

**DVMS ASSESSMENT OF SPHV (IIC AND MINI-IPPS SPHVs) OR PHV (SOLE INSPECTOR AT A VERY SMALL PLANT)
AGRICULTURAL MARKETING SERVICE NATIONAL SCHOOL LUNCH PROGRAM**

ESTABLISHMENT NUMBER	CIRCUIT NUMBER	DATE
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NAME OF SPHV (IIC AND MINI-IPPS ONLY) / PHV (SOLE INSPECTOR)

NAME AND ADDRESS OF THE INSPECTED ESTABLISHMENT

SECTION I. KNOWLEDGE RELATED TO HUMAN HANDLING OF LIVESTOCK (Livestock, Exotic Voluntary Inspection, and Ritual Slaughter)

Note: DVMS has conducted assessment and found that the SPHV is aware of and demonstrates understanding of the statutes, regulations, directives and notices that apply to Humane Handling and Welfare of Livestock.

STATUTES:	YES	NO*	NOT ASSESSED*	N/A
Federal Meat Inspection Act (21 USC 12 § 603; 610)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Humane Methods of Livestock Slaughter Act of 1978 (7 USC 48 §1901 – 1907)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
REGULATIONS	YES	NO*	NOT ASSESSED*	N/A
313.1 Livestock pens, driveways and ramps	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
313.2 Handling of Livestock	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
313.5 Chemical; carbon dioxide	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
313.15 Mechanical; captive bolt	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
313.16 Mechanical: gunshot	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
313.30 Electrical; stunning or slaughtering with electric current	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
313.50 Tagging of equipment, alleyways, pens, etc. to prevent inhumane slaughter or handling in connection with slaughter.	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
352.10 Ante-mortem/humane handling inspection of Exotics	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
500 Rules of Practice	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
309.3(e) Dead, dying, disabled, or diseased and similar livestock	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
DIRECTIVES	YES	NO*	NOT ASSESSED*	N/A
6000.1 Rev 1 Responsibilities Related to FADs and Reportable Conditions	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6100.1 Rev 1 Ante mortem Livestock Inspection	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
6900.2 Rev 2 Humane Handling and Slaughter of Livestock	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
FEDERAL REGISTER	YES	NO*	NOT ASSESSED*	N/A
September 9, 2004 [Docket No. 04-013N] "Systematic Approach to Humane Handling and Slaughter"	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

* If the answer is "No" or "Not Assessed" to any of the above, please explain below.

SECTION II. KNOWLEDGE RELATED TO ANTIMICROBIAL RESIDUE TESTING

NOTE: DVMS has conducted assessment and found that the SPHV is aware of and demonstrates understanding of the directives and notices that apply to Antimicrobial Residue Testing.

Directives:		YES	NO*	NOT ASSESSED*	N/A
10,220.3	Using the Antimicrobial Screen Test (FAST or KIS) to Detect Antimicrobial Residues in Cattle and Swine	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
10,800.1	Procedures for Residue Sampling, Testing and Other Responsibilities for the National Residue Program	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

* If the answer is "No" or "Not Assessed" to any of the above, please explain below.

COMMENTS:

SECTION III. TO BE COMPLETED IF THE ESTABLISHMENT USES CAMERA MONITORING/SURVEILLANCE-TYPE TECHNOLOGY

NOTE: DVMS has ascertained the SPHV is aware of establishment's camera monitoring systems and protocols.

1. Does the plant use camera-type monitoring system?
If Yes - How many cameras and where are they located?

NUMBER AND LOCATION (S):

- ☐ YES
☐ NO
☐ NOT ASSESSED

2. Does the camera-type monitoring system make a recording? If Yes -
How long is the recording kept on file (i.e. available for review)?

TIME KEPT:

- ☐ YES
☐ NO
☐ NOT ASSESSED

3. Is the camera surveillance system/recording monitored?

By establishment officials.
By a third party.

- | | | |
|------------------------------|-----------------------------|---------------------------------------|
| <input type="checkbox"/> YES | <input type="checkbox"/> NO | <input type="checkbox"/> NOT ASSESSED |
| <input type="checkbox"/> YES | <input type="checkbox"/> NO | <input type="checkbox"/> NOT ASSESSED |
| <input type="checkbox"/> YES | <input type="checkbox"/> NO | <input type="checkbox"/> NOT ASSESSED |

How often?

Audit Information:

SECTION IV. TO BE COMPLETED IF THE ESTABLISHMENT PARTICIPATES IN THE AGRICULTURAL MARKETING SERVICE (AMS) NATIONAL SCHOOL LUNCH PROGRAM

NOTE: DVMS has conducted assessment and found that the SPHV is aware of and demonstrates understanding of Animal Welfare Requirements required by the AMS.

1. Mission Statement on Animal Handling that is distributed to all employees and conspicuously displayed at the premises.

☐ YES ☐ NO* ☐ NOT ASSESSED*

2. Training program on Animal Welfare for employees.

☐ YES ☐ NO* ☐ NOT ASSESSED*

3. Quality management plan that assesses and monitors Humane Handling .

☐ YES ☐ NO* ☐ NOT ASSESSED*

4. (Beef) Written protocol in-place and enforced that precludes the receipt of or having non-ambulatory, disabled cattle on the harvest facility premise.

☐ YES ☐ NO* ☐ NOT ASSESSED*

5. (Hogs and Sheep) Written protocol in place and enforced that ensures all animals designated by FSIS as U.S. Suspects (9 C.F.R. 301.2) that are slaughtered are appropriately segregated during the harvest and production processes and precluded from inclusion in any products purchased by AMS

☐ YES ☐ NO* ☐ NOT ASSESSED*

6. Written quality management plan (internal) which addresses the provisions of Chapter 3: Transportation Audit Guidelines, Core Criteria 1 and 3, and Chapter 4: Auditing Animal Handling and Stunning, 7 Core Criteria, of the AMI Recommended Animal Handling Guidelines and Audit Guide 2010 Edition.

☐ YES ☐ NO* ☐ NOT ASSESSED*

* If the answer is "No" or "Not Assessed" to any of the above, please explain below.

COMMENTS: