

Import: Unpasteurized Egg Products Inspection Shell Eggs-Breaking

Objectives

After completing this module, participants will be able to do the following:

1. Identify the steps to take in inspecting a shipment of imported unpasteurized egg product.
2. Identify the documents that must accompany each egg product shipment.
3. Explain the requirements for seals on imported egg product shipments.
4. Identify the regulatory requirements for labeling and physical examination of imported egg products.
5. Explain the inspection activities for shell eggs imported for breaking
6. Discuss briefly the refused entry policy

References

1. FSIS Directive 5030.1, *Inspection Methodology Utilizing the Public Health Information System (PHIS) for the Verification of Regulatory Compliance in Egg Products Plants*
2. FSIS PHIS Directive 9900.1, *Imported Product Shipment Presentation*
3. FSIS PHIS Directive 9900.2, *Import Re-inspection of Meat, Poultry, and Egg Products*
4. FSIS PHIS Directive 9900.5, *Label Verification of Imported Meat, Poultry, and Egg Products*
5. FSIS PHIS Directive 9900.8, *Meat, Poultry, Egg Products Refused Entry into the United States*
6. FSIS Notice 70-20, *Egg Products Inspection Regulatory Changes*
7. FSIS Notice 71-20, *Import Reinspection Activities*
8. 9 CFR 590, *Inspection of Eggs and Egg Products*

Import Reinspection

Reinspection of Imported Egg Products

The Egg Product Inspection Act (EPIA) (21 U.S.C. 1046) prohibits the importation of egg products unless they are processed under an approved inspection system of the government of the foreign country of origin and comply with the other pertinent requirements of the EPIA and regulations as are applied to domestic products. Under § 590.910 of the egg products import regulations (9 CFR 590.910), egg products imported into the United States (U.S.) must be from foreign countries that comply with the EPIA and its applicable regulations.

FSIS requires that all egg products that are offered for import be presented for reinspection before the product is used in the production of domestic product (9 CFR 590.925). The Importer of record (IOR) or its agent (this is typically a Customs Broker) files an entry with U.S. Customs and Border Protection (CBP) to apply for inspection of imported products prior to the arrival of the consignment of products, as per 9 CFR 590.920(b). When the importer files its entry with CBP, this will provide FSIS with prior notification.

When applying for import inspection, the IOR or its agent applies for the inspection of product that is to be offered for import in advance of the anticipated arrival of each consignment. Applicants must submit an electronic import inspection application (FSIS Form 9540-1, *Import Inspection Application (Meat, Poultry, and Egg Products)* (version 2/26/2014; refer to Attachment 1) through the CBP Automated Commercial Environment. Paper applications can also be submitted, but the applicant needs to complete all the appropriate blocks in the form, regardless.

Note: a computer fillable [FSIS Form 9540-1](#) is also available on the FSIS Web site.

Importers or brokers will continue to submit a paper copy of the current import application, FSIS Form 9540-1, to the Import inspection personnel (IIP) at the designated official import inspection establishment identified on the application where the product will be presented for reinspection no later than the time of filing with CBP. Refer to [PHIS Implementation Letter to Importers](#) on the FSIS Web site (<http://www.fsis.usda.gov/wps/portal/fsis/topics/international-affairs/importing-products/phs-import-component/phs-implementation-letter-to-importers>).

For applications that are incomplete or contain inaccurate information, the applicant will be asked to correct the application before import reinspection may proceed.

IPP review the documents to ensure that the shipment is properly certified by the foreign country and to verify that each lot is accompanied by the proper certification, in accordance with 9 CFR 590.915. Inspection certification data may be submitted either electronically from government to government (eCert) into the Public Information System (PHIS) or with a paper inspection certificate (non-eCert). There are currently two countries authorized to export egg products to the U.S.: Canada and The Netherlands.

For example, when Canada exports to the U.S., a foreign inspection certificate – CFIA Form 2684 (*Certificate of Inspection for Processed Eggs*; refer to Attachment 2) – is required to accompany all imported egg products and must contain the following information (§ 590.915(e)):

- the date
- the foreign country of export and the producing foreign establishment number
- the species used to produce the product and the source country and foreign establishment number, if the source materials originate from a country other than the exporting country
- the product's description including the process category, the product category, and the product group
- the name and address of the importer or consignee
- name and address of the exporter or consignor
- the number of units (pieces or containers) and the shipping or identification mark of the units
- the net weight of each lot, and
- any additional information the Administrator requests to determine whether the product is eligible to be imported into the U.S.

In addition, 9 CFR 590.915 requires:

- A certification that the products were produced under the approved regulations, requirements, and continuous Government inspection of the exporting country.
- Name (including signature) and official title of the person authorized to issue foreign inspection certificates for egg products exported to the United States.
- The certificate must also bear the official seal of the foreign government agency responsible for the inspection of the product (as an example, refer to Attachment 2). This certificate must be in English.

