

## General Food Defense Plan

**G1.** The plant states that they do have a written food defense plan but they are unwilling to share it with FSIS.  
Yes/No

**G1a.** If plant was unwilling to share their food defense plan with FSIS please describe their reasons.

**G2.** In a table format: List all the food defense plans the establishment has.

**G3.** In a table format: Briefly discuss any reporting associated with inadequate food defense findings, non-routine incident reporting (NRIRs), O8S procedures, Memorandum of Inspections (MOI), deliberate contamination of FSIS-regulated product, repetitive vulnerability reports or procedures, or suspicious activities observed by program personnel while performing their normal duties.

### Outside Security

**OS1.** Does the establishment have food defense measures in place for the exterior of the building? Yes/No

**OS1a.** Describe the measures in place for the exterior of the building.

**OS2.** Are the following exterior opening secured with locks, seals, or sensors when unattended (after hours/weekends) to prevent entry by unauthorized persons? Yes/No

- Outside doors and gates
- Windows
- Roof Openings
- Vent Openings
- Trailer (truck) bodies
- Tanker truck hatches
- Railcars
- Bulk storage tanks/silos
- Other, specify

**OS3.** Does the establishment have food defense procedures for people and/or vehicles entering the plant and/or parking in the lot? Yes/No

**OS3a.** Does the property have a controlled or guarded entrance? Yes/No

Describe the procedures and any observations and/or documents used to reach the decision.

**OS3b.** Are employee vehicles identified using placards, decals, or some other form of visual identification?  
Yes/No

**OS3c.** Are authorized visitor/guest vehicles identified using placards, decals, or some other form of visual identification? Yes/No

**OS3d.** Why did you come to these conclusions? Describe the observations and/or documents that provide support for the answers chosen for all OS3 questions.

## General Inside Security

**GIS1.** Does the establishment have food defense measures for inside security? Yes/No

**GIS1a.** Why did you come to this conclusion? Describe the procedures and any observations and/or documents used to reach the conclusion in GIS1. Describe the inside security measures.

**GIS2.** Are the controls for the following systems restricted (*e.g.*, by locked door/gate or limiting access to designated employees to prevent access by unauthorized persons? Yes/No  
(Helpful information is provided at the following website: [www.cdc.gov/niosh/bldvent/2002139.html](http://www.cdc.gov/niosh/bldvent/2002139.html))

**GIS2a.** If yes, check all that apply.

- Heating, Ventilation, and Air conditioning systems
- Propane gas, compressed gases, or heating oils
- Water systems
- Electricity
- Disinfection systems
- Clean-in-place (CIP) systems or other centralized chemical systems
- Other, specify

**GIS3.** Does the establishment have food defense procedures in place for its in-plant laboratory facilities, equipment, and operations? Yes/No

**GIA3a.** If yes, describe the procedures in place for its in-plant laboratory.

## Processing Security

**PS1.** Does the establishment have food defense procedures in place for its processing operations? Yes/No

**PS1a.** Why did you come to this conclusion? Describe the procedure and any observations and/or documents used to reach the decision.

## Storage Security

**SS1.** Does the establishment have food defense procedures in place for its storage areas? Yes/No

**SS1a.** Why did you come to this conclusion? Describe the procedure and any observations and/or documents used to reach the decision.

## Shipping and Receiving Security

**SRS1.** Does the establishment have food defense procedures in place for its shipping and receiving operations? Yes/No

(Helpful information is provided at the following website:  
<http://www.fsis.usda.gov/oa/topics/transportguide.htm>)

**SRS1a.** Why did you come to this conclusion? Describe the observations and/or documents used to reach this decision. If yes, Briefly discuss the establishment's food defense procedures for shipping and receiving operations.

### **Water and Ice Security**

**WIS1.** Does the establishment have food defense procedures in place for its water and ice supply? Yes/No (Helpful information is provided at the following website:

<http://www.epa.gov/region1/eco/drinkwater/pdfs/drinkingH2Ofactsheet.pdf>)

**WIS1a.** Why did you come to this conclusion? Describe the procedures and any observations and/or documents used to reach the decision. .

### **Mail Handling Security**

**MH1.** Briefly discuss the establishment's food defense procedures to ensure mail handling security.

### **Personnel Security**

**PES1.** Does the establishment have food defense procedures in place for ensuring that personnel adhere to the security requirements? Yes/No

**PES1a.** Why did you come to this conclusion? Describe the procedures and any observations and/or documents used to reach the decision.

### **Implementation and Testing the Food Defense Plan**

**IT1.** In a table format: Briefly describe how the establishment implements, manages, and updates the food defense plan, to include: periodical review, emergency contact information, periodic testing (drills, tabletop exercises, mock incidents and recalls, post-event evaluation and lessons learned, evacuation procedures, and continuity of operations plans (COOP).