

UNITED STATES DEPARTMENT OF AGRICULTURE
FOOD SAFETY AND INSPECTION SERVICE
WASHINGTON, DC

FSIS DIRECTIVE

13,000.2
Rev.1

7/25/14

PERFORMING SAMPLING TASKS IN OFFICIAL ESTABLISHMENTS USING THE PUBLIC HEALTH INFORMATION SYSTEM

I. PURPOSE

This directive provides instructions to inspection program personnel (IPP) for performing sampling tasks in official establishments related to FSIS domestic sampling programs using the Public Health Information System (PHIS). FSIS is reissuing this directive to incorporate the PHIS enhancements and changes associated with the sampling tasks.

KEY POINTS:

- *Provides IPP instructions for submitting directed lab samples*
- *Provides IPP instructions for submitting collector generated samples*
- *Provides IPP instructions for entering KIS™ test results in PHIS*
- *Provides IPP instructions for sample collection in the PHIS disconnected state*
- *Describes the PHIS sampling enhancements*

II. CANCELLATIONS

FSIS Directive 13,000.2 *Performing Sampling Tasks in Official Establishments Using The Public Health Information System*, 4/11/2011

III. BACKGROUND

A. There are two basic types of laboratory sampling tasks in PHIS:

1. Directed laboratory sampling which is automatically generated and filtered under Lab Sampling on the task list. Examples include MT43 (raw ground beef), RTEPROD_RISK (risk-based post-lethality exposed RTE product), and HC11_BR (*Salmonella* performance standard set for broilers); and
2. Collector generated (unscheduled) laboratory sampling which is generated by IPP. Examples include tissue residue analysis in response to a positive in-plant KIS™ test, product sampling in response to a foodborne illness investigation, and livestock or poultry pathology sampling.

DISTRIBUTION: Electronic

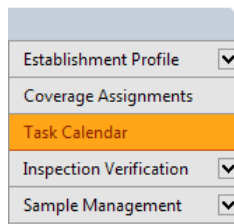
OPI: OPPD

B. The PHIS User Guide provides step-by-step instructions for collecting samples based on different PHIS user roles. IPP, including Consumer Safety Inspectors (CSIs) and Public Health Veterinarians (PHVs), and EIAOs, schedule and complete both directed sampling tasks and collector generated sampling tasks using PHIS.

IV. SCHEDULING A DIRECTED LABORATORY SAMPLING TASK

A. PHIS displays sampling tasks on the Task List as soon as they are assigned. PHIS assigns most sampling projects monthly. PHIS assigns sampling tasks for routine sampling based on the information entered in the establishment profile, including slaughter data and historical sample collection data. Also, PHIS assigns follow-up sampling tasks in response to positive test results. When PHIS assigns a new sampling task, an alert appears on the inspector homepage for the majority of sampling tasks. IPP are to add sampling tasks to their Task Calendars and schedule the sampling task as soon as possible considering the establishment's production schedule. In multiple shift establishments, sampling tasks are visible to all assigned IPP. IPP are to coordinate with immediate supervisors to determine sampling responsibilities.

B. To schedule a directed sampling task, IPP are to click on the **Task Calendar** on the left navigation menu.



C. IPP are to go to Establishment Task List.

1. IPP are to select the correct assignment from the Assignments drop-down list and select the correct establishment from the Select Establishment dropdown list.
2. IPP are to click Add to schedule a sample on the task calendar.
3. IPP are to select Lab Sampling from the Filter Tasks By drop-down list.

Establishment Task List									
Assignments: Office of Field Operations Des Moines, IA 2517 Milwaukee, WI 2517-T2-Team 2517-T2-01									
Select Establishment: American Pasteurization Co LLC Shift:1 (M32004 P32004)		Filter Task by: Lab Sampling Domestic Export SupplierTracker Import Lab Sampling		Currently Displaying Tasks from : 10/1/2013 To: 11/30/2013 Refresh Tasks					
Task Name	Priority			# Plan	# Sch	Done	Category	Assign	Cancel
(MT43) - Risk-based E.coli O157:H7 Sampling of Raw Ground Beef or Veal Products	2			0	0	0	Directed	Add	Delete
(RTEPROD_RISK) - RTEPROD Sampling - Risk-based RTE Products	2	09/27/2013	11/03/2013	1	0	0	Directed	Add	Delete
(HC01_GB) - PR/HACCP - Ground Beef - Salmonella	3	09/26/2013	03/25/2014	53	0	0	Directed	Add	Delete

D. Upon clicking Add, a sample collection window will open. The Collection Date and Parcel Pickup Date default to the current date. IPP are to change the date forward, if needed. For example, second shift sample collection prevents parcel pickup until following day.

Arrive at Lab Date	Capacity
3/13/2014	Available
3/14/2014	Available
3/15/2014	Available
3/16/2014	Not Available
3/17/2014	Available
3/18/2014	Available
3/19/2014	Available
3/20/2014	Available

E. Laboratory capacity limits are set by day of week, and many projects will have more capacity slots available earlier in the week. IPP are to schedule the sampling tasks to the task calendar using a realistic collection date based on the plant's production schedule. IPP are to schedule the task as soon as possible after receiving it to ensure a capacity slot is available for the desired collection date. Once the sampling task has been moved from the task list to the calendar, a capacity slot is reserved to accommodate the scheduled sample.

F. IPP are to cancel or reschedule sampling tasks as soon as they become aware that they will not be able to collect the samples on a scheduled date, so that capacity slots can be released for others to use. If IPP wait to schedule a sampling task until the final days of the collection window, they may find that no lab capacity is available and receive a **"Not Available"** response.

G. IPP are to schedule sampling for low-volume and infrequent producers as far in advance as possible.

H. A **"Not Available"** lab capacity response will not appear for follow-up sampling or imports.

V. RESCHEDULING OR CANCELLING A DIRECTED SAMPLING TASK

A. If IPP cannot collect the sample on the scheduled collection date, IPP are to change the collection date in PHIS.

B. IPP are to right click on the task to be rescheduled. When IPP click on the task to be rescheduled, the dropdown box, located above, will open. IPP are to select **Cancel/Reschedule** from the drop-down menu. Upon clicking on **Cancel/Reschedule**, the window below will open.