As mentioned earlier, the egg products foreign inspection certificate must certify that the product was produced under the approved regulations.

Reinspection Verification Activities – Unpasteurized Liquid Egg Products

As stated before, upon arrival at the U.S. port of entry, egg product shipments must be presented at an official import inspection facility for reinspection by IIP or inspection program personnel (IPP) before they are allowed into U.S. commerce. The location of reinspection will be as indicated on the FSIS Form 9540-1 (see Attachment 1).

Egg products are reinspected using the criteria identified in FSIS PHIS Directive 9900.2. At the Port of Entry:

Pasteurized egg products – all pasteurized egg product shipments must stop at an official import inspection establishment for reinspection.

Non-pasteurized egg products – non-pasteurized egg products are not required to stop at an official import inspection establishment prior to proceeding directly to an official egg products plant in the U.S. that conducts a pasteurization process. When the FSIS Form 9540-1 and official inspection certificate is received, OFO Recall Management and Technical Analysis Staff (RMTAS) is to enter the data into PHIS and obtain a reinspection assignment. FSIS reinspection will occur at the official egg products plant designated on the FSIS Form 9540-1. IPP are to refer to FSIS Directive 5030.1, *Inspection Methodology Utilizing the Public Health Information System (PHIS) for the Verification of Regulatory Compliance in Egg Products Plants*, Chapter III, Section IV.

Canada is currently the only country exporting unpasteurized egg products to the United States. The exporter (Canada) is responsible for notifying FSIS about the shipment, including the details about the shipment.

When a shipment (tanker truck) of unpasteurized egg product from Canada (Est. XXXX) is destined to arrive to an egg products plant the IPP at the plant receiving the shipment must access the PHIS Import Home page (using the import inspector role) to retrieve the lot and to pull the type of inspection (TOI) assignments for the lot. There are three TOIs that IPP need to complete at an inspection level of normal:

- Certification
- Label Verification
- Product Exam-3.

IPP at the egg products plant will follow instructions as per Directive 5030.1, as well as the applicable import directives. As mentioned above, IPP are to (refer to FSIS Directive 9900.2, Section XIX) complete the following TOIs:

1. Certification TOI – Verify that the shipment has been properly certified by the Canadian Food Inspection Agency (CFIA) as follows:
 - The shipment is accompanied by an inspection certificate issued by CFIA (officially sealed document; CFIA Form 2684 – *Certificate of Inspection for Processed Eggs*; Attachment 2). Remember, this paperwork is provided to the egg products inspectors by the driver of the tanker.
 - The information on FSIS Form 9540-1 (Import Inspection Application) is accurate
 - The inspection certificate complies with 9 CFR 590.915, and

- The seals on the transport vehicle are intact, and the seal numbers on the transport vehicle match the seal numbers that are identified on the inspection certificate or on official letterhead containing CFIA's official seal

Note: IPP are to review FSIS Form 9540-1 (which includes the details of the shipment; see Attachment 1) and the CFIA inspection certificate (see completed sample, Attachment 2), which must accompany each load to assure that the shipment is properly certified by the foreign country.

2. Label Verification TOI – Verify that the labeling on the product complies with 9 CFR 590.955 and that the product matches the information presented on the inspection certificate.

IPP should refer to the following regulations for guidance on reviewing labels of imported egg products: 9 CFR 590.950 and 590.955. In addition, IPP are to verify compliance with labeling regulations for imported egg products presented for reinspection by examining the labeling features on shipping and immediate containers, as defined in Directive 9900.5. Labels of imported products must adhere to the same requirements as labels for domestically produced egg products (9 CFR 590.910).

3. Product Exam-3 TOI – Perform a product examination as per 9 CFR 590.925(b) and 590.930(g), as well as 590.424(b), following instructions per FSIS Directive 9900.2.

Note: Product examination is an organoleptic, physical type of inspection in which IPP look for defects such as extraneous materials (wood, glass, chemicals, and insects) and off-condition odors. The defects are classified either as a public health (PH) concern or as an other consumer protection (OCP) concern (e.g., quality).

When IPP are entering the results in the Import Reinspection – Product Exam-3 page in PHIS, the web page is different from the Certification and Labeling TOI (refer to FSIS Directive 5030.1). It has three parts or widgets, as shown below.

Import Applications

Inspection Verification

End Import Shipments

Pre-Arrival Shipments

Received Shipments

Refused Shipments

Establishment Profile

View Report

View Application

Application No: 146281 Inspection Certificate: 20314253
Submitted: 8/26/2013 Lot ID: 1
Shipping Mark: 146281 Customs Entry: 13413413413

Public Health - Critical

Specified Risk Material Ingesta

Fecal Off Condition

Under Processed Other Chemical or Physical Hazards

Other Harmful Material

OCP - Non-Critical

Other Pathological Other

Additional Information

Weight of Samples* Number of Units Taken*

Result:

Remarks:

Request to not perform TOI

In the large, 2-column widget, type 12 in the Weight of Samples text box in the left column. Type 1 in the Number of Units Taken text box in the right column.

