and 12/22/2020 were acceptable(coliforms and Nitrates were among the test results), New Directives/Notices; None. Sanitation Concerns: Keep processing room floor picked up of debris (fat etc.). Scheduling (OT, Holidays and Dark Days); None Results of Reviews (custom, odd hour, FSA): Custom Review dated 2/03/2021 acceptable. Plant Discussion; There are no open NR's. Thursday, February 4th marks week 10 of the Humane Handling Suspension. All cattle on this date were slaughtered USDA. Twelve cattle were successfully slaughtered with no deviations on this date. There were no custom animals slaughtered this week. The Captive Bolt Cartridge Selection Log was appropriately documented during antemortem 2/4/21. Justification for the cartridge used per animal discussion included Plant Manager Thad Reger, (b) (6) and was monitored by (b) (6) . Discussed segregation of Suspect animals during antemortem. Suspect pens may require more light because these are places where animals are more closely examined during inspection. The establishment must provide adequate areas for holding animals that are suspect. Section 309.2 (p) provides for occasions when the establishment requests and receives permission to hold an animal for treatment in an effort to improve the animal's condition to the point that it may become eligible for slaughter. Another possibility is that the establishment may request and receive permission to have an animal treated off-premises, such as at a local veterinary clinic. These animals must also be kept in an identified pen until they are picked up for treatment. Non-ambulatory disabled cattle cannot be set aside for treatment either on or off establishment premises. These are unusual occasions and there are further instructions involved if this issue should arise. Attendees; Thad Reger.</p>

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M27435+P27 435+V27435	The Cut Custom Processing LLC	ROP1606023 112G	12FEB2021			Finalized	<p>NR Status: There are no open NR's. Are there any Plant Tests that have been returned Positive? None FSIS Samples: There is an MT-60, and an MT 60 Cloth USDA sample scheduled for today, Friday February 12th. There is an MT-43 (ground beef) sample scheduled for Monday February 22nd. Plant sampling/testing; Plant Listeria testing 2/09/21 Negative. Carcass Intervention (before and after) dated 2/5/2021 acceptable. Thermometer calibrations dated 02/08/2021 Acceptable, Water Tests dated 7/28/2020 and 12/22/2020 were acceptable(coliforms and Nitrates were among the test results), New Directives/Notices; None. Sanitation Concerns: Keep processing room floor picked up of debris and water puddling addressed. Scheduling (OT, Holidays and Dark Days); Monday February 15th is a Federal Holiday. This plant will not be conducting any USDA activities that day. Results of Reviews (custom, odd hour, FSA); Custom Review dated 2/03/2021 acceptable. Plant Discussion; There are no open NR's. Thursday, February 11th marks week 11 of the Humane Handling Suspension. Seven cattle were successfully slaughtered USDA inspected and were monitored by (b) (6) , (b) (6) or (b) (6) with no deviations on this date. There were 6 custom cattle slaughtered this day also. The Captive Bolt Cartridge Selection Log was appropriately documented during antemortem 2/11/21. Justification for the cartridge used per animal discussion included Plant Manager Thad Reger, Stunning Operator Ethan Reger and was monitored by (b) (6) was at The Cut Wednesday February 10th to conduct the 90-day conditional grant review. As there was a time restraint on evaluating the plants documentation that day, (b) (6) will be back again on Wednesday February 17th to try to finish the evaluation. Discussion with Mr. Reger concerning purchasing of hog dehairer, cure injector and smokehouse as an addition to the plant. Attendees; (b) (6) .</p> <p>NOTE: Portions of the above minutes may have been discussed intermittently throughout the week.</p>

EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M27435+P27 435+V27435	The Cut Custom Processing LLC	ROP4906022 319G	19FEB2021			Finalized	<p>NR Status: There are no open NR's. Are there any Plant Tests that have been returned Positive? None FSIS Samples: There was an MT-60, and an MT 60 Cloth USDA sample taken Friday February 12th which tested Neg. There is an MT-43 (ground beef) sample scheduled for Monday February 22nd. Plant sampling/testing; Plant Listeria testing 2/09/21 Negative. Carcass Intervention (before and after) dated 2/5/2021 acceptable. Thermometer calibrations dated 02/15/2021 Acceptable, Water Tests dated 7/28/2020 and 12/22/2020 were acceptable(coliforms and Nitrates were among the test results), New Directives/Notices; None. Sanitation Concerns: Keep processing room floor picked up of debris and water puddling addressed. Scheduling (OT, Holidays and Dark Days); None Results of Reviews (custom, odd hour, FSA): Custom Review dated 2/03/2021 acceptable. Plant Discussion: There are no open NR's. Thursday, February 18th marks week 12 of the Humane Handling Suspension. Eight cattle were successfully slaughtered USDA inspected and were monitored by (b) (6) with no deviations on this date. The Captive Bolt Cartridge Selection Log was appropriately documented during antemortem 2/18/21. Justification for the cartridge used per animal discussion included Plant Manager Thad Reger, (b) (6) and was monitored by (b) (6) .(b) (6) was again at The Cut Wednesday February 17th to finish conducting the 90-day conditional grant review. All plans will be reviewed again after the notated areas, which were tagged, are corrected. Discussion with Mr. Reger concerning monitoring and verification activities. Attendees; Thad Reger. NOTE: Portions of the above minutes may have been discussed intermittently throughout the week.</p>
M27435+P27 435+V27435	The Cut Custom Processing LLC	ROP5111024 926G	26FEB2021			Finalized	<p>NR Status: There are no open NR's. Are there any Plant Tests that have been returned Positive? None FSIS Samples: There was an MT-60, and an MT 60 Cloth USDA sample taken Friday February 12th which tested Neg. There was an MT-43 (ground beef) sample taken Monday February 22nd which tested Neg. Plant sampling/testing; Plant Listeria testing 2/09/21 Negative. Carcass Intervention (before and after) dated 2/5/2021 acceptable. Thermometer calibrations dated 02/23/2021 Acceptable, Water Tests dated 7/28/2020 and 12/22/2020 were acceptable(coliforms and Nitrates were among the test results), New Directives/Notices; FSIS Directive 7120.1 Rev. 55 Safe and Suitable Ingredients Used in the Production of Meat Poultry and Egg Products Sanitation Concerns: None Scheduling (OT, Holidays and Dark Days); None Results of Reviews (custom, odd hour, FSA): Custom Review dated 2/03/2021 acceptable. Plant Discussion: There are no open NR's. Thursday, February 25th marked week 13 of the Humane Handling Suspension. Fourteen cattle were successfully slaughtered USDA inspected and were monitored by (b) (6) with no deviations on this date. The Captive Bolt Cartridge Selection Log was appropriately documented during antemortem 2/25/21. Justification for the cartridge used per animal was ascertained by Plant Manager Thad Reger, and was monitored by (b) (6) . I conducted a quarterly odd hour inspection of the pens and animals this morning 2/26/21 and found no issues. Plant Manager Thad Reger accompanied me during this inspection. There was no icing in the alleys or pens but if there were, it would have to be addressed. The same applies if the watering devices were frozen. Discussion with Mr. Reger concerning monitoring and verification activities. Attendees; Thad Reger. NOTE: Portions of the above minutes may have been discussed intermittently throughout the week.</p>

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M27435+P27 435+V27435	The Cut Custom Processing LLC	ROP2113034 405G	05MAR2021			Finalized	<p>NR Status: There are no open NR's. Are there any Plant Tests that have been returned Positive? None FSIS Samples: There is a RTE sample to schedule this month. Plant sampling/testing; Plant Listeria testing 2/09/21 Negative. Carcass Intervention (before and after) dated 2/5/2021 acceptable, Thermometer calibrations dated 03/01/2021 Acceptable, Water Tests dated 7/28/2020 and 12/22/2020 were acceptable(coliforms and Nitrates were among the test results), New Directives/Notices; Directive 8140.1 Rev. 2 Notice of Receipt or Distribution of Adulterated Product Sanitation Concerns: None Scheduling (OT, Holidays and Dark Days); None Results of Reviews (custom, odd hour, FSA): Custom Review dated 2/03/2021 acceptable. Plant Discussion: There are no open NR's. Thursday, March 4th marked week 14 of the Humane Handling Suspension. Seven cattle were successfully slaughtered USDA inspected and were monitored by (b) (6) with no deviations on this date. The Captive Bolt Cartridge Selection Log was appropriately documented during antemortem 3/4/21. Justification for the cartridge used per animal was ascertained by Plant Manager Thad Reger and was monitored by (b) (6) . There were two custom cattle also slaughtered this date. Directive 8140.1 Rev. 2 Notice of Receipt or Distribution of Adulterated Product is not to be implemented until March 1st, 2021. The regulatory requirements for establishments to notify FSIS when they have shipped or received adulterated or misbranded products are unchanged; however, the logistics of FSIS receiving and reporting the notification and documenting Agency assessment and establishment corrective actions have been updated and incorporated into this directive. Attendees; Thad Reger. NOTE: Portions of the above minutes may have been discussed intermittently throughout the week.</p>
M27435+P27 435+V27435	The Cut Custom Processing LLC	ROP3813032 712G	12MAR2021			Finalized	<p>NR Status: There are no open NR's. Are there any Plant Tests that have been returned Positive? None FSIS Samples: There is a RTE sample to take Monday, March 15th. Plant sampling/testing; Plant Listeria testing 2/09/21 Negative. Carcass Intervention (before and after) dated 2/5/2021 acceptable, Thermometer calibrations dated 03/8/2021 Acceptable, Water Tests dated 7/28/2020 and 12/22/2020 were acceptable(coliforms and Nitrates were among the test results), New Directives/Notices; Directive 7320.1 Rev. 2 Prevention and Control of Trichinella in Domestic Pork Products Sanitation Concerns: None Scheduling (OT, Holidays and Dark Days); None Results of Reviews (custom, odd hour, FSA): Custom Review dated 2/03/2021 acceptable. Plant Discussion: There are no open NR's. Thursday, March 11th marked week 15 of the Humane Handling Suspension. Ten cattle were successfully slaughtered USDA inspected and were monitored by (b) (6) with no deviations on this date. The Captive Bolt Cartridge Selection Log was appropriately documented during antemortem 3/11/21. Justification for the cartridge used per animal was ascertained by Plant Manager Thad Reger and was monitored by (b) (6) . There were two lambs also slaughtered this date with no stunning deviations. was present for today's slaughter and witnessed no deviations during the stunning process. Review of Directive 7320.1 Rev. 2 Prevention and Control of Trichinella in Domestic Pork Products. Attendees; Thad Reger. NOTE: Portions of the above minutes may have been discussed intermittently throughout the week.</p>

