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UNITED STATES DEPARTMENT OF AGRICULTURE

IN RE:

THE STATE OF FOOD SAFETY TECHNOLOGIES TO ENHANCE PUBLIC
HEALTH

Hearing held on the 13th day of January, 2004

at 8:40 a.m.

Omaha, Nebraska

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P R O C E E D I N G S

January 13, 2004

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3 MR. DERFLER: Good morning, everyone, and
4 welcome to Omaha. Welcome to our meeting on new
5 technologies. We're going to start the program off with
6 a small deviation. I'd like to introduce Dwayne Metz,
7 who is the Acting Director of our Technical Service
8 Center in Omaha, who would like to welcome you to our
9 city.

10 MR. METZ: Thank you, Phil. When we started
11 planning this meeting several months ago the -- we
12 started talking about locations and time, and decided we
13 were going to have it today. You know, the middle of
14 January in Omaha. And I said, "Who in the world came up
15 with that timeframe?" They said, "Well, Mr. Derfler
16 did." And I said, "Well, that answers that." We want
17 to thank him, actually, for picking this week because if
18 we would have been here last week, for those who are
19 from out of town, it was like a 20 below wind-chill
20 factor, and we had five inches of snow on the ground.
21 So thank you for selecting this week though. Let's
22 appreciate that. I just want to take a couple minutes
23 and just, for those of you who don't know where the
24 Technical Service Center is, it's actually located close
25 to this location. We're on 13th and it's the big glass

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1 building over there between Harney and Varnum. And we
2 do encourage industry visitors when you do come to town.
3 We're on the third floor. If you want to stop in and
4 visit with us, you're always welcome. And what I do
5 suggest is that you do call in advance. If there's a
6 particular person that you would like to talk to, make
7 sure that they're available. But we do encourage the
8 industry to, you know, to come in and visit because I
9 personally think it's nice to put a face with a voice on
10 the phone. It just adds a little bit more when you're
11 having a dialogue and communicating on the issues.
12 Another thing we normally do is we try to have as many
13 staff officers as possible to attend these meetings.
14 But a little incident occurred in Washington State
15 around December, which forces us that we're getting a
16 lot of phone calls. So we have very limited folks that
17 are here from the Tech Center. But during breaks, in
18 the hallway, if you -- we do have nametags. If you want
19 to discuss any issues with the folks that are here, feel
20 free to do that. As an example, on an average, we
21 receive anywhere usually around 8 to 900 phone calls a
22 day, incoming and outgoing combined. And I didn't have
23 the phone logs, but guessing on the number that came in
24 yesterday, I think they were averaging, I would guess,
25 around 14, 1500. So the staff is over there working

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1 diligently, answering those calls, and providing
2 guidance to the field. So that's why there's not as
3 many folks here as the normal. So without taking any
4 more of your time, I just want to welcome you to the --
5 to Omaha, Nebraska for those of you who are out of time,
6 and hope your stay is pleasant, and come back and visit
7 us whenever you can. We're going to need some help.
8 Our football team, I don't know where we're going with
9 that one, but that's the big -- that's the big thing
10 here in Nebraska right now, is Husker football. So take
11 care. And, Mr. Derfler, it's all yours.

12 MR. DERFLER: Thank you, Dwayne. I'd now like
13 to introduce our administrator, Dr. Garry McKee, to make
14 additional welcoming remarks to you. Dr. McKee was
15 named Administrator of the Food Safety Inspection
16 Service on July 23, 2002. In this position, he oversees
17 the policies and the programs of the Agency. Dr. McKee
18 has more than 30 years of experience in the public
19 health field. From 1999 to 2002 he was Director and
20 Cabinet Secretary of the Wyoming Department of Health.
21 He has served as the Chief of the Public Health
22 Laboratory of that department, and as the Director of
23 Sanitary Bacteriology of the Oklahoma State Department
24 of Health. He has held many other professional
25 positions. Additionally, Dr. McKee has been a member of

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1 the Board of Scientific Counselors, the National Center
2 for Infectious Diseases, and the Association of State
3 and Territory Health Officers since 2001. From 1995 to
4 1998 he was Chairman of the National Laboratory Training
5 Network for the Association of State and Territorial
6 Public Health Laboratory Directors. Dr. McKee.