Click the drop-down arrow for the Result box.

The first widget applies, for the most part, to Meat & Poultry, but the section on which we need to focus is the “Additional Information” section, where there are two mandatory fields. Hence, the PHIS Import Reinspection – Product Exam-3 page now requires the inspector to complete the require fields. According to Directive 9900.2, Section V (Sampling Plans and Defect Tables for Physical Inspections), gives instructions to IPP to follow Table 1 when determining the sampling plan and defect criteria for a specific product when a physical inspection has been assigned. For egg products the associated sampling plan is SP5. Thereafter, section XIX (Physical Inspection of Egg Products) gives the procedures to follow to conduct reinspection of the imported product.

While executing the Product Examination TOI the IPP will refer to Attachment 1 (Sampling Defect Tables – Inspection) and use the sampling plan SP5 when a physical inspection has been assigned for egg products. As per Attachment 1, the corresponding sampling plan SP5 references the matching Defect criteria Product Examination-3 (PE3) which is delineated in Attachment 2 (Defect Classifications – PE3).

When looking at the SP5 (refer to the footnote) sampling plan in Attachment 1, the egg products tanker that arrives at the plant is a bulk package, i.e., the tanker is the immediate container and represents one sampling unit. When product is bulk packaged, in this case the egg

products tanker, the sample unit to be collected for the organoleptic examination should weigh about 12 pounds (approximately 1.5 gallons by volume). The numbers that should be entered in the PHIS Import Reinspection – Product Exam-3 page mandatory fields are “12” in the Weight Sample box and “1” in the Number of Units Taken box.

In case the inspector finds any public health (PH) or other consumer protection (OCP) defect (i.e., off-condition, chemical or physical hazards, etc.) after examining the product, then the inspector will enter “1” in the “Off Condition” or “Other Chemical/Physical Hazard” box – Public Health Critical widget in PHIS, following the defect criteria description under the Product Examination (PE3) table (Attachment 2 – Defect Classification). IPP are to clearly and accurately describe the defect details in the “Remarks” section in PHIS Import Reinspection – Product Exam-3 page. IPP are to refuse entry on the lot if a public health defect is determined.

Note: These descriptions are the official record of the defect and in the case of a failed TOI they are used as the official description of the issue conveyed to the foreign government. Therefore, it is essential that these description are as detailed as possible.

If IPP encounter problems with shipment certification or labeling, as well as the circumstances of a shipment that does not arrive as scheduled (Failure-to-Present – FTP; refer to FSIS Directive 9900.1, Section V), they are to notify the District Office (DO) and await instructions through supervisory channels.

Once IPP complete the reinspection of unpasteurized egg products by completing the assigned TOIs (i.e., label verification, certification, and product examination – organoleptic examination), then the IPP can release the lot in PHIS. IPP will retain CFIA Form 2684 (*Certificate of Inspection for Processed Eggs*) in the government files.

Verification Activities – Imported Shell Eggs for Breaking

Shell eggs for breaking can be imported into the U.S. from any country recognized by the USDA’s Animal and Plant Health Inspection Service (APHIS) as free of pests and animal disease issues. Jurisdiction over imported shell eggs is shared by APHIS, FDA, and the USDA’s Agricultural Marketing Service (AMS).

Note: For incoming shipments of imported shell eggs for breaking originating from HPAI positive poultry flocks or HPAI affected zones, CBP will issue VS Form 16-78 “Report of Entry, Shipment of Restricted Imported Animal Products and By-Products, and Other Materials.” VS Form 16-78 is used to document the movement of the restricted material associated with these shipments from the port of entry to the APHIS-

approved FSIS egg products plant. Imported eggs from HPAI zones can only go to an APHIS-approved egg products plant that conducts both breaking and pasteurization. (Link to database: <https://vsapps.aphis.usda.gov/vsps/public/AESearch.do?method=unspecified>)

FDA has regulatory authority over imported shell eggs under the Federal Food, Drug, and Cosmetic Act. Foreign producers must comply with the requirements of FDA's "Egg Rule" found in 21 CFR Part 118 – Prevention of *Salmonella* Enteritidis in Shell Eggs during Production, Storage, and Transportation. If the eggs are for breaking only, they must comply with only the registration requirements and the refrigeration requirements in 21 CFR 118.

Note: These regulations require that shell eggs that will go to an official USDA egg products plant for breaking and pasteurization must be refrigerated at 45°F or below ambient temperature beginning 36 hours after time of laying up until they reach the official USDA egg products plant in the U.S.