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M27435+P27435+V27435	The Cut Custom Processing LLC	ROP5705030419G	19MAR2021			Finalized	NR Status: There are no open NR's. Are there any Plant Tests that have been returned Positive? None FSIS Samples: There was a RTE sample taken Monday, March 15th which tested Neg. Plant sampling/testing: Plant Listeria testing 2/09/21 Negative. Carcass Intervention (before and after) dated 2/5/2021 acceptable, Thermometer calibrations dated 03/15/2021 Acceptable, Water Tests dated 7/28/2020 and 12/22/2020 were acceptable(coliforms and Nitrates were among the test results), New Directives/Notices; Directive 7320.1 Rev. 2 Prevention and Control of Trichinella in Domestic Pork Products Sanitation Concerns: None Scheduling (OT, Holidays and Dark Days); None Results of Reviews (custom, odd hour, FSA): Custom Review dated 2/03/2021 acceptable. Plant Discussion; There are no open NR's. Humane handling suspension has been lifted.Safety Data Sheet was reviewed and one addition was made for Spartan brand cleaning agent "Inspectors Choice UN 1760". Attendees; Thad Reger. NOTE: Portions of the above minutes may have been discussed intermittently throughout the week.
M2926	Pork King Packing, Inc.	ODK5815025213G	13FEB2021	04C02	Livestock Humane Handling	Finalized	At about 2:30pm on 2/12/2021, I observed lot 61778 hogs for antemortem inspection. I noticed about half of the load had large areas of bright red to purple skin lesions on hams and shoulder areas with about one fourth of them extending to lumbar area and being severe. The temperatures that day were in the single digits and these lesions were consistent with frostbite. The amount and extent of frostbite was most evident on the slaughter floor where it could be observed very easily and many required trimming through to underlying muscle. I contacted Pork King QC and Pork King owner relaying my concerns that this load of hogs was not adequately protected due to inclement weather during shipping. Pork King management immediately followed up with the barn manager for his observations of the truck condition upon arrival and with the livestock supplier. Livestock barn manager noted this truck had not been adequately bedded for the extreme temperatures. The livestock supplier immediately investigated the truck conditions and trucking company and determined the truck was not adequately paneled and bedded. The livestock supplier sent corrective actions statement stating that they will no longer allow the driver to haul pigs for them. They also stated that to prevent any other incidents from occurring, they will give all trucks loading exact bedding and panel procedures for cold weather.
M34729	White Oak Pastures	ZME1015031219G	19MAR2021			Finalized	Discussion with plant management about the revised humane handling directive 6900.2, specifically section V which describes enforcement and documentation of non-compliances. We discussed what situation would warrant a regulatory control action (such as application of a tag) and what steps the plant would need to take to continue slaughter operations. A printed copy of the CFR 313 was also provided to plant management. We also discussed antemortem and postmortem evaluation and CFR 309 and 310 as well as proper disposal of condemned carcasses outlined in CFR 311. Printed copies were provided.
M3D	Swift Beef Company	MXE1609020122G	22FEB2021			Open	Receiving cattle on Sunday as notified by establishment. Documented in HATS module of Humane Handling task: no issues. Instructed by supervisors to create MOI. Cattle were in pens 15-19/20 and alley #8 when I inspected pens at 1230-1240 on 2/21/21.

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M40110	Midwest Research Swine, LLC	FVC3507021 812G	12FEB2021	04C02	Livestock Humane Handling	Finalized	At approximately 07:15 on Thursday 2-11-2021 While (b) (6) was doing a humane handling audit, she observed the following incident. There was 2" X 3" hole in the flooring of the knock box and while a hog was being brought into the knock box it got its foot caught in it and would not go any farther. Phil Schwarze and Matt Wendt who were the 2 plant employees working in that area realized the hog would no longer go into the knock box and used the captive bolt to humanely euthanize the hog. The stunning attempt was successful on the first try and the hog was bled out. After the hog was removed from the knock box and hung on the rail Todd Selle another plant employee came and replaced the broken flooring prior to another hog being brought into the knock box. It is recommended that when a piece of the flooring breaks in the knock box that it is immediately replaced to prevent this incident from happening again.
M44801+P44 801	Halal Transaction of USA, LLC.	XJW501303 3102G	02MAR2021	04C02	Livestock Humane Handling	Finalized	Animals were delivered on 02/26. Upon arrival 5 animals died
M44824+V44 824	Western Meat Processing, Inc.	XTB3515032 503G	03MAR2021			Finalized	A meeting was held on 3/3/21 at 1203 hours at Establishment M44824, Western Meat Processing, to discuss the Humane Handling incident that occurred on Tuesday, March 2, 2021. Establishment Attendees: (b) (6) ;(b) (6) USDA Attendees: (b) (6) ;(b) (6) On Tuesday March 2, 2021, at approximately 1120 hours, I, (b) (6), observed the following humane handling incident during trailer unloading. I was standing in the alleyway watching a trailer being unloaded, monitoring HATS Category II (Truck Unloading). After unloading a few dairy cows from the back compartment of the trailer, the hauler opened the divider inside the trailer, and then stepped off the trailer and pushed the trailer door open. The trailer door swung open towards a plant employee that was standing outside the trailer on the opposite side from the hauler. Approximately 15 jersey heifers started coming off the trailer and then the trailer door started to swing back towards the heifers. The trailer door swung into the side of one of the jersey heifers as she was coming off of the trailer. I did not observe any lameness, or any other sign of injury or distress. I then informed (b) (6), of my observation. Trailer unloading must be done with a minimum of excitement and discomfort to the animals, in accordance with 9 CFR 313.2(a). I inquired with the establishment as to what preventative or corrective actions they have taken or will take to prevent this from happening again. The establishment responded that (b) (6) and (b) (6) had a discussion with the employee on 3/2/21. The meeting was adjourned at 1206 hours. This MOI includes all of the information discussed during the meeting. Respectfully, (b) (6)

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M45856+V45856	HyLife Foods Windom, LLC	ODB0913014714G	14JAN2021	04C02	Livestock Humane Handling	Finalized	<p>01/12/2021 At approximately 0700 hours, while returning from performing antemortem examination (HATS Category IV), I observed 2 gasping hogs on the bleed chain just after the stick station and one gasping hog being stuck at the stick station (HATS Category IX – Return to Consciousness). There was a single shackler and a single sticker in the location. I motioned for the sticker to stop the line and stun the hogs with the hand-held captive bolt gun. The sticker did not understand but stopped the line. I then motioned to the (b) (4) operator and instructed him to stun the hogs which he did. Barn Manager, Ms. Kristina Mohr, observed my movements and came over. I told her there were gasping hogs on the line going through the stick station and being stuck without receiving a security stun. She contacted maintenance and (b) (6), and summoned them to the location. The (b) (4) operator, emptied the (b) (4) and all gasping hogs were stunned with the hand-held captive bolt gun. Maintenance arrived to trouble-shoot the machine. They drained the chamber of CO2, refilled the chamber, and ran empty elevators to mix the gas. After speaking with maintenance, Ms. Mohr reported the issue was the (b) (4) sat idle prior to production and the gas may not have been mixed well. Maintenance believed they had solved the issue. She also noted that there was supposed to be a Sensibility Monitor prior to the stick station whose job it was to check for possible return to sensibility and to stun gasping hogs, however he was not present. The Sensibility Monitor arrived, the (b) (4) was ready; hog loading commenced. I stayed to observe hogs coming out of the (b) (4). However, some hogs exiting the (b) (4) were observed gasping. I asked for them to be addressed. At that time, (b) (6), had arrived at our location and was watching hogs with me. I asked him why they were not stunning these gasping hogs and why were they being stuck without a security stun. I was informed by (b) (6) that the establishment would not be stunning every hog that came out of (b) (4) gasping. I informed (b) (6) that since none of the hogs regained consciousness before being stunned this situation did not constitute an NR but a Humane Handling MOI would be written. I then met with (b) (6) and we met with (b) (6) to inform him of the observations. After discussing the situation with (b) (6) we went to the FSQA office and reviewed the establishment's Humane Handling Program. (b) (6) approached us at this time to inform us that they would be security stunning gasping hogs before sticking and if any are found on the bleed chain those would be stunned as well. He also noted that the Butinas would be run empty for 15 minutes before production to ensure proper gas mixture prior to hogs entering. The dwell time for the (b) (4) had been increased from 120 seconds to 140 seconds. I discussed with (b) (6) our findings in their humane handling program and that while gasping hogs are not considered conscious, it could be an indication that the animal could return to consciousness and therefore would warrant a security stun per their program. Not performing a security stun on gasping hogs represents a possible vulnerability in the establishments robust systematic humane handling approach. At the weekly meeting which occurred later that night the company noted that they had increased the dwell time to 160 seconds.</p>

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M45858	Puget Sound Processing, LLC	QSV4013010328G	28JAN2021	04C02	Livestock Humane Handling	Finalized	Location; 219th Avenue SW, Centralia WA. Attendees; (b) (6) and (b) (6). Issues (b) (6) asked (b) (6) about the schedule next week (b) (6) stated that PSP will work Tuesday, Wednesday, and Thursday. (b) (6) asked about in house testing. (b) (6) stated that her quarterly n60 will be done tomorrow. All other quarterly sampling is finished. No other issues.
M45945+P45945	Home Place Pastures	WLT4809034001G	01MAR2021	04C02	Livestock Humane Handling	Finalized	On February 23, 2021, (b) (6) and (b) (6) met with (b) (6) concerning the Robust Humane Handling Plan at Home Place Pastures (M45945). (b) (6) requested to have his Robust Humane Handling Plan reinstated. (b) (6) and (b) (6) have discussed the findings from previous visits and documentation and believe M45945 Home Place Pastures has an adequate and effective Robust Humane Handling plan in place at this time and recommend that it be reinstated on March 1, 2021.
M46554+P46554	AEE Inc. DBA Emory's Processing	KRP4406023904G	04FEB2021			Finalized	This MOI is a clarification of the regulations that apply for Humane Handling during any ritual slaughter operations. Any establishment that slaughters animals is required to follow all parts of 9 CFR 313.2 Handling of livestock except 313.2(f) for those religious authorities that do not allow stunning prior to the ritual slaughter cut. From discussions with (b) (6), establishment M46554 will perform a stunning procedure with a captive bolt prior to the ritual cut. This is an acceptable option as a humane intervention. The captive bolt stunning will still fall under all parts of 9 CFR 313.15 Mechanical; captive bolt. Our inspectors will be verifying that if stunning methods are utilized that they are applied effectively. With or without the application of a stunning method, animals must be unconscious or insensible to pain prior to any dressing procedures such as head skinning, leg removal, ear removal, horn removal, or opening hide patterns.
M46877+P46877	Seven Hills Abattoir	NOA5212032905G	05MAR2021	04C02	Livestock Humane Handling	Finalized	Met with Plant Manager Dalton Mosser on the kill floor today and discussed the following: hydraulic head restraint on the head catch gate was not working properly, allowing the cattle to move their head more, which meant, taking longer to stun the animals and could possibly lead to a miss stun. Also not having a second functioning HHCB for use as a security knock. I also reiterated, that these small issues could potentially lead to NR's or possibly, if bad enough could cause a suspension. Mr. Mosser informed me shortly after our conversation, that he had spoke with their maintenance man to repair the hydraulic head restraint and that he was waiting on parts for their back up HHCB and that he intends on ordering a new HHCB as well.