7 DR. MCKEE: Okay, thank you, Phil. Well,
8 having grown up in Oklahoma, when we talk about football
9 and new challenges in the West, that's certainly dear to
10 my heart. As everyone knows, Sooners stub their toe at
11 the last minute. But I think that the rivalry between
12 Oklahoma and Nebraska has always been one that has
13 always been exciting. Well, I'm certainly glad that
14 everybody could make it this morning. I think it's
15 probably warmer here than it is in Washington D. C., or
16 will be, so it's great to be here, and I think it's an
17 opportunity for us to have this kind of dialogue in
18 Omaha, where we have our Technical Center, where we look
19 at it and evaluate our technologies. Today's meeting on
20 "The State of New Food(Safety)Technologies to Enhance
21 Public Health" is an exciting look into the future. We
22 have a similar broad group experts to share with us the
23 latest science and strategies toward making our food
24 supply even safer. Before I begin, I want to remind
25 everyone that as tempting as it might be to discuss all

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1 the BSE events that have been developing over the last
2 couple of weeks, we do not want to take away from our
3 discussions of technology and innovation. I would
4 appreciate it if we could all work together to keep the
5 discussion, today, on our original topic. As you
6 probably know, when I came to Washington to join FSIS,
7 that I came from the public health field, as Phil
8 mentioned, and I've concentrated my efforts at FSIS as
9 Administrator on making FSIS into a world class public
10 health agency. And, at FSIS, we know that the only
11 route to true public health is through the use of solid
12 science, including a strong focus on technological
13 innovation. I'm not here to support technology for
14 technology's sake, but I believe that when technology
15 can be used to improve public health, and it's been
16 proven safe and effective, then it's worth promoting.
17 Much has changed since 1906, when Upton Sinclair's book,
18 The Jungle, which portrayed unsanitary conditions in
19 Chicago slaughterhouses, spurred passage of the Federal
20 Meat Inspection Act. "I aimed at the public's heart and
21 by accident, I hit the stomach," is what Sinclair often
22 said about his book. At the time of "the Jungle,"
23 animal diseases were much more prevalent than today, and
24 they were the focus of the new inspection program.
25 Since then, animal diseases are better controlled, and

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1 the risk of illness from microbiological pathogens such
2 as E.coli O157:H7 and Listeria monocytogenes is a much
3 greater concern. And controlling these pathogens and
4 others has required new ideas and new technologies.
5 Many of you are aware of our establishment of the new
6 technology staffing section within FSIS last August.
7 This group expedites the implementation of safe
8 interventions at slaughter and processing
9 establishments. When we completed our risk assessment
10 for Listeria in ready-to-eat products last year, it
11 struck me how important interventions are in mitigating
12 risk. I believe that we must encourage the use of safe
13 and effective interventions. And one way FSIS can do
14 this is to ensure that we facilitate the process. Our
15 new technology staff is an experienced team of 12
16 veteran employees. People who serve as a single portal
17 of entry for all submissions. We designed this group to
18 better manage the new technology process and allow for
19 implementation as soon as possible. They are also
20 making sure that all FSIS personnel are aware of new
21 technologies and where they are being used. I'm happy
22 to report that we have received over 30 proposals for
23 new food safety technologies since last spring. To
24 increase the pool of new technology submissions we've
25 developed a new technology web page, where parties may

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1 submit their information on line. We also have
2 established an email address, which is [FSISTEchnology](mailto:FSISTEchnology@FSIS.USDA.GOV)
3 [@FSIS.USDA.GOV](mailto:FSISTEchnology@FSIS.USDA.GOV), for interested groups to learn more
4 about how to have their products or ideas considered.
5 We are also working closely with our sister agencies to
6 implement effective validated technologies. The addition
7 of lactoferin to our toolbox of food protection methods
8 last year is an example. We must not let bureaucratic
9 red tape stymie the introduction of new technologies.
10 Food safety advances can't languish in the bottom of our
11 overfilled in box. We can help make that streamlined by
12 allowing you to help us in this effort. As new
13 technologies are developed they must be validated.
14 Publication, alone, is not enough to show safety and
15 success. While FSIS will encourage and support new
16 technologies, you must follow and follow through with
17 your end of the activity as well. Correct validation is
18 expected and, in deed, required, as our agency will
19 verify the results that you submit. If we work together
20 to develop effective technologies, there is nothing we
21 can't achieve. Technology and innovation have helped to
22 improve public health through food safety in countless
23 ways. Interventions like refrigerated railroad cars,
24 food thermometers and antimicrobial washes have brought
25 us into the food safety present. The question is what

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1 will take us into the food safety future? Today we're
2 going to present updates of FSIS technology initiatives
3 and provide a forum for discussion on a variety of
4 relevant topics. You'll hear about the benefits and
5 challenges that new technologies present, as well as
6 examples of new technologies that are being used to
7 improve food safety in the United States. I know we're
8 all looking forward to hearing about these technologies
9 from our speakers today, so I'll limit my remarks to
10 challenging you to focus on what our technologies may be
11 in the future for food safety. I am very passionate
12 about this. I think this is the way to the future to
13 improve the most safe food products in the world, in
14 this country, and I know that's a challenge that we're
15 all ready to step up to the plate to accomplish. So,
16 with that, I'll turn it back over to Phil, and I think
17 we'll have a great day of dialogue and comment. Thank
18 you, Phil.

19 MR. DERFLER: Thank you, Dr. McKee. Next on
20 our agenda was supposed to be Dr. Elsa Murano, the Under
21 Secretary for Food Safety. Unfortunately, she's not
22 going to be able to be here. So what we're going to do
23 is go into the substantive portion of the meeting. I
24 guess I'd just like to give you a little bit of an
25 overview of what the meeting's going to be before we get

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1 started. The first part of the meeting we're going to
2 have three speakers from FSIS, who will try and give you
3 some insight into our program, our processes, hopefully,
4 to help you facilitate your process. After that we
5 intend to have some speakers from major trade
6 associations as well as our manager for Technology
7 Transfer, who will, hopefully, establish a context in
8 which to consider the role of new technology and the
9 advances that we hope new technology can play in the
10 future. And then we'll go into a series of three
11 panels. First on meat, second on poultry, and then the
12 third on sanitation, to talk about some of the
13 developments that are under way. Our goals from this
14 meeting are to try and get some input from you on how
15 FSIS can improve what it does with respect to new
16 technology. Outside, there's a display, and one of the
17 things, the handout that's available at the display, is
18 this report enhancing public health, which sets out Dr.
19 Murano's vision for food safety. And in there there is
20 a discussion of what we're trying to do with respect to
21 new technology. What we'd like to learn today is how we
22 can do it better. Second goal today is to try and make
23 you aware of some of the developing technologies that
24 are out there. And the third goal today is there's a
25 particular bent and a particular orientation toward

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1 small and very small plants today. Particularly, how we
2 can make sure that some of the new technologies make it
3 and are able to be utilized by small and very small
4 plants. So, with that, I'd like to introduce Mr.
5 Patrick Burke. Mr. Burke is the Senior Officer of the
6 New Technology staff at FSIS. He served at FSIS since
7 1985 in various capacities, including Director of the
8 Industrial Engineering and Ergonomics Staff, and Branch
9 Chief in the Inspections Systems Development Division.
10 And Dr. Burke's talk will be -- provide you with an
11 introduction to the Agency's new technology program.
12 Mr. Burke.