AMS oversees the importation of shell eggs for breaking and table eggs destined for the ultimate consumer. AMS is responsible for checking imported shell eggs to ensure that they are properly labeled, and that restricted eggs are disposed of properly. AMS notifies FDA when an application is submitted to import shell eggs into the U.S. and notifies FDA before it releases any lots of imported shell eggs into domestic commerce. An application to import shell eggs into the U.S. must be submitted using AMS Form LPS-222 ("Import Request (Shell Eggs)"; refer to Attachment 3) containing all the information from the foreign health certificate. AMS will confirm that the load arrives as intended at the port of entry and conduct verification procedures related to seals, labeling, and that the information on the AMS Form LPS-222 is correct. Once AMS completes its verification procedures, these loads are eligible to be sent to an egg products plant for breaking.

Canada, France, Germany, Honduras, Italy, Latvia, Lithuania, Mexico, Portugal, Spain, and The Netherlands are the countries that export shell eggs to the USA for breaking.

Once the imported shell eggs for breaking are reinspected and released by AMS, they are considered "in-commerce" (domestic shell eggs). IPP at egg products plants who receive this type of shell eggs are to review the appropriate documentation and verify that the plant complies with the applicable record requirements in 9 CFR 590.200 (i.e., looking for the supplier information).

IPP responsibilities in egg products plants receiving imported shell eggs originating from Canada intended for breaking (Notice 27-16)

When shipments of imported shell eggs from Canada intended for breaking proceed directly to official egg products plants, AMS will notify the applicable DO

management that the shipment is being transported to an egg products plant in that district. The DO is to notify IPP of the expected arrival of the shipment.

Upon arrival of the imported shell eggs at the official plant, IPP are to:

- Request a copy of AMS Form LPS-222 and VS Form 16-78 (if applicable; will accompany shipments that have originated from HPAI positive poultry flocks or HPAI affected zones) from the driver or DO
- Verify that the government (CBP) or commercial seal(s) are intact and that the seal number(s) matches the seal number(s) recorded on Form LPS-222 or VS Form 16-78 (when issued)
- Verify that the number of containers in the shipment matches the number recorded on Form LPS-222 and VS Form 16-78 (when issued)
- Verify that the plant complies with the applicable records requirements in 9 CFR 590.200 and 590.950(b)

After verifying all the items above, IPP are to send an e-mail to ImportedBreakingStock@fsis.usda.gov containing the information delineated in FSIS Notice 27-16, Part IV.E. If IPP encounter any problems (i.e., seal is not intact and/or seal number or the number of containers does not match the seal number or the number of containers recorded on applicable forms) during their verification activities, IPP are to

- apply a U.S. Retain tag and hold the affected shipment of imported shell eggs and segregate
- send an e-mail to the above e-mail address and their immediate supervisor and DO following instruction as per FSIS Notice 27-16
- Wait for further instruction from their immediate supervisor and DO for any further actions regarding the disposition determination of the affected shipment

Documenting results in PHIS

IPP are to schedule a Routine or Directed Review Egg Plant Data task for every imported shell eggs shipment received and document the results of their verification activities by stating that they verified 9 CFR 590.200. If IPP scheduled an additional directed task, click on the reason "Instructed by Supervisor."

Hold on Distribution (9 CFR 590.930)

All imported egg products will be conditionally released from CBP only after inspection of the product has occurred. If the product is moved from the port of entry to an official plant for reinspection, it must be under official seal. The

District Office must be notified immediately if product fails to report for reinspection by the close of the estimated date of arrival.

Refused Entry

Imported egg products that do not comply with U.S. requirements are not allowed to enter U.S. commerce and are to be identified as “United States Refused Entry” product (9 CFR 590.945). Egg products that must be identified as such are defined in Directive 9900.8. As per Directive 9900.8, inspection personnel are to:

- Ensure that the refused entry product is under FSIS control until properly identified as “United States Refused Entry.”
- Notify import establishment/egg products plant management of each refused entry

In the case of imported unpasteurized egg products that were refused entry, IPP need to immediately contact their supervisor who then will give notice to the District Office/Recall Management Technical Analysis Division (RMTAD) in Headquarters as soon as possible; further guidance will be provided to the inspector by supervisory channels. RMTAD still receive emails at importinspection@fsis.usda.gov.

Attachment 1 – Example of FSIS Form 9540-1

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0553-1594. The time required to complete this information collection is estimated to average 3 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

