



**Food Safety and Inspection Service (FSIS)
United States Department of Agriculture (USDA)**

**Adulterated Product Monitoring
Inquiries User Guide for Industry Users**

Public Health Information System

PHIS 12.3.2 Release

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1. Introduction

The *APM Inquiries User Guide for Industry Users* provides detailed step-by-step instructions on performing all actions within the APM Inquiries module. This resource provides guidance on creating Industry Report of Adulteration (IRA) cases.

It is important to note that Agency personnel are unable to view cases created by Industry in Draft status. The Industry user submitting the case must **Submit** the IRA to the system to fulfill the regulatory requirements for notification. In addition, the APM system will delete any Draft cases that are not submitted for review within two calendar days.

2. Sign In and Out of the APM System

2.1. Sign In to the APM System

You can sign in to APM by entering the direct APM URL in the browser or entering the PHIS URL and selecting **APM > Inquiries** from the left navigation menu. The Industry roles which have access to APM are Plant Management and Corporate Management.

Figure 1: eAuthentication Login page

Figure 2: PHIS Homepage

You can access authorized Inquiry cases from the Reports of Adulteration or Misbranding page. This page is the first page you see after you login to APM.

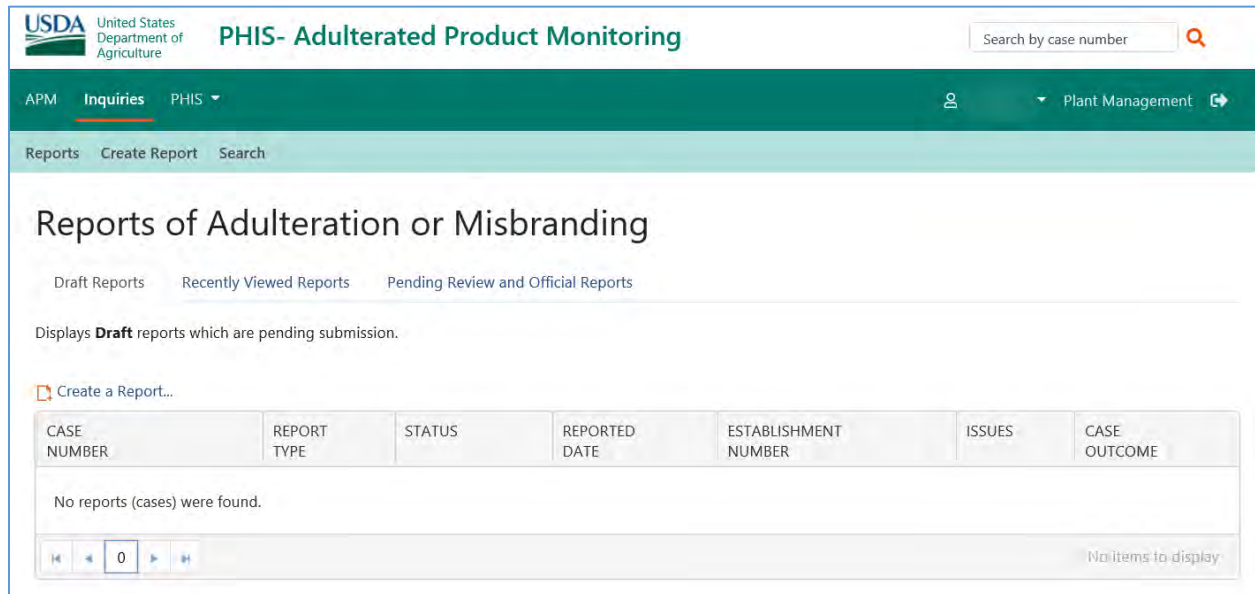


Figure 3: Inquiries > Reports page

Item	Name	Notes
1	Draft Reports	Displays all the draft IRA-IP and IRA cases that the user has created or has access
2	Recently Viewed Reports	Displays the fifteen most recently accessed cases by the user
3	Pending Review and Official Reports	Displays all the cases that the user has access to

2.2. Sign Out of the APM System

You can sign out of the APM system by selecting the **Sign Out** icon or by choosing **Sign Out** from the user profile dropdown.