13 MR. BURKE: Greetings! While I anticipate
14 that this presentation will be a lot different from the
15 last two I did. One was in Belgrade, Yugoslavia, and
16 the other one was in Beijing, China, where I needed the
17 help of several interpreters to get my message across.
18 Here I'm on my own, and I'm pretty sure those
19 interpreters made me sound a whole lot better than I
20 was. And right now I don't even see my presentation.
21 Here it is. [pause to set equipment up] Well, let's
22 start now. Anyway, the New Technology staff. When the
23 New Technology staff was formed later -- earlier in the
24 Year 2003, one of the main goals for us was to foster
25 the development and facilitate the use of new

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1 technologies. One of our major goals. And throughout
2 the next three of mine and the next two presentations
3 that follow me, we'll give you an idea of how we're
4 going to do that, how we're doing it, and how we plan to
5 do it. Now, one of two things that we're also going to
6 cover in my presentation here are what is New
7 Technology? And the second, why would a company work
8 with FSIS? No smiling. New Technology is defined as
9 new or new applications of equipment, substances,
10 methods, processes or procedures effecting the slaughter
11 of livestock or poultry or processing of meat, poultry
12 or egg products. Now, you look at that definition. That
13 definition covers everything. But we, necessarily,
14 don't want it to cover everything in that sense. We're
15 not here in the New Technology staff to deal with any
16 kind of prior approval. What we want to do is put four
17 qualifiers associated with that definition. The first
18 qualifier requires a change in the Agency's regulation.
19 If your new technology that you want to introduce into
20 your plant or establishment needs affects a regulation,
21 you need to come to us. Then we will check to see,
22 basically, if you can do that technology, we can give
23 you a waiver. But we only will give you the waiver if
24 the technology does not affect product safety. The
25 second qualifier is it affects the inspection

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1 procedures. Now inspection procedure is a little
2 different because our inspection procedures are not in
3 our regulations. It is what our inspectors are doing on
4 the inspection floor. The technique how they do the
5 inspection. What they look at. How they look at it.
6 The sequence they do it in. If your new technology is
7 going to affect one of those operations, you need to
8 still come to us because then we have to deal with our
9 inspection force, tell them how to do it, and smooth the
10 way over for this. The third one is affects the safety
11 of the Federal Inspection Program personnel. Obviously,
12 if we got some kind of a chemical, radiation, or any
13 kind of item that's going to cause any kind of safety
14 problems, we've got to make sure, before you do your
15 technology, that the appropriate guidelines are followed
16 so that people are safe. And the last one affects the
17 safety of the product. Because it does look kind of
18 obvious on this one. We want to make sure that whenever
19 new technology is affecting that product out there, it
20 still has to meet all the other regulatory guidelines
21 that are out there. And then eighth is the product is
22 safe when it goes out. Now, I'm going to go ahead and
23 give examples a little later on, exactly what I mean for
24 each one of these four. So what it comes down to, if
25 intended new technology will have an effect on any of

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1 the four areas of regulatory interest to FSIS, then the
2 establishment or plant will need to notify the Agency,
3 either by notification or by protocol. Now, one of the
4 first questions says what is notification what a
5 protocol is? Well, Doug Palo is going to let you know
6 about that later on. But let me give you, first, an
7 example of a new technology associated with a regulatory
8 change. New technologies for reprocessing a contaminated
9 poultry carcass is on line. Some of you probably
10 already know about this operation, where basically a
11 contaminated product is going to be -- go down a line,
12 and after microbial spray was put on it, in fact, we've
13 got that's out in the field right now. Rhodia and
14 Alcide. The products set cavinated birds with past
15 birds would be processed through these systems, and they
16 get inspected, zero tolerance, finished product
17 standards, all before they go into the chiller. Now
18 what's happening is the fact that they're using
19 antimicrobial spray was not the issue here. The issue
20 was we're letting a contaminated bird go down the line.
21 And we have -- we had to give a temporary waiver of
22 FSIS's regulation on contamination of carcasses. And
23 here it is, 38.9381.91B1. And it is required to allow
24 poultry carcass to be reprocessed on the main processing
25 line. We had to give a waiver to that because that