U.S. DEPARTMENT OF AGRICULTURE FOOD SAFETY AND INSPECTION SERVICE IMPORT INSPECTION APPLICATION (Meat, Poultry & Egg Products)				1. COUNTRY OF ORIGIN	2. INSPECTION CERTIFICATE NUMBER
				3. EXPORTING ESTABLISHMENT NUMBER	
4. U.S. PORT OF ENTRY/CBP PORT CODE			5. U.S. PORT OF UNLOADING/CBP PORT CODE		
6. FSIS ESTABLISHMENT NUMBER		7. NAME & ADDRESS OF FSIS ESTABLISHMENT			
8. NAME, BUSINESS NAME & ADDRESS OF CUSTOMS BROKER OR APPLICANT				9a. PHONE NUMBER	
				9b. FACSIMILE NUMBER	
9. NAME, BUSINESS NAME & ADDRESS OF CONSIGNEE				8c. E-MAIL ADDRESS	
10. NAME, BUSINESS NAME & ADDRESS OF IMPORTER OF RECORD					
11. BILL OF LADING NUMBER(S)					
Blocks 13 through 32 repeat for each lot on the inspection certificate					
12. LOT NO.		13. SHIPPING IDENTIFICATION MARK		14. CUSTOM ENTRY NUMBER(S)	
				15. PRODUCTION DATE(S) FROM: TO:	
16. NET WEIGHT OF LOT (pounds)	17. SHIPPING UNIT PACKAGE TYPE NAME	18. NUMBER OF UNITS	19. IMMEDIATE UNIT PACKAGE TYPE NAME	20. NUMBER PER SHIPPING UNIT	21. SEAL NUMBER(S)
22. PROCESSING EST. NO.		23. SOURCE COUNTRY(S) (if different from block 1)		24. SOURCE EST. NO.	25. HTS CODE(S)
26. PROCESS CATEGORY		27. PRODUCT CATEGORY		28. PRODUCT GROUP	
29. SPECIES (Dominant)		29a. ADDITIONAL SPECIES (if applicable)		30. DESCRIPTION OF PRODUCT	
31. SUPPLEMENTAL PRODUCT CODES (GTIN, INTENDED USE CODE, UPC)				32. ESTIMATED DATE OF ARRIVAL	
12. LOT NO.		13. SHIPPING IDENTIFICATION MARK		14. CUSTOM ENTRY NUMBER(S)	
				15. PRODUCTION DATE(S) FROM: TO:	
16. NET WEIGHT OF LOT (pounds)	17. SHIPPING UNIT PACKAGE TYPE NAME	18. NUMBER OF UNITS	19. IMMEDIATE UNIT PACKAGE TYPE NAME	20. NUMBER PER SHIPPING UNIT	21. SEAL NUMBER(S)
22. PROCESSING EST. NO.		23. SOURCE COUNTRY(S) (if different from block 1)		24. SOURCE EST. NO.	25. HTS CODE(S)
26. PROCESS CATEGORY		27. PRODUCT CATEGORY		28. PRODUCT GROUP	
29. SPECIES (Dominant)		29a. ADDITIONAL SPECIES (if applicable)		30. DESCRIPTION OF PRODUCT	
31. SUPPLEMENTAL PRODUCT CODES (GTIN, INTENDED USE CODE, UPC)				32. ESTIMATED DATE OF ARRIVAL	
33. PRINTED NAME OF CUSTOMS BROKER OR APPLICANT				34. SIGNATURE	
				35. DATE	

IN CONSIDERATION of the U.S. Director of Customs and Border Protection granting herein permission to transfer the packages of foreign food product described on this form which are offered for entry into the United States. I/We agree, under bond filed with said director of Customs and Border Protection and subject to penalties prescribed in laws enacted by Congress and regulations issued there under by the Secretary of Homeland Security, to hold the said food product intact at the location indicated above until it has been inspected and passed by a food inspector from the Food Safety and Inspection Service or has been otherwise disposed of under the supervision of a U.S. Customs and Border Protection Officer or a FSIS inspector.

FSIS FORM 9540-1 (02/14/2014)

REPLACES FSIS FORM 9540-1 (5/02) WHICH IS OBSOLETE

Import Inspection Application 1

FSIS Form 9540-1 (continuation sheet)

12. LOT NO.		13. SHIPPING/IDENTIFICATION MARK		14. CUSTOM ENTRY NUMBER(S)		15. PRODUCTION DATE(S) FROM: _____ TO: _____	
16. NET WEIGHT OF LOT (pounds)	17. SHIPPING UNIT PACKAGE TYPE NAME	18. NUMBER OF UNITS	19. IMMEDIATE UNIT PACKAGE TYPE NAME	20. NUMBER PER SHIPPING UNIT	21. SEAL NUMBER(S)		
22. PROCESSING EST. NO.		23. SOURCE COUNTRY(S) (if different from block 1)		24. SOURCE EST. NO.	25. HTS CODE(S)		
26. PROCESS CATEGORY		27. PRODUCT CATEGORY		28. PRODUCT GROUP			
29. SPECIES (Dominant)		29a. ADDITIONAL SPECIES (if applicable)		30. DESCRIPTION OF PRODUCT			
31. SUPPLEMENTAL PRODUCT CODES (GTIN, INTENDED USE CODE, UPC)						32. ESTIMATED DATE OF ARRIVAL	

