

**The Use of Water in Animal Production, Slaughter, and Processing**  
ADOPTED 22 APRIL 2021, WASHINGTON, DC  
2018-2020 National Advisory Committee on Microbiological Criteria for Foods

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## **FSIS Charge: The Use of Water in Animal Slaughter and Processing**

### **Background**

Current FSIS regulations on the use of water during the processing of meat and poultry products were last updated in the 1990s and may not account for the most recent technologies or alternatives to water use. Water requirements for establishments slaughtering and processing meat and poultry products are covered in the sanitation regulations in 9 CFR 416.2(g)(1), (2), (3), (4), (5) and (6). The water used in food processing must comply with 40 CFR 141, the National Primary Drinking Water regulations, if a municipal water supply is used. If a private well is used, food processors must make documentation certifying the water's potability available to FSIS. Regulation 9 CFR 416.2(g)(4) limits the use of reconditioned water and may not reflect current technological capabilities of water treatment. Climate change is challenging the food industry's access to clean and inexpensive water. The frequency, severity, duration, and location of weather and climate phenomena (i.e., rising temperatures, flooding rains, and droughts) are changing, which will continue to impact the food industry's ability to produce safe food. It is essential that regulatory agencies assess these changes and evaluate current regulatory requirements associated with water use. They must also be able to provide alternatives to current water consumption practices that allow industry to use less and recycle more water through developing criteria on the appropriate uses of water sources in the processing of food.

### **Executive Summary**

Water is an essential part of food animal processing, and current processing practices use large volumes of water. Due to climate change, the food industry's access to clean and inexpensive water is increasingly a challenge. The Food Safety and Inspection Service (FSIS) seeks evaluation by the National Advisory Committee on Microbiological Criteria for Food (NACMCF) to facilitate the safe reuse of sources of water in order to reduce water consumption.

## Charge Questions to the Committee and Committee Responses

FSIS requests guidance from the NACMCF to address alternatives to current water usage practices, guidelines, and regulations for FSIS-regulated products to help clarify the following issues:

### Charge Question #1

What are the current water usage practices for slaughterhouses and processors? At which steps might water conservation or alternative water sources be feasible?

### *Summary/Recommendations*

- There is a large variability, such as processing practices for each animal, practices within the same animal species, etc., in the application of water in food-animal processing.
- There are a limited number of publications on water use by species. The industry may have some information that is not publicly available.
- Important gaps are the lack of information for pork and channel catfish processing.
- Water management strategies should include water conservation practices, which are low-cost practices that may result in important reductions in water usage.
- The 2020 COVID-19 pandemic may have a large impact on the increase of water usage, specifically related to the implementation of more stringent cleaning and sanitation practices in meat and poultry processing establishments.
- There should be more collaborations among stakeholders (e.g., industry, academia, government) to collect missing information on water usage and opportunities for reuse.

### Charge Question #2

What are the available technological strategies for water reuse, recycling, reconditioning, and reclamation, and how might FSIS-regulated facilities employ them? Is a fully closed water system reasonable as a goal?

### ***Summary/Recommendations***

- Many factors influence the type of wastewater treatment methods that an establishment can implement, including the local cost of water and the cost of the technology.
- There are already examples of water reuse in a counterflow direction to the movement of product, such as the counterflow scalders and chillers used for the processing of chickens.
- Water conservation, based on judicious use of water changes in behavior, is an important starting point to reduce the overall water usage.
- A complete understanding of energy use and plant infrastructure limitations is necessary to effectively understand all opportunities for water conservation and recycling.

### **Charge Question #3**

Water contaminants can be microbiological, chemical/toxicological, physical, and nutrient in nature. Identify these contaminants and how their presence and concentrations in potable water (municipal and well-sourced) compare to those found in water treated using the reuse, recycling, reconditioning, and reclamation technologies identified in (2) above. Identify the risks posed by these contaminants for various steps in food production and processing.

### ***Summary/Recommendations***

- Characterization of microbial and chemical contaminants in water is a very large topic that requires extensive work.
- There are quality standards for potable water but not for the recycled water from different processing states, and different water treatment systems.
- Different treatments may deal with different contaminants. Thus, a comparison of potable water versus reused/recycle/reconditioned water is not easy to address.
- As we move to fit-for-purpose water recycling and usage, quality standards may need to be developed for each application and recycling system.

### **Charge Question #4**

How do residual contaminants in water used for animal production, slaughter, and processing affect product quality and safety? What are the quality implications and public health risks associated with contaminants at levels anticipated for reconditioned water? How might FSIS and industry best assess those implications and risks? How do residual contaminants in water affect the functions of various materials added to water used in all stages of food production and processing, such as feeds, medicines, and antimicrobials? For example, consider the effects of trace pharmaceuticals on animal husbandry, and the effects of iron and “hard water” on phosphate-based interventions.

#### ***Summary/Recommendations***

- The distinction of two water quality standards, one for water that has direct or indirect contact with food and one for water that has no contact with food, best assures safety.
- FSIS and industry can use a fit-for-purpose risk assessment approach to assess public health risks from water reuse in food contact applications that do not already require potable water quality and make the risk assessment adaptable to the specific food and use situations.
- Reused water in animal processing should be evaluated to ensure that the finished products do not exhibit an increase (relative to current water usage practices) in the health risks associated with these products.
- A uniform standard for, and federal regulation of, the quality of reused/recycled water in FSIS-regulated facilities is needed. Currently, local authorities using highly variable criteria determine both the water standard and regulation.

#### **Charge Question #5**

What are the best ways to assure and/or monitor the quality and safety of alternatively sourced water used in FSIS-regulated operations?

#### ***Summary/Recommendations***

- There are physical, chemical, and microbiological parameters that have been traditionally monitored to assess water quality.
- Standard water analysis methods are available, well-developed, and reliable. Initial monitoring of alternatively sourced water should be extensive, while ongoing performance monitoring should be in real-time and focus on measuring indicators (refer to Glossary). Water for non-food contact uses will require monitoring of fewer parameters.
- The set of quality parameters to be tested, and the frequency, should be developed for each technology and application based on the contaminants of concern and those that the technology will reduce/remove.
- This set of quality parameters could include indicators of water quality for each food animal species, for different areas in processing and for the processing areas where reprocessed water will be used.

#### **Charge Question #6**

Are there special considerations for foods that are produced entirely within water (e.g., fish), and if so, what are they?

#### ***Summary/Recommendations***

- Maintaining good water condition in fishponds is essential to control fish diseases and to provide adequate production of channel catfish.
- Some water conservation strategies have been published for fish processing establishments; however, economic and other incentives to incorporate conservation practices or recycling technologies do not exist.

**Charge Question #7**

Flooding can contaminate animals and water sources with human sewage and farm waste. What precautions should establishments take when floodwater or runoff affects a food or water source, or a processing area?

***Summary/Recommendations***

- Companies should develop emergency programs to manage natural disasters, such as flooding.
- There are several national and state guidelines that can be reviewed for the organization of these emergency programs.

**Charge Question #8**

What technologies are appropriate for the replacement of liquid water in food production and food processing areas (e.g., foam, mist, or dry chemicals)?

***Summary/Recommendations***

- Conducting a review of cleaning and sanitation and other manufacturing practices and the use of alternative technologies, such as air chilling instead of water chilling, helps in the identification of areas in which changes could contribute to an overall reduction of water use in a processing establishment.
- Newer technologies (e.g., ozone generators and ultraviolet treatments, surface coatings with sustained antimicrobial properties) are being approved by the EPA for specific sanitation practices and may provide viable alternatives to reduce water usage during the cleaning and sanitizing practices in animal food establishments.



## Responses to Charge Question #1

**What are the current water usage practices for slaughterhouses and processors? At which steps might water conservation or alternative water sources be feasible?**

There is a large variability in the application of water in food animal processing. This variability includes differences in the processing practices for each animal species (beef, pork, poultry and channel catfish), and variations within the practices employed within the same animal species. Other factors that affect water usage practices include the available and implemented technologies at the establishments, the equipment and practices in place, education and training on water conservation (refer to Glossary), and the actual cost-benefit of water conservation/recycling/reuse (refer to Glossary) for each establishment. However, there is limited information on the exact water use at each of the different processing steps, and for the different food animal establishments in the USA (Compton et al., 2018; Meneses et al., 2017). There is also limited information on the cost-benefit of each of the available water conservation/recycling/reuse technologies.

In general, meat processing may account for up to 24% of freshwater consumption in the food and beverage industries, while seafood accounts for approximately 2% (Bustillo-Lecompte and Mehrvar, 2015). A report from Australia estimated that the water usage in beef slaughter establishments varied from 3.8 to 17.9 kiloliters per ton of carcass weight produced (Warnecke et al., 2008).

Table 1-1 describes the estimated amounts of water used during the processing of broiler chicken, beef and turkeys. Although there are several reports on the use of water in establishments processing broiler chickens and beef, there is less information about establishments processing turkeys, pork and channel catfish. Most of the published studies about water use in beef are from countries other than the USA.

## Water Conservation

The potential costs-benefits for water reuse or recycling projects may result in an increased efficiency by the establishment, with energy savings and more efficient use of antimicrobial applications. A complete understanding of energy cost and plant infrastructure limitations is important to effectively understand all opportunities for water conservation and recycling.

The water usage in broiler processing is described in Table 1-2. Poultry harvest facilities rely primarily on water to drop the temperature of the carcasses post-evisceration and to deliver antimicrobials to control bacterial pathogens. For broiler chickens and turkeys, water chillers are as large as 200,000 gallons. The major water usage occurs in the areas from evisceration to carcass chilling. In each of these areas, there are opportunities for water conservation. In some cases, the industry has reused water (refer to Glossary) from the end of the chill tanks to feed the scalding tanks (Amorim et al., 2007; Blevins, 2020; Matsumura and Mierzwa, 2008; Northcutt and Jones, 2004; Russell, 2013).

In a study conducted in a broiler processing plant in Brazil (Amorim et al., 2007) with a water supply consisting of 99.5% deep water wells and 0.5% public water supply system, the proposals for water consumption reduction included:

- Reusing effluent from cleaning of transport cages (after removing coarse solids) would result in reductions of:
  - 12% of drinking water consumed
  - 1% of the effluent generated
- Reusing effluents generated by the cooling towers and in the de-freeze of cooling tunnel/storage chambers; 7.5% and 1.4% of wastewater (refer to Glossary) generated, respectively; to wash live poultry receiving and unloading yards would result in reductions of:
  - 91% of drinking water consumed
  - 7% of the slaughterhouse's overall water consumption
  - 9% of the effluent generated

- Reusing effluent from the final rinsing of the slaughterhouse cleaning process to pre-wash the by-product room would result in a 4% reduction in overall water consumption.
- Using all three of the proposals listed above would result in:
  - A reduction of about 12% of the water taken from the deep water well
  - A reduction of approximately 10% in the effluent generated
  - A savings of approximately \$6,500 (US) per year in wastewater treatment costs

These authors also highlighted that the incorporation of automatic, pressure-activated closing water taps could save approximately 40% of water compared to conventional taps, and that incorporating an infrared device for opening and closing of taps would save an additional 30% of water usage (Amorim et al., 2007).

Table 1-3 describes the estimated water usage in a beef processing facility. A review from an Australian beef processing facility highlights that water conservation can save up to 10% of the water usage in a small town (Pype et al., 2016). Water reuse, which is described by these authors as the “reuse of one process waste stream to the same or another process with or without pre-treatment,” could save up to 15% of a town’s water usage. The publication also highlights that in small towns, the recycling of non-potable water can save up to 40% of town water use, with a recovery on the investment within 6 to 10 years. The recycling of potable water (refer to Glossary) can save up to 70% of town water use, with a recovery on the investment of about 10 years. The calculated payback time of implementing these practices ranged from immediate to up to three years (Pype et al., 2016). Yet, some water reuse technologies may not be practical or economically feasible for small slaughter establishments.

In pork and beef harvest establishments, carcasses are chilled primarily by air chilling. However, water spray chill systems are also employed throughout the pork and beef industries. Because the skin is not removed in the initial steps in pork processing, various methods of carcass scalding are used to remove hair follicles and wash the carcass. This can be done via large scald tanks or can be accomplished using other technologies, such as steam through vertical scalding units.

There is no publicly available data on the use of water in channel catfish processing. There are advantages in improving water management and there are several companies providing water conservation consulting services to the food industry. Most of these companies collect background information on water usage in an animal food processing establishment by performing water audits, which can help create a water management plan to better understand the total water consumption and discharge, and identify inefficient or unnecessary uses, such as taps that are left on overnight. By applying a checklist of good practices, and systematically metering and tracking the volume of water used in a facility, an establishment can help to identify areas for potential water conservation (Timmermans, 2014). Table 1-4 shows the areas of a processing environment where there is potential for conservation and savings.