C. IPP are to click **Reschedule this Task** as soon as they are aware that the sampling task needs to be rescheduled and select a new Collection and Parcel Pickup Date. IPP are then to click **Save**. Upon clicking **Save**, a task will display on the selected collection date on the Task Calendar.

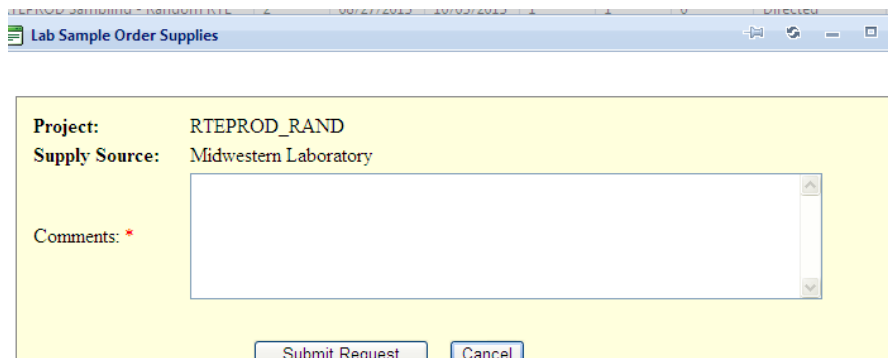
D. IPP will have **two** options for cancelling the task.

1. IPP are to click the first option to cancel the sampling task and return it to the task list.
2. IPP are to click the second option if the task is to be removed, AND all other similar tasks are to be removed from the task list. This function cannot be reversed. For example, if three MT43 sample tasks were planned on the task list within the same collection window, and the IPP selects the option "Cancel this task and remove it and all other instance of this task from the task list", then all

three MT43 tasks will be cancelled and removed from the task list.

VI. ORDERING SUPPLIES

A. IPP are to right click on task and select **Lab Sample Order Supplies** from the dropdown menu. A pop-up window appears with two read only fields and one free text field. IPP are to enter text in the **Comments** field for the supplies request and click **Submit Request**. A confirmation message appears. IPP are to close the pop-up.



B. IPP may also submit requests for sampling supplies through Outlook:

[FSIS - Sampling Supplies - Western Lab](#)

[FSIS - Sampling Supplies - Midwestern Lab](#)

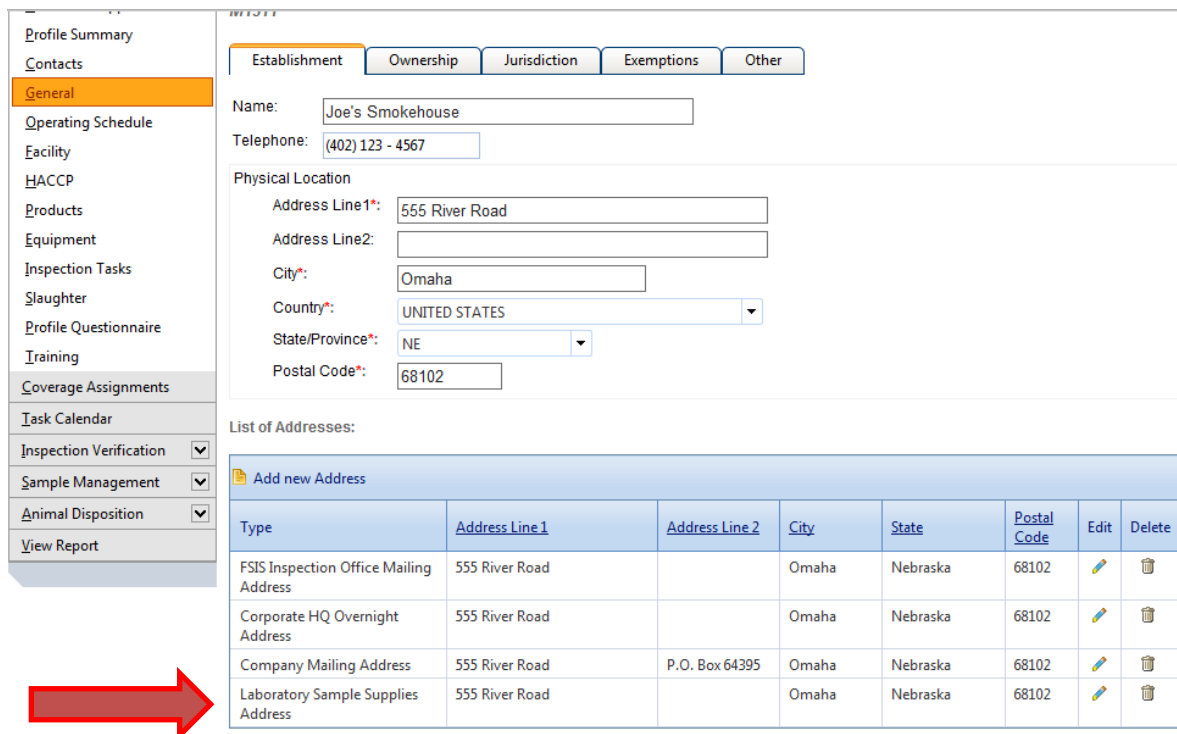
[FSIS - Sampling Supplies - Eastern Lab](#)

C. IPP can request supplies from any of the three FSIS Laboratories through Outlook for all sampling projects except histopathology samples and KIS testing supplies. Requests for histopathology sample supplies are to be sent to the Eastern Lab, and KIS supply requests are to be sent to the Midwestern Lab. However, if the KIS requests are made through the sample task option, PHIS will send the request to the appropriate lab based on the project code.

D. IPP are to verify that the address for shipping laboratory sampling supplies is correct in PHIS when an "Update Establishment Profile" task is scheduled.

1. If the establishment profile lists a Laboratory Sample Supplies Address, IPP are to verify that the physical street address (not a P.O. Box), city, state, and zip code are correct.
2. If the establishment's Laboratory Sample Supplies Address is incorrect or includes a P.O. Box number, IPP are to:
 - a. Click on the **Edit** icon, remove the P.O. Box number (if applicable) and enter the correct physical street address, city, state, and zip code information of the establishment; and
 - b. Click **Save**.

3. If the establishment's Laboratory Sample Supplies Address is not listed, IPP are to:
 - a. Click on **Add New Address**;
 - b. Select **Laboratory Sample Supplies Address** for **Address Type** dropdown menu choice; and
 - c. Complete the address line, city, state, and zip code. Verify the information is correct. Click **Save**.



The screenshot shows the 'General' tab of an establishment profile. The 'Physical Location' section contains the following information:

- Name: Joe's Smokehouse
- Telephone: (402) 123 - 4567
- Address Line 1*: 555 River Road
- Address Line 2*:
- City*: Omaha
- Country*: UNITED STATES
- State/Province*: NE
- Postal Code*: 68102

Below the physical location is a 'List of Addresses' table. A red arrow points to the 'Laboratory Sample Supplies Address' row.

Type	Address Line 1	Address Line 2	City	State	Postal Code	Edit	Delete
FSIS Inspection Office Mailing Address	555 River Road		Omaha	Nebraska	68102		
Corporate HQ Overnight Address	555 River Road		Omaha	Nebraska	68102		
Company Mailing Address	555 River Road	P.O. Box 64395	Omaha	Nebraska	68102		
Laboratory Sample Supplies Address	555 River Road		Omaha	Nebraska	68102		

VII. DIRECTED SAMPLE COLLECTION AND SUBMISSION

A. IPP are to right click on the task and select **Document**. The **Sample Management-Sample Collection** page opens. Enter the appropriate product information as described by the categories provided under the Generate a Sample tab. There may be subcategories after the initial selection. Click **Save and Continue**. The Sample Collection Data tab automatically opens.

Sample Management - Sample Collection

Generate a Sample Sample Collection Data Additional Info

Project Code*: RTEPROD_RISK

Analyses*: ☒ Salmonella & L. monocytogenes

Select Sample*: Product-RTE

Select Category B*:
 Select One
 Product-RTE-Other/Miscellaneous
 Product-RTE-Non Meat
 Product-RTE-Salt Cured, Deli Sliced
 Product-RTE-Acidified/Fermented
 Product-RTE-Fully Cooked, Meat/Nonmeat Combination
 Product-RTE-Fully Cooked, Sausage Products
 Product-RTE-Other Fully Cooked, Sliced
 Product-RTE-Fully Cooked, Patties/Nuggets
 Product-RTE-Fully Cooked, Diced/Shredded
 Product-RTE-Salt Cured
 Product-RTE-Acidified/Fermented, Deli Sliced
 Product-RTE-Fully Cooked, Hot Dog Products

* = Required

PHIS Home | Build# v3.0.1

Go Back

B. IPP are to complete the information in the required fields on the **Sample Management-Sample Collection** page and click on **Save and Continue**.

Sample Management - Sample Collection

Generate a Sample Sample Collection Data Additional Info

Sample Form: 100557748 Collection Range: Start Date: 7/26/2013

Project: RTEPROD_RISK End Date: 9/1/2013

Analysis: Salmonella & L. monocytogenes Establishment: M1637 + P880

Sample Status: Scheduled

Collection Information

Date Collected*: 8/28/2013 Laboratory: Midwestern Laboratory
4300 Goodfellow Blvd.,
Bldg. 105-D, Room 344
St. Louis, MO 63120

Parcel Pickup Date*: 8/28/2013

[Schedule Sample](#)

Phone #: (708) 123-4567

Select Sample: Product-RTE-Fully Cooked, Sausage Products-Combination species

Product Information

Production Date*: 8/28/2013

Product Name*: Smoked Cooked Sausage

Product Held*: ☒ Yes ☐ No

Lot #: ABC 22345

C. IPP will see a message (below) appear that sample collection data was saved successfully. The **Additional Info** tab automatically opens. Once IPP have collected the sample and documented the sample collection in PHIS, they are to click the **Print Form** link in the upper right corner of the **Sample Management-Sample Collection** page. IPP are to review the information for accuracy.

SAMPLE ANALYSIS REQUEST FORM		
-- For Lab Use Only --		Place Sample Seal Label Here
 100621860		
COLLECTION INFORMATION		
1. SAMPLE FORM ID:	100621860	7. ESTABLISHMENT ID:
2. PROJECT CODE:	RTEPROD_RISK	8. ESTABLISHMENT NAME:
3. SAMPLE SOURCE:	Product-RTE-Fully Cooked, Sausage Products-Combination species	9. COLLECTION DATE:
4. ANALYSIS:	Salmonella & L. monocytogenes	10. SHIPMENT DATE:
		11. COLLECTOR NAME:
		12. COLLECTOR PHONE:
5. ASSIGNED LAB:	Eastern Laboratory	(Athens,GA)
6. DISTRICT/CIRCUIT:	25 - Des Moines, IA / 2517	

D. If the information is accurate, IPP are to click **Submit to Lab** to transfer the electronic record to the lab. IPP are to apply the bar code label from the sample seal set in the designated location at the top of the lab form and sign and date the form before placing the form in the shipping container. Instructions are provided in [FSIS Directive 7355.1](#), *Use of Sample Seals for Laboratory Samples and Other Applications*.

NOTE: If IPP determine that inaccurate information is on the electronic lab record, IPP are to edit information on the record prior to posting it to LIMS-Direct and resubmitting it to the lab.

Sample Management - Sample Collection





Sample collection was saved successfully.

Generate a Sample
Sample Collection Data
Additional Info

[Take Questionnaire](#)

Submit to Lab

Go Back

E. IPP are to click on the **Take Questionnaire** link.

F. IPP are to click **OK** to save changes before proceeding to the questionnaire.

G. IPP are to read the informational page and click **Start**.

Welcome to the FSIS Questionnaire!

This is the "RTEPROD Questionnaire" questionnaire for the "Lab Form 100557748".

You are about to start a new questionnaire. Please note the following:

- All questions are mandatory unless indicated as optional. Any question, including optional questions, that you answer will be validated.
- You must answer mandatory questions before advancing to the subsequent pages in the questionnaire. All mandatory questions must be answered in order to complete the questionnaire.
- At any time, you can save answers and leave this questionnaire. The system will resume the questionnaire from the first page with unanswered questions next time.

Click **Start** to start a new questionnaire.

Start X Cancel

H. IPP are to answer all the questions. If in doubt, IPP are to check the **Mark for Review** box in the upper right hand corner. IPP may research and answer them later.