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M47040+P47040	Indiana Halal Farms LLC	EYZ4013010207G	07JAN2021	04C02	Livestock Humane Handling	Finalized	The following are the observations made during the ritual slaughter of a large bull: On 1-06-2021 at approximately 0745 hours while performing a humane handling during slaughtering at M47040, I observed the slaughter of a large Black Angus Bull placed in the knock box with the chin lift. The establishment employee performed the ritual halal cut of the throat and walked away at that time. The bull began vocalizing as if it were injured while still standing. I watched the bull's eyes as they continued to track and did not go blank as other cattle before. After a few minutes I conducted a corneal reflex on the bull as it was still vocalizing, and it was positive. At the time I immediately asked Mohamed Hosani, Plant Manager if the plant had a cap and bolt to knock the animal and he responded that no they did not. Mr. Hosani then instructed the employee to perform an additional cut to the animal. The employee administered another cut with the cutting knife and the bull continued to vocalize and track with its eyes. Mr. Hosani again instructed the employee to cut the throat more and the employee went on to administer three additional cuts in a sawing motion with a small 6-inch knife before the bull stopped vocalizing and the eyes stopped tracking. The exemption for ritual slaughter requires that the cut or cuts made to initiate exsanguination should be sufficient to result in unconsciousness due to blood loss. When the employee was observed to have made the initial cut and walk away with the animal still conscious, my observations support that the employee did not administer the initial cut sufficient to result in unconsciousness by exsanguination. This was further supported by the instruction to the employee to administer multiple additional cuts by the management to achieve unconsciousness. These observations and the Agency's expectation regarding ritual slaughter were discussed with Mr. Hosani and Aref Alhag, Plant Owner.
M47172	FBS Hudson	GXP0415030929G	29MAR2021			Finalized	Weekly Memorandum of Interview Establishment: #47172, FBS Hudson, LLC. In Attendance: (b) (6) ; (b) (6) . NR's, Notices and Directives: N/A Date issued: Status: SPS/SSOP: N/A HACCP: N/A Non-Food Safety/Labeling: N/A Sampling: N/A Miscellaneous: (b) (6) , witnessed the employment of beef tail twisting, while observing/verifying humane handling of bovine animals, recently presented for USDA slaughter activity. She submitted a question to the District Veterinary Medical Specialist (DVMS) for guidance. Here is the response by (b) (6) : "It basically comes down to how the restraint is used. If the tail twisting/lifting causes stress/distress to the animal (vocalization, trying to climb over its friends to try and get away, etc.) then we have grounds for a noncompliance. If the tail twisting/lifting does not elicit a negative reaction or gets the animal to move without causing stress, then it's just another means of stimulating an animal to move. Essentially, we evaluate it the same way as a cattle prod, the way its applied and the reaction from the animal (to) determine compliance/non-compliance." Further humane handling guidance can be found via referencing FSIS Directive 6900.2, HUMANE HANDLING AND SLAUGHTER OF LIVESTOCK.
M47484+P47484	The Durand Smokehouse LLC	SLO4307032519G	19MAR2021			Finalized	Establishment Owner Roy Elsenpeter and (b) (6) present. I informed Roy that I did an odd hour humane handling inspection today. It is preform outside of operating hours and is not overtime charged to the establishment. I told him that I did not find any noncompliance today. Roy did informed me that he is working on making a change to have a better system for getting water to the animals held overnight. No other issues at this time.

EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M482	St. Croix Abattoir	NAA0409031 524G	24MAR2021			Open	<p>On March 24th, at approximately 10:10am, (b) (6) met with plant management, including (b) (6) and (b) (6) to discuss the humane handling notations on the HACCP records on March 22, 2021, when one steer was slaughtered. (b) (6) stated that she was present during the knocking and sticking on the steer, and observed no humane handling concerns, such as the animal regaining consciousness. The sticking employee did take a bit more time to stick the animal, as the forelegs were moving. However, when reviewing the records for that day, the Sanitary Dressing report documents "Cattle was conscious for the sticking, and was moving, making it difficult to do a firm sticking. (b) (6) will do the sticking until (b) (6) has been retrained." (b) (6) stated that sticking a conscious animal is an egregious (very bad) noncompliance that could result in the plant being suspended by USDA, and it would need to be addressed by the establishment. (b) (6) stated he was concerned because the animal kept moving its legs while the sticking employee was in front of it. (b) (6) explained that movement is not always a sign of the animal regaining consciousness. (b) (6) entered the conversation at that point and stated they need more experience with the cattle, and that it seemed the three cattle slaughtered this week "moved more than usual." (b) (6) also discussed the entry on the Sanitary Dressing record that shows the "Unconsciousness (successful blink check)" was found to be deficient. (b) (6) inquired that if the animal was blinking, why wouldn't it be stunned again, per the firm's humane handling program. (b) (6) stated they were wrong in marking that section as deficient, because the animal didn't blink, but it was moving around a lot, in her opinion. Movement, breathing, and vocalization signs were discussed. (b) (6) asked for further information on what corrective actions the establishment was taking to address the incident. (b) (6) stated the sticking employee will be retrained this week or next week, and then allowed to resume the sticking job. (b) (6) stated there are instructional videos and guidance on the FSIS website, if the establishment management needed further information on acceptable movement in effectively knocked animals.</p>

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M4975+P4975	Dale T. Smith and Sons Meat Packing Company Inc	IYC5111014202G	02JAN2021	04C02	Livestock Humane Handling	Finalized	<p>Meeting Attendees USDA FSIS – (b) (6), (b) (6) and (b) (6) Establishment Management – (b) (6) and VP of Operations Bret Smith HATS Category VI On 12/31/20 at approximately 1518 hours, (b) (6) (b) (6) was performing livestock humane handling by observing the knock box activities, (b) (6) observed the following humane handling event. A cow came into the knock box and started to move backward out of the knock box. Dennis Smith, who was driving cattle into the knock box, started applying the electric prod to hit the back-left rump area of the cow to drive it back into the knock box. He hit it at least three times in short bursts, causing the cow to vocalize. The application of the electric prod caused the cow to vocalize three times, each time following the application of the prod. (b) (6) immediately approached Dennis Smith and let him know about the situation. Per Directive 6900.2 Revision 3, "Establishments are to use implements (e.g., electric prods, canvas slappers) as little as possible to minimize excitement and injury and are not to drive livestock faster than a normal walking speed. Any use of such implements that, in the opinion of the inspector, is excessive is prohibited." I remind you of agency regulations 9 CFR 313.2 (a) (b) and (c) that refer to electric prod usage. 9 CFR 313.2 (a) states that "Driving of livestock from the unloading ramps to the holding pens and from the holding pens to the stunning area shall be done with a minimum of excitement and discomfort to the animals. Livestock shall not be forced to move faster than a normal walking speed." 9 CFR 313.2 (b) states that "Electric prods, canvas slappers, or other implements employed to drive animals shall be used as little as possible in order to minimize excitement and injury. Any use of such implements which, in the opinion of the inspector, is excessive, is prohibited. Electrical prods attached to AC house current shall be reduced by a transformer to the lowest effective voltage not to exceed 50 volts AC." 9 CFR 313.2 (c) states that "Pipes, sharp or pointed objects, and other items which, in the opinion of the inspector, would cause injury or unnecessary pain to the animal shall not be used to drive livestock."</p>
M5536+P5536	Banner Creek, LLC	DCI0609020215G	15FEB2021			Finalized	<p>MOI amended as of 2-14-2021 Part of previous MOI documented as noncompliance. On February 15, 2021, I, (b) (6), observed multiple areas of ice in water troughs in Pen 7. The ice had been previously broken and was refreezing. Multiple pens had ice on rails and ice accumulating in the corners of the pens. Outside temperature in Holton this morning was -9 degrees F and a high of -2 degrees F is anticipated. The barn is not heated and has had multiple water leaks and ice buildup on pen fences during the last week of subzero temperature. I spoke with plant management about ice in the barn, documented in the meeting notes of February 11th. An establishment operating and holding livestock, especially during inclement and unusually extreme weather, should meet regulations pertaining to housing, movement, water and feed. Plant management is expected to comply with all regulations concerning livestock and make preparation for extreme heat and cold conditions. I spoke with (b) (6) about the rejected pen and the conditions in the barn. (b) (6) responded management is addressing these concerns. A copy of this MOI was given to (b) (6) and emailed to (b) (6). Another copy is maintained in PHIS (b) (6).</p>

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M562	JBS Green Bay, Inc.	QSM1015023 310G	10FEB2021	04C02	Livestock Humane Handling	Finalized	On 02/08/21, at approximately 6:00am while performing antemortem inspection, (b) (6) observed a beef cow with its head through the railing of the fence in pen 34 adjacent to pen 35. The bar at the bottom of the fence had a slight bend just big enough to get the head in. The cow was lying down and did not seem to be in distress. The establishment barn supervisor tried to use a bottle jack to spread the rail apart but did not work. He then called maintenance personnel who brought a come-a-long. Using the come-a-long tool they were able to spread the rails apart enough to remove the head from the fence. When the cow was free from the fence, she tried to get up twice but was unable. The barn superintendent immediately euthanized her when he saw she was not going to be able to stand. The cow then rolled over to its side and (b) (6) observed a fracture below the hock with the bone protruding through the hide on one of its rear legs. When the cow was lying down its legs were tucked under, so the fracture could not be seen in that position. The barn associate told (b) (6) that the cows had not been in that pen for very long maybe a few hours, and he said they all looked good when he moved them into the pen. We discussed the incident at the weekly meeting on Wednesday February 10. While the cattle do get their heads "stuck" between the pipes fairly frequently, a fatal outcome is a fortunately rare occurrence. Changing the spacing of the pipes in all the pens right now does seem to be unnecessary. This will be revisited if the situation warrants.
M562M	JBS Plainwell, Inc.	CFO4613022 818G	18FEB2021	04C02	Livestock Humane Handling	Finalized	On 2/18/21 at approximately 0900, (b) (6), witnessed three of the small pens occupied with 28 large, over weight beef cows. This occurred in pen 23, 22, and 21. Although the number did not exceed the maximum allowance, these cattle were unable to move freely around the pen and have easy access to water. (b) (6) was notified. He then agreed the cattle were overweight and had the employees move 5 cows from each pen into separate holding pens. After reinspection, the CSI deemed the correction acceptable and passed the pens for slaughter. This may lead to a violation of regulation 313.2 (e), animals shall have access to water in all holding pens.
M630	CS Beef Packers, LLC	KJN0116021 522G	22FEB2021	04C02	Livestock Humane Handling	Finalized	At approximately 1143, during the antemortem show, an establishment employee was showing the cattle in Pen 22. The cattle exited the pen without incident. Upon pushing the cows back into the pen, 2 cows fell in the East Alley after being pushed on by the other animals and were stepped on by multiple times. Both cows stood up and returned to the pen, appearing uninjured. The ground did not appear to be slick or otherwise difficult to stand on. The cows had not appeared extensively lame prior to falling. The plant employee did not appear to be pushing the cows excessively fast or in an unsafe manner. The plant employee had stopped pushing the cattle when he noticed one of the cows fall. I spoke with (b) (6) to make him aware of this incident. Because the plant employee was not acting inappropriately, and there appeared to be no structural concerns, no regulatory control action was taken. We discussed ways to mitigate the risk of this in the future, such as pushing parts of each lot rather than the entire lot at once, and having additional personnel present to assist when a cow falls.
M6460+P646 0+V6460	Scanga Meat Company	YLJ4811024 603G	03FEB2021			Finalized	On February 3rd, (b) (6) spoke with Ben Scanga of Scanga Meat CO. Estb. M6460. It was asked if Scanga Meat CO has developed and/or implemented a robust humane handling program. The plant does not meet the criteria for a robust humane handling program at this time.

EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M717CR+P7 17CR	Smithfield Fresh Meats Corp.	RZG3407020 325G	25FEB2021	04C02	Livestock Humane Handling	Finalized	Humane Handling During Inclement Weather (HATS Category I) On 2/17/21 and 2/18/21, I, (b) (6), performed a record review of Smithfield Foods' humane handling records spanning from 2/15/21 to 2/16/21. The record revealed that the establishment did not follow its written humane handling program (Section 2: Transportation and Receiving) to unload trailers in a timely manner with a targeted wait time of 60 minutes. On 2/15/21, the outside temperature ranged from -4 to -25 degrees Fahrenheit. On 2/16/21, the outside temperature ranged from 2 to -27 degrees Fahrenheit. On 2/15/21, the establishment records showed that 27 trailers arrived and were not unloaded until more than 60 minutes later. On 2/16/21, the establishment records showed that 12 trailers arrived and were not unloaded until more than 60 minutes later. Due to the establishment not unloading the trailers into the heated barn, the animals were left on the trailers and exposed to outside temperature that was below 0-degree Fahrenheit. The establishment did not adapt its holding practices to accommodate for this inclement weather. Denver District Office was contacted by supervisory channels.

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M7722+P7722+V7722	Jones Meat & Food Services, Inc.	SAN5011015329G	29JAN2021			Finalized	<p>Memorandum of Information: USDA Efforts to Schedule an Awareness Meeting for the Establishment 7722 Robust Systematic Humane Handling Program On Wednesday, January 20, 2021 Denver District Veterinary Medical Specialist forwarded an assessment of the proposed Establishment 7722 Robust Systematic Humane Handling Program to (b) (6). This assessment was developed by (b) (6) after her 30-day review of the establishment's execution of its Humane Handling Verification Plan on August 27, 2020. (b) (6) requested that (b) (6) review the assessment, schedule a meeting with plant management and document that meeting in a MOI following closure of the recent enforcement action on January 12, 2021. (b) (6) completed his review of the assessment, developed discussion points to use at the meeting and forwarded them to (b) (6). (b) (6) made multiple unsuccessful attempts to schedule a meeting over the past two weeks to discuss implementing and maintaining a Robust Systematic Approach to Humane Handling with Mr. Jones and the establishment management. At the present time Establishment 7722 has not presented USDA sufficient documentation to support a robust systematic approach to humane handling. Below is a copy of (b) (6) assessment with highlighted areas of concern/emphasis and (b) (6) evaluation points are italicized. Summary of the FSIS Compliance Guide for a Systematic Approach to the Humane Handling of Livestock to implement a Robust Systematic Approach Focus on the four components: 1) 1) Have an initial assessment performed – this is a document of your evaluation of your handling practices and facilities (signed, dated and available for FSIS review). This has been completed by the establishment, but the SPHV will need to verify also. The initial assessment has not been presented to (b) (6) by Establishment Management. 2) Design facilities and implement handling practices to minimize distress and injury to livestock – define in the written program a. The written program is a description of your procedures and should consist of: i. Training program with documentation and frequency of retraining ii. Stunning equipment maintenance records Should include an inventory of all stunning equipment: Captive bolt #1 (Manufacturer and Model) (Species - intended use) Captive bolt #2 (Manufacturer and Model) (Species - intended use) 22 Mag Rifle (Manufacturer and Model) (Species - intended use) 243 Rifle (Manufacturer and Model) (Species - intended use) Each piece of stunning equipment must have a dedicated preventive maintenance schedule including documentation of cleaning, lubrication and routine replacement of parts (rubber buffers, stunning rods, etc. in captive bolts). Cartridge storage should be described to avoid moisture and reduce the risk of misfires. Maintenance schedule should include documentation of major repairs including date taken out of service, nature of repair and date of return to service. Documented Humane Handling Noncompliance Events: Date Noncompliance Document Action Taken Species 10/22/2020 Ineffective Stun (Rifle) NR NOS/Abeyance/ Sheep</p> <p>Verification Plan 7/15/2020 Ineffective Stun (Rifle) MOI NOS/Abeyance Cattle</p> <p>Verification Plan 5/19/2020 Ineffective Stun (CBD) NR RCA, District Cattle</p>

EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
							<p>Notified, Corrective</p> <p>4/10/2020 Ineffective Stun (CBD) NR Action Notified Cattle RCA, District</p> <p>Corrective Action 12/4/2019 Water availability NR Local correction Cattle iii. Description of handling practices which address all nine Humane Activities Tracking System (HATS) Categories: I - measures taken to protect animals from inclement weather Document that ante mortem holding area is under roof and enclosed to reduce exposure to wind. Floors must be maintained to reduce/ eliminate slips and falls – non-skid surfaces and correct surface defects (holes, etc.) II - handling of animals during truck unloading Minimize height of drop-off when animals are exiting trailers. Routine use of non-skid materials when there is ice build-up III - water and feed availability Describe how water is readily available for all animals housed regardless of size/disability. IV - handling of animals during ante-mortem and movement to the stun box along with the facilities conditions Describe measures to reduce slips and falls in the chute leading to the stunning box. V - handling of US Suspect and disabled animals Describe measures to protect disabled animals from other more active/aggressive animals (partitions, etc.) VI - use of electric prods and alternative objects for driving of animals Be able to demonstrate that paddles are used for routine movement of animals and prod use is restricted to animals that balk after paddles have failed. VII - measures taken to prevent slips and falls Maintenance of floor surfaces. Control of ice VIII - stunning procedures IX - monitoring animals for remaining unconscious through the shackling, hoisting and bleeding process. Demonstrate that all trained employees authorized to perform stunning are thoroughly knowledgeable of insensibility criteria (eye movement, tongue flaccidity and absence of righting reflex. Address bi-lingual communication issues. iv. Monitor records for the applicable HATS Categories (signed, dated and available for FSIS review): I - measures taken for inclement weather Document when corrective actions are taken in response to weather conditions. II - handling during truck unloading Document monitoring log of truck unloading III - water and feed availability Document monitoring log of continuous water availability. Document provision of feed to animals held over 24 hours. IV - handling during ante-mortem and facilities conditions/repairs Document monitoring of floor conditions and anticipated corrections. Document monitoring for broken boards, sharp objects, etc. Maintain dry bedded conditions for animals held overnight. V - US Suspect or disabled animals Document in the program that all areas where animals are housed, including suspect animals, are under roof. VI - use of driving aids or an electric prod Document routine use of paddles – limited non-routine use of prods. Designated areas where prods are kept and may be obtained by trained individuals. Address bi-lingual clear communication issues. VII - observation of slips and/or falls Document monitoring on all slaughter days. VIII - stunning effectiveness IX - animals remaining unconscious Document that all trained employees understand how to evaluate insensibility criteria and demonstrate it when performing stunning duties. Address bi-lingual communication issues. 3) Periodically evaluate facilities and handling methods to continue to minimize distress and injury to livestock – documentation of routine monitoring and internal reassessments/audits (signed, dated and available for FSIS review) 4) Modify facilities and handling methods to continue</p>

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
							to minimize distress and injury to livestock – documentation of improvements/changes (signed, dated and available for FSIS review) Document concrete floor maintenance repair of holding pen floors, bedding and dry conditions maintained when animals are held. In addition: 1) Considerations to keep in mind but not required are third party audits and video camera surveillance/monitoring. .
M7749+V7749	Royal Gorge Packing	IBK0315020501G	01FEB2021			Open	On January 27, 2021, I (b) (6) performed a review of Establishment M7749 Robust Humane Handling Program and documents. It was determined that they continue to meet the minimum requirements to maintain a robust humane handling program. The program was reviewed and corresponding documentation was verified and up to date.
M8131+P8131+V8131	Jensen's Blue Ribbon Processing	UTF0007021712G	12FEB2021			Finalized	On February 9, 2021 at approximately 9:00AM. (b) (6) spoke with Jerry Jensen, of Jensen's BlueRibbon Processing. It was asked if Jensen's Blue Ribbon Processing Est. 8131 has developed and/or implemented a robust humane handling program. The plant does not currently have a program in place and is not planning on implementing one in the near future.