## Responses to Charge Question #2

**What are the available technological strategies for water reuse, recycling, reconditioning, and reclamation, and how might FSIS-regulated facilities employ them? Is a fully closed water system reasonable as a goal?**

### **Factors that Determine the Choice of Technology**

Water is a necessary component for meat production and meat processing. Water serves an important role in product formulations, processing, sanitation, and food safety. However, considerations for technology used for wastewater treatment methods and the ability to reuse and/or recycle is plant- specific. These abilities are based upon the primary function and the infrastructure of the plant, the efficiency and cost of implementing these strategies, and regulatory requirements for both water end use and effluent.

### **Animal Harvest and Raw Processing**

Water is vital in providing safe and wholesome food products of animal origin. The recognition of food safety and the removal of pathogens during meat processing has required the use of surface antimicrobials to be used throughout the harvest process. These surface antimicrobials are often diluted processing aids that are effective against eliminating pathogens, but also have the least organoleptic effect on the quality of the meat. The reliance and need for these surface antimicrobials will continue as standards for food safety increase.

A few opportunities for water reuse present themselves in the harvest process across all animal protein establishments. In general, water that is the cleanest and least contaminated should be used after the evisceration process. However, considerations for water quality, as it relates to food safety, will need to be evaluated to determine opportunities for reuse. An example of a potential scheme for the utilization of reused water in a turkey harvest operation could be the use of water in a counter-flow direction to the movement of product:

Chiller Water → Final Bird Wash → First Bird Wash → Feather Wash → Cage wash

Larger scale capital projects would need to be evaluated on their merits and overall cost. An example of water usage reduction would be a pork processing plant considering a change from water spray chilling carcasses to utilization of a mechanical process of chilling, such as blast chilling. Evaluation for the water usage from spray chilling would need to be assessed against the increased overall energy usage from blast chilling to determine if there is a net environmental benefit, an assessment for a potential opportunity to reuse the water used in a different application further upstream in the process, as well as a financial net present value gain by making the change.

### **Ready-to-Eat and Further Processing**

The water usage in further processing facilities should also be considered. Like harvest and raw processing facilities, water is used for sanitation, to deliver ingredients in formulation, and to improve food safety. Many of the ingredients delivered with water are vital to the functionality, identity, palatability, and safety of the product. Functional ingredients such as salt, sugar, sodium nitrite, and antimicrobials are carried into the product via a brine. Thus, potable water is the minimum standard of acceptance for use in formulations.

### **Sanitation and Plant Design**

Wet cleaning (refer to Glossary) sanitation is also widely employed throughout the meat processing industry. Reduction of water use may not be practical because of its importance in cleaning and sanitizing processing lines. However, opportunities for water reuse water in a counter flow direction from the movement of product could be employed. An example of this would be using water from the final bird wash upstream in the process, such as in the feather wash or cage wash in the trailers used to transport the live birds. Due to the nature of the processes and the types of contaminants present, there are fewer opportunities for dry sanitation in the meat processing establishments. Because meat is an excellent growth medium for many bacteria (including pathogens), wet sanitation is also required to provide processing “breaks” in

production and a sanitation schedule that reduces pathogens and spoilage bacteria. Cleaning and sanitizing protocols also limit the extent of the compromised product, should the product become contaminated with a known pathogen that results in a recall. Extended product runs to reduce the frequency of sanitation are often product specific and need to be monitored and verified to show effectiveness with respect to food safety requirements (Anonymous, 1999).

Besides water usage implications, there are other potential meat quality, food safety, and cost implications that need to be considered if changes to water usage practices are considered. Many of the processing plants in the USA were built before many environmental conservation practices were envisioned and included within the building design. Thus, electrical, plumbing, and sewage requirements may present cost barriers that are difficult to overcome. Also, the ability to utilize reused and/or recycled water (refer to Glossary) may require space that may not be available in older processing plants without major renovation or construction of the facility. Inline treatment systems and the need for holding tanks may limit a plant's ability to utilize reused or recycled water in the current footprint of the plant.

#### **Existing New Technologies for Wastewater**

The US EPA has established Effluent Guidelines (US EPA, 2002) to comply with national standards for industrial wastewater discharges to surface waters and publicly owned treatment works (e.g., municipal sewage treatment plants). The Effluent Guidelines are issued for different industrial sectors under Title III of the Clean Water Act. The standards are technology-based (i.e., they are based on the performance of treatment and control technologies), and not risk-based, or based on impact studies. The standards for wastewater discharges from meat and poultry processing are codified under Title 40 of the Code of Federal Regulations (CFR) Part 432 (US EPA, 2002), and include the discharge limits for several parameters, or indices, including pH, fecal coliform (refer to Glossary), total recoverable oil and grease, 5-day biochemical oxygen demand (BOD<sub>5</sub>; refer to Glossary), and total suspended solids. Some of these indices provide information on the degree of organic pollution of the water.

Bustillo-Lecompte and Mehrvar (2015) reviewed different slaughter wastewater treatment methods. Following is a brief discussion of those different methods:

**Land application:** Land application refers to the direct application of biodegradable materials to soil which can help increase the nutrient content of the soil. One significant advantage of this process is the recovery of by-products from slaughter wastewater which can be used as an alternative source of fertilizer. The land application process can also improve the structure of the receiving soil. One limitation of land application is that the process is dependent on factors like temperature and weather conditions. Hence, land application finds limited use in countries that experience very low temperatures during the winter season. Some other limitations of land application include potential surface water pollution, presence of persistent pathogens, and off-odors (San Jose, 2004; Mittal, 2004; Avery et al., 2005; Kiepper, 2001).

**Physicochemical treatment:** In the process, slaughterhouse wastewater (SWW) is separated into different components (primarily solids and liquids) using different types of methods (Al-Mutairi et al., 2008; De Nardi et al., 2011): (a) dissolved air filtration (DAF), (b) coagulation and flocculation, (c) electrocoagulation, and (d) membrane technology.

- **DAF:** These systems utilize air to separate liquids and solids in slaughter wastewater. The separation of solids and liquids is achieved via introduction of air from the bottom of the holding vessel. As a result, low density products like fat, grease, and light solids will migrate to the top of the surface forming a “sludge blanket”. This sludge blanket will then subsequently be removed. Advantages of this system results in improved chemical oxygen demand (COD; refer to Glossary) and BOD. In addition, this system is also successful in removal of nutrients from SWW. Some limitations noted by previous studies include a regular malfunctioning of the system and poor total solids removal (Al-Mutairi et al., 2008; De Nardi et al., 2011).
- **Coagulation and flocculation:** This process involves the addition of coagulants such as aluminum sulfate, ferric chloride, or ferric sulfate to treat SWW. Studies showed that these systems can significantly reduce the total phosphorous, total nitrogen and COD during SWW



treatments using poly-aluminum chloride as reagents (Núñez et al., 1999; Aguilar et al., 2002).

- **Electrocoagulation:** Electrocoagulation is a cost-effective technology that has been demonstrated to be successful in separating solid and liquid waste in SWW systems. In addition, the system was proven to be effective in removing organics, nutrients, heavy metals, and even pathogens from SWW without the involvement of chemicals (Koby et al., 2006; Emamjomeh and Sivakumar, 2009)
- **Membrane Technology:** Membrane technology, which includes technologies such as reverse osmosis, nanofiltration, ultrafiltration, and microfiltration, is very effective in removing particulates, colloids, and macromolecules based on pore size. Some limitations of this process include (a) a reliance on additional conventional technology to efficiently remove nutrients; and (b) the potential to cause fouling due to the highly concentrated SWW feeding streams (Bustillo-Lecompte and Mehrvar, 2015; Almandoz et al., 2015).

**Biological treatment:** Biological treatment involves treating SWW systems with microorganisms for the purpose of removing organics. There are two main types of biological treatments described in literature: anaerobic and aerobic systems (Bustillo-Lecompte and Mehrvar, 2015; Martínez et al., 1995; Mittal, 2006; Masse and Masse, 2000).

- **Anaerobic Treatment:** It is commonly perceived that anaerobic systems are less complex to operate compared to aerobic systems, since they do not require complex equipment and constant aeration. Bacteria metabolize organic compounds and produce products like carbon dioxide and methane during the anaerobic digestion process. There are several advantages to using anaerobic treatment systems: high COD removal; low sludge production compared to those of aerobic systems; and less energy requirements with potential nutrient and biogas. One of the limitations of anaerobic treatment is it may produce effluents that do not comply with current discharge limits and standards. Specifically, when SWW systems are subjected to anaerobic treatments, stabilization of organic compounds may not be achieved owing to the organic strength of SWW.

- **Aerobic treatment:** In aerobic systems, bacteria metabolize organic compounds in the presence of oxygen to facilitate removal of organic compounds. The strength of SWW becomes a determining factor in understanding the amount of oxygen required during the treatment of SWW systems. Typically, aerobic treatment is used following the treatment of organic compounds using a physicochemical treatment. In other words, it may serve as a final decontamination technology in the treatment of SWW. Aerobic reactors may have several configurations based on the amount of nitrogen required to be removed. Typical configurations for SWW aerobic treatment include activated sludge, rotating biological contactors, and aerobic sequencing batch reactors (refer to Glossary).

**Advanced oxidation processes (AOPs):** AOPs are becoming an interesting alternative to conventional treatment and a complementary treatment option, as either pretreatment or post-treatment, to current biological processes. Furthermore, AOPs do not involve the application of chemicals to inactivate microorganisms compared to the conventional systems (e.g., chlorination that is used for water disinfection (refer to Glossary) may have the potential to produce hazardous by products). As a result, AOPs have been recognized as processes that can offer advanced degradation, water reuse, and pollution control, thus being positioned as an effective complementary treatment. Several types of advanced oxidation process systems have been described in the literature, including (but not limited to): ozonation, gamma radiation, and an ultraviolet light/hydrogen peroxide application (Tabrizi and Mehrvar, 2004; Mehrvar and Venhuis, 2005; Venhuis and Mehrvar, 2005; Mehrvar and Tabrizi, 2006; Bustillo-Lecompte and Mehrvar, 2015).

### **Feasibility of A Fully Closed System**

Establishments simply cannot operate without water. There are some system-wide reasons to recycle water:

- **Inherent energy cost:** The cost of getting water out of the ground (or other sources), treat it to potable standards, transport it to a facility, and then properly dispose of the wastewater by treating to effluent standards and discharging back to the environment.

- **Competition for available water:** As water becomes scarce, companies, especially those located in proximity to or in metropolitan areas, will have to compete with municipalities.
- **Social responsibilities:** With increased attention to sustainability, the industry will want to ensure that their water use is judicious.

Once companies consider all the above and other issues that may affect their access to water, they will begin to recognize the significance of the business security that water recycling will bring to their operation and realize the importance of this financial investment.

1. Obstacles to water recycling:

- Outdated policies
- Lack of national standards, with current regulations under the jurisdictions of states and counties. Federal policies may be needed to increase consistency of water recycling in all 50 states.

**Establishment: Harmony Beef, Calgary Alberta Canada**

Water Recycling System Manufacturer: Delco Water, Saskatoon, SK, S7P 0A6  
(<https://www.delco-water.com/delco-water-projects/harmony-beef/>)

Storyline: A plant which was shut down for seven years was purchased, renovated and when the time came to go on-line, the plant owners were told that their water allotment had been allocated to a shopping mall. The owners had to find a solution and they focus on water recycling system. After extensive world-wide search, they settle on a system designed and installed by Sapphire. They are the first food processing plant in North America to reprocess their water. They recycle all, except those of human waste stream, process water. Better than 90% of their daily water needs are recycled water. The final discharge to sewer is only 7% of the process water volume, with the rest lost to evaporation (Rich Vesta, Owner and Operator of Harmony Beef, Alberta, Canada, Personal communications).

The process: They system is a continuous system with the flow rate of 13.9 L/second

1. Mechanical Treatment: Water flows through drum screen with 1 mm slot openings to remove coarse particles and large suspended solids.
2. Primary Treatment: inline analyzer to adjust the pH to 5.8-6.7 and then to Dissolved Air Flotation (DAF; refer to Glossary) – This stage removes medium to fine size particles, grit, fat, oil and grease. The removal is achieved by dissolving air in the water or wastewater under pressure and then releasing the air at atmospheric pressure in a flotation tank basin. The released air forms tiny bubbles which adhere to the suspended matter causing the suspended matter to float to the surface of the water where it may then be removed by a skimming device.
3. Secondary Treatment: Pumped to another tank for moving bed biofilm reactor (MBBR, refer to Glossary), which is an attached growth biological treatment process. Prior to MBBR inline analyzers adjust the pH to 6.8-7.2. It is an aerobic digester system
4. Tertiary Filtration: membrane ultrafiltration is used to remove emulsified oils, small, suspended solids, and larger molecules from the flow.
5. Polishing: Water flows through dual Reverse Osmosis (RO) membrane to remove total dissolved solids, pesticides, cysts, bacteria, and viruses. Utilizing a two-pass design minimizes wastewater disposal from the treatment process.
6. Disinfection: U.V. filtration and then chlorinated to 1-2%
7. Pump to 500,000-gallon tank ready for use.
8. Sludge treatment – Finally, the sludge moves through a dewatering process to reduce sludge volume by 60% to 70%.

Water Quality: Actual data from Certificate of Analysis (CoA) issued by Element (Calgary Canada) for Harmony Beef. Examination of a number of such CoAs indicates very little variability.

1. Microbial Analysis
  - a. Coliforms - <1.0 CFU/ml (below the detection limit of the method)
  - b. E. coli - <1.0 CFU/ml (below the detection limit of the method)
2. Physical and Aggregate: meets or exceeds standards
3. Chemistry

527	a. pH	9.1
528	b. Electrical conductivity	392 microS/cm
529	c. Dissolved Calcium	1.1 mg/L
530	d. Dissolved Magnesium	0.3 ml/L
531	e. Dissolved Sodium	81.9 mg/L
532	f. Dissolved Potassium	5.8 mg/L
533	g. Dissolved Iron	0.01 mg/L
534	h. Dissolved Manganese	0.008 mg/L
535	i. Dissolved Chloride	37.6 mg/L
536	j. Fluoride	<0.05 mg/L
537	k. Nitrate – N	0.03 mg/L
538	l. Nitrite - N	0.012 mg/L
539	m. Nitrate and Nitrite	0.04 mg/L
540	n. Dissolved Sulfate	<0.9 mg/L
541	o. Hydroxide	<5 mg/L
542	p. Carbonate	39 m/L
543	q. Bicarbonate	100 mg/L

544

545 Advantages:

- 546 1. No reliance on municipalities for water
- 547 2. No competition for human for water
- 548 3. Far better quality of water than municipal or well water
- 549 4. 3-4 years pay back
- 550 5. No need to lagoons
- 551 6. No incoming water or wastewater fees

552

### Responses to Charge Question #3

**Water contaminants can be microbiological, chemical/toxicological, and nutrient in nature. Identify these contaminants and how their presence and concentrations in potable water (municipal and well-sourced) compare to those found in water treated using the reuse, recycling, reconditioning, and reclamation technologies identified in (2) above. Identify the risks posed by these contaminants for various steps in food production and processing.**

This specific Charge question was found to be a large topic to cover, with extensive variations due to the many different factors, including:

- Animal species processed
- Stage of processing at which water is used
- Contaminant under study
- Sensitivity of the methodology to detect the target contaminant
- System used to produce reused/recycled/reconditioned water (refer to Glossary)

There is limited information detailing all the potential contaminants (refer to Glossary), mainly chemical and biological, that can be present in the water used during processing. Yet, it could be assumed that all known contaminants of public health concern that have been identified by species (e.g., *Campylobacter* spp. in broiler chickens, or *Escherichia coli* O157:H7 in beef) could end up in processed water in an establishment processing that species. It is also important to remember that water potability relates to drinking water standards and is done mainly by testing for chemicals and coliform indicator bacteria, not by testing for pathogenic bacteria *per se*.

Studies of drinking and recreational water have generated a large volume of information on risk-based water quality thresholds for different water quality indicators using quantitative microbial risk assessment (refer to Glossary). The presence of fecal indicator bacteria (FIB, fecal coliform or enterococci) usually correlates with adverse health effects and are used as water quality criteria in regulations aimed at protecting public health (US EPA, 2012a). Yet, human fecal

indicator bacteria, not just all FIBs, are now accepted as the most important indicator of ambient water contamination (Boehm and Soller, 2020). We do not have similar information on the most appropriate indicators for water recycling in food animal processing establishments (refer to answers for Charge Question #5).

### **Nature of the Contaminants**

Water used in the processing of animal protein establishments contain high amounts of organic matter, pathogenic and non-pathogenic microorganisms, residual chemicals from cleaning and sanitizing activities (Bustillo-Leconte and Mehrvar, 2015; Debik and Coskun, 2009; Masse and Masse, 2000). An essential aspect of food safety efforts in meat, poultry, channel catfish and egg products are the monitoring and control of chemical residues that may result from the use of animal drugs and pesticides, or from incidents involving environmental contaminants. The chemical contaminants coming with the live animal raised with proper husbandry practices should not bring any public health concern. These contaminants include chemical compounds added to the animal during production, such as growth promoters and antibiotics to control animal disease.

There are specific regulations on the use and application of drugs in food production animals. These regulations establish withdrawal times for chemical compounds that need time to clear up from the animal and be at levels that do not represent human health concerns. The U.S. Department of Agriculture's Food Safety and Inspection Service (USDA FSIS) administers the U.S. National Residue Program (NRP) for meat, poultry, and egg products. The NRP is an interagency program designed to identify, prioritize, and analyze veterinary drugs, pesticides, and environmental contaminants in meat, poultry, and egg products. FSIS partners with the Food and Drug Administration (FDA) and the Environmental Protection Agency (EPA) as the primary Federal agencies that manage the NRP. The FDA, under the Federal Food, Drug, and Cosmetic Act (FFDCA), establishes tolerances for veterinary drugs and action levels for food additives and environmental contaminants and reviews violative residues reported to FDA by USDA FSIS for risk-based inspection and compliance follow-up. The EPA, under the FFDCA, the Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA), and the Toxic Substances Control Act,

establishes tolerances for registered pesticides. Title 21 CFR includes tolerance levels established by FDA, and Title 40 CFR includes tolerance levels established by EPA.

FSIS publishes NRP Data (traditionally known as the Red Book) each year to summarize the results of testing meat, poultry, and egg products for chemical residues and contaminants of public health concern. When testing for residues in food animal tissues, test results reported by FSIS laboratories are compared to a quantitative acceptable level (i.e., tolerance or action level) to verify that the meat, poultry, and egg products tested are safe and wholesome and do not contain levels of a chemical that would render the product adulterated.

The NRP domestic sampling program is comprised of two correlated programs: the scheduled sampling program and the inspector-generated sampling program. Under the inspector-generated sampling plan, the number of samples screened and collected has remained the same (FY 2016 - 2019), at approximately 174,000 samples screened per year. The violation rate has remained below 0.4% and has declined since 2016. The predominant violative residues in the samples were antibiotics, mainly ceftiofur, penicillin, and sulfadimethoxine, which account for 30%, 23%, and 9.7% of total violative residues, respectively. Of the violations reported, 85% were attributed to cattle; dairy cows accounted for 71%, and bob veal for 14%. In samples from swine slaughter (market swine, sows, roaster swine, boar swine, and feral swine), there were only 8 violative samples, which represented 0.03% of the swine samples (USDA FSIS, 2019). The drugs in violations are mainly antibiotics found at higher than allowable levels. Thus, unless we consider the potential adverse reaction to an antibiotic (e.g., penicillin), these antibiotics are not *per se* a direct human health hazard.

### **Chemical Contaminants, Including Chemical Sanitizers**

There is a potential for chemicals for sanitation practices to contaminate water used in animal food processing plants, but there is no information on the impact of the accumulation of these residual chemical sanitizers (refer to Glossary), or their by-products, on the efficacy of the recycling technologies. In addition, there is limited information on the cost to remove all sanitizer from contaminated water in an animal food processing establishment. It is not clear if



interaction among different chemical compounds may bring challenges with water recycling systems. Thus, this is an area where more information is needed.

The chemical compounds used to control pathogens during the processing of food animals, and that have contact with food, have all received approval by FDA as generally recognized as safe (GRAS; refer to Glossary) or as a secondary direct food additive permitted in food for human consumption (Anonymous, 1977), more specifically as an “antimicrobial agent” (refer to Glossary). These antimicrobial agents are considered processing aids with temporary technical effect in the treated food and are ordinarily removed or not present in the final food. Thus, any residuals that may be carried over to the final product are not expected to have any effect on the final product. Through the shared ingredient approval process by the two agencies, USDA FSIS makes judgments on a case-by-case basis using FDA’s approval of a compound to determine whether a substance is a processing aid, and can be used as an antimicrobial agent, or is an ingredient of a food. While USDA FSIS determines the suitability and effectiveness for the intended purpose of use, the Agency also ensures that the conditions of use do not result in an adulterated product. Once the suitability and safety of a compound has been determined, the substance is added to FSIS Directive 7120.1 (USDA FSIS 2021a). USDA FSIS also maintains a list of Safe and Suitable Ingredients that is periodically updated (USDA FSIS 2021b). Although there is no information on the residues of “antimicrobial agents” (GRAS or secondary direct food additives) in processing water, the probability of any accumulation of these substances in their active forms in water is low.

Under regulations codified as Title 9 CFR Part 416 Sanitation, establishments under the jurisdiction of USDA FSIS are required to implement and monitor written Sanitation Standard Operating Procedures (Sanitation SOPs) and maintain daily records to document the implementation and monitoring of the Sanitation SOPs and any corrective action taken. Under 9 CFR 416.4, the regulations require that:

§416.4(c) Cleaning compounds, sanitizing agents, processing aids, and other chemicals used by an establishment must be safe and effective under the conditions of use. Such chemicals must be used, handled, and stored in a manner that will not adulterate product

or create insanitary conditions. Documentation substantiating the safety of a chemical's use in a food processing environment must be available to FSIS inspection program employees for review.

Companies selling cleaning and sanitizing agents must sell only compounds that have been approved for these activities and are registered as antimicrobial pesticides with EPA under FIFRA.

Within the food commodities under USDA FSIS, processed eggs and Siluriforme fish are considered allergens. Therefore, establishments that need to reduce these allergenic proteins from surfaces to avoid cross-contact will also have to establish cleaning and sanitation protocols that are specific for these circumstances.

## **Biological Contaminants**

Biological contaminants are important contaminants present in water used in animal food establishments. Yet, the large variation in the type and amount of contamination in an establishment makes it difficult to include all the potential hazards. Factors such as the origin of the biological hazard (human, animal, environment), the potential for survival, and the difficulty for removal play a role in the degree of contamination of wastewater and therefore each animal food establishment is unique. Testing for all potential biological hazards is not practical and the collection of information with a structured quality assessment of the wastewater and recovered water has been described as an important initial step before implementing reconditioning (refer to Glossary) treatments (Meneses et al., 2017).

At the time this report is written, the world is undergoing the COVID-19 pandemic and many food processing establishments are using more stringent cleaning and sanitation protocols and, in some cases, are disinfecting surfaces to reduce the spread of SARS-CoV-2. Thus, processors may be reducing microbial loads further than what is achieved by regular sanitizing procedures due to COVID-19.

## **Contaminants at Different Processing Steps**

The transformation of live animal into human food varies from species to species, but it can be assumed that all the processing steps during the dressing of animal carcasses, where water contacts the carcasses, will have the potential to contaminate the water with, primarily, biological and chemical hazards. Once carcasses are eviscerated, washed, and the temperatures lowered, there will be less water contacting the carcasses. Yet, some water is used during cutup, deboning or portioning and may contain species-specific microbiological hazards.

#### Responses to Charge Question #4

**How do residual contaminants in water used for animal production, slaughter, and processing affect product quality and safety? What are the quality implications and public health risks associated with contaminants at levels anticipated for reconditioned water? How might FSIS and industry best assess those implications and risks? How do residual contaminants in water affect the functions of various materials added to water used in all stages of food production and processing, such as feeds, medicines, and antimicrobials? For example, consider the effects of trace pharmaceuticals on animal husbandry, and the effects of iron and “hard water” on phosphate-based interventions.**

As shown in Table 4-1, Charge Question #4 and Charge Question #5 can be broadly framed using a risk assessment framework per Codex Alimentarius guidelines (FAO/WHO, 2001).

#### **How Residual Contaminants Affect Product Quality and Safety**

Not all FSIS-regulated operations’ steps require the use of potable water. Wastewater from some processes, with or without additional treatment, may meet the requirements of various, specific reuse and can be safely recycled. For example, Miller et al. (1994) found that the use of reconditioned and chlorinated water on swine carcasses during scalding, dehairing, and polishing had no effect on the load of foodborne pathogens (including staphylococci, enteric streptococci, *Listeria monocytogenes*, coliforms, and *Aeromonas*) on carcasses (Miller et al., 1994).