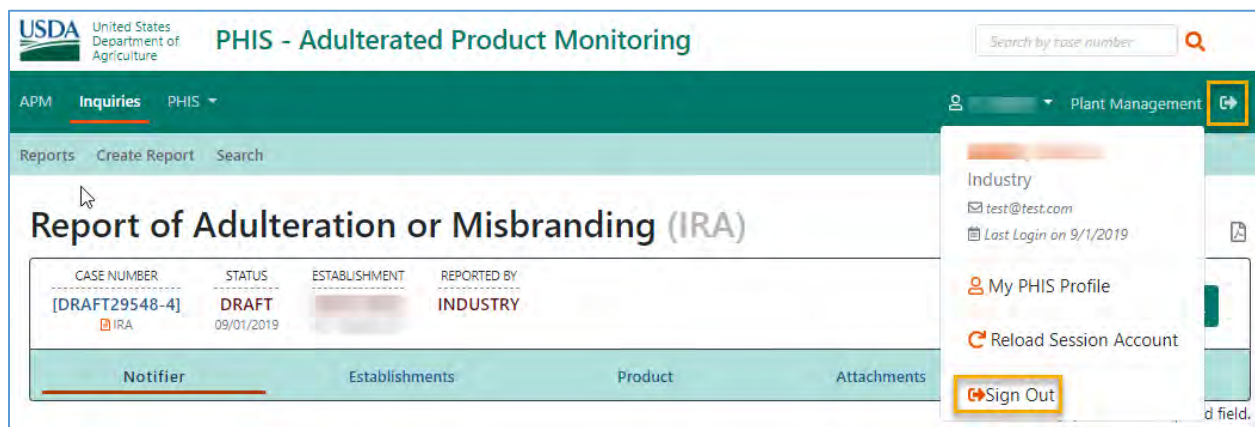


Figure 4: Sign Out action

3. Search and View Existing IRA Cases

You can view existing IRA cases from selecting any of the options on the Reports of Adulteration or Misbranding page.

The screenshot displays the PHIS- Adulterated Product Monitoring interface. At the top, there is a search bar labeled 'Search by case number' with a magnifying glass icon (labeled 1). Below the search bar, the navigation menu includes 'APM', 'Inquiries', and 'PHIS'. The 'Inquiries' menu is expanded, showing 'Reports', 'Create Report', and 'Search' (labeled 2). The 'Reports' tab is selected, showing 'Draft Reports', 'Recently Viewed Reports', and 'Pending Review and Official Reports'. Below the tabs, there is a 'Create a Report...' link (labeled 3) and a table of cases. The table has columns: CASE NUMBER, REPORT TYPE, STATUS, REPORTED DATE, ESTABLISHMENT NUMBER, ISSUES, and CASE OUTCOME. The first three rows are highlighted, with the first row's case number '20200804-0003' highlighted (labeled 4). The table shows three cases, all with 'IRA' as the report type. The first two are 'Pending Review' and the third is 'Published'. The issues listed are 'Other' for all three. The case outcomes are 'PENDING', 'PENDING', and 'RECOMM' respectively. At the bottom of the table, there is a pagination control showing '1' of 3 items.

CASE NUMBER	REPORT TYPE	STATUS	REPORTED DATE	ESTABLISHMENT NUMBER	ISSUES	CASE OUTCOME
20200804-0003	IRA	Pending Review	08/04/2020		• Other	PENDING
20200804-0008	IRA	Pending Review	08/04/2020		• Other	PENDING
20200713-0042	IRA	Published	07/13/2020		• Other	RECOMM

Figure 5: Search and View IRA cases

Item	Notes
1	Entering the case number in the top right corner of any APM page and selecting the Search icon to the right of the Search field
2	Selecting the Search option from the second-level menu
3	Selecting Search link above the results grid in the Pending Review and Official Reports tab
4	Selecting the case number on the Reports of Adulteration or Misbranding page

3.1. Reports

The Reports page is the default landing page when the Inquiries menu item is selected. This page has three subtabs:

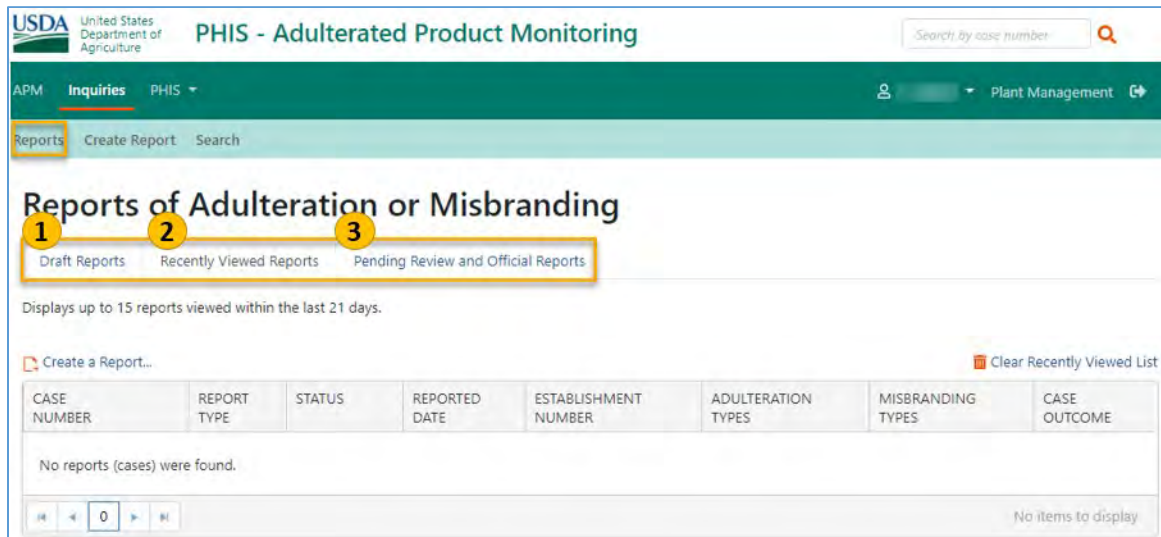


Figure 6: Inquiries Reports page

Tab Name	Notes
Draft Reports	Displays all the draft IRA cases that you have created
Recently Viewed Reports	Displays the fifteen most recently accessed cases by you
Pending Review and Official Reports	Displays all the submitted cases that you have access to, along with filters to help narrow the search results

3.2. Search and View Existing IRA Cases

The Search page allows you to search for IRA cases by entering the desired parameters and selecting **Search**. The system displays the search results in a grid and selecting the hyperlinked case number opens the case in read-only or editable format depending on your access.

USDA United States Department of Agriculture

PHIS - Adulterated Product Monitoring

Search by case number

APM Inquiries PHIS

Plant Management

Reports Create Report **Search**

Search

Report Type*

Select

From Date To Date

MM/DD/YYYY MM/DD/YYYY

District

Any

Issue Types

Case Number

Establishment Number

Search **Reset**

CASE NUMBER	REPORT TYPE	ESTABLISHMENT NUMBER	ISSUES	DAT REPORTED
No cases were found.				

0 No items to display

Figure 7: Inquiries Search page

4. Industry Report of Adulteration (IRA) Case

4.1. Creating IRA Case

Follow these steps to create an IRA case in APM:

1. From the second-level menu, select **Create Report**.

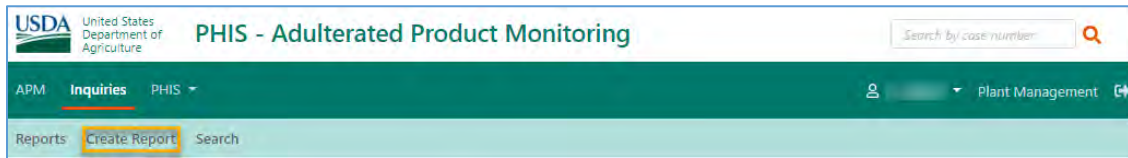


Figure 8: Create Report menu item

2. In the IRA (Industry Report of Adulteration) section, select **Create Report**.

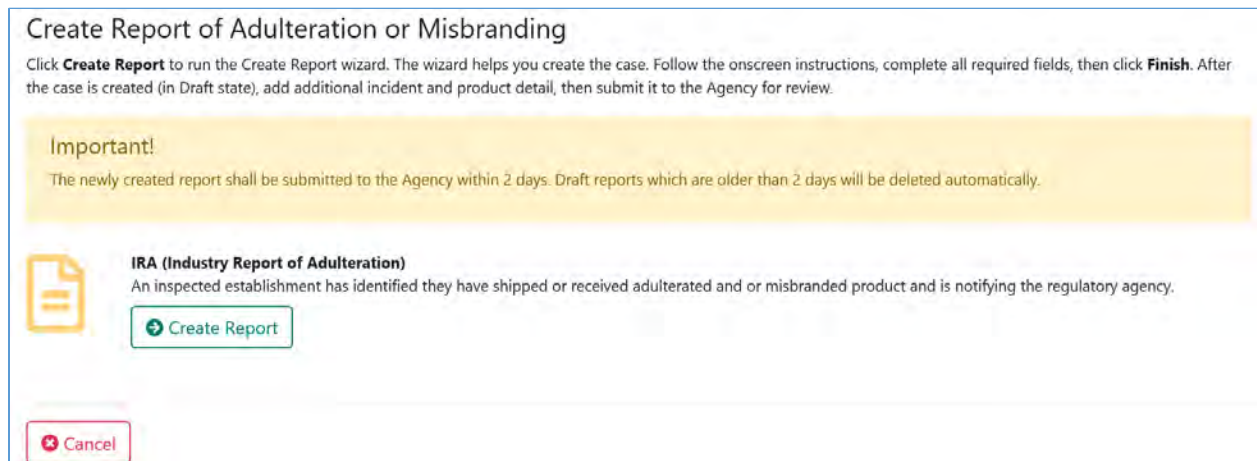


Figure 9: Create Report page

3. The IRA report creation wizard is displayed. The wizard contains these pages:

- Notifier Information
- Receiving Establishment
- Summary

You can navigate between these pages by selecting **Next/Previous** or by selecting the tabs.

4. The Notifier Information is the first page of the IRA report creation wizard. Select the appropriate **Role** for your establishment.

You need to complete the Phone Number field before you can navigate to the next page. The system auto-fills the First Name and Last Name fields based on your login information.

Create Report of Adulteration or Misbranding

Once all required fields are completed, click **Finish** (the Summary) to create the case. Additional information to capture details of the incident and product could be entered once the case is created. The case is not available to other users until it is published.

An asterisk (*) denotes a required field.

Notifier Information Shipping Establishment Summary

Notifying Establishment Role

Indicate Notifying Establishment Role.

☐ Receiving Establishment

☒ Shipping Establishment

Notifier

Identify the establishment representative reporting the incident.

First Name* Last Name*

Phone* Email

Notifier Information

Provide brief description about the issue.

Notifier Information

Next Cancel

Figure 10: Notifier Information page

5. Select **Next** to navigate to the next tab. APM considers how you answered the Notifying Establishment Role field when it displays the next tab. If you selected Shipping, APM displays the Shipping tab and if you selected Receiving, APM displays the Receiving tab.
6. Select the desired filter parameters and select **Find** to search for your establishment to enter it as the Notifying establishment. The search results are displayed in the grid. **Select** the desired establishment and select **Next**.

Create Report of Adulteration or Misbranding

Once all required fields are completed, click **Finish** (the Summary) to create the case. Additional information to capture details of the incident and product could be entered once the case is created. The case is not available to other users until it is published.

An asterisk (*) denotes a required field.

Notifier Information

Receiving Establishment

Summary

Receiving Establishment (Notifying)

Select an establishment or enter data manually.

District

Establishment Number

Establishment Name

Find

Reset

DISTRICT	NUMBER	NAME	PHYSICAL ADDRESS	
				Select

1 - 1 of 1 items

Previous

Next

Cancel

Figure 11: Receiving Establishment page

7. Review the information in the Summary page. To make any changes, navigate to the previous tabs by selecting Previous or the tab itself.

Create Report of Adulteration or Misbranding

Once all required fields are completed, click **Finish** (the Summary) to create the case. Additional information to capture details of the incident and product could be entered once the case is created. The case is not available to other users until it is published.

An asterisk (*) denotes a required field.