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1 regulation says you've got to take the bird off. Now
2 we're saying, but before we gave that waiver, we made
3 sure, through laboratory and preliminary data, those
4 birds would be safe and wholesome before they went into
5 that chiller. Now the second one has to deal with the
6 procedure changes. The inspection procedure change.
7 Modified rail inspection in cattle slaughter, which
8 changes the height of two rail inspection stations to a
9 high inspection station and a low inspection station.
10 What is that talking there? In our regulations, you've
11 got line speeds and you've got the number of inspections
12 per line speeds. In some cases out in the field you
13 have the regulations require two rail inspectors on a
14 line for getting line speed. Some variations on that
15 is, basically, you have a high rail and a low rail.
16 Where one, the inspector did all the high portions of
17 the carcass, and another inspector did a low. Now, if
18 they meet the regulatory criteria of that, they have two
19 inspectors. But our procedure was changed. Instead of
20 an inspector doing the entire carcass, inspectors were -
21 - inspector doing an entire carcass, one inspector was
22 doing top half, one inspector was doing a bottom half,
23 which was all right. But they have to come to us
24 because, basically, they were changing the height of the
25 inspection stations. And it's not -- that is not

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1 specified in the federal regulation. But variations --
2 this variation affected the inspection procedure. So
3 they had to get permission. We had to make sure we
4 trained our help with the inspectors so they know what
5 was going on, so they can perform this. Now, an example
6 where sometime it's like inspection platform was not --
7 actually, it was not a procedure change, but a
8 regulatory change in poultry inspection. We tell you in
9 the regulations that an inspection platform has to move
10 14 inches up and down in the vertical. If anybody
11 wanted to change that, that's a regulatory change. But
12 if somebody wanted to change what the poultry inspector
13 was actually doing, that's a procedure change. In our
14 third qualifier, use of an ultraviolet wave length for
15 antimicrobial purposes. Ultraviolet radiation can cause
16 microbiological harm to program personnel. Even though
17 the process has been approved for use, the system must
18 be evaluated to ensure adequate safety procedures. So
19 we're saying here, yeah, procedure's fine, you can put
20 it in there, but we want to see the technology to make
21 sure you've got the safety precautions in there so
22 nobody gets harmed. And the last one, the product
23 safety. Establishment may wish to use an antimicrobial
24 spray that has judged to be safe by FDA on its products.
25 The establishment does not want to declare the use of

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1 the ingredient in its labeling. There are questions,
2 and the questions are basically from our side, as to
3 whether the substance meets the processing aid
4 definition. There's a processing aid definition. They
5 don't have to label it. The establishment must be able
6 to demonstrate why the substance is a processing aid.
7 Here's a nice example we just had recently. How many of
8 you've heard of lactic acid? How many of you have heard
9 of 5 percent lactic acid on carcasses, beef carcasses?
10 Normally, what we had, it was approved that 2-1/2
11 percent was okay to use on meat chilled and hot beef
12 carcasses. We had an establishment want to come in and
13 use 5 percent. They had to send the data in to show us
14 preliminary laboratory test data that 5 percent met the
15 definition of a processing aid. They did. And we got
16 that one. They're being -- and they're using it right
17 now out there. Now, let's go to my slide now. Why work
18 with FSIS? First answer is promote awareness of new
19 technologies in official establishments. If we, as a
20 regulatory agency, know of the technologies that are
21 being used out there, and they are being used out there
22 in effect, to basically increasing the product safety,
23 we're all for it. And by letting us know about it, we
24 can let others know about it. We know it's effective.
25 And, basically, it comes down to a win/win situation for