Questionnaire

Page 1 (out of 4) [Lab Sampling]

Questionnaire: [QRTEPROD] RTEPROD Questionnaire (ver. 1)
Document: Lab Form 100557748
Status: New

Started on: 09/06/2013
Completed on: --

Mark for review ☐

1.
Select the most appropriate RTE product type that represents this sample:
Select one option.

- ☐ Other Fully Cooked Sliced Product
- ☐ Hot Dog Products
- ☐ Salad/Spread/Pate
- ☐ Diced/Shredded
- ☐ Meat + Nonmeat Components
- ☐ Sausage Products
- ☐ Patties/Nuggets
- ☐ Other Fully Cooked Not Sliced Product
- ☐ Acidified/Fermented Products
- ☐ Dried Products
- ☐ Salt-cured Products

I. After IPP complete the questions, they are to click on **Next**. If IPP checked the **Mark for Review** box, these questions remain in a review status, and IPP are to click on **Save and Close**.

10. Where is product held?
Select one option.

- ☒ On-site
- ☐ Off-site under company control
- ☐ Not applicable

Mark for review ☐

<< Previous Next >> Save & Close Reports... Cancel

Page No.: 1...5 Go

J. If IPP choose **Save and Close**, the “in progress Questionnaires” are displayed from the **My Questionnaires** menu option. IPP may return to **Lab Sampling** with the **Lab Sampling** menu option or open the original requested questionnaire with **Requested Questionnaire** menu option.

Questionnaires

Requested Questionnaire

My Questionnaires

Links

Lab Sampling

FSIS Dashboard

My Questionnaires

Navigation Notes.

Click the **Lab Sampling** menu item on the left to return to the Lab Sampling.

Click the **Requested Questionnaire** menu item to open the originally requested questionnaire.

Click the **My Questionnaires** menu item to review your in-progress questionnaires.

The following list contains **in-progress** questionnaires. Click the **Open** on the left to open a questionnaires.

Click the **Requested Questionnaire** menu item on the left to open the questionnaire which you initially requested for this session.

My In-Progress Questionnaires (1)				
	Started	Last Accessed	Questionnaire	
1	09/04/2013	09/04/2013	[Lab Sampling] Lab Form 100545041 Questionnaire: [QMT60] MT60 Questionnaire (ver. 7)	Open

K. If IPP choose **Next**, the Questionnaire is finalized and ready to be submitted. If IPP need to review again, click **Back**. If not, IPP click **Submit**. If IPP click **Close**, the questionnaire is not submitted and IPP can review it later.

Submit Questionnaire

This is the "RTEPROD Questionnaire" questionnaire for the "Lab Form 100557748".

You are about to submit this questionnaire. Please note the following:

- The questionnaire is considered as **In Progress** until it is submitted. Answers cannot be modified in completed questionnaires unless you unlock it in the Lab Sampling application first.

Click **Close** to exit the questionnaire without submitting it. You can review answers and submit the questionnaire later.

Click **Submit** to submit the questionnaire.

Back

Submit

Close

L. The screen below confirms the questionnaire has been submitted. Once the questionnaire is submitted, a message will appear that states "no in-progress questionnaires were found." As a review, there are four choices at the end of the questions:

1. Save and close – saves the information entered as In Progress. Does not finalize or submit answers. IPP can return to the questionnaire at another time;
2. Previous – go back to previous screens to review or edit responses;
3. Next – takes IPP to final screen where they can finalize; and
4. Submit – submit the responses.

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My Questionnaires

Navigation Notes.
Click the **Lab Sampling** menu item on the left to return to the Lab Sampling.
Click the **Requested Questionnaire** menu item to open the originally requested questionnaire.
Click the **My Questionnaires** menu item to review your in-progress questionnaires.

The following list contains **in-progress** questionnaires.

Click the **Requested Questionnaire** menu item on the left to open the questionnaire which you initially requested for this session.

My In-Progress Questionnaires (0)			
Started	Last Accessed	Questionnaire	
No in-progress questionnaires were found.			

M. IPP are to close the PHIS sample collection window by clicking **X** in the upper right corner. After IPP have scheduled and submitted the task information, the # **sch** and **Done** columns of the **Establishment Task List** will both increase by one.

VIII. COMPLETING A DIRECTED LAB SAMPLING TASK SCHEDULED BY ANOTHER INSPECTOR

A. To complete a sampling task scheduled by another inspector, IPP are to claim the sampling task by right clicking on the task and selecting **Document**. IPP will see the **Sample Management-Sample Collection** page. IPP are to click **Save**.

B. IPP are to complete the required fields and click **Save and Continue**. IPP will see a confirmation message at the top of the page. If IPP see a third additional info tab, they are to answer all the required questions.

C. IPP are to click **Submit to Lab**, and IPP will see a confirmation message.

D. IPP are to click **Print Form**. An Adobe PDF window opens. Note the name has changed to the collector from the inspector that scheduled the sampling task and the form is accurate for submission with the sample.

E. IPP are to close the PDF window and close the Lab Sampling window. IPP will see the Task Calendar page.

IX. ENTRY OF NEGATIVE IN-PLANT RESIDUE SCREENING RESULTS (KIS™ TEST)

A. For the entry of negative KIS™ test results in PHIS, IPP are to go to **Animal Disposition** on the left navigation menu and then go to **Establishment Reporting** to open the Establishment Reporting page.

Establishment Reporting

Establishment*:

Date*: 9/5/2013

Shift*: Shift 1

Type*: Meat

B. IPP are to select the Establishment, correct date, shift, and type in the dropdowns and click **Continue**.

C. All in-plant residue sample results and submissions start from the **Sub-Class Summary** page. To start the process, IPP are to add a new disposition or edit a disposition already in the system. At the **Sub-Class Summary** page, IPP are to click on the **Add Disposition Record** under the Edit column if they need to add a disposition. Otherwise, IPP are to click on the **Edit** icon for the disposition they plan to edit.