12. LOT NO.		13. SHIPPING/IDENTIFICATION MARK		14. CUSTOM ENTRY NUMBER(S)		15. PRODUCTION DATE(S) FROM: _____ TO: _____	
16. NET WEIGHT OF LOT (pounds)	17. SHIPPING UNIT PACKAGE TYPE NAME	18. NUMBER OF UNITS	19. IMMEDIATE UNIT PACKAGE TYPE NAME	20. NUMBER PER SHIPPING UNIT	21. SEAL NUMBER(S)		
22. PROCESSING EST. NO.		23. SOURCE COUNTRY(S) (if different from block 1)		24. SOURCE EST. NO.	25. HTS CODE(S)		
26. PROCESS CATEGORY		27. PRODUCT CATEGORY		28. PRODUCT GROUP			
29. SPECIES (Dominant)		29a. ADDITIONAL SPECIES (if applicable)		30. DESCRIPTION OF PRODUCT			
31. SUPPLEMENTAL PRODUCT CODES (GTIN, INTENDED USE CODE, UPC)						32. ESTIMATED DATE OF ARRIVAL	

12. LOT NO.		13. SHIPPING/IDENTIFICATION MARK		14. CUSTOM ENTRY NUMBER(S)		15. PRODUCTION DATE(S) FROM: _____ TO: _____	
16. NET WEIGHT OF LOT (pounds)	17. SHIPPING UNIT PACKAGE TYPE NAME	18. NUMBER OF UNITS	19. IMMEDIATE UNIT PACKAGE TYPE NAME	20. NUMBER PER SHIPPING UNIT	21. SEAL NUMBER(S)		
22. PROCESSING EST. NO.		23. SOURCE COUNTRY(S) (if different from block 1)		24. SOURCE EST. NO.	25. HTS CODE (S)		
26. PROCESS CATEGORY		27. PRODUCT CATEGORY		28. PRODUCT GROUP			
29. SPECIES (Dominant)		29a. ADDITIONAL SPECIES (if applicable)		30. DESCRIPTION OF PRODUCT			
31. SUPPLEMENTAL PRODUCT CODES (GTIN, INTENDED USE CODE, UPC)						32. ESTIMATED DATE OF ARRIVAL	

12. LOT NO.		13. SHIPPING/IDENTIFICATION MARK		14. CUSTOM ENTRY NUMBER(S)		15. PRODUCTION DATE(S) FROM: _____ TO: _____	
16. NET WEIGHT OF LOT (pounds)	17. SHIPPING UNIT PACKAGE TYPE NAME	18. NUMBER OF UNITS	19. IMMEDIATE UNIT PACKAGE TYPE NAME	20. NUMBER PER SHIPPING UNIT	21. SEAL NUMBER(S)		
22. PROCESSING EST. NO.		23. SOURCE COUNTRY(S) (if different from block 1)		24. SOURCE EST. NO.	25. HTS CODE (S)		
26. PROCESS CATEGORY		27. PRODUCT CATEGORY		28. PRODUCT GROUP			
29. SPECIES (Dominant)		29a. ADDITIONAL SPECIES (if applicable)		30. DESCRIPTION OF PRODUCT			
31. SUPPLEMENTAL PRODUCT CODES (GTIN, INTENDED USE CODE, UPC)						32. ESTIMATED DATE OF ARRIVAL	

FSIS 9540-1, IMPORT INSPECTION APPLICATION
(Meat, Poultry & Egg Products)

The following is guidance for applicants/custom brokers importing meat, poultry or egg products to the United States. The FSIS form 9540-1 has been modified to capture more detailed information about shipments.

Block:

1. Country of Origin. Enter the name of the country that issued the inspection certificate for the export of the product.
2. Inspection Certificate Number. Enter the serial number, including dashes/slashes, from the foreign country inspection contained on the inspection certificate.
3. Exporting Establishment Number. Enter the official number of the foreign facility that the product was exported from the inspection certificate.
4. U.S. Port of Entry/CBP Port Code. This is the name of the port of entry and the associated CBP port code designation where the entry is filed with U.S. Customs and Border Protection (CBP). Example: When the entry is filed at the Port of Philadelphia for merchandise unloaded at Long Beach, CA, enter "Philadelphia, PA – 1101" as the U.S. Port of Entry and Port Code.
5. U.S. Port of Unlading/CBP Port Code. This is the name of the U.S. port of entry and the associated CBP port code for merchandise unloaded at Long Beach, CA, enter "Los Angeles/Long Beach, CA – 1104" as the U.S. Port of Unlading and Port Code.
6. FSIS Establishment Number. This is the official number assigned by FSIS to the establishment where the product will move for FSIS import inspection.
7. Name and Address of FSIS Establishment. The name and address of the FSIS establishment where the product is to be moved for FSIS import inspection.
8. Name (first/last), Business Name and Address of Customs Broker or Applicant, as applicable (including zip code). Self explanatory (NOTE: the name of a responsible person is required, in addition to the name of the business).
 - 8a. Phone Number. Self explanatory.
 - 8b. Facsimile Number. Self explanatory.
 - 8c. E-Mail Address. The email address for refused entry notifications and communication with the applicant.
9. Name (first/last), Business Name and Address of Consignee (including zip code): The name and address, including zip code, of the company or person to which the product is consigned (NOTE: the name of a responsible person is required, in addition to the name of the business).
10. Enter Name (first/last), Business Name and Address of Importer of Record (IR) (including zip code). The name and address of the Importer of Record as declared to Customs when the entry is filed (NOTE: the name of a responsible person is required, in addition to the name of the business).
11. Bill of Lading Number(s). Enter the applicable bill of lading numbers for this application.
12. Lot No. The lot number of each line item of product identified on the foreign inspection certificate. Start with 1 and continue with 2, 3, etc.

NOTE: Blocks 13 through 32 repeat for each lot on an inspection certificate. FSIS expects that each line item on the inspection certificate is one (1) lot on the form 9540-1.
13. Shipping/Identification Mark. Enter the unique number from the inspection certificate that links the product in the lot to the inspection certificate. Include slashes/dashes as appropriate.
14. Custom Entry Number(s). Enter each applicable 11 digit custom entry number associated with the shipment. For locations where the custom entry number is not 11 characters long, enter enough zeros at the beginning to make an eleven digit number.
15. Production Date(s). Enter the range of production dates from the inspection certificate for each lot when applicable.
16. Net Weight of Lot. Enter the net weight of each lot in pounds from the inspection certificate.
17. Shipping Unit Package Type Name. Enter the type of shipping unit.
18. Number of Units. Enter the number of shipping units from the inspection certificate.
19. Immediate Unit Package Type Name. Enter the type of immediate container packaging.
20. Number per Shipping Unit. Enter the number of immediate containers contained in a shipping unit. When the amount is not standard in each shipping container, enter an average/approximate number.
21. Seal Number(s). Enter the conveyance seal number(s) from the inspection certificate (egg products, red meat carcass shipments, etc).
22. Processing Est. No. Enter the foreign establishment number from the inspection certificate of the foreign plant that produced the product (e.g., last processed the product).
23. Source Country(s). Enter each country, other than the exporting country, from which product was sourced to produce the product in this lot.
24. Source Est. No. Enter each establishment, other than an establishment in the exporting country, from which product was sourced to produce the product in this lot.
25. HTS Code(s). Enter the 10 number Harmonized Tariff Schedule code(s) for the product(s) in the lot.
26. Process Category. Enter the process category from the inspection certificate.
27. Product Category. Enter the product category from the inspection certificate.
28. Product Group. Enter the product group from the inspection certificate.
29. Species (*dominant*). Enter one of the following species that the product predominantly contains: Beef, Veal, Goat, Lamb, Mutton, Pork, Horse, Equine other than horse, Chicken, Duck, Goose, Guinea, Squab, Turkey, Emu, Ostrich, or Rhea.
- 29a. Additional Species (*if applicable*). Enter the less predominant additional species from the inspection certificate, when applicable. Refer to #29 for the applicable entries.

30. Description of the Product. Enter the name or description of the product from the inspection certificate. For example, lamb legs, beef short loin, etc.
31. Supplemental Product Code. Enter the GTIN, Intended Use Code, UPC, or other product code that is used in commerce for the product.
32. Estimated Date of Arrival. Enter the date that the product is expected to arrive at the FSIS establishment for import inspection.
33. Printed Name of Customs Broker or Applicant. Enter actual name of person signing this application.
34. Signature. Signature of person filing this application.
35. Date. Enter the date the application is completed.

1/ For American Samoa, enter either Pago Pago, AS Seaport - 0001 or Pago Pago, AS Airport - 0002

Attachment 2 – Example: Completed Foreign Certificate of Inspection for Processed Egg (CFIA Form 2684)

Canada
 Canadian Food Inspection Agency / Agence canadienne d'inspection des aliments

CERTIFICATE OF INSPECTION FOR PROCESSED EGG / **CERTIFICAT D'INSPECTION POUR OVO-PRODUITS**

Serial No. / N° de série: **0047 XX**
 Inspector / N° d'ident. d'inspecteur: **40.XXX**
 Province: **ONTARIO FEB. 19/2008**

Exportation / Importation / Other (Specify) / Autres (spécifier)

Name and Address of Acquirer / Nom et adresse du négociant: **EGGIE CORPORATION**
 Somewhere Town
 Ontario, Canada M11111

Country of Origin / Pays d'origine: **CANADA**

Inspection Location / Lieu d'inspection: **Ova Egg Products, West Trans**
 License - Trailer No./N° remorque: **USA Plant # 0000**
 Country of Origin / Pays d'origine: **CANADA**

Description of Containers / Description des contenants: **BOXED TANKER**
 Container Marking / Marquage des contenants: **2258**

