

DUAL JURISDICTION (FSIS/FDA)

DJ1. Has the establishment declared all products amenable to FSIS inspection authority? Yes/No

DJ1a. Why did you come to this conclusion? Describe the observations and/or documents used to reach the decision.

DJ2. Is dual jurisdiction addressed in their food safety system? Check all that apply

- No
- HACCP Plan
- Hazard analysis
- SSOP
- Listeria program
- Other, specify

DJ3. Are FDA RTE products and FSIS post lethality exposed RTE products produced routinely: check all that apply

- a) Same area at same time
- b) Same area different time
- c) Different area same time
- d) Cross utilize personnel between FDA and FSIS product lines
- e) Cross utilize equipment between FDA and FSIS product lines
- f) Information not available

DJ3a. If a,b,d, or e is chosen, does the establishment use a different set of sanitation procedures when producing FDA regulated products versus FSIS regulated products? Yes/No

Why did you come to this conclusion? Describe the observations and/or documents used to reach the decision.

DJ3b. If c is chosen, does the establishment restrict or use sanitary procedures to control traffic between the two production areas? Yes/No

Why did you come to this conclusion? Describe the observations and/or documents used to reach the decision.

DJ3c. If yes, briefly describe any differences in the establishment's sanitation procedures between FDA and FSIS regulated products that could impact food safety.

DJ4. Based on information contained in FSIS Directive 5730.1 and your findings, are there conditions in the area of the establishment where FDA products are produced that may lead to, or are creating, insanitary conditions in the FSIS inspected areas of the establishment? Yes/No

DJ4a. Why did you come to this conclusion? Describe the observations and/or documents used to reach the decision.

DJ4b. Has a product, food contact surface, or non-food contact surface tested positive for *Listeria* spp. or *Listeria*-like organisms during the same time that sanitation in the FDA production area was inadequate? Yes/No/Information not available

DJ4c. Why did you come to this conclusion? Describe the observations and/or documents used to reach the decision.

DJ5. Did the establishment have any of the following in the last 12 months? Check all that apply.

- Agency positive LM test
- Enforcement action due to LM control
- FSA for LM cause
- IVT

DJ6. Please describe any findings (positive and negative) related to dual jurisdiction not covered in any of the above questions.

DJ7. Analysis: Briefly describe the findings (positive and negative) related to dual jurisdiction and non-compliances according to FSIS Directive 5730.1 from the information gathered in the questions above.

DRAFT