Sub-Class Summary

Establishment:

Date: 9/5/2013

Shift: Shift 1

Class: Cattle

Sub-Class*: Heifer

Head Count : 1

Live Weight: 1,250 lbs

Dressed Weight: 1,100 lbs

Weight Not Reported: ☐

[Add Disposition Record](#) [Add Multiple Disposition Records](#) [Print Condemn. Cert](#)

	TagType	TagNumber	Condition	Disposition	Edit	Delete
Edit	U.S. Retained	MPD12345670	Arthritis	Pending		

D. IPP will see the **Daily Disposition Record Detail** page open (top/bottom displayed below).

Daily Disposition Record Detail

Establishment:

Date: 9/5/2013

Shift: Shift 1

Class: Cattle

Sub-Class*: Heifer

Tag Type*: U.S. Retained

Tag #: MPD12345670

Condition*: Arthritis

Disposition*: Pending

▶	KIS	8/12/2013	U.S. Retained	Post-mortem Condemned	Neoplasia			
▶	KIS	8/12/2013	U.S. Retained	Post-mortem Condemned	Metritis			
▶	KIS	8/12/2013	U.S. Retained	Post-mortem Condemned	Emaciation			
▶	KIS	8/13/2013	U.S. Suspect	Post-mortem Condemned	Pyemia/Septicemia			
▶	KIS	8/13/2013	U.S. Retained	Passed without Restriction	Pericarditis, Endoca			
▶	KIS	8/13/2013	U.S. Retained	Post-mortem Condemned	Arthritis			
▶	KIS	8/14/2013	U.S. Suspect	Post-mortem Condemned	Nephritis/Cystitis			
▶	KIS	8/21/2013	U.S. Retained	Post-mortem Condemned	Enteritis			

[Add Lab Sample Collection](#)

	Sample Form	In- Plant Code	Collection Date	Reason	Test Date	Project	Analyses	Status	Edit	Delete
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E. IPP are to click on the **Add Lab Sample Collection** link located at the bottom of the page, and the **Sample Management-ADR Sample Collection** page opens.

NOTE: IPP have the option of using in-plant negative templates to assist with the entry of similar negative KIS results. A list of eight negative in-plant templates may be viewed beneath the broken line in the figure above. Templates may be used to save time when entering negative results with the same condition. For example, after clicking on the **Edit** icon for the animal with arthritis from the list of dispositions, the screen above opens. There is an available template at the bottom for arthritis. Select the template by clicking the **Copy** (clipboard) icon. Another lab sample will be generated at the bottom of the window. Select the **Edit** icon for this animal in order to submit the lab sample. The tag number for the particular animal travels with the template.

Sample Management -ADR Sample Collection

Generate a Sample

Establishment*:
Project Code*:

Select One

Select One
CG_MexCat-MWL
PATH_Livestk
KIS
CG_SHOW_MWL
CG_SHOW_WL
CG_RES_EL
CG_MexCat_EL
CG_MexCat_WL
CG_RES_MWL
UNKSLIP

* = Required

Save
Go Back

PHIS Home | Build# v2.3.2

F. IPP are to select **KIS™** test from the Project Code dropdown, wait for the additional box to open, and enter the day's date. The selected record's number will display by default.

G. IPP are to enter the residue case number, if there is a case number, and in the Reason Code dropdown, they are to select the correct reason for sampling.

H. In the Result dropdown, IPP are to select **Negative**.

Generate a Sample

Establishment*:

Project Code*: KIS

KIS Test

Test Date*: 09/03/2013

Slaughter Sub-Class*: Heifer

Tag #: MPD12345679

Case Number:

Reason Code*: Arthritis

Result*: **Select One**

Comments:

Select One
Positive
Negative

I. IPP do not complete the **Analyses** and **Select Sample** check boxes for a negative test. Refer to the screenshot in section VII.E.

Sample Management -ADR Sample Collection

 Your negative sample result has been recorded for reporting purposes. The results will not be sent to a lab.

Generate a Sample

Establishment*:

Project Code*: KIS

J. IPP are to click the **Save** button. IPP have the option to click **Save as Template**. IPP will see the **Sample Management-ADR Sample Collection** page. The top of the page reports “Your negative sample result has been recorded for reporting purposes. The results will not be sent to a lab.” IPP are to click **Go Back** and then exit the page to enter results for another sub-class.

▶	KIS	8/14/2013	U.S. Suspect	Post-mortem Condemned	Nephritis/Cystitis			
▶	KIS	8/21/2013	U.S. Retained	Post-mortem Condemned	Enteritis			
▶	KIS	9/5/2013	U.S. Retained	Pending	Arthritis			

[Add Lab Sample Collection](#)

	Sample Form	In-Plant Code	Collection Date	Reason	Test Date	Project	Analyses	Status	Edit	Delete
	100576492	569233		Arthritis	9/5/2013	KIS		In-Plant Negative		

Cancel Save

X. ENTRY OF POSITIVE IN-PLANT RESIDUE SCREENING RESULTS

A. For the entry of positive KIS™ test results in PHIS, IPP are to go to the **Animal Disposition** navigation menu tab.

B. IPP are to go to **Establishment Reporting** tab and start at the **Class Summary List** and click on the **Edit** pencil to open the **Class Summary Information** page.



Class Summary List

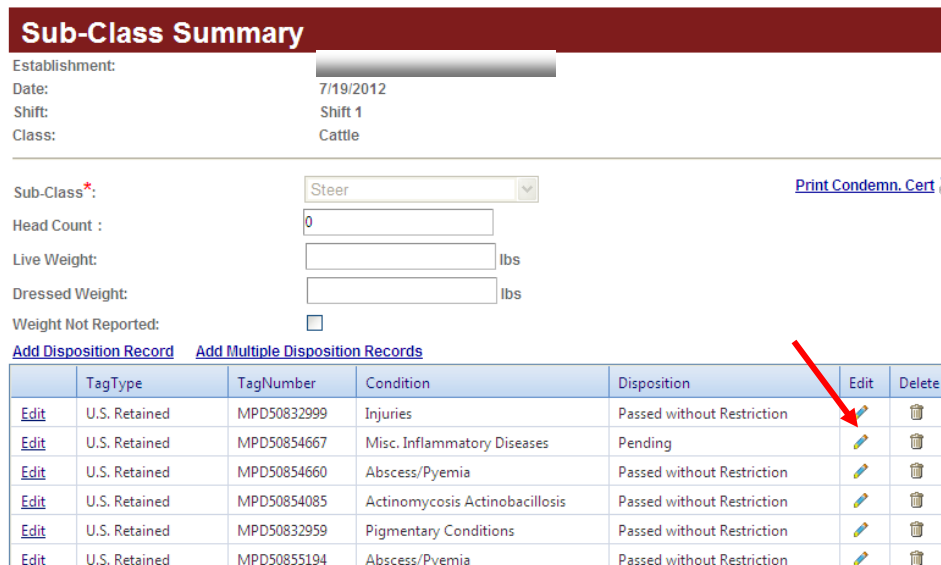
Establishment: [Redacted]
Date: 7/19/2012
Shift: Shift 1