Water used in FSIS-regulated operations can be broadly categorized as those with direct contact, indirect contact, or no contact (refer to Glossary) with product. The following gives definition and examples of each:

**Water with direct product contact:** Water that directly contacts the product or surfaces that come into direct contact with the product being processed include:

- Final rinsing of edible product that is not further processed;

- Preparation of surfaces including hooks, tables, conveyors, etc., that would have direct contact with meat products or meat packaging materials;
- Final rinsing of clean-in-place (CIP) systems or manual cleaning systems; and
- Direct addition of water as an ingredient in a manufactured meat product.

**Water with indirect product contact:** Water inside the meat processing environment that is not in direct contact with the product or product contact surfaces include:

- Environmental sanitation of non-meat product contact surfaces inside the processing environment, with consideration for the risk of contamination of unprotected meat product contact surfaces by aerosols or transfer of water from the non-product contact surfaces; and
- As a diluent for cleaning and sanitation chemicals used in Cleaning-In-Place (CIP; refer to Glossary) systems or manual sanitation, excluding the final CIP water rinse.

**Water with no product contact:** Water with the lowest risk outside of the meat processing environment include:

- Boilers and cooling towers, with consideration for the risk of aerosols and transfer of water into the meat processing environments; and
- Washing of transport vehicles, with consideration for the risk of cross-contamination from containers to product packaging and then to product.

Spreading non-potable water on food (i.e., direct contact) may make the food unsafe, as this water may contain pathogens and chemicals. Current regulations and guidance to industry found in 9 CFR 416.2(g) and USDA FSIS's guidance for water, ice, and solution reuse in poultry mandate that water must remain free of pathogenic organisms and fecal coliform organisms and that other physical, chemical, and microbiological contaminants have been reduced to prevent adulteration of product (Anonymous, 1999; USDA FSIS, 1999).

Creating various grades of water quality is not practical. The distinction of two water qualities, one that has direct or indirect contact with food and one that has no contact with food, can simplify the implementation of water reconditioning (refer to Glossary) programs while assuring safety. Currently, both the water standard and regulation of that standard is by local authorities and is highly variable across the nation.

### **Quality and Public Health Implications in Reconditioned Water**

The quality of alternatively sourced (see glossary) water with no direct contact with product, used inside the processing plant, as well as alternatively sourced water with no product contact, used outside of the processing plant, could be of a quality less than potable. Based on animal type, life stage, method of raising, and amount of processing, reconditioned water may vary greatly from plant to plant.

Temperature and turbidity (refer to Glossary) are the physical characteristics that impact safe water usage. Water temperature affects microorganism viability, the solubility of oxygen, and increases or decreases the toxicity of ammonia and other substances. Turbidity is a measure of the fine sediment suspended in the water and has no inherent health effects, unless it indicates inadequate filtration that may not have removed protozoa like *Cryptosporidium* or *Giardia lamblia* and/or infectious viruses or bacteria. Turbidity can also interfere with disinfection and may include substances that allow microbial growth.

The chemical characteristics that impact safe water usage include pH, nutrients, ammonia, and dissolved oxygen and metals. Chemical water properties are often interrelated. The pH describes the balance between hydrogen and hydroxide ions that can affect many other chemical constituents such as the dominant form of ammonia and the solubility of metals. Water acidity or alkalinity can cause corrosion (both low and high pH) or precipitation and fouling (high pH). Reused water may have extreme pH values from caustic washes or regeneration of ion exchange resins. Nutrient levels are usually measured as nitrate-nitrite nitrogen and total phosphorus, but can be as total inorganic nitrogen, organic nitrogen, or soluble reactive phosphorus. Ammonia is naturally occurring in water but can increase when nitrogen-containing organic waste and

dissolved oxygen levels increase. Dissolved metals can include arsenic, lead, mercury, iron, cadmium, copper, sodium, chloride, potassium, manganese, or magnesium. Ingestion and bioaccumulation in tissues can be a health risk for those who consume some metals. Mercury is usually in inorganic form but can convert to toxic methylmercury in conditions of low pH, low dissolved oxygen, and high dissolved organic matter.

Processing water may include the presence of residual sanitizing compounds, and their by-products, used during processing. Results from the National Residue Program, described in answers to Charge Question #3, highlight that agriculture and veterinary residues may not be a public health concern in live animals that will be processed, if the application of agrochemicals and the use of veterinary drugs follow appropriate guidelines for use. Please refer to the National Residue Program under responses for Charge Question #3.

The microbiological properties that impact safe water use include pathogenic protozoa, bacteria, and viruses. Organisms of concern include, but are not limited to, *Campylobacter jejuni*, pathogenic *Escherichia coli*, *Salmonella* (including antimicrobial resistant strains of these pathogenic bacteria), *Cryptosporidium*, spores of bacterial pathogens, *Toxoplasma gondii*, norovirus, and helminths. Indicator organisms are often used as a marker or estimate of contamination levels due to cost or inability to monitor the actual pathogen. The biological indicators that highlight the potential of public health risk include the presence of fecal coliforms, generic *E. coli*, and enterococci. In the case of parasites, such as *Cryptosporidium* and *Giardia lamblia*, and viruses such as enteric viruses, the direct testing for the pathogen is used, although some recent research suggest that bacteriophages can be used as indicators of fecal pollution and enteric virus removal in recreational water (McMinn et al., 2017).

Australia has previously developed a national guidance document for water recycling which covers both potable and non-potable end uses. The guidance document requires the development of a risk assessment process for the “hazards getting through the treatment system in sufficient amounts to pose a risk to human health” (Anonymous, 2008). In this document, six pathogens from 52 airborne and waterborne pathogens from water reuse were identified as the pathogens of concerns to address when recycling water, and some recommendations on how to ensure that the

risk assessment process, based on examining reference contaminants to represent functional groups of pathogens or chemical contaminants, is compatible with the Australian Recycled Water Guidelines was provided by Warnecke et al. (2008).

Facilities currently engaging in water reconditioning and reuse are reusing cleaner water for areas where there are more contaminants and use only potable water for direct food contact. Non-potable water is not allowed as an ingredient or to have direct contact with meat in the US. Most European nations do not allow the use of recycled water in direct contact with meat (Pype et al., 2016). Guidelines from the World Health Organization (WHO 2011) also highlight that water from alternate sources that has direct or indirect contact with product must meet drinking water guidelines. These types of regulations and guidelines have direct implications for the international meat trade.

The risk of introducing hazards from the reuse of water in operations can be mitigated by employing appropriate control measures, including engineering controls (e.g., filtering water on-site), administrative controls (e.g., changing job tasks so one individual is not continually exposed or showering out), and personal protective equipment (PPE) (e.g., gloves, mask, protective eyewear, and coveralls). A risk assessment should be completed when there is a change in systems, animal inputs, or water source or if there is the emergence of a previously unidentified hazard. No water reuse system should be allowed to be put in place if it results in an increased risk to human health. Therefore, while there are potential increased hazards with water reuse, no increased risk to public health would occur with proper controls. Each plant will face its own needs and challenges. Using technology coupled with well-trained individuals to implement and monitor systems may protect public health while reducing environmental impacts from water use in meat slaughter and processing.

### **Assessing Quality Implications and Risks**

A report by the Food and Agricultural Organization of the United Nations (FAO) and the World Health Organization (WHO) addressed the safety and quality of water used in food production and processing (FAO/WHO, 2019). Although this report does not focus on water reuse, its



principles are relevant to the question addressed here. The report highlights that water quality should be established in a “fit-for-purpose” basis, considering the application and context, rather than using the same water quality standards across all applications. In this report, authors propose the use of decision support system tools which incorporate risk assessments and the use of monitoring to inform stakeholders when making decisions on water quality and reuse at steps in the supply chain (FAO/WHO, 2019). A challenge in the use of risk assessments is that monitoring of water quality is often based on microbial indicators, which do not correlate with the presence or quantity of pathogens in water or food. This means that continuous monitoring might have to also include relevant pathogens, depending on the target application of the reused water.

The report also highlights the similarities in risk management approaches in safe potable water and safe food, such as that both are risk- and evidence-based and need proper verification and monitoring. It also points out the additional complexities in food production due to the wide range of products, primary production and processing systems, microbial hazards along the food supply chain, and the end use of food products. As a result, the report recommends a risk-based approach to water use and reuse instead of defaulting to specifying the use of potable water or other water quality types (FAO/WHO, 2019).

As described earlier, different applications of reused water require different water quality standards. For food contact applications, there are specific US regulations and WHO guidelines on the need to have equivalence to potable water to prevent adulteration of food products with biological hazards (Anonymous, 1999; WHO, 2017). The equivalence to potable water should be based on quality indicators, and therefore risk assessment methodologies should incorporate these quality indicators when evaluating the safety of reused water.

Assessing public health risks of an intervention requires quantifying the risk in absolute (i.e., total public health impact) or comparative (i.e., increase/decrease in public health risks from status-quo) terms. For example, assessing the risks from a regulated animal product new to the market would require estimating the absolute public health impact of that product, whereas

900 knowing if a new regulatory intervention effectively reduces foodborne illnesses would require a  
901 comparison of illnesses against current interventions.

902  
903 Although potable water is safe, food products generated with potable water can still have certain  
904 public health risks due to pathogen contamination throughout the production chain. Thus, reused  
905 water for use in animal processing should be evaluated to ensure that its use does not result in a  
906 net increase (i.e., relative to current water usage practices) in the number of human illnesses,  
907 hospitalizations, and deaths attributable to animal products under USDA FSIS regulations.

908 Answering the question of reused water would be amenable to a comparative risk assessment  
909 framework.

910  
911 Regulatory risk assessments applied to food safety risk assessment were published, and should  
912 follow Codex guidelines, chiefly *Principles and Guidelines for the Conduct of Microbiological*  
913 *Risk Assessment* (CXG 30-1999) (FAO/WHO, 2001) and *Working Principles for Risk Analysis*  
914 *for Food Safety for Application by Governments* (CXG 62-2007) (FAO, 2007). These guidelines  
915 describe the main components of a risk assessment as hazard identification (identify food safety  
916 hazard(s) from the intervention), exposure assessment (estimating the extent of anticipated  
917 human exposure to the hazard as a result of the intervention), hazard characterization (estimating  
918 the severity and duration of negative health outcomes resulting from exposure to the hazard), and  
919 risk characterization (obtain a population-level estimate of the public health risks resulting from  
920 the intervention). In the US, the USDA FSIS and the US EPA have published the Microbial Risk  
921 Assessment guideline (US EPA, 2012b) for pathogenic organisms in food and water to achieve a  
922 more consistent approach to microbial risk assessment across federal agencies. Such efforts have  
923 resulted in an emphasis by US agencies regulating food on performing these fit-for-purpose risk  
924 assessments, rather than following formulaic or overly strict risk assessment frameworks  
925 (Dearfield et al., 2014). USDA FSIS also published a repository of current and past quantitative  
926 risk assessments performed since the late 1990s in a variety of inspected products, mostly  
927 concerning microbial contaminants (USDA FSIS, 2020a). Likewise, the US FDA makes  
928 available to the public a variety of risk assessments and risk assessment resources for microbial  
929 and chemical hazards (FDA, 2020).

Based on the principle of fit-for-purpose risk assessment, FSIS and the industry should assess the public health risks using a risk assessment approach for water reuse in food contact applications that do not already require potable water quality. The risk assessment models should be adaptable to the specific food and processing situations. The diversity in the different water use scenarios and food products makes it difficult to recommend any specific risk assessment framework (e.g., qualitative versus quantitative microbial risk assessment), but it should be useful to create a series of use cases to provide examples and guidance of possible risk assessments to apply in FSIS inspected products.

As proposed by the FAO-WHO (2019), following a risk assessment, a decision tree could be used to assist industry in deciding the fit-for-purpose of water reuse under four different applications (i.e., as food ingredient, intentional food contact, unintentional food contact, not for food contact) and conditioning scenarios. An example of a relevant decision tree is provided in Figure 4-1. Thus, the risk assessment and decision trees framework should be flexible enough to accommodate such diversity.

#### **Effect of Residual Contaminants on Materials Added During Food Processing**

Residual contaminants (refer to Glossary), as indicated by high turbidity in non-potable, recycled water may inhibit the ability of antimicrobials added to the water to reduce pathogens in water or food. Turbidity can interfere with disinfection and may include substances that allow microbial growth (Chahal et al., 2016). Thus, highly turbid/contaminated water should not be used in the facility before further processing (see responses to Charge Question #2).

## Responses to Charge Question #5

### **What are the best ways to assure and/or monitor the quality and safety of alternatively sourced water used in FSIS-regulated operations?**

The safe use of reconditioned water requires monitoring to validate the initial processes and ongoing verification so that water quality is consistent. The water source characterization and its intended reuse will direct the allowable levels of substances. Initial monitoring of alternatively sourced water should be extensive and may involve independent, accredited laboratories, while ongoing performance monitoring should be in real-time and can focus on measuring indicators rather than a complete analysis.

Source water (refer to Glossary) assessments consider a range of possible contaminants and can be derived from lists such as the Guidelines for Drinking-Water Quality by (WHO, 2017) and the WHO guidelines on the management of chemical contaminants (WHO, 2007). After the source vulnerability assessment, it is not necessary to continually assess all potential contaminants and analyses can focus on the relevant contaminants. The specific physical, chemical, and microbiological parameters to be monitored, the frequency of monitoring, and on-line versus discrete analyses should be chosen based on the distinct contamination vulnerability of the source water.

### **Monitoring Quality and Safety of Alternatively Sourced Water**

Effective methods to monitor and ensure water quality and safety are in use by municipal wastewater treatment plants. Removal of nutrients and pathogens has been the focus of these facilities for over 100 years. The same methods can be used for alternatively sourced water. Typical wastewater treatment is monitored (using indicators) for the elimination of all pathogenic microorganisms, except for spores.

Monitoring parameters for recycled water include investigative, process performance, and verification. Initially, an investigative, comprehensive assessment of water contaminants in the

source water should be done, as they may impact recycling. Annual water analysis should document the overall quality of the incoming water and meet the regulatory requirements. Standard water analysis methods are available, well-developed, and reliable (APHA, 2005). The potential contamination in waters is evaluated by testing different parameters, such as pH, total dissolved solids, total organic carbon (TOC), ammonia nitrite, nitrate, hydrogen sulfide, dissolved oxygen, chloride, chlorine, sodium, sulphate, turbidity, urea, etc. TOC is an excellent indicator of the treatment process performance and there are manual and in-line monitors systems for rapid and inexpensive TOC evaluation. Total dissolved solids can be detected by electrical conductivity, a measurement that provides information on dissolved inorganic ions in water.

The presence of potential human pathogens is evaluated by testing for bacterial indicators, such as aerobic plate counts (counts of total bacteria), coliforms, *Escherichia coli*, etc. Depending on the incoming source, an initial analysis for lipid, protein, lactose/sugar, and minerals may be needed to be sure the water quality will not adversely affect product or process. After the water is used in processing, other tests should be considered, such as testing for residues of sanitizers or the accumulation of metal cation. The type of parameters to monitor, and the frequency, will also depend on whether the water is used directly on foods or food contact surfaces versus the use on non-food contact surfaces.

The physical parameters of water include turbidity, which is an important indicator of microbial quality (bacteria, parasites, viruses). In-line turbidity meters with alarm systems are available at relatively low cost. Depending on the intended water use, real-time monitoring of turbidity is recommended, and standard acceptable levels have been set (US EPA, 2018a).

The chemical parameters of water coming into the facility from outside should be known. There should be an initial testing when a new source of water is used. Once the composition of the source water is known and the treatment process is in place, the chemical composition does not need frequent monitoring. There are numerous chemical indicators used to characterize the quality of the water, such as specific metals (e.g., Fe, Mn, Pb), radionuclides (e.g., radium 226/228 and uranium in particular), anions (e.g., SO<sub>4</sub>, NO<sub>3</sub><sup>-</sup>), silica, nutrients (e.g., NH<sub>3</sub><sup>-</sup>,

phosphorus oxyanions), and some specific synthetic organics. Color is generally an indicator of organics in the water and is readily measured by visual or spectrophotometric methods. Odor is important and can be checked by smell for objectionable aromas of sulfide or algal products.

Disinfectant residuals such as chlorine, chlorine dioxide, or chloramine residuals could be detrimental for some products. Ozone dissipates rapidly and ultraviolet light provides immediate disinfection with no residual. One or more disinfectants are required as part of the treatment process to ensure microbial safety. Additionally, routine residual measurements are important to establish presence and/or absence of residuals. Inexpensive disinfectant residual test kits are available. However, in-line monitors for chlorine and ozone are preferred for continual monitoring of microbial safety.

The microbial parameters of water should be monitored frequently because contamination risks are acute. Reclaimed water (refer to Glossary) used in direct or indirect contact with product should receive secondary treatment with disinfection. Also, for non-contact water reuse identification of potential fecal contamination is an issue for worker safety.

Safety indicators can include monitoring filtration, disinfection, and the presence of residual disinfectants. In general, and for different types of waters (e.g., drinking, recreational, animal processing, etc.), microbiological water testing detects indicator organisms, instead of specific pathogens, as a sign of fecal contamination. However, it is important to emphasize that many microbial indicators (e.g., coliforms, *E. coli*, enterococci) have been used to assess fecal pollution, but there is no direct correlation between the numbers of any microbial indicator in water and the presence of an enteric pathogen (Grabow, 1996, Ashbolt, et al., 2001).

Heterotrophic plate count (refer to Glossary) estimates the number of live heterotrophic microorganisms in water and provides some information about water quality. Yet, the test itself does not specify the organisms that are detected and results in a wide range of quantitative and qualitative results (WHO, 2001). Total coliforms are another bacterial group that can indicate potential contamination, but coliforms can originate from many sources and are not good sanitary waste indicators. Another group are the FIB (see response to answers for Charge

Question #3), which have been used by public health agencies for several decades to identify potential for illness resulting from recreational activities in surface waters contaminated by fecal pollution (US EPA, 2012c).

The US EPA recommends the use of FIB, specifically enterococci and *E. coli*, as indicators of fecal contamination for fresh water, and enterococci as indicators of fecal contamination for marine water (US EPA, 2012c; 2012d). FIBs are considered “pathogen indicators” (refer to Glossary), but the Agency recognizes that these microbial groups are not used as direct indicators of pathogens by the scientific community (US EPA, 2012c). In addition, the Agency has not yet published any criteria for pathogens *per se* (US EPA, 2012c).

Historically, *Escherichia coli* was considered an appropriate indicator organism for determining the potential presence of bacterial fecal pathogens in reused wastewater. However, contemporary research highlights that *Escherichia coli* may not be an effective indicator of water quality because it appears and grows in natural environments in addition to the intestines of warm-blooded animals (Whitman and Nevers, 2003). The large diversity within *Escherichia coli* strains, and the actual sources of the majority of the *Escherichia coli* strains isolated from the environment may not be identified by a library-dependent method (refer to Glossary) (Ishii et al. 2007; Jang et al., 2017). The use of other indicators, such as bacteriophages (McMinn et al., 2017), to assess fecal pollution and enteric virus removal in recreational water also brings uncertainties and have limitations for the modeling of microbial populations in recreational water. Thus, we do not know the most appropriate indicators for each food animal species that is processed. However, as our knowledge in this area increases, we expect to find other microorganisms, or DNA markers, that could be used to assess the level of pollution in waters.

Microbiome sequencing has been suggested as the next method to help evaluate the efficacy of cleaning and sanitation practices, antimicrobial intervention, and to provide information on the quality of recycled water in animal processing establishments (Blevins et al., 2017; Feye et al., 2020). Microbiome mapping using DNA data from next generation sequencing may help processors understand the key microbes on the food product and in the processing water.

1078 There are real-time, in-line monitors systems to evaluate physicochemical properties quality of  
1079 recycled water. In-line monitors are available for pH, conductivity, turbidity, particle counts,  
1080 TOC, and many individual chemicals. In-line electrical conductivity monitors are inexpensive  
1081 and provide information on salinity, while in-line pH systems are simple and cost-effective.  
1082 Other in-line monitoring systems are expensive and require regular calibration, maintenance,  
1083 and trained personnel. There are no real-time, in-line monitoring systems to detect and count  
1084 microorganisms yet. However, signals from in-line chlorine and turbidity tests could in the future  
1085 be used to assess the level of microbial contamination in water.  
1086  
1087 Verification monitoring is needed when a system does not meet specifications and corrective  
1088 action is implemented. This monitoring assures performance and requires an increased frequency  
1089 until specifications for the specific parameter are consistently met. This is critical if the recycled  
1090 water has any product contact potential.



## Responses to Charge Question #6

**Are there special considerations for foods that are produced entirely within water (e.g., fish), and if so, what are they?**

The answers to this specific question focus on the growing, transporting, and processing of channel catfish (*Siluriforme* fish).

### **Pond Water**

Channel catfish (*Siluriforme*) are raised primarily in ponds in the southern states of Mississippi, Alabama, Arkansas, and Texas, accounting for 95% of annual US sales of channel catfish. Channel catfish production was valued at \$380 million in 2018 in the US (NASS, 2019) and over 90% of the commercial channel catfish is produced in embankment/levee type of ponds, which keeps the water free of pollutants and other species of fish. These water impoundments are constructed on flat land where the dirt has been moved into a levee around the pond bottom and usually range from 8 to 25 acres with a depth of 4 to 6 feet (Anonymous, 2020). Another system of channel catfish production is the split-cell pond, where a traditional pond is split in half with an earthen dam. This system is more efficient and may increase the production per acre compared to embankment/levee type of ponds, but it requires much more intensive aeration management due to the increased stocking rate (Coblentz, 2017).

The ponds in which channel catfish are produced must yield fish that are healthy and wholesome for human food consumption. Ponds are typically filled with non-treated water from a ground well. This water is used throughout the fish growing period and is replenished as needed. Water conservation measures have been implemented to maximize capture of rainwater and at the same time prevent ponds from overflowing and losing water during heavy rains (Tucker et al., 2016; Tucker et al., 2017). Some ponds are drained and refilled annually; however, most ponds are often used for up to 10 years without draining.

Maintaining good water condition is essential to control fish diseases and to provide adequate production of channel catfish. As with all food animal production systems open to the environment, fishponds could potentially become exposed to foodborne pathogens from other animals (wild and domestic) that have access to the area, but it does not appear to impact the success in raising wholesome channel catfish (USDA FSIS, 2017). Because the water is used all year and replenished as needed, there is no economic, or other types of incentives, for water conservation/recycling, although some conservation practices have already been described (Tucker et al., 2017).

Producers monitor pond water for production-related parameters (e.g., dissolved oxygen, temperature, pH, alkalinity, hardness, total ammonia nitrogen, etc.), while USDA FSIS is responsible for monitoring ponds for environmental chemicals and pesticides that can impact food safety (USDA FSIS, 2017).

### **Transport Water**

Catfish are harvested from ponds and transported to the processing establishments in live-haul trucks that contain aerated water-filled tanks. The water in transport tanks may be sourced from well water or the production pond. Wynne and Worts (2011) recommended that the transport truck be scrubbed using a detergent, followed by a disinfection spray and then rinsed. It is unclear if this recommendation is regularly followed in the industry. If trucks are used for multiple runs from the same pond, disinfecting after every load may not be practical. Cleaning and disinfecting trucks is a biosecurity measure to control the spread of diseases between fish rather than a sanitation measure associated with food processing. Reduction of water use in catfish transportation appears to be unlikely due to the concern with preventing transport stress and disease transmission between loads.

### **Processing Water**

Channel catfish processing comes under the jurisdiction of the USDA FSIS; therefore, Sanitation Performances Standards and Standard Operating Procedures apply to water use and water supply

as mandated by 9 CFR 416.2(g) (Anonymous, 1999; USDA FSIS 1999). These requirements are adequate for channel catfish processing. As with other food animal processing, there may exist possible water reclamation and reuse opportunities if the wholesomeness of the product is not compromised.

Guimarães et al. (2018) evaluated the possible reuse of water in seafood processing in Brazil. These authors evaluated industrial water management and quantified and qualified effluents from general processing activities and concluded that direct reuse of processing water would not be recommended due to the high number of bacterial contaminants. However, the authors also concluded that indirect recycling of water from freezing tunnel and cooling chamber defrosting could be used to supply cooling tower demands after a simple treatment and disinfection process. It was estimated that this practice might reduce total average water consumption of the processing unit by 11%. It was also noted that if effluents from cooling tower purges were also reused, water reduction levels of approximately 22% could be attained.

Similar to the high number of bacterial contaminants described by Guimarães et al. (2018), other food industries (e.g., beef processing and poultry processing) that have implemented processes to capture, treat and reuse water, have also reported high levels of bacterial contaminants in the water captured for recycling (Casani et al., 2005). However, various treatments have been proven to be effective at bringing the water back to potable standards in order to be reused (Casani et al., 2005). Although technologies for the recycling of water in food manufacturing exist, which could also be useful in recycling water in the fish industry, these technologies would have to be economically beneficial for the processing facility to implement.

