Food Defense Preparedness and Recall Exercise Package (FD-PREP)

After Action Report/Improvement Plan

[Date]

The After Action Report/Improvement Plan (AAR/IP) sums up key information related to evaluation. It includes how the objectives were reached, strengths, and areas for improvement.

# Executive Summary

## Major Strengths

The major strengths identified during this exercise are:

[Based on today’s exercise, list the three major strengths. Did your Food Defense Plan cover the scenario? Did your team know exactly whom to call in the situation? Does your establishment have good access control or cybersecurity measures in place?]

## Primary Areas for Improvement

During the exercise, several opportunities to improve our ability to respond to the incident were identified. The main areas for improvement, including recommendations, are:

[Based on today, list the three major areas that need to improve. Do you need to get to know your local law enforcement authorities? Are your cyber systems unsecured against outside attacks?]

# Exercise Overview

|  |  |
| --- | --- |
| **Exercise Name** | Food Defense Preparedness and Recall Exercise Package (FD-PREP) |
| **Establishment Name** | [Insert Establishment Name] |
| **Exercise Dates** | [Indicate the date of the exercise] |
| **Scope** | This is a tabletop exercise planned for about 60-90 minutes. It is for FSIS-regulated establishments or others who wish to test food defense plans or food defense practices |
| **Mission Area(s)** | Prevention, Protection, Mitigation, Response, Recovery |
| **Core Capabilities** | Planning  Operational communications  Situational assessment  Public information and warning  Operational coordination  Intelligence and information sharing  Screening, search, and detection  Access control and identity verification  Physical protective measures  Cybersecurity  Risk management for protection programs and activities  Supply-chain integrity and security  Long-term vulnerability reduction |
| **Objectives** | Test your food defense plan  Test your food defense practices  Test your recall plan  Test your response and recovery plans  Test your cybersecurity practices |
| **Threat or Hazard** | Intentional adulteration of meat, poultry, processed egg products, and fish of the order Siluriformes. |
| **Scenario** | **Choose a scenario. Estimated time to complete each scenario is 60 – 90 minutes.**  Settling the Score – A recently terminated employee adulterates your establishment’s product.  Virtual Outrage – Your establishment’s automated control systems are locked down by ransomware.  Smooth Operator Your establishment’s reputation is at risk after an activist group spreads a malicious video.  Road Rage – Your establishment faces losses after theft of product and suspected contamination by an imposter transport contractor. |
| **Point of Contact** | [Insert the name, title, address, phone number, and email address of the primary exercise POC (e.g., exercise leader or facilitator)] |

**For more information about the exercise, please contact:**

Food Defense Assessment Staff

Office of Data Integration and Food Protection

Food Safety and Inspection Service

U.S. Department of Agriculture

Phone: 202 690 6486  
**E-mail:** [**fooddefense@fsis.usda.gov**](mailto:fooddefense@fsis.usda.gov)

FSIS welcomes your feedback on this exercise. To provide feedback, please go to the FD-PREP website: <https://www.fsis.usda.gov/wps/portal/fsis/topics/food-defense-defense-and-emergency-response/tools-resources-training/fd-prep> and scroll down to “Provide Feedback on FD-PREP”.

Or write to [**fooddefense@fsis.usda.gov**](mailto:fooddefense@fsis.usda.gov)

# Analysis of Capabilities

This section describes the strengths and areas for improvement for the Core Capabilities linked to each Exercise Objective.

**[Objective 1]** List the first Objective of today’s exercise. Please add extra pages for more Objectives.

**[Core Capabilities]** List the capabilities tested for this Objective]

### Strengths

Based on today, list the three major strengths for this objective.

|  | Strengths |
| --- | --- |
| 1 | [Strength 1] |
| 2 | [Strength 2] |
| 3 | [Strength 3] |

### [Objective 1] Areas for Improvement

The following areas must improve to achieve this objective: [This should clearly state the problem or gap. Do not include recommendations or corrective actions. List those in the Improvement Plan.]

|  | Areas for Improvement |
| --- | --- |
| 1 | [Improvement Area 1] |
| 2 | [Improvement Area 2] |
| 3 | [Improvement Area 3] |

# Improvement Plan

This Improvement Plan (IP) has been made specifically for [Organization] as a result of the Food Defense Preparedness and Recall Exercise Package (FD-PREP) run on [date of exercise]. The IP lists specific corrective actions, who is responsible, and the due dates.

Please add rows for more objectives and areas for improvement, as needed.

| **Objective** | **Area for Improvement** | **Corrective Action** | **Primary Responsible Person** | **Due**  **Date** |
| --- | --- | --- | --- | --- |
| [Objective Name] | 1. [Area for Improvement] | [Corrective Action 1] |  |  |
| [Corrective Action 2] |  |  |
| [Corrective Action 3] |  |  |
| 2. [Area for Improvement] | [Corrective Action 1] |  |  |
| [Corrective Action 2] |  |  |

# Appendix A: Exercise Participants

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| **Participants** |
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