[Add Inspected Slaughter](#) [Add Custom Slaughter](#)

Class	Type	Head Count	Edit	Delete	Condemn. Cert
Cattle	Inspected	0			

[Go Back](#)

C. IPP are to click on the type of Sub-Class, and IPP will see the **Sub-Class Summary** page.



Sub-Class Summary

Establishment: [Redacted]
Date: 7/19/2012
Shift: Shift 1
Class: Cattle

Sub-Class*: [Print Condemn. Cert](#)

Head Count :

Live Weight: lbs

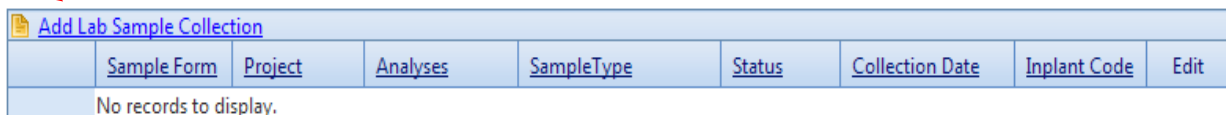
Dressed Weight: lbs

Weight Not Reported: ☐

[Add Disposition Record](#) [Add Multiple Disposition Records](#)

	TagType	TagNumber	Condition	Disposition	Edit	Delete
Edit	U.S. Retained	MPD50832999	Injuries	Passed without Restriction		
Edit	U.S. Retained	MPD50854667	Misc. Inflammatory Diseases	Pending		
Edit	U.S. Retained	MPD50854660	Abscess/Pyemia	Passed without Restriction		
Edit	U.S. Retained	MPD50854085	Actinomycosis Actinobacillosis	Passed without Restriction		
Edit	U.S. Retained	MPD50832959	Pigmentary Conditions	Passed without Restriction		
Edit	U.S. Retained	MPD50855194	Abscess/Pyemia	Passed without Restriction		

D. IPP are to either add a new disposition or edit a disposition already in the system by clicking the **Edit** pencil icon for the disposition that will be edited. IPP will see the **Daily Disposition Detail Record** page.



[Add Lab Sample Collection](#)

Sample Form	Project	Analyses	SampleType	Status	Collection Date	Inplant Code	Edit
No records to display.							

E. IPP are to click on the **Add Lab Sample Collection** link and will see the **Sample Management-ADR.Sample Collection** window below.

F. IPP are to select **KIS**, and the selected record's date and tag number will display by default.

G. IPP are to enter the requested information and select "positive" from the **Result** dropdown.

H. When IPP see the additional fields **Analyses** and **Select Sample**, they are to check the box for the appropriate analyses and sample.

I. IPP are to click the **Save and Continue** button. IPP will see the **Sample Management-ADR Sample Collection** page. Because the sample was positive, IPP are to schedule sample collection.

J. IPP are to click the **Schedule Sample** link, and the **Schedule Lab Sample Task** (yellow box in previous section) pop-up window will open.

K. The **Collection and Parcel Pickup** dates default to the current date. IPP are to change the dates, if needed, click the **Save** button, and complete the required Collection/Animal Information.

L. If there is a foreign address, IPP are to click on the **Foreign Address** box and select a country and province from the dropdown lists.

M. IPP are to complete Animal Information fields that are marked with red asterisks. The address defaults to the establishment address. IPP are to update the address to the herd/flock owner's address. However, if the owner's address is not known at the time of sample collection, IPP are to submit the establishment's address. If IPP obtain owner information on violative results later, they are to send the information to Residue@fsis.usda.gov, fax it to 402-344-5008, or call 1-800-233-3935.

N. IPP are to click **Save and Continue**. The **Additional Info** tab appears for IPP to **Take Questionnaire**. If there is no tag number, IPP are to enter "no tag."

SAMPLE ANALYSIS REQUEST FORM			
-- For Lab Use Only --		Place Sample Seal Label Here	
 100734260			
COLLECTION INFORMATION			
1. SAMPLE FORM ID:	100734260	7. ESTABLISHMENT ID:	
2. PROJECT CODE:	KIS	8. ESTABLISHMENT NAME:	
3. SAMPLE SOURCE:	Animal-Cattle-Steer	9. COLLECTION DATE:	03/03/2014
4. ANALYSIS:	MRM – Multi-Residue Method (Muscle, Liver, Kidney)	10. SHIPMENT DATE:	03/03/2014
		11. COLLECTOR NAME:	Carlos Gomez
		12. COLLECTOR PHONE:	619-344-5000
5. ASSIGNED LAB:	Midwestern Laboratory	(St. Louis, MO)	
6. DISTRICT/CIRCUIT:	05 - Alameda, CA / 0525		
ANIMAL INFORMATION			
13. SLAUGHTER DATE:	03/03/2014	21. HERD/FLOCK OWNER:	Joe Jones
14. TAG NO:	MPD12345555	22A. ADDRESS LINE 1:	13677 Yorba Ave.

O. IPP are to print a copy of the lab form and review information for accuracy when all the questions are answered, and a confirmation message appears that "no in-progress questionnaires were found." When they complete their review, IPP are to click **Submit to Lab**.

P. After clicking **Submit to Lab**, IPP are to place the bar-coded label from the sample seal set in the designated area, **sign and date** the form, and submit it with the sample tissues to the lab. As previously noted, IPP may edit inaccurate data on the electronic record after submission, but they need to do so before posting it to LIMS-Direct.

XI. SUBMITTING POULTRY PATHOLOGY SAMPLES

A. IPP are to go to **Sample Management** navigation menu, **Create Sampling Task**

Public Health Veterinarian

You are here: Home > Sample Management > Sample Collection

Sample Management

Select One

- CG_RES_WL
- PATH_Poultry
- PATH_Livestk
- CG_SHOW_MWL
- CG_SHOW_WL
- CG_RES_EL
- CG_RES_MWL
- UNKSUB
- PATH_Other
- FOODCHAIN
- Select One

Generate a Sample

Establishment*:

Project Code*:

B. IPP are to select an establishment and the **PATH_Poultry**.

Sample Management - Sample Collection

Generate a Sample

Establishment*:

Project Code*:

Analyses*:

Select Sample*:

* = Required

Save

C. IPP are to check the **Pathology Panel-Animal** box. Select Category B – appropriate species, wait until the **Sample Collection Data** tab loads, and select Category C – specific type of species.