## Responses to Charge Question #7

**Flooding can contaminate animals and water sources with human sewage and farm waste. What precautions should establishments take when floodwater or runoff affects a food or water source, or a processing area?**

Flooding events are considered “significant incidents” by USDA FSIS, meaning they represent grave or potentially grave threats to people or products. These events could trigger a “Significant Incident Response” by the Agency (USDA FSIS 2018). Depending on the scope of the emergency, such an event could trigger response actions under the National Response Framework, National Response Plan, and State emergency management activities (USDA FSIS 2019). The USDA FSIS “Significant Incident Preparedness and Response” program is a resource for education, collaboration and assistance with preparing emergency response plans (USDA FSIS 2020b).

Food production companies should have documentation for managing natural disasters, such as flooding in a facility, that clearly define preparedness and response actions. This documentation may be a corporate-level document that outlines general action items for establishments, and/or establishment-level contingency plans or emergency response plans. These documents will give direction on how to manage such situations, and typically include checklists that provide guidance. General guidance on flooding preparedness is available for processing facilities, including small and very small facilities, at the USDA FSIS website (USDA FSIS, 2013). Companies also need to consider following state guidelines (e.g., Emergency Action Planning Guidance for Food Production Facilities by the New Jersey Department of Health) (Anonymous, 2012).

A documented flood emergency response plan can give a facility’s staff a step-by-step course of action to follow in times of need and help minimize losses for a business. Time invested in training and educating staff members for natural disasters will help to keep team members and animals safe.

1207 Industry-driven audits of food safety systems require facilities to have procedures designed to  
1208 effectively manage and report incidents and potential emergency situations that impact food  
1209 safety, quality or legality, including appropriate contingency plans. Incidents such as fire, flood,  
1210 natural disaster, malicious contamination or sabotage, digital cyber-security, etc., may include  
1211 disruption to key services such as water, energy, transport, refrigeration processes, staff  
1212 availability and communication. Facility operators should consider whether products from the  
1213 site may be affected by an incident before releasing them to market.

1214

1215 Floods or other natural disasters affecting an animal production facility need an immediate and  
1216 humane response to find, assess and secure the affected animals, consistent with the provisions  
1217 of the Animal Welfare Act (USDA APHIS, 2020), and with worker safety. If animals are present  
1218 in a facility during a flooding event, facility managers should follow established and applicable  
1219 Animal Welfare Policies to remove animals to a safe and secure area (USDA FSIS, 2011, 2015),  
1220 which include moving animals to safe locations, rinsing them down if heavily soiled, managing  
1221 and containing animal waste and contaminated water in accordance with applicable regulations,  
1222 rinsing down and cleaning all surfaces, sanitizing animal contact surfaces with approved  
1223 products, and forced air drying to prevent mold growth.

1224

1225 Following a flooding event in which flood water has entered an animal or processing facility,  
1226 managers should follow the SOPs in their emergency response plan to mitigate facility  
1227 contamination and damage in order to return the facility to a safe operational state. Large  
1228 debris/gross contamination can be removed from surfaces by removing them with clean water.  
1229 Fans or other mechanical drying equipment can be used to dry wetted surfaces more quickly to  
1230 reduce potential molding. Surfaces that have been contaminated by floodwater should be cleaned  
1231 with an approved cleaning product appropriate to the setting and operational process. If these  
1232 surfaces come in contact with animals or animal products, they should be sanitized with an EPA-  
1233 registered sanitizer.

1234

1235 A facility's emergency response plan should also take into consideration potential damage to,  
1236 and contamination of, the facility's water supply and distribution system. Whether for worker or  
1237 animal health, maintaining facility operations, or product quality, a safe water supply is a critical

resource that needs to be incorporated into emergency preparedness and mitigation plans for animal growing and processing (Appendix #1). Water-related emergency preparedness at a facility includes understanding the water supply and how water is used in the facility. Flood water can contain pathogens, chemicals and toxins that can contaminate a facility's water supply at its source, during treatment, or during distribution. If mitigation or preventive measures are not taken, this contaminated water may be consumed by workers or used for facility production processes like animal care and facility cleaning. Clean, safe water is essential for human and animal consumption, proper hygiene, surface cleaning, and handwashing. It is important for facilities to ensure their water supply is safe for intended purposes (Appendix #1).

If a facility is served by a municipal water system that experiences flooding, managers should check with the local water authority to determine if a drinking water advisory has been issued, and any precautions that should be considered (CDC, 2020). Many water utilities also offer text-based alert systems for rapidly notifying customers of any drinking water advisories. State health departments may also have guidance on emergency planning for water advisories and interruption of water service (Anonymous, 2012). If the facility uses a groundwater well, managers may consider consulting a well or pump contractor to have the well inspected to determine if it or associated equipment has been damaged during flooding or is not working properly. If managers suspect that a facility's groundwater source might have been contaminated by floodwater, they can contact their local or state health department or agriculture extension office for advice on disinfecting the well (CDC, 2016). Before resuming use for drinking or production, the well should be tested for appropriate fecal and chemical water quality parameters (CDC, 2009).

A facility's water emergency and preparedness plan will include detailed information and procedures to enable facility staff and remediation personnel to respond to and recover from interruption of the facility's water supply. This plan will typically identify alternate water sources and mitigation procedures (e.g., posting signage that water is not safe for consumption, employing alternate procedures if tap water is not appropriate for process use). In addition to considering alternate water supplies, facility managers can benefit from planning for actions to remediate the facility's water supply, distribution, and building plumbing systems (also known as

“premise plumbing”). Mitigation planning includes identifying water system repair and rehabilitation companies that can quickly respond following a flood event, having documents ready to assist in the system repair and rehabilitation process, and ensuring that the facility water system is effectively flushed to remove contaminated water and contaminant residues (Bartrand et al., 2018).

The guidance documents from CDC and American Water Works Association (AWWA) on developing emergency water supply plans for healthcare facilities may be helpful to animal growth and production facilities. The CDC and AWWA’s Emergency Water Supply Planning Guide for Healthcare Facilities has checklists and decision trees that could be adapted to food production facilities during the preparation for, and response to, a water supply interruption (CDC AWWA, 2012). Similar guidance could be developed to provide information and tools to food processing facilities interested in developing water preparedness plans.

Steps and considerations in preparing a food processing facility water preparedness plan include (Figure 7-1):

- 1: Identify the facility’s water supply and operations team.
- 2: Understand facility water usage by conducting a water use audit, including assessment of facility water taps and processes that could present risks that may need to be mitigated if the water supply is suspected to have been compromised by flooding.
- 3: Analyze the facility’s emergency water supply alternatives.
  - Review and incorporate applicable rules and guidance from local, state and federal authorities.
  - Identify alternate sources of water that can be obtained and used for facility operations, including drinking or use in facility processes.
  - Identify critical partners that can assist with obtaining alternate water sources or rehabilitate the facility’s established water source and building plumbing system.
- 4: Develop and test the Emergency Water Supply Plan.
  - Develop messaging examples to provide facility workers with guidance on consuming or using water in the facility.

- 1300           ○ Develop alternate procedures in event the facility water supply is compromised and
- 1301           not suitable for use or consumption.
- 1302           ○ Educate and train staff on water-related preparedness for the facility.
- 1303



## Responses to Charge Question #8

**What technologies are appropriate for the replacement of liquid water in food production and food processing areas (i.e., foam, mist, or dry chemicals)? What advanced emerging technologies may reduce the need or volume for water in processing?**

Alternate water-sparing processes may be considered, such as air chilling a product in place of chilling in a water bath and using recycled water and wastewater for specific purposes (refer to Charge Question #2). Recycled water can be used for product-contact equipment rinsing, provided that the provisions of 9 CFR 416.2(g)(3) and (4), where applicable, are properly addressed (Anonymous, 1999; USDA FSIS, 1999). Strategies that prevent contamination from being brought into a clean processing area may enhance the overall effectiveness of a cleaning program, such as using boot disinfection stations and limiting wheeled equipment to specific zones. Staff training with regular updates can maintain and reinforce cleaning and water-sparing behaviors.

Cleaning, sanitizing and disinfection are critical components of a facility's operations program during routine operations and for recovery activities following a flood or other contamination event. *Cleaning* is the process of removing contaminants from a surface that could be harmful to human or animal health, damage equipment, lead to process inefficiency, or impact product integrity or safety. Cleaning processes and chemical products are not designed to kill bacteria, viruses or fungi, but rather to remove them from surfaces along with dirt, oils, and other inorganic and organic materials. *Sanitizing* and *disinfecting* (refer to Glossary) are related concepts, as both are focused on killing or inactivating microorganisms, including pathogens. Disinfectant products and processes are those that result in a more rigorous removal or inactivation of microorganisms of public health concern than sanitizing products (sanitizers) and sanitizing processes (Appendix #2). For example, there are no sanitizer-only products with EPA-approved virus claims, but there are sanitizer-only products with EPA-approved bacteria claims, as vegetative bacteria (though not bacterial spores) are generally easier to inactivate than viruses (Sobsey, 1989).

When choosing a sanitizer or disinfectant, it is important to consider what level of sanitizing or disinfection is indicated for each facility process, and what the product is registered to do (i.e., the label claims). Some products can have both claims, as a sanitizer and as a disinfectant, depending on variables such as concentration and contact times (Appendix #2).

The general steps in cleaning and sanitizing food contact surfaces are site-specific and variable. Wet cleaning of an establishment includes a cleaning step, which may include the use of detergents, to remove, as much as possible, organic matter and may be accompanied by physical actions, such as scrubbing, pressure, etc. The sanitizer(s) is(are) applied after cleaning. Dry cleaning protocols (refer to Glossary) also include mechanical removal of soil or residue, aided with vacuum, compressed air, or compressed steam, and wiping with alcohol-based swabs or moistened pads, followed by towel drying (Table 8-1). Dry sanitizing and disinfection treatments can reduce microbial contamination, using products based on a variety of mechanisms of antimicrobial action and approved by the EPA for use on food contact surfaces (Table 8-2). A critical final step is often a disinfectant treatment that may intentionally leave an antimicrobial residue.

Most cleaning, sanitizing and disinfection approaches standard in the protein food processing industry are water intensive. Several water-sparing technologies may have uses that could reduce dependence on water for these basic steps (Tables 8-1 and 8-2). Many of these technologies were developed first for use in dry and ready-to-eat food processing environments, where waterless cleaning and disinfection has been widely adopted, and may also have applications in meat and poultry processing. Novel sanitizers and disinfectant strategies may offer similar bacterial load reduction and disinfection while using less water. Whole room or closed chamber treatments with fogs or ultraviolet light may help reduce bacterial loads on exposed surfaces without requiring any water at all. Surface treatment preparations that do not require a final rinse may reduce water use.

Sanitizers and disinfectants for use on food contact surfaces are registered as antimicrobial pesticide products with the EPA under FIFRA (refer to Charge Question #3), which reviews data from standard microbial reduction effectiveness assays to validate public health claims for

particular uses, such as treatment of hard surfaces (US EPA 2012c, US EPA 2018b). Whole room treatments using disinfectant products delivered as a fog are registered for that delivery system. But novel cleaning and sanitizing products may help reduce use of water. For instance, cleaning solutions based on quaternary ammonium compounds can be used with pre-moistened wipes as an alternative to well-established chlorine-based wipes. Sanitizing solutions based on ~60% isopropyl alcohol and quaternary ammonium compounds may introduce little water.

Ultraviolet light treatments and ozone applications may have applications in enclosed spaces, as an adjunct to other treatments, with adequate precautions for worker safety. These alternatives (ultraviolet light and ozone applications) are regulated by the EPA as devices, and are not registered, nor granted health claims by the Agency (US EPA 2020). EPA is also developing regulatory strategies for the new and rapidly expanding category of surface treatments or coatings with sustained antimicrobial properties. Copper alloys, which are registered by the EPA as surface antibacterials with limited sanitization claims and not for food contact surfaces (US EPA 2016), have been described for use in hospitals and other clinical facilities, and have limited though long-lasting effects, and validated bacterial effect claims (Muller et al., 2016). Some coatings are registered but do not have public health pathogen claims. Silver alloys have been incorporated into poured floors and other surfaces to make them more mold and mildew resistant. Surface treatments for food contact surfaces may offer a longer lasting residual antimicrobial effect, though published practical experience with them is limited. Similar experience is beginning to be reported from healthcare settings (Boyce, 2016). Once a standard test protocol is developed, including assessment of how long effectiveness lasts, more coatings with residual antimicrobial effects lasting for weeks or months are likely to be registered with specific health claims. In the future, with more published experience and EPA registration, such technologies may offer efficient sanitizing and disinfection in combination with more routine cleaning methods, while using less water.