Notifier Information

Receiving Establishment

Summary

Reported Date and Notifier

Name: ☒ ☐

Notifier Information

No information provided

Receiving Establishment (Notifying)

District:

Establishment:

Physical Address:

Previous

Finish

Cancel

Figure 12: Summary page

8. Select **Finish** to create the IRA case. The system displays the IRA Notifier page. The system sets the case status is set to Draft.

4.2. Entering Data in IRA Case

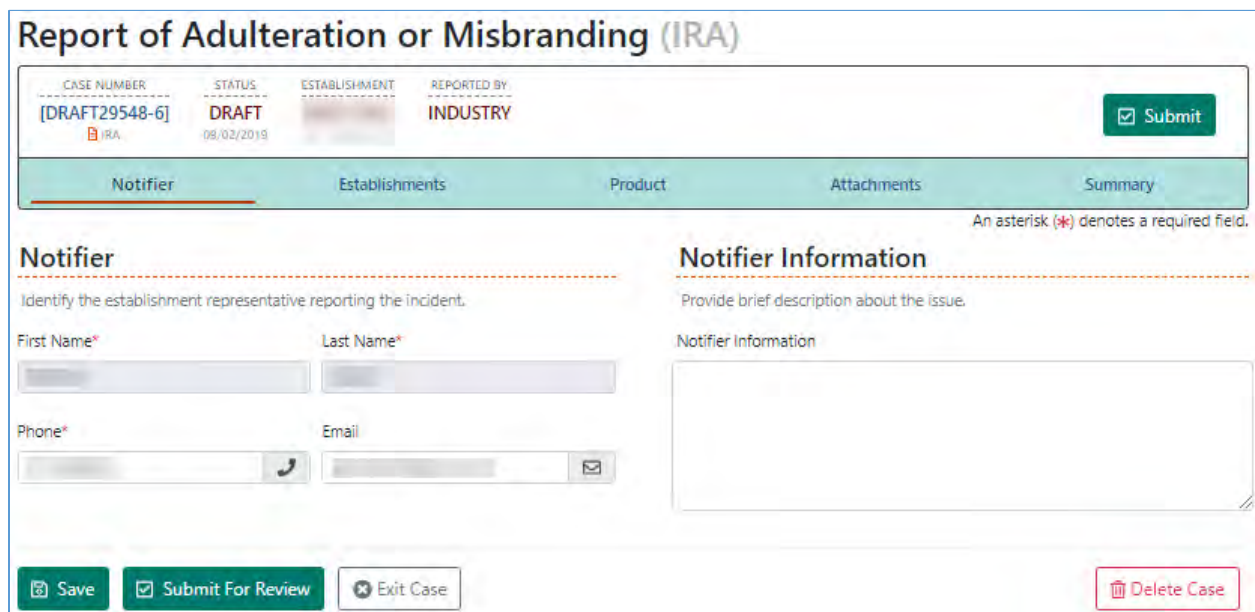
4.2.1. Save Changes

You can save your work at any time by selecting **Save**. If there are missing required fields on the current page, the system displays a message; otherwise, the system displays a success message.

Actions within a grid, such as like Add, Edit or Delete are self-contained and do not require you to select Save to complete the action, unless specified. Note that a field that is required to submit a case may not be required to save the case.

4.2.2. Notifier Information Page

The Notifier page is populated with the information entered in the IRA case creation wizard. If the IRA case is Active all the fields on this page, except the First and Last Name, are editable.



Report of Adulteration or Misbranding (IRA)

CASE NUMBER	STATUS	ESTABLISHMENT	REPORTED BY
[DRAFT29548-6]	DRAFT	[redacted]	INDUSTRY

09/02/2018

☐ Submit

Notifier Establishments Product Attachments Summary

An asterisk (*) denotes a required field.

Notifier
Identify the establishment representative reporting the incident.

First Name* Last Name*

Phone* Email

Notifier Information
Provide brief description about the issue.

Notifier Information

Figure 13: IRA Notifier Information page

4.2.3. Establishments Page

The Establishment page displays the details of the Receiving or Shipping Establishments you selected in the IRA Case Creation wizard. You can change the Establishment by selecting **Change** at the bottom of the notifying establishment section and add the details of another associated establishment by clicking **Select** at the bottom of the respective section. For example, if you represent the receiving establishment notifying the Agency, you can select the establishment information for the shipping establishment associated with the case.