Generate a Sample

Establishment*:

Project Code*:

Analyses*:

Category B*:

Select Category C*:

Save

D. IPP are to click the **Save** button. IPP will see the **Sample Collection Data** tab open on the **Sample Management-Sample Collection** page. IPP are to follow the sample submission steps for the positive residue sample as described in Section X and complete the questionnaire, if available.

XII. SUBMITTING LIVESTOCK PATHOLOGY SAMPLES

A. IPP are to go to **Animal Disposition** navigation menu and go to **Establishment Reporting**.

B. IPP are to select the establishment, shift, and meat and then click **Continue**.

- Establishment Profile
- Coverage Assignments
- Task Calendar
- Inspection Verification
- Sample Management
- View Report
- Animal Disposition
- Establishment Reporting

Establishment Reporting

Establishment*:

Date*: 9/13/2013

Shift*: Shift 1

Type*: Meat

C. IPP are to click on the **Edit** pencil next to the inspected species to locate the specific animal (e.g. inspected cattle).

Class Summary Information

Establishment:

Date: 9/15/2013

Shift: Shift 1

Class*: Cattle

Head Count: 1

[APHIS Lab Sampling](#)
[Print Condemn. Cert](#)

[Add Sub-Class](#)

Sub-Class	Head Count	Live Weight	Dressed Weight	Edit	Delete
Heifer	1	1750 lbs	1400 lbs		

D. After the **Sub-Class Summary** page loads, IPP are to select the **Add Disposition Record** for the specific animal, enter carcass specific information, and click **Save**.

Sub-Class Summary

Establishment:

Date: 9/15/2013

Shift: Shift 1

Class: Cattle

Sub-Class*: Heifer

Head Count : 1

Live Weight: 1,750

lbs

Dressed Weight: 1,400

lbs

Weight Not Reported: ☐

[Add Disposition Record](#)

[Add Multiple Disposition Records](#)

TagType	TagNumber	Condition	Disposition
No records to display.			

E. IPP will see the **Daily Disposition Record Detail** page. IPP are to complete information in all required fields and Click the **Save** button.

Daily Disposition Record Detail

Establishment: 8
 Date: 9/16/2013
 Shift: Shift 1
 Class: Cattle

Sub-Class*: Heifer

Tag Type*: U.S. Retained

Tag #: MPD12345611

Condition*: Pericarditis

Disposition*: Post-mortem Condemedned

Narrative:

F. The **Sub Class Summary** page returns with a list of dispositions. IPP are to click the **Edit** icon for the specific carcass to open the **Daily Disposition Record Detail** page again.

Establishment:
 Date: 9/16/2013
 Shift: Shift 1
 Class: Cattle

Sub-Class*: Heifer [Print Condemn. Cert](#)

Head Count : 1

Live Weight: 1,750 lbs

Dressed Weight: 1,400 lbs

Weight Not Reported: ☐

[Add Disposition Record](#) [Add Multiple Disposition Records](#)

	TagType	TagNumber	Condition	Disposition	Edit	Delete
Edit	U.S. Retained	MPD12345611	Pericarditis	Post-mortem Condemedned		

G. IPP are to click **Add Lab Sample Collection** link at the bottom of the **Daily Disposition Record Detail** page to continue the pathology sample collection process.

Daily Disposition Record Detail

Establishment:
 Date: 9/15/2013
 Shift: Shift 1
 Class: Cattle

Sub-Class*: Heifer

Tag Type*: U.S. Retained

Tag #: MPD12345678

Condition*: Pericarditis

Disposition*: Post-mortem Condemedned

Narrative:

▶	KIS	7/8/2013	U.S. Retained	Passed without Restriction	Abdominal Abscess
▶	KIS	7/10/2013	U.S. Retained	Passed without Restriction	Statistically Select
▶	KIS	7/10/2013	U.S. Retained	Post-mortem Condemedned	Injection Site
▶	KIS	7/11/2013	U.S. Retained	Passed without Restriction	Misc. Infection


[Add Lab Sample Collection](#)

Sample Form	In-Plant Code	Collection Date	Reason	Test Date	Project	Analyses
No records to display.						

H. The **Sample Management-ADR Sample Collection** page will open, and IPP are to select analyses and click **Save**.

Sample Management -ADR Sample Collection

Generate a Sample

Establishment *

Project Code *

Select One

Select One

CG_MexCat_EL

CG_MexCat_WL

KIS

PATH_Livestk

CG_RES_EL

CG_RES_MWL

= Required

Save

Go Back

PHIS Home | Build# v3.0.1

I. IPP are to click the **Save** button. IPP will see the **Sample Collection Data** tab open on the **Sample Management-Sample Collection** page. IPP are to follow the sample submission steps for the positive residue sample as described in Section X and complete the questionnaire, if available.

Sample Management -ADR Sample Collection

Generate a Sample

Establishment *

Project Code *

PATH_Livestk

Analyses *

Category A *

Select Category B *

Select Category C *

☒ Pathology Panel-Animal

Animal

Animal-Cattle

Animal-Cattle-Heifer

XIII. SUBMITTING APHIS SAMPLES FOR TB, BRUCELLOSIS, OR BSE TESTING

A. IPP are to start with the **Class Summary List** page (refer to XI, A-D) and click on the **Edit** pencil.

Class Summary List

Establishment:

Date: 9/13/2013

Shift: Shift 1

[Add Inspected Slaughter](#) [Add Custom Slaughter](#)

Class	Type	Head Count	Edit	Delete	Condemn. Cert
Cattle	Inspected	2402			

B. IPP will see the **Class Summary Information** page, which will display the previously entered Inspected Slaughter records and their associated sub-classes, head counts, and live and dressed weights. IPP are to click on **APHIS Lab Sampling** link.

Class Summary Information

Establishment:

Date: 9/13/2013

Shift: Shift 1

Class*:

Head Count:

[APHIS Lab Sampling](#) [Print Condemn. Cert](#) 

 [Add Sub-Class](#)

Sub-Class	Head Count	Live Weight	Dressed Weight	Edit	Delete
Heifer	710	891143 lbs	571955 lbs		
Steer	1692	2419111 lbs	1547888 lbs		

C. IPP will see the **ADR PHIS Lab Sampling** page and are to fill in the type of sample for collection. For a BSE sample, IPP are to select the time for sample collection and the collector from the drop down menu and click **Save**.