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M818+V818	HK Cooperative, Inc.	FYC2010011504G	04JAN2021	04C02	Livestock Humane Handling	Finalized	<p>On Thursday December 31st, 2020 at establishment M818, (b) (6) was observing the sticking/stunning procedure in the opening behind the establishment sensibility inspector around 11:30. The sensibility inspector was mixing the blood catch pan below the hogs and not physically inspecting the hogs going by (poking with sharp instrument, flexing head and leg, etc.). A hog on the far side of the bleed chain was noticed by the establishment inspector to be returning to sensibility and he was just able to reach the hog and administer an electric stun. Although the hog was noticed, and no other hogs appeared be returning to sensibility while the check was not being performed, this final sensibility check is essential and part of the establishment Slaughter HACCP plan. Although other tasks may need to be performed, ensuring that animals remain insensible during slaughter is an essential component of humane handling per 9CFR 313.30(a)(4) and 313.30(b)(3) as well as FSIS Directive 6900.2, Revision 3 (Humane Handling and Slaughter of Livestock). In this case immediate corrective actions (re-stunning the hog once noticed) did occur, but with only just enough time to physically reach the hog. A few minutes after this incident the establishment sensibility inspector noticed a hog had missed getting shackled and fallen in a gap between the end of the shackling table and a piece of metal framework. Stunning was immediately discontinued and hogs already in process for sticking and shackling were completed to open space after the table. (b) (6) and (b) (6) were notified and came to the area. The hog did not appear to be sensible. The carcass was able to be removed successfully but was damaged in the process. It is important to note though that if a hog had returned to sensibility while stuck in this small space it would have been exceptionally difficult (if not impossible) to provide an additional electric stun and likely impossible to remove promptly in an appropriate manner. As stated in 9 CFR 313.30(a)(1) "animals shall be either stunned or killed before they are shackled, hoisted, thrown, cast, or cut". If this hog had returned to sensibility while trapped it may have become a non-compliance per this regulation as well as an egregious situation (FSIS Directive 6900.2). It is also part of the establishment Slaughter HACCP plan that if an animal were to fall from the shackle line (or similarly miss being shackled and fall off the bleed table) that they should be immediately checked for sensibility and return to the line promptly to help ensure proper bleed out. An unscheduled meeting was held with (b) (6), (b) (6), and (b) (6) to discuss these issues and inform them that this would be documented in an MOI.</p>

EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M818+V818	HK Cooperative, Inc.	FYC4319014 512G	12JAN2021	04C02	Livestock Humane Handling	Finalized	<p>On January 8, 2021 at establishment M818, FSIS (b) (6) was observing the employees driving hogs from Pen 11 into the stunning alleyway around 15:00. The pen was approximately 25% full and the large gate was shut to keep hogs closer to the alleyway. As hogs continued to be driven into the stunning alley several hogs tried to jump up and through gaps in the fence. One large gap is between the gate and the barn wall where the hinges attach. The other gap is on the opposite side where the gate is cut out to accommodate for the water trough. No hogs got stuck in the gap by the hinges during this observation period. However, one hog got stuck in the gap between the bottom of the fence and the water trough. The gate was able to be opened without causing obvious injury to the pig and releasing it from the water trough. These gaps in this gate are potential issues that could be considered non-compliant with 9 CFR 313.1(a) which states "Livestock pens, driveways and ramps shall be maintained in good repair. They shall be free from sharp or protruding objects which may, in the opinion of the inspector, cause injury or pain to the animals. Loose boards, splintered or broken planking, and unnecessary openings where the head, feet, or legs of an animal may be injured shall be repaired." This gate has unnecessary openings where animals could be injured. An employee followed the hog to the end of the pen to turn it around. Once they turned the hog around and got it moving in the correct direction the employee started to run at the hog and chase it towards the chute. The employee was immediately stopped by (b) (6), who informed him that hogs should not be running at all and especially should not be chased. Regulation 9 CFR 313.2 clearly states that "livestock shall not be forced to move faster than a normal walking speed" in addition that "driving of livestock [...] shall be done with a minimum of excitement and discomfort to the animals". These actions do not meet the regulatory requirements of humane handling. An unscheduled meeting was held shortly after this incident with (b) (6), (b) (6), and (b) (6) to inform them of the issue with the gate and the employee chasing the hog. (b) (6) and (b) (6) were also informed on Monday January 11, 2021.</p>
M850+P177 75+V850	Swift Pork Company	HEM2716013 604G	04JAN2021	04C02	Livestock Humane Handling	Finalized	<p>On 12/30/2020 at 2318 hours, while giving relief breaks at head inspection, I observed a paddle mark on the back of a carcass (tattoo 0213). The mark was caudal to the neck and dorsal to the shoulder just off the right of midline; see attachments. I placed a US retain tag on the foot to divert the carcass to be railed out at the disposition stand. I summoned for (b) (6) and (b) (6) hot side supervisor arrived moments later. I informed (b) (6) of my observation and that this mark was consistent with an indentation made by a rattle paddle and that (b) (6) and (b) (6) had similar observations documented on previous MOI's. (b) (6) correlated the finding with (b) (6) and a review of the video footage was initiated. After all areas of livestock where employees use rattle paddles were reviewed, (b) (6) advised me that nothing out of the ordinary was seen. This incident was added to the ongoing investigation by (b) (6). Based upon the lack of supportable evidence of any paddle misuse in the livestock department, the establishment believes the marks are being inflicted at the producer-confinement level possibly by members of a loading crew(s). MOI HEM47091211121 dated 12/12/2020 and MOI HEM351312511231 dated 12/24/2020 were documented by (b) (6) and (b) (6), respectively, for the same observations. Respectfully submitted (b) (6) JBS - Ottumwa</p>

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M85O+P177 75+V85O	Swift Pork Company	HEM3809010 507G	07JAN2021	04C02	Livestock Humane Handling	Finalized	On 1/2/2021, I noticed a carcass with evidence of animal handling tool misuse. The markings resembled a rattle paddle imprint on the dorsum of the hogs. The carcass that presented with the lesion had tattoo number 294. Several MOIs have been issued to the establishment regarding these concerns. Respectfully submitted, (b) (6) JBS Ottumwa
M85O+P177 75+V85O	Swift Pork Company	HEM5909013 607G	07JAN2021	04C02	Livestock Humane Handling	Finalized	On Tuesday 1/4/2021, I noticed several carcasses with evidence of animal handling tool misuse. The markings resembled a rattle paddle imprint on the dorsum of the hogs. The carcasses that presented with the lesion were from the following tattoos: 6257 (1); 6253 (4); 6250 (4); 6252 (1); 6247 (5); 6248 (3). I spoke with (b) (6) and he told me they have an open investigation into the suspect handling lesions.
M85O+P177 75+V85O	Swift Pork Company	HEM4616014 911G	11JAN2021	04C02	Livestock Humane Handling	Finalized	On 01/09/21 at 1539 hours while giving relief breaks at USDA head inspection, I observed a paddle mark (see attached) on the right shoulder (carcass tattoo 6X77). I showed (b) (6) the mark and informed him an MOI would be issued. (b) (6) lead arrived and informed me that he would reach out to (b) (6) and (b) (6) regarding the finding. Four more carcasses with paddle marks (see attached) with tattoo numbers 0678, 067??, 6X94, and 6X96 were railed out for paddle marks via online IPP. (b) (6) advised me this issue was being further investigated by (b) (6) and (b) (6). This MOI is associated with those documented by (b) (6) and (b) (6). Respectfully submitted, (b) (6) -Ottumwa-Est, M85O
M85O+P177 75+V85O	Swift Pork Company	HEM1119014 112G	12JAN2021	04C02	Livestock Humane Handling	Finalized	On 01/11/21 at 2350 hours, I observed carcass with tattoo 0732 with two paddle marks (see attached). One on the right lower back and the other on the right middle back. The indentation marks made by the paddles were in opposite directions suggesting the hog was paddled from behind and separately from either the side or the front. I showed the marks to (b) (6) and expressed my additional concerns of having two marks on one animal. Near the end of shift, I observed a carcass with tattoo 6644 with a paddle mark on the lower back. (b) (6) recorded both tattoo numbers to be forwarded to (b) (6) to further the investigation.
M85O+P177 75+V85O	Swift Pork Company	HEM3615010 013G	13JAN2021	04C02	Livestock Humane Handling	Finalized	On 01/12/21 during 2nd shift harvest, thirteen carcasses were railed out for paddle marks. The marks were located on the shoulder and upper to lower back. I showed each carcass to (b) (6) and advised him of the issuance of an MOI. (b) (6) recorded the tattoo numbers along with photographs to be forwarded to (b) (6) to add to the establishments ongoing investigation. Five of the carcasses, from separate loads, were associated with the same producer, and six other carcasses, from separate loads, were associated with another producer. This MOI represents a continuing trend of inappropriate usage of rattle paddles. Respectfully submitted, (b) (6) JBS- Ottumwa

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M85O+P177 75+V85O	Swift Pork Company	HEM2208014 713G	13JAN2021	04C02	Livestock Humane Handling	Finalized	On Monday 1/9/2021, I noticed several carcasses with evidence of animal handling tool misuse. The markings resembled a rattle paddle imprint on the dorsum of the hogs. The carcasses that presented with the rattle paddle lesion were from the following tattoos: 635; 632 (2); 629; 633; 630; 631; and 6554. I spoke with (b) (6) regarding the lesions and he told me they have an open investigation into the suspect handling lesions.
M85O+P177 75+V85O	Swift Pork Company	HEM4419015 314G	14JAN2021	04C02	Livestock Humane Handling	Finalized	On 01/14/21 during 2nd shift harvest, I observed paddle marks on carcasses with tattoo numbers 0134, 0147, and 681X. The marks were on the lower back and shoulder. I showed the marks to (b) (6) and informed him a MOI would be issued. (b) (6) recorded the identification of the carcasses to be forwarded to (b) (6) to continue the investigation concerning the origin of the improper use of rattle paddles. Also on 01/14/21, I observed a donut shaped mark on the right ham. The mark was approximately 1.5 cm in diameter. This size and shape are consistent with a prod mark, one that is made if the electric prod is in contact with the skin in a duration as to produce such a mark. I showed the mark to (b) (6) and advised him that the only employees who use electric prods that could produce a characteristic mark as this are on each side of the butina doors adjacent to the irons. (b) (6) radioed for (b) (6). I advised (b) (6) that since the mark was on the right ham that it came from the butina operator in the #2 location. (b) (6) advised me that he would counsel his employees on proper prod usage.
M85O+P177 75+V85O	Swift Pork Company	HEM4219013 514G	14JAN2021	04C02	Livestock Humane Handling	Finalized	On 01/13/21 during 2nd shift harvest, three carcasses with tattoo numbers 6742, 086X, and 0877 were railed out for paddle marks located on the middle back and right shoulder. I showed (b) (6), general superintendent the marks and informed him a MOI would be issued. (b) (6) took photographs and recorded the tattoo numbers to add to the ongoing investigation being conducted by (b) (6), animal welfare manager. Respectfully submitted, (b) (6) JBS-Ottumwa
M85O+P177 75+V85O	Swift Pork Company	HEM1416010 816G	16JAN2021	04C02	Livestock Humane Handling	Finalized	On 01/15/21 at 2350 hours, I observed a paddle mark on the middle lower back of a carcass (tattoo 0212). I showed (b) (6) the mark and informed him a MOI would be issued. (b) (6) forwarded the carcass identification and mark image to (b) (6) to add to the ongoing investigation into the origins of improper paddle usage. Respectfully submitted, (b) (6) JBS-Ottumwa

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M85O+P177 75+V85O	Swift Pork Company	HEM3322015 118G	18JAN2021	04C02	Livestock Humane Handling	Finalized	On 01/16/21 during 2nd shift harvest, I observed paddle marks on 17 carcasses having tattoo numbers 02X8, 6116, 0277, 0270, 0279, 6129, 6126, 6—, and 0266. Some of the carcasses had 2-3 separate marks. The marks were located primarily on the upper and lower back and right shoulder. I showed the marks to (b) (6) who forwarded the carcass identification to (b) (6). The findings were forwarded to (b) (6) to add to the ongoing investigation into the origin of improper paddle usage. I informed (b) (6) a MOI would be issued. Respectfully submitted, (b) (6) JBS-Ottumwa
M85O+P177 75+V85O	Swift Pork Company	HEM0620014 518G	18JAN2021	04C02	Livestock Humane Handling	Finalized	On 01/18/21 during 2nd shift harvest, I observed paddle marks on carcasses with the following tattoo's: 0320, 6167, 033X, and 6176. The marks were located on the right middle back, left lower back, right shoulder-upper back, and left upper back. Carcass 6167 had three successive marks from the upper back to the right shoulder (see attachment). I showed the marks to (b) (6) and informed him a MOI would be issued. (b) (6) forwarded the carcass identification to (b) (6) to add to the ongoing investigation into the origin of improper paddle usage. Respectfully submitted, (b) (6) JBS-Ottumwa

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M85O+P177 75+V85O	Swift Pork Company	HEM1711012 719G	19JAN2021	04C02	Livestock Humane Handling	Finalized	On Saturday 1/16/2021, I noticed several carcasses with evidence of animal handling tool misuse. The markings resembled a rattle paddle imprint on the dorsum of the hogs. The carcasses that presented with the rattle paddle lesion were from the following tattoos: 6884 (4); 6885 (2); 6886 (2) and 24? (1). I spoke with (b) (6) and he told me he would make lesions available to (b) (6)
M85O+P177 75+V85O	Swift Pork Company	HEM3010015 019G	19JAN2021	04C02	Livestock Humane Handling	Finalized	On Monday 1/18/2021, I noticed several carcasses with evidence of animal handling tool misuse. The markings resembled a rattle paddle imprint on the dorsum of the hogs. The carcasses that presented with the rattle paddle lesion were from the following tattoos: 297 (3); 294 (3); 292 (1) and 293 (1). I spoke with (b) (6) and he told me he would make lesions available to (b) (6)
M85O+P177 75+V85O	Swift Pork Company	HEM2321014 420G	20JAN2021	04C02	Livestock Humane Handling	Finalized	On 01/19/21 during 2nd shift harvest, I observed paddle marks on 18 carcasses with the following tattoo numbers: 0378, 0392, 0387 x 3, no tattoo, 3928 x 9, 0394, 0387, and 6244. Several of the carcasses had multiple marks. The marks were observed on the back from the tail head to the neck, and shoulders. Via the harvest supervisory chain, (b) (6) was made aware of the marks. Carcass identification was collected by (b) (6) hot side supervisor to be forwarded to (b) (6) and (b) (6) to add to the ongoing investigation of the origin of improper rattle paddle usage. (b) (6) was informed a MOI would be issued. Respectfully (b) (6) JBS-Ottumwa
M85O+P177 75+V85O	Swift Pork Company	HEM4219011 321G	21JAN2021	04C02	Livestock Humane Handling	Finalized	On 01/21/2021 during 2nd shift harvest at 1800 hours, I observed multiple paddle marks on a carcass with tattoo 63X6. I showed the marks to (b) (6) harvest supervisor and informed him a MOI would be issued. (b) (6) in turn forwarded the finding to (b) (6). I observed 7 more carcasses with paddle marks during the remainder of the shift having tattoos 0499, 0X02, non-readable, 6367, 0497, 0X04, and 6364. The marks were primarily along the back from midpoint to tail base. Carcass identification and paddle pictures were forwarded to (b) (6) to further the ongoing investigation by the establishment. Respectfully submitted, (b) (6) JBS-Ottumwa
M85O+P177 75+V85O	Swift Pork Company	HEM4019011 121G	21JAN2021	04C02	Livestock Humane Handling	Finalized	On 01/20/21 during 2nd shift harvest, I observed paddle marks on 10 carcasses with tattoos 6310, 6311, 0447, 3937 x 2, 0441 x 4, and 0440. I showed the marks to (b) (6) and (b) (6). I informed (b) (6) a MOI would be issued. The marks were observed on varying locations of the back and shoulders. (b) (6) and (b) (6) forwarded carcass identification to (b) (6) and (b) (6) to add to the ongoing investigation of improper paddle usage. Respectfully submitted, (b) (6) JBS-Ottumwa

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M85O+P177 75+V85O	Swift Pork Company	HEM0921012 225G	25JAN2021	04C02	Livestock Humane Handling	Finalized	On 01/22/2021 during 2nd shift harvest, at 0020 hours, I observed paddle marks on 6 carcasses having tattoos 0X84 and 0X8X. I showed the marks to (b) (6) and informed him a MOI would be issued. (b) (6) communicated the findings to (b) (6) to collect carcass identification and mark pictures. The remainder of the shift, I observed 20 more carcasses with paddle marks having tattoos numbers 0X84, 6428, 6427 x 5, 6434 x 10, 6433, and 6432 x 2. Many carcasses had multiple marks dispersed on all areas of the back. All carcass identification and pictures were forwarded to (b) (6) to further the investigation of paddle misuse. Respectfully submitted, (b) (6) JBS-Ottumwa
M85O+P177 75+V85O	Swift Pork Company	HEM0816014 326G	26JAN2021	04C02	Livestock Humane Handling	Finalized	On 01/25/21 during 2nd shift harvest, 26 carcasses were railed out for the presence of paddle marks. Several carcasses had multiple marks varying in paddle contact from slight to nearly the impression of the entire paddle. Marks were located throughout the back and shoulders. Tattoo numbers were as follows: 6483, 6494 x 2, 6492, 6491 x 5, 0742 x 3, 0743 x 4, 0737 x 2, no tattoo, 6493 x 2, 0717, 6478, and 6488 x 3. I showed the marks to (b) (6) and (b) (6). Carcass identification was collected by (b) (6) and other members of the harvest supervisory team and forwarded to (b) (6) and (b) (6) to further the investigation into the origin of paddle misuse. Respectfully submitted, (b) (6) JBS-Ottumwa
M85O+P177 75+V85O	Swift Pork Company	HEM4319013 429G	29JAN2021	04C02	Livestock Humane Handling	Finalized	On 01/28/21 during 2nd shift harvest, I observed paddle marks on 13 carcasses, several of which had multiple marks. Tattoo numbers were 0896, no tattoo, 0111 x 2, 6633, 0123, 0120, 0119 x 3, 0118 x 2, and 6642. I showed the marks to (b) (6) and informed her an MOI would be issued. (b) (6) collected the carcass identification to be forwarded to (b) (6) and (b) (6), to further the investigation into the origin of paddle misuse. Respectfully submitted, (b) (6) JBS-Ottumwa
M85O+P177 75+V85O	Swift Pork Company	HEM4119013 029G	29JAN2021	04C02	Livestock Humane Handling	Finalized	On 01/27/21 during 2nd shift harvest, I observed paddle marks on 3 carcasses. Tattoo numbers were 6X91, 6X92, and 08X0. I showed the marks to (b) (6) and informed him an MOI would be issued. (b) (6) collected the carcass identification to be forwarded to (b) (6) and (b) (6), to further the investigation into the origin of paddle misuse. Respectfully submitted, (b) (6) JBS-Ottumwa
M85O+P177 75+V85O	Swift Pork Company	HEM3819013 529G	29JAN2021	04C02	Livestock Humane Handling	Finalized	On 01/27/21 at 0145 hours (01/26 harvest), I observed paddle marks on the back of a carcass with tattoo number 0794. I showed the marks to (b) (6) and informed him an MOI would be issued. (b) (6) collected the carcass identification to be forwarded to (b) (6) and (b) (6) via (b) (6), to further the investigation into the origin of paddle misuse. Respectfully submitted, (b) (6) JBS-Ottumwa

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M85O+P177 75+V85O	Swift Pork Company	HEM3816013 230G	30JAN2021	04C02	Livestock Humane Handling	Finalized	On 01/30/2021 (01/29 harvest) during 2nd shift harvest, I observed multiple paddle marks on 12 carcasses with the following tattoos: 6686, 0176, 6691, 6692 x 2, 01X9 x 2, 01X8, 6693, 6694, and 669X x 2. Location of marks ranged from the tail base to the dorsal neck. I showed the marks to (b) (6) and informed him a MOI would be issued. (b) (6) and the harvest supervisory team collected carcass identification to be forwarded to (b) (6) and (b) (6) to further the ongoing investigation of paddle misuse. Respectfully submitted, (b) (6) JBS-Ottumwa
M85O+P177 75+V85O	Swift Pork Company	HEM0302011 931G	31JAN2021	04C02	Livestock Humane Handling	Finalized	On 01/30/21 on 2nd shift harvest, I observed paddle marks on 2 carcasses, one with tattoo number 0214 and the other one did not have a tattoo. I informed (b) (6) of the findings and that a MOI would be issued. (b) (6) recorded the carcass identification to be forwarded to (b) (6) and (b) (6) to add to the ongoing investigation into the origins of improper paddle usage. Respectfully submitted, (b) (6) JBS-Ottumwa
M85O+P177 75+V85O	Swift Pork Company	HEM4402024 214G	14FEB2021	04C02	Livestock Humane Handling	Finalized	During 2nd shift harvest for the week of 02/08/21, IPP railed out 48 carcasses for the presence of paddle marks. Many of the carcasses had multiple marks and locations ranged from the hams, tail base, neck and along both sides of the back. I showed the carcasses to a member of the supervisory harvest team on each occasion and they in turn forwarded carcass identification and pictures to (b) (6) and (b) (6), per the SOP for investigating paddle marks, dated 1/14/21. Dates and tattoo numbers are as follows: 02/08/21 – 6306, 0X45, 0X46, 0X29, 6319, and 6317; 02/09 – 0X82, 0X94, 0X96 and 6374; 02/10 – 0643, non-readable, 6434, and 6434; 02/11 – 6X0X x 2, 6496, 0730, 649X x 3, and 0719; 02/12 – 0766 x 3, 6XX1 x 2, 0770, 6XX4 x 5, 6XXX, 6X70, 0778, and 0773; 02/13 – 082X, 0828, 0833, and 0829 x 8. I informed (b) (6) that a MOI would be issued. Respectfully submitted, (b) (6) JBS - Ottumwa