Report of Adulteration or Misbranding (IRA)

CASE NUMBER: [DRAFT32210-1] STATUS: DRAFT ESTABLISHMENT: [REDACTED] REPORTED BY: INDUSTRY

09/02/2019

☐ Submit

Notifier Establishments Product Attachments Summary

An asterisk (*) denotes a required field.

Receiving Establishment Notifying ☒

District
Establishment
Physical Address
[Change...](#)

Shipping Establishment

District
Establishment
Physical Address
[Select...](#)

[To Top](#)

Additional Establishments (Empty)

☐ Additional Establishments Involved

[Add Additional Establishment](#)

IN PHIS	ESTABLISHMENT	COMMENT
No other establishments were found.		

0 [To Top](#)

[Save](#) [Submit For Review](#) [Exit Case](#) [Delete Case](#)

Figure 14: IRA Establishments page

Select the desired filter parameters and select **Find** to search for the Shipping establishment. The search results are displayed in the grid. Select the desired establishment and select **Next**.

If the establishment is not found, the system displays an Enter Establishment Manually link. Double check your search criteria before manually entering an establishment. Any establishment with a PHIS profile can be selected in APM. Select the link to enter the establishment information and select **Next**.

[Find](#) [Reset](#)

Establishment Not Found? Enter establishment information manually.

[Enter Establishment Manually](#)

Figure 15: Enter Establishment Manually Link

When entering a foreign establishment, such as a foreign supplier for imported product, select the Foreign Country option and enter available details.

Shipping Establishment

Enter establishment information or [Repeat Search](#) again

Establishment Location:

☒ US State or Territory ☐ Foreign Country

District*

Select ▼

Establishment Number*

Establishment Name*

Physical Address Line 1*

Physical Address Line 2

City*

State/Province* Postal/Zip Code*

Select ▼



 Save  Cancel

Figure 16: Enter Establishment Manually page

You can also add additional establishments involved by selecting the **Add Additional Establishment** link. The system displays the Additional Establishment page for you to search and select the additional establishments. You are required to enter a description for selecting additional establishments. The selected establishments are added to the grid in the Establishments page after you select **Ok**.

Report of Adulteration or Misbranding (IRA)

CASE NUMBER
[DRAFT32210-1]
STATUS
DRAFT
ESTABLISHMENT
REPORTED BY
INDUSTRY

☒ Submit

Notifier
Establishments
Product
Attachments
Summary

An asterisk (*) denotes a required field.

Additional Establishment

Select an establishment or enter data manually.

District:
Establishment Number:

Establishment Name:

DISTRICT	NUMBER	NAME	
			Select
			Select
			Select
			Select
			Select

Figure 17: Additional Establishments page

4.2.4. Product Page

The Products page contains four sections:

- Adulteration or Misbranding
- Product Information
- Product Disposition
- Carrier

4.2.4.1. Adulteration or Misbranding

Enter the desired information in the Adulteration or Misbranding section.

Report of Adulteration or Misbranding (IRA)

CASE NUMBER [DRAFT29548-2] IRA	STATUS DRAFT 08/11/2020	ESTABLISHMENT	REPORTED BY INDUSTRY	<input type="checkbox"/> Submit
--------------------------------------	-------------------------------	---------------	-------------------------	---------------------------------

Notifier Establishments Product Attachments Summary

Adulteration or Misbranding Product Information Product Disposition Carrier

An asterisk (*) denotes a required field.

Adulteration or Misbranding

Date that the Adulteration or Misbranding was identified

Issues

No issues were identified.

[View Issues](#)

Issue Description

Issue Description

Likely Root Cause

Figure 18: Product > Adulteration or Misbranding page

Enter the date the Adulteration or Misbranding was identified. This is not necessarily the same date as when you provide your notification.

Enter a brief issue description, including how the problem was discovered and how the scope of the affected product was determined. Enter the Likely Root Cause to describe how the issue occurred.