When considering a novel technology, it is important to evaluate several critical points:

1. Is the new technology involving sanitizing or disinfecting registered with the EPA as either a sanitizer or as a disinfectant for use on food contact surfaces? The appropriate criteria for one

1397 or the other (Appendix #2), need to be met if the technology is to be used for those purposes  
1398 on a food contact surface. If the new technology is a device or surface coating, the company  
1399 will need to evaluate the available antimicrobial effect data, as these are not registered with  
1400 the EPA for health claims.

1401 2. What published or other experience is available showing that in a practical use case the  
1402 technology achieved reductions in both the pathogen load and in the volume of water used in  
1403 cleaning and disinfection? The nature of that experience needs to be carefully considered,  
1404 including whether the impact was measurable with standard monitoring tests already in use  
1405 in the facility's water use plan. A hierarchy of evidence has been described for evaluating  
1406 products used in the health care sector (McDonald and Arduino, 2013). A similar approach  
1407 may be useful in evaluating reported experiences in the food processing sector.

1408 3. Does the technology make economic sense, so the value of the water saved at least equals the  
1409 cost of applying the novel strategy? That may include the cost of water piped in, and  
1410 sewerage costs incurred, as well as the cost of implementing the new technology  
1411 (Timmermans, 2014).

1412 4. Is the new process readily accepted by the workforce? What additional training and ongoing  
1413 reinforcement will be needed?

1414 5. How can existing sanitization performance standards and sanitary standard operating  
1415 procedures be adapted to include the new process? Are ongoing environmental and product  
1416 monitoring tests in place to provide ongoing assessment of the impact on microbial targets?

1417 6. If it is adopted, what evaluation at future time points will be made, to see what the impact is  
1418 on the actual water use as measured in the ongoing water management plan?

1419

## Tables

**Table 1-1.** Estimated amount of water used during processing by species

Species	Water Usage	Adjusted per Kg Average	Comments
Broiler chickens	18.9 to 37.8 (average 26.5) L per 2.3 kg broiler (Avula et al., 2009; Northcutt and Jones, 2004; Micciche et al., 2018)	8.5 to 11.5 L per kg of broiler meat	Avula et al., 2009/ Calculated usage by processing step  Micciche et al., 2018
Beef	4,947 liters by ton LCW <sup>1</sup> (Li et al., 2018) 4,200 to 16,600 liters by ton LCW (Jones 1993) 2,299 liters per carcass (Beckett and Oltjen, 1993) 3,000 to 5,000 (small establishments) up to 10,000 to 11,000 (large establishments) liters per ton (Warnecke et al. 2008)	4.2 L per kg of meat  3 to 5 (small establishments) up to 10 to 11 (large establishments) liters per kg	Li et al. 2018. Includes water for processing and cleaning and sanitizing  Jones, 1993. Estimated water use in beef processing ranging from 4,200 to 16,600 L/t LCW
Turkey	41.6 to 87 liters per turkey	N/A	CAST, 1995

<sup>1</sup> Liter per metric ton live carcass weight.

1427 **Table 1-2.** Water usage in broiler processing.  
 1428

Processing Step	Water Usage (L/Bird)	Is Water Conservation/Reuse Feasible?
Live receiving	0	Some discussion about recycling some water to be used as a primary rinse for cleaning live chicken drawers, but we are unaware of anyone doing this practice.
Hanging	0.19	Little to no water used
Stunning	0	No water used
Bleeding	0	No water used
Scalding	0.95	Yes. Refer to Russel, 2013
De-feathering	1.14	Potable water is used because it directly contacts food.
Evisceration	7.57	Refer to Carcass washes
Carcass washes	4.35	There is work done to reclaim the water from the Inside/Outside bird washers, treat that with PAA and reuse it on other areas upstream.
Pre-Chiller/Chiller	2.12	Yes. Refer to Amorim, 2007, Avula et al. 2009, Blevins, 2020; Northcutt, 2008, Russell, 2013, Matsumura, 2008
Cut-up/deboning	3.03	The committee is unaware of reuse in these steps
Packing	1.14	The committee is unaware of reuse in these steps

1429

**Table 1-3.** Water usage in beef processing. Taken from Li et al. (2018), Pype et al. (2016) and Warnecke et al. (2008)<sup>1</sup>

Processing Step	Water Usage (L/t LCW) <sup>2</sup>	Percent of Total Water Consumption	Comments
Live receiving	247	7-14	
Stunning, Bleeding and Dressing (head, hoof, hide removal) <sup>3</sup>	1418	44-60	Li et al. (2018). The kill floor (live receiving, stunning, bleeding dressing) represented 28.7% of the total water used, including 6.5% for antimicrobial interventions (prewash; carcass wash; organic acid spray).
Evisceration	537	11	
Rendering	647	2-13	
Carcass Chilling		2	
Fabrication (boning)	333	5-10	
Cleaning and sanitation	LD <sup>4</sup>	22-24	Li et al. (2018). Water with high pressure (60°C) at processing shifts: 11.2%; water with high pressure (60°C) at sanitizing shift: 12.8%; subtotal: 24% of total water used in the plant

<sup>1</sup> There is limited information on the water use in amenities and plan service (e.g., cooling, heating) services.

<sup>2</sup> Liter per metric ton live carcass weight. All data normalized per metric ton live cattle weight (t LCW) with an estimated live weight of 635 kg per cattle. Approximately 2.94 liters per kg of LCW.

<sup>3</sup> The wash cabinets are areas for potential water reuse and water conservation.

<sup>4</sup> LD =limited data. There are large variabilities in the use of water for cleaning and sanitizing.

1442 **Table 1-4.** Modified audit grid of potential water conservation and savings opportunities in protein processing.  
 1443 Provided by Varsha Shah, Sr. Program Leader, Food and Protein RD&E, Ecolab.  
 1444

Opportunity	Location	System	Type	Comments
Dry Pick Up	Sanitation	Sanitation Program	Water Minimization	Completing a good dry pick up of excess packaging material, product waste and excessive soils prior to implementing the pre-rinse can save time, energy and water.
Optimize cleaning	Plant	CIP/COP <sup>1</sup>	Water minimization	Chose right cleaner for soil type, water quality, surface to be treated, method of application and based on environmental guidelines.
Chilled and hot water leaks	Utilities	Factory	Water Minimization, Leaks	Water leaks are always an issue and eliminating leaks will conserve water.
Condensate return and traps	Utilities	Factory	Condensate	Condensate systems and steam traps will result in some water savings, but mostly will result in energy savings.
Poor steam trap operation	Utilities	Factory	Steam Systems, Leaks	Leaking steam traps will waste energy and water as both steam and condensate.
Re-use sample water	Utilities	Factory	Water Reuse	Anywhere where a stream of water is used continuously for either taking a sample, or as sample cooler water, the water should always be collected and repurposed.
Hand wash stations	Sanitation	Factory	Equipment Shutdown	Hand wash stations left running wastes water
Hose stations	Sanitation	Factory	Equipment Shutdown, Water Minimization	Hoses are used for floor cleaning and equipment wash down. Often, they are left running, have had nozzles cut off or have orifices too large for the job. High pressure is generally more efficient than low pressure systems.



Opportunity	Location	System	Type	Comments
Line shutdown	Plant	Factory	Water Minimization	When a line stops or product is no longer running, all water systems need to be turned-off. This also results in significant energy savings.
Weekend water consumption	Plant	Factory	Water Minimization	Shut all equipment when the plant is shut down. While a plant is shut down and not operating over a weekend, it should not be using much, if any, water if all equipment is shut down.
Metering and monitoring	Plant	Metering		Most operations do not have water meters at locations where flow rates need to be monitored and when they do, they typically do not do a good job recording or reacting. A good metering and monitoring program can save 10% of plant water use.
Water reuse system	Sanitation	Production	Water Reuse	Chicken plants especially have water savings opportunities to re-use and recycle chiller water. This system could be evaluated for water savings from flumes as well.
Inside outside bird washer (IOBW)	Sanitation	Production	Water Reuse	Chicken plants especially have water savings opportunities to re-use and recycle IOBW systems.
Optimization of cleaning-out-of place (COP <sup>1</sup> ) system	Sanitation	Sanitation Program	leaks	COP tank systems can overflow or leak, consuming water.
RO/membrane rinse optimizations	Sanitation	Sanitation Program	Water Minimization	These systems use a large amount of water and many steps with high flow to wash and rinse. Good rinse studies can optimize rinses and save large volumes of water.

<sup>1</sup> CIP and COP: Refer to Glossary

**Table 4-1.** Summarized charge questions 4 and 5 for the committee translated into the risk assessment framework.

Charge Question	Summarized committee question(s)	Risk assessment question(s)	Risk analysis step(s)
#4a, b, d	How do residual contaminants in water used for animal production, slaughter, and processing affect product quality and safety? What are the quality implications and public health risks associated with contaminants at levels anticipated for reconditioned water?	Can reconditioned water reduce product quality and safety? Can this change result in increased public health risks?	Hazard identification
#4c	How might FSIS and industry best assess those implications and risks?	Quantify the <i>additional</i> public health risk from using reconditioned water (vs status-quo potable water usage)	Comparative risk assessment ( <i>i.e.</i> , exposure assessment, hazard characterization, risk characterization)
#5	What are the best ways to assure and/or monitor the quality and safety of alternatively sourced water used in FSIS-regulated operations?	How do we monitor and control public health risks from using reconditioned water?	Risk management, risk communication

1453 **Table 8-1.** Cleaning mechanisms with potential for decreasing facility water use.  
1454

Cleaning Type	Mechanism/Delivery
Mechanical	Manual tools (brushes, cloths, scrapers, scrubbing)
	Detergent wipes, Dry ice/CO2
	Vacuum
	Compressed air/High-pressure “Dry ice/CO2
	Ultrasonic bath (for COP)
Chemical	Enzymatic foam
	Spray
	Atomizing
Thermal	“Dry” Steam