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1 all of us. Product is safer. We want it safe. You
2 want it safe. You sell it. We're safe. And eat it.
3 The second one, provide a fair and uniform assessment
4 process of new technologies. Now you might think hard to
5 believe that, in the old days, sometimes you had
6 different groups evaluating new technologies, and they
7 might have different evaluations on this thing. I know
8 it's hard to believe, but we decided that we needed all
9 the technologies to come through one organization. What
10 we do there is that we're using the same criteria to
11 evaluate it, going through the same process. Everybody
12 goes through the same process, looked at by mostly the
13 same people, and the same technical review team. And I
14 used the technical review team, and that, of course,
15 will be covered later on, exactly what we're talking
16 about in that group. So everything becomes fair and
17 balanced. Third one. Respond to questions regarding
18 the use of new technologies. In the past, we get a new
19 technology out in the field, I get a phone call from a
20 district manager, an IIC, a company employee. What are
21 they doing here? How come they're here? Why are they
22 changing things here? Of course, we know about this
23 and, of course, it went through the evaluation process.
24 I can answer the questions. We can allay a lot of the
25 fears that are out there about a new technology. But in

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1 addition, as part of their procedures, when somebody
2 introduces, especially in an in-plant trial, we're going
3 to send, or have been sending, one of our staff members
4 out there. Preferably, the one who will actually help
5 evaluate the procedure, to help smooth over the initial
6 start of the in-plant trials. So we get a dialogue
7 going between the company, the plant, our inspection
8 force. We want to make sure that you get good
9 opportunity and a fair opportunity to evaluate your
10 in-plant trials. The fourth one. Encourage the
11 development and utilization of new technologies. If we
12 do the first three correctly, and we will, well what it
13 means is that it establishes a plan so why new
14 technology will come to us, because we promote, we're
15 providing good assessment, and we're responding. When
16 things go well, people, of course, start introducing
17 those new technologies. I'm glad to say that we have
18 been responding well. And the last one. Be cognizant
19 of the need to re-examine current regulations. That's
20 mostly for our side because we're seeing a lot of
21 technology coming in a particular area that would
22 trigger us, saying maybe we should look at this
23 regulation a little carefully, a lot more, and find out
24 where the trend is. Maybe we need to change something.
25 How do we respond? Hopefully, respond better. And

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1 these are the five reasons this should work with FSIS
2 and new technology. And that concludes my presentation.
3 Thank you.

4 MR. DERFLER: Thank you, Patrick. There will
5 be an opportunity for questions after the three
6 presentations that you're hearing now. We're next going
7 to hear a presentation on FSIS initiatives and new
8 technology, and that presentation will be made by Mr.
9 Lynvel Johnson. Mr. Johnson is the Deputy Director of
10 the Technical Assistance and Correlation Division at
11 FSIS's Technical Service Center here in Omaha. He
12 served -- he has served in the Agency since 1985,
13 working first as a slaughter inspector in Kentucky, then
14 as a processing inspector in Los Angeles. Mr. Johnson
15 has held numerous positions within the Agency, including
16 Process Inspection Coordinator and Staff Officer for the
17 Office of Policy and Program Development and Evaluation.
18 I don't know what that is. Anyway, Mr. Johnson.

19 MR. JOHNSON: You'll have to bear with me
20 today. I have a small cold, so if the medication starts
21 kicking in, I ramble. Just throw something at me and
22 I'll try to get back on track. Today I'm going to talk
23 about, basically, what is FSIS doing to encourage new
24 technologies in the development and use of new
25 technologies. As a public health regulatory agency, the

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1 agency is committed and encouraging new technology,
2 especially if it enhances public health, and also food
3 safety. In effect, this has been evident over the past
4 year in the development of our regulations. With the
5 issuance of the pathogen reduction HACCP final rule, the
6 Agency shifted away from a command to control approach
7 to one that gives industry greater flexibility to
8 innovate in order to meet food safety requirements. And
9 what that regulation, in the development, HACCP plans,
10 HACCP analysis, it gives the plants the ability to make
11 the decisions they need to make on what is going on in
12 their process and address the food safety hazards and
13 control those. Within the preamble of the pathogen
14 reduction final rule, the Agency stated its food safety
15 goal. And that goal is reduce the risk of food-borne
16 illness associated with the consumption of meat and
17 poultry by ensuring measures are taken at each step in
18 the process where hazards can enter and where procedures
19 and technologies exist or can be developed to prevent
20 the hazard or reduce the likelihood to occur. So in the
21 preamble, we're discussing that we want industry to come
22 up with new technologies and procedures to control food
23 safety hazards. To achieve this goal, the Agency
24 outlined a food safety strategy that was addressed in
25 the preamble, again, of that final rule. Two of the