You can add Adulteration or Misbranding information by selecting the **View Issues** link and choosing the options from the multiple levels that are available. Select **Validate Issues** to view all the required information to save the Adulteration and Misbranding values.

Select **Save Issues** to save your selections.

You can view all the Adulteration or Misbranding selections in a hierarchal structure by selecting the **Viewer** radio button. Select **Cancel** to navigate back to the Product page after saving.

Report of Adulteration or Misbranding (IRA)

CASE NUMBER [DRAFT29548-2] IRA	STATUS DRAFT 08/11/2020	ESTABLISHMENT M667 P667 801 Raleigh, NC	REPORTED BY INDUSTRY
--------------------------------------	-------------------------------	---	-------------------------

Notifier
Establishments
Product
Attachments
Summary

An asterisk (*) denotes a required field.

Issues - Adulteration and Misbranding

Use the editor to review/edit issues and their details. The viewer displays identified issues and their details in a hierarchical report-like view.

editor
viewer

ISSUE

At least one option is required for closure.

☒ Pathogen

☐ Extraneous Material

☒ Undeclared Allergen

☐ Undeclared Substance

☐ Unapproved Substance

☐ Mislabeling

☐ Produced Without the Benefit of Inspection

☐ Failure to present for Import Reinspection

☐ Processing Deviations

☒ Residue

☐ Insanitary Conditions

☐ Specified Risk Materials

☐ Other

Pathogen

At least one option is required for closure.

☐ STEC

☐ Listeria Monocytogenes

☒ Salmonella

☐ Campylobacter

☐ Clostridium perfringens

☐ Staphylococcus Aureus

☐ Other Pathogen

Salmonella

☒ Isolate Characterization

☐ Serotype/Serogroup

☐ PFGE

☐ WGS

☐ Unknown

☒ Pathogen Lab Report

☐ FSIS Lab Reports

☐ Firm Lab Reports

☐ No Lab Reports

Save Issues
Validate Issues
Cancel

Top

Figure 19: Adulteration or Misbranding Hierarchy - Editor

Report of Adulteration or Misbranding (IRA)

CASE NUMBER [DRAFT29548-2]	STATUS DRAFT	ESTABLISHMENT	REPORTED BY INDUSTRY
-------------------------------	-----------------	---------------	-------------------------

Notifier

Establishments

Product

Attachments

Summary

An asterisk (*) denotes a required field.

Issues - Adulteration and Misbranding

Use the **editor** to review/edit issues and their details. The **viewer** displays identified issues and their details in a hierarchical report-like view.

editor

viewer

Expand All

Collapse All

Pathogen

Salmonella

Undeclared Allergen

Peanut

Other Allergens

Residue

Residue

Residue substance

Top

Save Issues

Validate Issues

Cancel

Figure 20: Adulteration or Misbranding Hierarchy - Viewer

4.2.4.2. Product Information

Use the Product Information section to enter the product information for the case. If you select the checkbox for **Establishment Same as Notifying Establishment**, the Establishment Number and the Producer Name on Product fields are automatically populated with the Notifying Establishment information.

Note that you must select the HACCP, Finished Products, Species, and Product Group fields in sequence. In other words, you cannot select a Finished Product without first entering a HACCP entry.

The screenshot displays the 'Product Information' section of a web application. At the top, the title 'Product Information' is followed by a dashed line and a instruction: 'Complete the following fields as they apply to the adulterated and/or misbranded product'. Below this, there are two date input fields: 'Date Shipped:' and 'Date Received:', each with a calendar icon. The 'Product Name' field is a text input. A checkbox labeled 'Establishment Same as Notifying Establishment' is present. Below it are text input fields for 'Establishment Number on Product' and 'Producer Name on Product'. The 'HACCP' field is a dropdown menu with 'Select a HACCP' as the placeholder. The 'Finished Products' field is a dropdown menu. The 'Species' field is a text input. The 'Product Group' field is a dropdown menu. At the bottom left, there is a button with a plus icon and the text 'Add Comment'.

Figure 21: Product Information section

4.2.4.3. Product Quantity and Disposition Information

Use the Product Quantity and Disposition section to enter the required product quantity information. The Quantity of Product in Commerce cannot be greater than the Quantity of Product Implicated. If the product under control is offsite, select Product Location Offsite check-box and enter any applicable Product Location Establishment Number or Product Location details.