Name, Address and Registered Number of Processing Plant / Nom, adresse et n° d'agrément de l'établissement	Description of Product / Description du produit	Production Date / Lot No. / Date de production / N° de lot	Net Wt. per Container / Poids net par contenant	Number of Containers / Nombre de contenants	Total Wt of Lot / Poids total du lot
EGGIE CORPORATION Somewhere Town ONTARIO, CANADA M11111	UNPASTEURIZED LIQUID EGG PRODUCT	1/10/08 15/02/08	22,410 kg 49,470 kg	1	22,410 kg 49,470 kg
EST # 00			TOTAL	1	22,410 kg 49,470 kg

LABORATORY ANALYSIS / ANALYSE DU LABORATOIRE

Lot Number / Numéro de lot	Standard Plate Count / Compte total	Coliforms per Gram / Coliformes par gramme	Moisture % / Humidité	% Total Solids / % total de solides	Odour / Odeur	Salmonella / Salmonelle	Other (specify) / Autre (spécifier)	Other (specify) / Autre (spécifier)
<p>Arrival time - Date & Time of arrival - 2/20/08 (11:30 AM), Seal Intact, Temp - 34°F, Condition - Satisfactory. Jumbled. E.P.E.C.</p> <p>SEAL NUMBERS - 205211/205212/205213</p> <p>REMARKS / REMARQUES: THE PRODUCT COVERED BY THIS CERTIFICATE WAS PRODUCED UNDER CONTINUOUS CFIA FULL INSPECTION FOR FURTHER PROCESSING ONLY. TEMPERATURE AT TIME OF LOADING</p>								

I hereby certify that the above described agricultural products meet the requirements of the Processed Egg Regulations. / Je certifie par la présente que les produits agricoles ci-dessus sont conformes aux exigences relatives au Règlement sur les oeufs transformés.

Inspector's Name / Nom de l'inspecteur: **Mody Egg**
 Inspector's Signature / Signature de l'inspecteur: **P. LePau**

The information on this document is collected by the Canadian Food Inspection Agency under the authority of the Canada Agricultural Products Act for purposes of controlling the marketing of processed egg. Personal information will be protected under the provisions of the Privacy Act and will be stored in Personal Information Bank A9C26-93000. / Les renseignements dans le présent document sont recueillis par l'Agence canadienne d'inspection des aliments en vertu de la Loi sur les produits agricoles du Canada en vue de contrôler le marketing des oeufs transformés. Les renseignements personnels sont protégés en vertu de la Loi sur l'accès à l'information et seront stockés dans le fichier d'information personnelle A&P/93000 ou à ses renseignements relatifs aux oeufs transformés.

Foreign Certificate 1

Attachment 3 – AMS Form LPS-222, Import Request (Shell Eggs)

U.S. DEPARTMENT OF AGRICULTURE AGRICULTURAL MARKETING SERVICE LIVESTOCK, POULTRY AND SEED PROGRAM			FORM APPROVED – OMB NO. 0581-0113		
			PERMIT NUMBER:		
			SEA -		
IMPORT REQUEST (SHELL EGGS)					
Instructions: See reverse for completion or hyperlink for electronic filing in the Automated Commercial Environment (ACE).					
Section A – Application for Import Inspection			Section D – Agreement for Temporary Transfer		
1. Name and Address of Consignor:		Date:	1. Name and Address for Location of Product for AMS Inspection:		
2. Name and Address of Importer:			2. Name and Telephone Number for Contact at the Location:		
Section B – Notice of Product Shipped Under Seal(s)					
1. Point of Entry:		2. Estimated Date of Arrival:			
Section C – Identification of Shipment			Section E – Product Disposition		
1. Country of Origin:		2. U.S. Customs Entry Number:		1. Import Document Correct.	
				Yes No	
				Yes No	
3. Name and Address of Consignee:		Ambient Transport Temperature: _____ °F			
		Signature and Date – FSIS Representative:			
4. Type of Product:		Number of Containers:		Quantity Eggs:	
* Non-Restricted Eggs (Table Eggs)				Dozens	
Restricted Eggs (De-characterized Inedible)				Pounds	
Hatching Eggs				Dozens	
I hereby attest that I have acquired an Import Permit from the Animal and Plant Health Inspection Service (APHIS), USDA to import hatching eggs and inedible egg products.					
Section D – Agreement for Temporary Transfer			Refused Entry – Cause and Disposition:		
<p>IN CONSIDERATION of the U.S. Collector of Customs granting me (us) permission to transfer temporarily the products described in Section “C” which are offered for entry into the United States. I (WE) AGREE under bond filed with said Collector of Customs and subject to the penalties prescribed in laws enacted by Congress and regulations issued thereunder by the Secretary of the Treasury, to hold the said products intact at the location indicated to the right until they have been inspected and passed by a Livestock, Poultry and Seed Program Representative or have been otherwise disposed of under the supervision of a U.S. Customs Officer or a Livestock, Poultry and Seed Program Representative.</p>					
			Number of Containers:		Quantity:

LPS-222 (04-14)

[Import Request for Shell Eggs 1](#)