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1 elements of the strategy are specific to the Agency's
2 commitment and our talk today. And let's look at that
3 first element. The first element was adoption of food
4 safety performance standards that provide incentives for
5 innovation to improve food safety and to provide a
6 measure of accountability for achieving acceptable food
7 safety results. Since the publication of the pathogen
8 reduction final rule, the Agency has worked to
9 incorporate performance standards into the new
10 regulations, as well as converting existing regulations.
11 What is a performance standard? Well, a performance
12 standard is a performance standard set that results --
13 sets the results to be achieved, but not the specific
14 means used to achieve those results. So, in other
15 words, we're allowing the establishments to be
16 innovative in how they meet the intent of the
17 regulation. Instead of specifying how to do it, we're
18 saying, this is what our standard is and this is what
19 our requirement is, and allowing the plant to develop
20 the technology that they need to to meet that standard.
21 And this initiative started with the sanitation
22 requirement final rule. The sanitation requirement's
23 final rule is intended to eliminate unnecessary
24 differences between the meat and poultry sanitation
25 requirements and to make the sanitation requirements

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1 less prescriptive, and to allow more innovation on the
2 part of industry. And this was published on October 20,
3 1999 and, again, set performance standards for
4 sanitation. So instead of telling the plant exactly
5 what room temperature should be, or how to clean their
6 plant, it allows the plant to determine what they need
7 to do to meet performance standards in sanitation.
8 A second rule that came out was the incorporating
9 performance standards into the cooked beef, roast beef,
10 cooked corned beef and poultry. Cooked poultry final
11 rule. And this final rule established performance
12 standards for lethality and stabilization, which spelled
13 out the objective level of food safety performance that
14 establishments must meet, but allow the establishment to
15 develop and implement processing procedures customized
16 to the nature and volume of their production. This is
17 unlike previous requirements for those procedures or
18 products that mandated step-by-step production measures.
19 And if you remember the previous regulations on roast
20 beef and cooked corned beef, the Agency specifically
21 said how you were supposed to produce your product,
22 requiring time, temperature of steam and so forth. Now
23 we have a performance standard for lethality
24 stabilization. How you meet that performance standard
25 is up to the plant. And many of plants have gone to

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1 process authority and developed a number of cooking
2 operations and cooling operations or procedure that now
3 meet the standards, but are much more flexible than our
4 old regulation. The second element of the strategy was
5 to remove unnecessary regulatory obstacles to innovation
6 and one way we've done this, on February 11, 2003 FSIS
7 procedures for notification of new technology Federal
8 Registry notice was published. The purpose of this
9 notice was to encourage industry technological
10 intervention in the meat and poultry industry, establish
11 new flexible procedures to actively encourage the
12 development and use of new technology and provide a
13 central location in the Agency to handle new technology.
14 So, as Pat was saying, that previously we had many
15 program areas looking at new technology. Now we have
16 one area where you can go, one-stop shopping. With this
17 we published a directive, FSIS 10,700, Revision 1. This
18 informs inspection personnel about the procedures that
19 will be followed to notify the field regarding the use
20 of new technology for in-plant trials conducted at
21 official establishments. Again, this is a new thing.
22 It's always good to include our inspection force and to
23 communicate with our inspection force because they're
24 the ones working with you. So the more information they
25 have, more communication they have, the better it's