Product Quantity and Disposition

Quantity of Product

Implicated lb

In Commerce lb

Under Control -- lb

Product Location Offsite

☒ Product Location Offsite

Product Location Establishment Number

Product Location

Figure 22: Product Quantity and Disposition section

4.2.4.4. Carrier Information

You can optionally add Carrier information by selecting **Add Carrier** and entering all the required information. Once you entered all the required information, select **Save/Add** to save this information to the grid. You can edit or delete the carrier entries from the grid by selecting the appropriate action icons in the grid.

Carriers (1)

Identify known carrier when applicable.

Add Carrier

CARRIER	PHONE	EMAIL	PHYSICAL ADDRESS	

1 of 1 items

Figure 23: Carrier Information section

4.2.5. Attachments Page

You can attach case and product related attachments in the Attachments page by selecting **Add an Attachment**. These are the allowed file formats:

- PDF
- Word
- Excel
- GIF
- JPG
- PNG

The Document Type and Description fields are required to complete the upload. Files uploaded to the case are displayed in the grid. You can download the file by selecting the hyperlinked filename. The description field can be edited by selecting **Edit** and you can delete a file by selecting **Delete**.

Report of Adulteration or Misbranding (IRA)

CASE NUMBER [DRAFT32210-2] IRA	STATUS DRAFT 09/02/2019	ESTABLISHMENT [REDACTED]	REPORTED BY INDUSTRY	<input checked="" type="checkbox"/> Submit
--------------------------------------	--------------------------------------	-----------------------------	-------------------------	--

Notifier Establishments Product **Attachments** Summary

An asterisk (*) denotes a required field.

Attachments

Upload any supplemental materials applicable to the adulterated and or misbranded product and incident.

Select Attachment

File*

Upload PDF, MS Word, MS Excel, GIF, JPG and PNG files. Maximum allowed file size is 5MB.

Document Type*

Description*

TYPE	FILE NAME	SIZE (KB)	UPLOAD DATE	UPLOADED BY	DESCRIPTION
No attachments were found.					

Figure 24: Attachments page

4.2.6. Summary Page

You can access the case Summary page by selecting **Summary** from the main navigation menu. The system presents a read-only view of selected IRA case information. The system refreshes this information when you reload the page.

Report of Adulteration or Misbranding (IRA)

CASE NUMBER	STATUS	ESTABLISHMENT	REPORTED BY
[DRAFT32210-2] IRA	DRAFT 09/02/2019		INDUSTRY

☐ Submit

Notifier Establishments Product Attachments **Summary**

An asterisk (*) denotes a required field.

Summary

Review the report case information. Use the header navigation to return to a previous screen to make any necessary corrections before you submit.

Receiving Establishment
☒ Notifying

District:
 Establishment:
 Physical Address:

Shipping Establishment

District:
 Establishment:

Product Information

Product Name:
 Est. No. on Product:
 HACCP:
 Species:
 Finished Product:

Product Quantity and Disposition

Quantity of Product (lb)
 Implicated:
 In Commerce:
 Under Control:

Other Information

Number of Carriers:
 Number of Attachments:
 Product Location Offsite:
 Number of Additional Establishments:

Figure 25: Summary page

4.3. Submitting IRA Case for Review

Select **Submit for Review** to submit the case to the Agency for review. If all the validations pass, the data is saved, and the case is submitted for review. The case status is updated to Pending Review and a unique case number is generated. The case is accessible to all the eligible Agency roles. Industry users can view only the case details and add attachments after it is submitted for review.

4.4. Review IRA Case after Submission

The Status of the case is set to Pending Review after the case is submitted for review and before the case is Published by the Agency.

Report of Adulteration or Misbranding (IRA)

CASE NUMBER 20190902-0001 IRA	STATUS PENDING REVIEW 09/02/2019	ESTABLISHMENT [REDACTED]	REPORTED BY INDUSTRY 09/02/2019	
Notifier	Establishments	Product	Attachments	Summary

An asterisk (*) denotes a required field.

Figure 26: IRA Case Header - Status field