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EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M85O+P177 75+V85O	Swift Pork Company	HEM0916022 119G	19FEB2021	04C02	Livestock Humane Handling	Finalized	<p>During 2nd shift harvest for the week of 02/15/21, IPP railed out 15 carcasses for the presence of paddle marks. Many of the carcasses had multiple marks and locations ranged from dorsal neck and along both sides of the back. I showed the carcasses to a member of the supervisory harvest team on each occasion and they in turn forwarded carcass identification and pictures to (b) (6) and (b) (6).</p> <p>, per the SOP for investigating paddle marks, dated 1/14/21. Dates and tattoo numbers are as follows: 02/16/21 – 6713 (prod mark), non-readable (multiple elongated concave marks with subcutaneous bruising), 0130 x 3, and 6740; 02/17 – 6772, 3960 x 2, and 3961 (prod mark); 02/18 – 0220, 68X7 x 2, and 0221; 02/19 – 0281. I informed (b) (6) that a MOI would be issued. HAT</p> <p>task – Category II – Truck unloading has been increased and no paddle misuse has been observed. During OHI (odd hour inspection) on 02/11/21, no paddle misuse was observed; also, no paddle misuse has been observed in-plant. Concerning the prod mark on 02/16/21, tattoo 6713, the establishment conducted a thorough investigation and discovered the root cause and from this produced written corrective actions and preventative measures; these documents are attached to the MOI in PHIS. Compared with last weeks findings, this week has shown a dramatic decrease from 46 to 15 carcasses. Respectfully submitted, (b) (6)</p> <p>JBS – Ottumwa</p>
M85O+P177 75+V85O	Swift Pork Company	HEM5915024 226G	26FEB2021	04C02	Livestock Humane Handling	Finalized	<p>On Wednesday 2/24/2021, I noticed several carcasses with evidence of animal handling tool misuse. The markings resembled a round electric prod mark imprint on the ham of the hogs. The carcasses that presented with the concentric circle lesion were from the following tattoos: 435 and 430. I spoke with (b) (6) who informed me he would be going to the harvest floor to examine the lesions.</p>
M85O+P177 75+V85O	Swift Pork Company	HEM5307023 726G	26FEB2021	04C02	Livestock Humane Handling	Finalized	<p>On Thursday 2/25/2021, I noticed several carcasses with evidence of animal handling tool misuse. The markings resembled a rattle paddle imprint on the dorsum of the hogs. The carcasses that presented with the rattle paddle lesion were from the following tattoos: 6299 and 494. I showed the lesions to (b) (6)</p>

EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M85O+P177 75+V85O	Swift Pork Company	HEM3218022 427G	27FEB2021	04C02	Livestock Humane Handling	Finalized	<p>During 2nd shift harvest for the week of 02/22/21, IPP railed out 92 carcasses for the presence of paddle marks. Many of the carcasses had multiple marks and locations ranged from the tail base to the dorsal region of the neck and along both sides of the back. I showed the carcasses to a member of the supervisory harvest team on each occasion and they in turn forwarded carcass identification and pictures to (b) (6) and (b) (6), per the SOP for investigating paddle marks, dated 1/14/21. Dates and tattoo numbers are as follows: 02/22 – 03X1 x 2, 03X2, 0343 x 3, 0340, 034X, 6136 x 3, 0360, 036X, 0366 x 2, 0362 x 4, 6147, 0346 x 6, and 613X x 3; 02/23 – 6191, 6186, 0421, 6189, 6188, 6203, and 6199; 02/24 – 62X3, 62XX, 0472, 0471, 0473, 6273 x 8, 6274 x 10, 6269, 62X9, and non-readable; 02/25 – 0X10 x 2, 6316, 0X02, 0X06, 6313 x 2, 0X12, 6302 x 5, 6301 x 2, 6317 x 2, 6321 x 3, 0X23 x 2, 3999, and 6328; 02/26 – 0XX6 x 2, 0X64, and 0X72; 02/27 – 6441 and 642X. I informed (b) (6) that a MOI would be issued. No implement misuse has been observed during HAT tasks – Category II - Truck unloading and Category VI – Electric Prod/Alternative Object Use. Representative pictures of paddle mark bruises are attached to this MOI in PHIS. Respectfully submitted, (b) (6) JBS – Ottumwa</p>
M85O+P177 75+V85O	Swift Pork Company	HEM3521033 804G	04MAR2021	04C02	Livestock Humane Handling	Finalized	<p>At approximately 1912 hours, I was walking from the hog holding pens along the alley leading the stunning area. Plant personnel were moving hogs thru the alley to the half circle pens driving the hogs in irons leading to the stunners. The employee in the dark purple hat was moving hogs thru the half circle pen on the South side. His back was towards me and I observed as he was moving the hogs he was raising his right hand with a plastic bat above his head and swinging the bat with force onto the hogs in the half circle pen. He was doing this repeatedly as he was trying to move the hogs. The employee with a white moving the hogs thru the guillotine gate into the half circle pen repeatedly try to stop the employee from forcibly hitting the hogs. After three attempt of verbal communication he reached out and stopped him from swinging the bat. After he turned and saw me, he stopped using the bat above his shoulder. I went and found (b) (6) and informed him of what I saw. He stated he would address the situation. I informed (b) (6) about the episode he instructed me to write the MOI.</p>
M85O+P177 75+V85O	Swift Pork Company	HEM0321031 805G	05MAR2021	04C02	Livestock Humane Handling	Finalized	<p>During 2nd shift harvest for the week of 03/01/21, IPP railed out 33 carcasses for the presence of paddle marks. Many of the carcasses had multiple marks and locations ranged from the tail base to the dorsal region of the neck and along both sides of the back. I showed the carcasses to a member of the supervisory harvest team on each occasion and they in turn forwarded carcass identification and pictures to (b) (6) and (b) (6), per the SOP for investigating paddle marks, dated 1/14/21. Dates and tattoo numbers are as follows: 03/04 – 08XX, 0860, 08X3 x 9, 08X2 x 7, 08X1, and 6660; 03/05 – 089X x 7, 0104, 0106 x 2, 0107 x 2, and 6701. One of the carcasses tattooed 0107 had > 11 marks on the back; the producer was RC Family Farms. I informed (b) (6) that a MOI would be issued. Representative pictures of paddle mark bruises are attached to this MOI in PHIS. Respectfully submitted, (b) (6) JBS – Ottumwa</p>

EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M85O+P177 75+V85O	Swift Pork Company	HEM4016032 616G	16MAR2021	04C02	Livestock Humane Handling	Finalized	<p>During 2nd shift harvest for the week of 03/08/21, IPP railed out 14 carcasses for the presence of paddle marks. Some of the carcasses had multiple marks and locations ranged from along both sides of the back to the dorsal neck. I showed the carcasses to a member of the supervisory harvest team on each occasion and they in turn forwarded carcass identification and pictures to M^{(b) (6)} and (b) (6), per the SOP for investigating paddle marks, dated 1/14/21. Dates and tattoo numbers are as follows: 03/08 – 0178, 6770, and 6772; 03/09 – 0220 x 2, 6838 x 2, 6841 x 3, 6840 x 2, and 6842 x 2. I informed (b) (6) that a MOI would be issued. Representative pictures of paddle mark bruises are attached to this MOI in PHIS. Respectfully submitted, (b) (6) JBS - Ottumwa</p>
M85O+P177 75+V85O	Swift Pork Company	HEM2919030 019G	19MAR2021	04C02	Livestock Humane Handling	Finalized	<p>During 2nd shift harvest for the week of 03/15/21, IPP railed out 15 carcasses for the presence of paddle and prod marks. Some of the carcasses had multiple marks and locations ranged from along both sides of the back to the dorsal neck and chest. I showed the marks to a member of the supervisory harvest team on each occasion and they in turn forwarded carcass identification and pictures to (b) (6) and (b) (6), per the SOP for investigating paddle marks, dated 1/14/21. Dates and tattoo numbers are as follows: 03/15 – 6676; 03/17 – 0X63, 0X63 (10 cm O.D. x 5 cm I.D. donut shaped mark – dorsal mid neck), 6382, and 0X77 x 3 (prod marks); 03/18 – 6433 (numerous ~ 35 - 12mm circular prod marks), 0634 x 2, and 0636; 03/19 – 0704 x 2, 0701, and 6X18. I informed (b) (6) that a MOI would be issued. Representative pictures of donut, paddle and prod marks are attached to this MOI in PHIS. Corrective actions for the prod marks associated with tattoo 0X77 are also attached to this MOI in PHIS. The origin of the donut mark is unknown at this time. Respectfully submitted, (b) (6) JBS - Ottumwa</p>
M85O+P177 75+V85O	Swift Pork Company	HEM1221034 229G	29MAR2021	04C02	Livestock Humane Handling	Finalized	<p>During 2nd shift harvest for the week of 03/22/21, IPP railed out 5 carcasses for the presence of paddle marks; all the carcasses were railed out on 03/26/21. Some of the carcasses had multiple marks and were located along both sides of the back. I showed the carcasses to a member of the supervisory harvest team on each occasion and they in turn forwarded carcass identification and pictures to (b) (6) and (b) (6), per the SOP for investigating paddle marks, dated 1/14/21. Tattoo numbers are as follows: 03/26 – 0168, 6790, and 0180 x 3. I informed (b) (6) that a MOI would be issued. Representative pictures of paddle mark bruises are attached to this MOI in PHIS. Respectfully submitted, (b) (6) JBS - Ottumwa</p>

EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M8971+P8971+V8971	R Four Meats	DBJ1212023602G	02FEB2021	04C02	Livestock Humane Handling	Open	On 2/1/21, while I was observing HATS Category VIII-Stunning Effectiveness, while the establishment was attempting to stun the first bovine of five presented for antemortem inspection, I observed the following: The restrainer is constructed of spaced round metal tubing between corner posts; there are 5 metal tubes that run parallel with the ground spaced varyingly from approximately 8 inches to 14 inches apart. There is a guillotine type gate that closes behind the animal after entering that is constructed from the same metal tubing, with similar spacing between bars. There is approximately 3 inches to 4 inches of space between the restrainer and wall along one of the long sides of the restrainer. There is not a head catch, allowing the beef freedom to move around in the restrainer. The first beef animal attempted to jump out of the top of the restrainer, causing the left front leg to become stuck between the metal tubes of the restrainer at approximately the beef's shoulder height; the beef's leg was stuck above the 4th metal tube from the floor. I also noted that the rear right hoof had become pinched between the restrainer and wall above the first tube from the floor of the restrainer. The animal remained stuck this way for approximately 2 to 3 minutes until (b) (6) successfully stunned the animal in the position described with the first shot from the hand-held captive bolt gun. While the animal was stuck it tried to jump out of the restrainer at least one more time, however I did not observe the animal to vocalize or exhibit excessive excitement while it was stuck. During post mortem inspection I did not observe any bruising or injuries to the animals legs. The 2nd animal on the same day entered the slaughter floor in a very excited state, vocalizing repeatedly. It took 5 or 6 attempts for the slaughter floor manager to drive the animal from the holding pen, into the restrainer and successfully close the guillotine gate. The animal appeared to be in an excited state before any attempts to drive the animal were made.
M9041+P9041+V9041	Sturgis Meats LLC	PTE4412010606G	06JAN2021	04C02	Livestock Humane Handling	Finalized	While performing a review of the establishments humane handling program I observed two areas where the establishment is failing to follow its written program. - The program states that management will review the stunning device maintenance log monthly and no review was performed in December. - The program also states that the humane handling log will have a DO and RR completed monthly and no review was performed in December.
M9041+P9041+V9041	Sturgis Meats LLC	PTE1715024408G	08FEB2021	04C02	Livestock Humane Handling	Finalized	While performing a review of the establishments humane handling program, I observed two areas where the establishment has failed to follow its written program for the last two months: ->The humane handling program states that management will review the stunning device maintenance log once monthly and no review was performed in December or January. ->The humane handling program also states that records review of the humane handling log will be done once monthly and no review was performed in December or January. This is the second notification to the establishment that the establishment has failed to perform their appropriate record reviews as stated in their program. At this time the humane handling program cannot be considered robust as the establishment has failed over the past two months to implement and maintain their humane handling program as written. By the end of the week the establishment believes they will be implementing and maintaining their humane handling program as written again.

Table: MOIs in Response to FOIA2021-263

EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M9041+P9041+V9041	Sturgis Meats LLC	PTE5909022823G	23FEB2021	04C02	Livestock Humane Handling	Finalized	After reviewing the establishments humane handling program again, the establishment has shown to be implementing and maintaining their humane handling program as written. As of 2/22/2021, the humane handling program can be considered robust.
M9065+P9065+V9065	Wamplers Farm Sausage	GHB0106024703G	03FEB2021	04C02	Livestock Humane Handling	Finalized	<p>Wampler's Farm Sausage updated the Firearm Discharge Warning System (FSIS 4791-36) and FSIS was given a copy on February 2, 2021. It states "The manager or lead will notify any FSIS personnel present in barn of the need for firearm discharge and will allow time for the personnel to go to a safe area before discharge. The safe area for firearm discharge in barn will be the USDA office or interior of harvest floor." Wampler's had a prolonged failure of their electrical stun system this afternoon (February 2, 2021). There was an electrical wand failure on January 11, 2021 where the plant had to switch to mechanical stunning because the backup wand was reportedly also broke and being repaired at the maintenance shop. There was a failure of the electrical stunning system on January 15, 2021 where the plant had to switch to mechanical stunning because it reported moisture had gotten in the control box and caused it not to operate. Wampler's is currently using a captive bolt as their primary means of stunning and reloading for a secondary stun or using a 410 shotgun. The firearm was used several times without any warning to IPP. After I discussed the plant's Firearm Discharge Warning System with the Kill Floor Supervisor and the lead employee performing the stunning, firing the gun without notification to IPP continued. I was told by Ted Wampler Jr. that I have been notified they will be firing a gun in the stunning area so I should not be there for my safety. I told him they are to notify FSIS each and every time a firearm is to be discharged as IPP must be able to verify stunning effectiveness and monitor for regain of consciousness. Also, I told him that animals cannot be stacked in knock box in a way that precludes IPP from performing verification procedures as they had hogs lined up and were stunning one after another and sometimes using the firearm and I could not verify what was happening. I added, if a mechanically stunned animal kicks another conscious animal, that is non-compliance. Mr. Wampler stated that the hogs run up together and they cannot keep them separated. I stated that maybe that is something they can evaluate. Additionally, Wampler's is not following procedures as outlined in their humane handling plan, as exemplified by untrained employees coming out to "help" in the stunning area and using an electric prod to move animals. Wampler's plan states "Employees are given the training of Humane handling prior to starting an animal position." There is a training log and the employee observed using the electric prod to move animals was not on it as of February 2, 2021. I have provided a copy of FSIS Directive 6090.1: FIREARMS SAFETY IN OFFICIAL LIVESTOCK ESTABLISHMENTS.</p>

EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M9228+P9228+V9228	Carlton Packing Company	YQB5612035008G	08MAR2021			Finalized	Due to the March 2, 2021 enforcement action, M9228 Carlton Packing is no longer considered by FSIS to have a robust systematic approach to humane handling. FSIS Directive 6900.2 Revision 3 "NOTE: If an establishment is suspended (Notice of Suspension (NOS)) or receives a Notice of Intended Enforcement Action (NOIE) due to an egregious inhumane handling and slaughter event, they will no longer be considered by FSIS to have a robust systematic approach. The establishment will need to proffer corrective actions and preventive measures to the DO in order to develop a verification plan (Refer to: FSIS Directive 5100.3 "Administrative Enforcement Action Decision-Making and Methodology"). The establishment may request a review of their system after the suspension has been lifted to determine if their system is again robust."
M934	Charlie DiMaria & Sons	VMH5617022202G	02FEB2021			Finalized	(b) (6) needs to confirm some missing information with (b) (6) prior to finishing her truck audit on one lot for date 01/26/2021. Plant Response: Spoke to (b) (6) she said (b) (6) will speak to (b) (6) in regards to the missing information today or tomorrow morning.
M934	Charlie DiMaria & Sons	VMH5309025305G	05FEB2021	04C02	Livestock Humane Handling	Finalized	At 7:15am, I, (b) (6), observed that the steps in the serpentine as described in NR VMH42090113430N have not been repaired and now are in worst condition. A cement step is no longer encased around the rods, in result, exposing 2 projecting metal rods. IPP ask for a possible temporary fix. Livestock pens, driveways, and rams shall be maintained in good repair. They shall be free from sharp or protruding objects which may cause injury or pain to the animals. Another copy of NR VMH42090113430N is provided and is to remain open. Plant Response: Plans to have it fixed this weekend, in the meantime, will cut the metal rods down to ground level.
M934	Charlie DiMaria & Sons	VMH0809030426G	26MAR2021	04C02	Livestock Humane Handling	Finalized	Approximately 0540 hours, I, (b) (6), observed a pooling of a floating brown slurry (fecal matter/ingesta/water) in Pen 5 and 6 including the associated alleyway. About 60-70% of the slurry was floating above the cement grates, other areas of the alley ways and pens were dry. Antemortem was performed on Pen 2 (Pen 2 did not require going through the slurry). When asked, (b) (6), informed me that the trough was broken. I asked (b) (6) to call (b) (6), or any members upper management available but was unable to. (b) (6) was able to contact (b) (6), to let him know about the conditions of the pens and the potential for slips and falls. I also was able to speak to (b) (6) later. (b) (6), elected to delay antemortem inspection to attempt to improve the conditions of the pens.

EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M96	Florida Beef, Inc.	HAA0812032 918G	18MAR2021			Finalized	On 3/28/21 at approximately 0945 hrs. while performing a Livestock Humane Handling procedure, IPP observed the following deviation. While observing livestock stunning procedures, IPP observed a plant management position a cow into the knock box and secure its head inside the head restrain. A plant employee then proceeded to stun the animal with a captive bolt stunner instead of the pneumatic penetrating stunner. After reviewing the establishment's Humane Handling and Stunning records, it was discovered that the employee had not received Humane Handling training as required by the establishment. IPP notified (b) (6) of the deviation. By allowing this deviation to exist, the establishment failed to comply with the procedures set forth in their Livestock Humane Handling Plan. This MOI serves as written notification that failure to meet requirements documented in the plan could result in a regulatory noncompliance.
M960	Greater Omaha Packing Co., Inc.	PEE3312013 125G	25JAN2021	04C02	Livestock Humane Handling	Finalized	At approximately 07:50am on Monday January 25, 2021 while I, (b) (6) was performing a routine humane handling task, the following issue was observed. A pen of livestock were released from a pen into the central ally to go to the carousel. They were naturally running initially, but the handler trailing them was, while running to catch up, waving his wand with a plastic flag and shouting, causing the cattle to continue to run. The (b) (6) was notified, who immediately talked with the handler personally, and shortly after (b) (6) was notified who immediately with aid of an official translator, had a meeting with all cattle handling personnel. This action was not in compliance with regulation 313.2(a) where when driving livestock from the holding pens to the stunning area, livestock shall be handled with a minimum of excitement and shall not be forced to move faster than a normal walking speed.

EstNbr	EstName	MOINbr	Date	Task Code	TaskName	Status	MOI Agenda
M966+P1904 9+V966	Univ of Arizona Food Products & Safety Lab	CTN3810034 315G	15MAR2021	04C02	Livestock Humane Handling	Finalized	<p>On Monday, March 8, 2021 at 1:46pm, I (b) (6), observed cattle coming off of a truck when I walked out of the plant building to take a phone call. When my phone call was finished at 1:51pm, I walked over to the cattle unloading area to observe humane handling. The plant employee handling the animals notified me that 4 of the cattle appeared to have broken limbs. These 4 cows were separated from the rest of the lot. When I inquired about where these animals came from, I was told (b) (4). I was also told by a plant employee that the person who dropped off the cattle handled them "too roughly" and appeared to make a fist at a cow that was not moving and "hit it in the ribs." I did not physically see this occur. I told Dr. Sam Garcia, plant manager, that producer (b) (4) had dropped off 4 cattle with apparent broken limbs. He acknowledged this and stated that that is why they are being sent to slaughter, and it is normal for a producer with 4000 head of cattle to have to have some cattle with broken limbs especially bulls since they are more active. I told Dr. Garcia that regardless of what is normal in the industry that I was concerned since 4 of the 9 animals were in this condition, and that he was aware of subsequent ante mortem actions if the animal does not ambulate to the knock box. Dr. Garcia stated that he was aware that the animals would be condemn if they did not ambulate to the knock box. Further conversation on this topic is to be discussed at the establishment weekly meeting.</p>
M969G	Swift Beef Company	NDH0115015 122G	22JAN2021	04C02	Livestock Humane Handling	Finalized	<p>HATS Category IV - Ante-mortem Inspection At approximately 2100 hours on 1/21/2021, I, (b) (6), was conducting the second antemortem inspection for B-shift when I observed beef cattle becoming overly excited when driven. I was standing above the cattle on the catwalk while (b) (6) was opening/closing pens and (b) (6) was standing on the bottom rung of the pen gate at the opposite end of the holding pens from (b) (6), continuously yelling at the cattle to move as they ran to the opposite end of the pen. This resulted in cattle crowding the gates before they were opened and then running through the gate once it was opened. This occurred during antemortem inspection of multiple pens, where I saw at least one animal slip. I noticed that (b) (6) was having to open the pen gate and then close it before he could open the next pen, while (b) (6) would move onto the next pen and start yelling at the cattle again from the back of the pen, resulting in the cattle running up and crowding the gate that had yet to be opened. When (b) (6) went to open the gate, the overly excited cattle all started turning and trying to run in the opposite direction towards (b) (6) who was still yelling at them to move. This resulted in at least one animal jumping into the water trough and the group of cattle visibly distressed, turning frantically in both directions and trying to move over each other. I immediately notified (b) (6) that the constant yelling was causing the cattle to run and become overly excited during antemortem inspection and not move at their normal pace. (b) (6) then informed (b) (6) to calm down on the yelling, and the rest of antemortem was conducted with minimal excitement. I notified (b) (6) about the situation and he informed me that (b) (6) is humane handling trained but he is supposed to be cleaning pens and it is not his job to help move cattle. (b) (6) informed me that he would talk to (b) (6) about the issue. I remind you of 9 CFR 313.2(a) that states "Driving of livestock from the unloading ramps to the holding pens and from the holding pens to the stunning area shall be done with a minimum of excitement and discomfort to the animals. Livestock shall not be forced to move faster than a normal walking speed."</p>