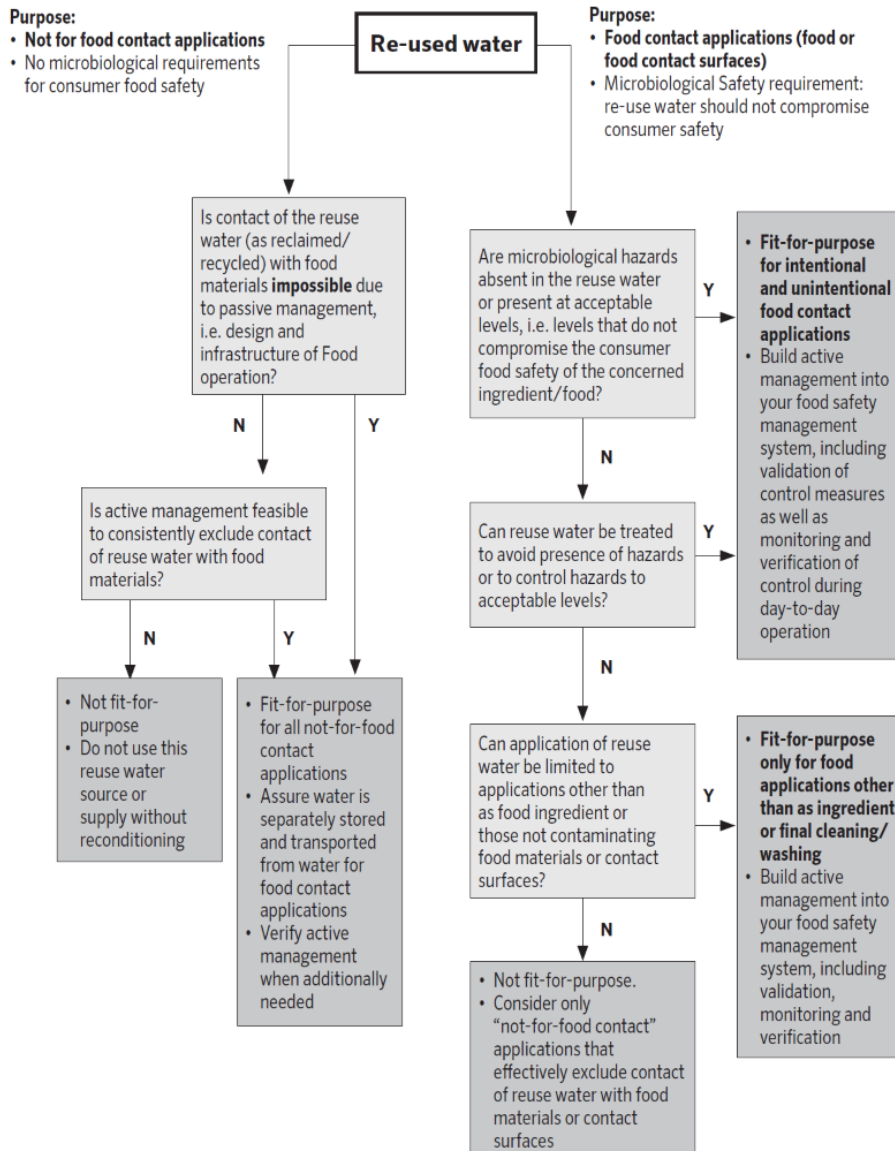
1455  
1456

**Table 8-2.** Sanitization or disinfection products and devices with potential for decreasing facility water use.

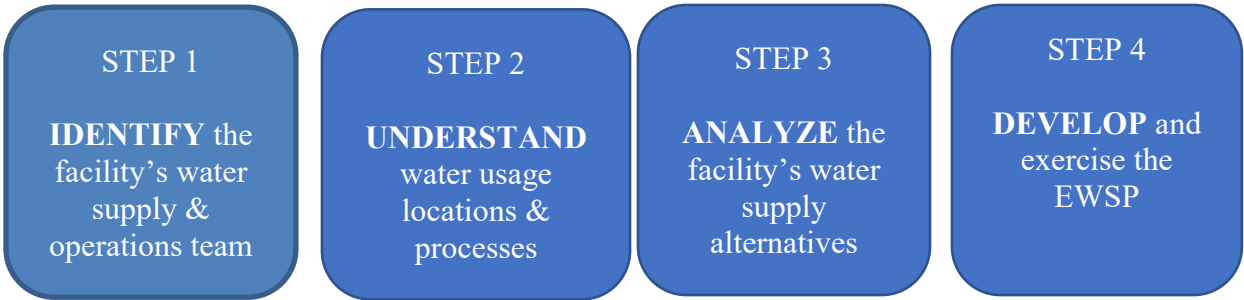
Disinfectant Type	Product/Active Component	Comments
Chemical	Chlorine, chlorine dioxide, hydrogen peroxide, Quaternary ammonium compounds, ammonia, ozone, photo plasma	Fogging uses little water, but is not recommended for primary disinfection
	Antimicrobial materials or coatings	May reduce microbial burden on surfaces and floors (less frequent and lower use of water for cleaning and disinfection)
Thermal	Steam or dry heat	Evaporates on contact. Takes time to ensure all surfaces are contacted.
Irradiation	Ultraviolet light	Effect limited to surfaces exposed to ultraviolet light; so residual contamination can remain on surfaces in shadow.

## Figures

**Figure 4-1.** Example of a risk-based decision tree to match fit-for-purpose applications of reuse water with either a food contact application or a not-for-food-contact application (from FAO/WHO, 2019).



1470 **Figure 7-1.** Developing an Emergency Water Supply Plan (EWSP)  
1471



1472  
1473

## Appendices

### **Appendix #1.** Critical water usage in animal growth and processing facilities

- Consumption and essential health & safety functions
  - Handwashing
  - Drinking
  - Food production and preparation
  - Animal care
  - Fire suppression
- Equipment and sanitary purposes
  - Flushing toilets
  - Cleaning and sanitizing/disinfecting facility and equipment
  - Heating, ventilation, and air conditioning

**Appendix #2.** Sanitizers and disinfectants. Examples of measures of effectiveness required for EPA registration for use on hard food contact surfaces.

- Sanitizers for use on hard surfaces (Food contact surfaces) (US EPA 2012c):
  - After treatment,  $10^5$  reduction in numbers of *Salmonella enterica* and *Staphylococcus aureus*
  - No efficacy claims for viruses or other non-bacterial pathogens
- Broad spectrum disinfectant on hard non-porous environmental surfaces (US EPA 2018b):
  - 60 test surfaces (carriers) with  $10^5$ - $10^6$  *Salmonella enterica*/carrier
  - 60 test surfaces (carriers) with  $10^6$ - $10^7$  *Staphylococcus aureus*/carrier
  - After treatment, no more than 1 carrier positive for *Salmonella*, and 3 positives for *Staphylococcus*
  - Disinfectant claims for viruses can also be based on efficacy testing



## Glossary

**Activated sludge.** A wastewater treatment process where sewage or industrial wastewaters are treated by aeration and a biological floc, or sludge blanket, composed of bacteria and protozoa to remove organic pollutants.

**Alternatively sourced.** Water not from a municipal water treatment plant.

**Antimicrobial agent.** Substance used to preserve food by preventing growth of microorganisms and subsequent spoilage, including fungistats, mold and rope inhibitors, and the effects listed by the National Academy of Sciences/National Research Council under "preservatives" (21 CFR 170.3(o)(2)).

**Biological oxygen demand.** The amount of oxygen consumed by bacteria and other microorganisms while they decompose organic matter under aerobic conditions at a specified temperature.

**Chemical oxygen demand (COD).** The amount of oxygen consumed to chemically oxidize organic contaminants in water to inorganic end products. It is a measure of water and wastewater quality, and it is used to monitor water treatment plant efficiency. This test is based on the principle that strong oxidizing agents in acidic environments will oxidize almost any organic compound to carbon dioxide.

**Clean.** To remove soil, dirt, grease – any objectionable, visible material.

**Cleaning-In-Place.** A process that uses water rinses, hot caustic and/or acid recirculation, precise temperatures, and turbulence to clean soils and bacteria microbial contaminants from the inside surfaces of food production equipment, Equipment such as, mixing tanks, pumps, valves, storage vessels.

**Cleaning-Out-of-Place.** A process of cleaning equipment items at a designated cleaning station. Equipment could include fittings, clamps, product handling utensils, tank vents, pump rotors, impellers, casings, hoses, etc.

**Clean water.** Water which does not compromise the safety of the food in the context of its use.

**Cleaning product/compound/substance.** A substance or mixture of substances (such as chemical or biological substances) that is intended to clean away or remove inanimate material from a surface, water or air.

**Contaminant.** Any undesirable chemical substance, microorganism or physical matter present in a sample.

**Disinfection.** A process performed to eliminate many or all pathogenic microorganisms, except bacterial spores, in a liquid (e.g., water) or on inanimate objects.

**Dissolved air flotation.** A water treatment process that clarifies wastewaters (or other waters) by the removal of suspended matter such as oil or solids. The removal is achieved by dissolving air in the water or wastewater under pressure and then releasing the air at atmospheric pressure in a flotation tank basin. The released air forms tiny bubbles which adhere to the suspended matter causing the suspended matter to float to the surface of the water where it may then be removed by a skimming device.

**Dry cleaning.** The removal of food residue with mechanical action.

**Fecal coliform.** A type of bacterial count as determined by approved methods of analysis (40 CFR 136.3).

**GRAS (generally recognized as safe).** A substance that is generally recognized, among qualified experts, as having been adequately shown to be safe under the conditions of its intended use, or unless the use of the substance is otherwise excepted from the definition of a food additive. The general recognition of safety is based on 1) scientific procedures, through the views of experts qualified by scientific training and experience to evaluate the safety of substances directly or indirectly added to food, or 2) history of the use of the substance prior to January 1, 1958 (21 CFR 170.3). In 2016, FDA issued a final rule that amended and clarified the criteria for when the use of a substance in food for humans or animals is not subject to the premarket approval requirements of the Federal Food, Drug, and Cosmetic Act because the substance is considered GRAS under the conditions of its intended use (FDA 2016).

**Heterotrophic plate count.** A variety of simple culture-based tests that are intended to recover a wide range of *heterotrophic* microorganisms, which are microorganisms that require organic carbon for growth and include bacteria, yeasts and molds. This test was formerly known as “standard plate count,” and the test methodology involves a wide range of test conditions, such as incubation temperatures varying from 20°C to 40°C, or incubation times varying from a few hours to a few weeks, and nutrient conditions of the medium varying from low to high (WHO, 2001).

**Indicators.** Microorganisms whose presence in water indicates the potential presence of a public health hazard.

**Library-dependent method.** A range of bacterial source tracking techniques based on the isolation, phenotyping, and genotyping of indicator bacteria from different sources, such as fecal sources and water samples (Mott and Smith, 2011).

**Moving bed biofilm reactor.** A water processing system that optimizes the use of a sludge activated sand biofilter to utilize the whole tank volume for biomass growth (Ødegaard et al., 1994).

**No contact.** Water in the meat processing environment that does not touch product or product contact surfaces (e.g., environmental sanitation of non-meat product contact surfaces inside the processing environment, as a diluent for cleaning and sanitizing chemicals used in CIP systems or manual sanitation, excluding the final CIP water rinse).

**Pathogens.** Disease-causing organisms (generally certain viruses, bacteria, protozoa, or fungi).

**Pathogen indicators.** A substance that indicates the potential for human infectious disease (Clean Water Act, section 502(253)). Enterococci and generic *E. coli* are indicators. They do not cause human illness because they are not human pathogens, but they indicate the presence of fecal contamination.

**Potable water.** Drinking water that meets or exceeds state and federal drinking water standards.

**Quantitative microbial risk assessment.** The application of probabilistic models to estimate the order of magnitude of risk of infection and illness when a population is exposed to specific microbiological hazards.

**Reclaimed water.** Water that was originally a constituent of a food, has been removed from the food by a process step, and has been subsequently reconditioned when necessary, such that it may be reused in a subsequent food manufacturing operation. Water that has been treated to be fit-for-purpose for reusing or recycling.

**Reconditioning.** The treatment of water intended for reuse by means designed to reduce or eliminate microbiological, chemical, and physical contaminants, according to its intended use.

**Reconditioned water.** Water that has never contained human waste and is returned to safe drinking water standards via treatment by an onsite advanced wastewater treatment facility. Reconditioned water can be used on raw product and throughout the facility provided that product or equipment that contacts reconditioned water receives a final rinse with non-reconditioned water that also meets safe drinking water standards. Reconditioned water cannot be used on ready-to-eat products (citation: [9 CFR 416.2\(g\)\(4\)](#)):

**Recycled water.** Water, other than first use or reclaimed water, that has been obtained from a food manufacturing operation and has been reconditioned when necessary, such that it may be reused in a subsequent food manufacturing operation.

**Residual contaminants.** Impurities remaining in water after the implementation of a remedial action.

**Reuse.** The recovery of water from a processing step, including from the food component itself; its reconditioning treatment, if applicable; and its subsequent use in a food manufacturing operation.

**Reused water.** Recycled and reclaimed water.

**Sanitizers.** Antimicrobial pesticides used to reduce, but not necessarily eliminate, microorganisms from the inanimate environment to levels considered safe as determined by public health codes or regulations. **Sanitize.** To reduce microorganisms of public health importance (and other undesirable microorganisms) to levels considered safe. **Sanitized surface.**

Adequately treat cleaned surfaces by a process that is effective in destroying vegetative cells of pathogens, and in substantially reducing numbers of other undesirable microorganisms, but without adversely affecting the product or its safety for the consumer.

**Sequencing batch reactors.** A type of activated sludge process for the treatment of wastewater.

**Source Water.** A place from which water is obtained; a municipal water supplier, a well, a spring, a fountain, etc. More generally: a place from which water can be obtained.

**Turbidity.** The measure of relative clarity of a liquid. It is an optical characteristic of water and is a measurement of the amount of light that is scattered by material in the water when a light is shined through the water sample. The higher the intensity of scattered light, the higher the turbidity. Material that causes water to be turbid include clay, silt, very tiny inorganic and organic matter, algae, dissolved colored organic compounds, and plankton and other microscopic organisms.

**Wastewater.** Used water.

**Water conservation.** More efficient use of water, resulting in reduced demand for water. Sometimes called “end-use efficiency” or “demand management.”

**Wet cleaning.** The process of removing food residue with water and chemicals.

**Water reuse.** Water, ice, and solutions used to wash or chill product, which is maintained free of contamination and recirculated on the processing line. Water can only be reused for the same purpose (e.g., water used at evisceration can only be reused within the evisceration process). Reused water can be treated but does not need to meet safe drinking water standards. (citation: [9 CFR 416.2\(g\)\(2-3\)](#)).

**Water reconditioning.** The treatment of water intended for reuse by means designed to reduce or eliminate microbiological, chemical, and physical contaminants, according to its intended use.

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