ADR APHIS Lab Sampling

Establishment:

Date: 9/13/2013

Shift: Shift 1

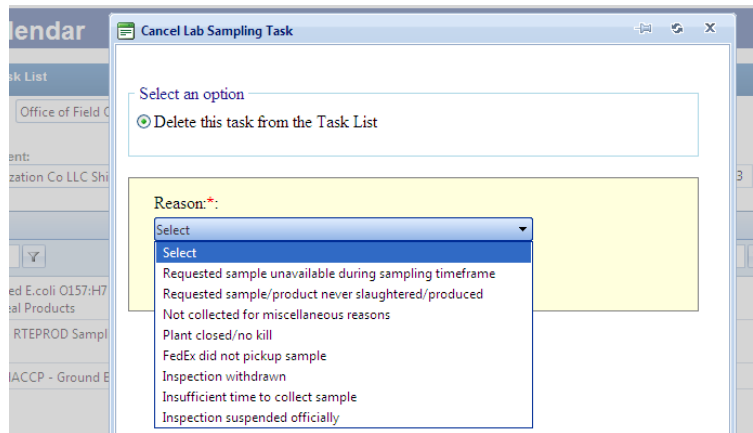
Class: Cattle

	Samples	OT Hours	Collector	Comment
Tuberculosis		N/A	N/A	
Brucellosis		N/A	N/A	
BSE		1:00	Contractor -- select -- FSIS Inspection Personnel Contractor Other	

XIV. DELETING A SAMPLE TASK FROM THE TASK LIST

A. In situations where IPP cannot collect a sampling task within the designated time frame (e.g., product not being produced during the sampling window for a directed sampling task), and the task has not been scheduled, IPP are to delete the task from task list. IPP are to:

1. Click on **Task Calendar** in the left navigation menu. Go to **Task List**;
2. Select the correct assignment from the **Assignments** dropdown list;
3. Select the correct establishment from the **Select Establishment** dropdown list;
4. Select **Lab Sampling** from the Filter tasks dropdown list;
5. Find the sampling task that has “0” scheduled, and “0” done;



6. Click **Delete** in the far right column. A pop-up window appears;
7. Select an appropriate reason from the drop-down list; and
8. Click **Submit Task for Cancelling**. Sampling tasks no longer appears on the task list.

NOTE: All sampling tasks for that project code will be deleted from the task list.

XV. COLLECTING A SAMPLE IN THE DISCONNECTED STATE

A. When internet connectivity is limited or not available at an establishment, the disconnected state allows IPP to perform certain functions of the sample collection task. However, PHIS cannot interact with the laboratory reservation system or transmit sample collection information to the laboratories. IPP are to:

1. Schedule the sampling task on the task calendar while connected to the internet;
2. Determine when the establishment is likely to produce the product to be sampled;
3. Determine when there is time to return to a location with internet connectivity to record and submit the sample information in PHIS; and
4. Schedule sample collection when they are able to access an internet connection, enter, and

submit sample information in PHIS within 24 hours of sample shipment.

B. Before IPP leave an establishment with internet connectivity to perform the sample collection at an establishment without connectivity, IPP are to:

1. Open the scheduled sampling task in PHIS;
2. Enter available information about the scheduled task; and
3. Print two copies of the sample form and take both copies to the establishment without connectivity.

C. After arriving at an establishment without connectivity, IPP are to collect the sample and are to:

1. Document any remaining necessary information by hand on both copies of the printed sample form;
2. Sign one copy of the sample form and place it in the shipping container with the sample;
3. Close and seal the shipping container with the sample and signed sample form inside before leaving the establishment;
4. Follow normal shipping instructions. IPP are not to remove any unsealed shipping container with a sample in it from the establishment; and
5. Keep the second sample form as a record of the sample collection information to be recorded in PHIS later.

D. IPP are to return to an establishment with internet connectivity **within 24 hours** and are to:

1. Log into PHIS, select the sampling task from the task calendar, and document the sample information recorded on the printed copy of the sample form; and
2. Submit the electronic record to the laboratory by clicking **Submit to Lab** after completing data entry. The sampling task will now change to “Completed” on the task calendar.

XVI. REPORTING SAMPLE RESULTS

FSIS negative and positive sample results and additional sample results information are accessible through the Establishment Profile Summary page and through the Dashboard on the Inspector homepage. IPP and EIAOs can access FSIS sample results through [LIMS-Direct](#) EIAOs will continue to receive FSIS positive sample results through the Biological Information Transfer and Email System (BITES).



- [Single Sample Results](#)
- [Single Establishment Results](#)
- [Results For All Establishments In A Circuit](#)
- [Results For State Inspection Sample](#)
- [Samples Not Analyzed for all Establishments in a Circuit](#)
- [More Reports](#)



LIMS-Direct is a service that provides sample status and analysis result information of samples submitted to FSIS laboratories. Data are queried directly from the FSIS LIMS and are updated every 15 minutes.

LIMS-Direct sample results may only be released to the establishment from which the sample was collected.

XVII. DATA ANALYSIS

The Data Analysis and Integration Staff (DAIS) within the Office of Data Integration and Food Protection (ODIFP) will review PHIS sampling data for the various sampling projects to assess sample collection, nonresponse, and discard rates. Results will be shared with the Office of Field Operations (OFO) and the Office of Policy and Program Development (OPPD) to inform future FSIS guidance and policy.

XVIII. QUESTIONS

Refer questions regarding this directive to the Policy Development Staff or Risk Innovations and Management Staff through [askFSIS](#) or by telephone at 1-800-233-3935. When submitting a question, use the Submit a Question tab, and enter the following information in the fields provided:

Subject Field: Enter **Directive 13,000.2**
Question Field: Enter question with as much detail as possible.
Product Field: Select **Sampling** from the drop-down menu.
Category Field: Select **Sampling** from the drop-down menu.
Policy Arena: Select **Domestic (U.S.) Only** from the drop-down menu.

When all fields are complete, press **Continue** and at the next screen press **Finish Submitting Question**.

Assistant Administrator
Office of Policy and Program Development