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1 going to be. The new technology staff also issues a
2 weekly report, and it details requests received and
3 pending, the no-objection letters that are issued,
4 protocols that are required, and protocols that are
5 approved. And this weekly report goes to the district
6 offices and the district managers, the Deputy Associate
7 Administrator for Field Operations. It comes to the
8 Tech Center. We get a copy of it. Front-line
9 supervisors, deputy administrator for office up here.
10 And this ensures that all parties are informed. So when
11 we get a call from an IIC, where a new technology is
12 being implemented into the plant, if they call the Tech
13 Center and have some questions, we'll have the
14 information, too, to discuss with the IIC or at the
15 plant. So we're finding that's a lot better as far as
16 communication. Now all parties are on the same level.
17 Within that weekly report, some of the information
18 coming out so far, notifications that have been
19 received. We have 22 notifications. Six of them are
20 pending. They've approved 14 of those notifications.
21 And then more information is required on two of them.
22 For protocols, received ten protocols so far. Seven of
23 them are pending. At this point none have been
24 approved, but there has been three that an objection
25 letter has gone back to the plant. And I guess that

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1 gives them now the ability to re-look at their
2 technology, make maybe some changes, and then go back to
3 the New Technology staff. There has also been a web
4 page developed, as we talked about earlier, for you to
5 communicate with the New Technology staff on new
6 technology, to ask questions, submit protocols. The New
7 Technology staff has also worked with or has cooperative
8 agreements with 18 universities and working with these
9 universities to develop innovations that could be
10 implemented in small establishments. Also working with
11 the universities in obtaining information through
12 surveys, as do some of the interventions and
13 technologies being used today. And we're also trying to
14 find out what is going -- what is out there today. What
15 have the plants been using in the past? And we'll hear
16 more about that later. Some examples of the projects
17 that are on line right now, one is a validation of post-
18 processing pasteurization treatments for use in very
19 small plants processing ready-to-eat products,
20 development of training materials to assist meat and
21 poultry processors in preventing *Listeria monocytogenes*
22 contamination in RTE products. And a third one is
23 impact on hide interventions and cleaning on the
24 microbial quality of beef carcasses in small and very
25 small establishments. So those are just three examples

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1 of the 18. And I'm sure there will be more coming as we
2 work with more universities. So that's just a quick
3 overview of the Agency's commitment on new technologies.
4 We certainly are committed, especially if it's going to
5 enhance food -- the food safety, and as we progress, we
6 hope to get better at implementing and looking at these
7 new technologies. Thank you.

8 MR. DERFLER: Thank you, Lynvel. Now we'll
9 come to the third and final presentation in this portion
10 of the program, and that's a review how FSIS reviews new
11 technology. And that presentation will be made by Mr.
12 Douglas Palo. Mr. Palo started his career as an animal
13 laboratory manager at Hofstra University. He then
14 joined the Food Safety Inspection Service in 1980.
15 Since that time, Mr. Palo has worn many hats. He began
16 his FSIS career performing inspections at meat and
17 poultry slaughter establishments in several east coast
18 states. Next he performed import and export inspection
19 functions at the largest seaports in the country, and he
20 supervised import inspections in the Midwest. He's been
21 at headquarters since 1987 in the capacity of Staff
22 Officer for Imports, and also as a staff officer in the
23 Office of Policy and Program Development. Without
24 further adieu, Mr. Palo.

25 MR. PALO: Good morning. I am Doug Palo, and
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1 Phil has just introduced me, and I work with the New
2 Technology staff in the Office of Policy and Program
3 Development for FSIS. And I am here this morning to
4 discuss how the New Technology staff manages the
5 Agency's review of notifications and protocols from
6 establishments or companies for in-plant trials of new
7 technologies and oversees the conduct of such trials in
8 federally inspected meat and poultry product
9 establishments. I also will be discussing the Food and
10 Drug Administration and FSIS joint review of new
11 ingredient technology. As Lynvel just spoke about, the
12 New Technology staff also works cooperatively with state
13 and academic institutions in developing, identifying and
14 evaluating new technologies that are economically viable
15 for small and very small plants in order for them to
16 meet the food safety requirements. The New Technology
17 staff is developing standard operating procedures,
18 developing a SOP. It will serve a couple of purposes.
19 First of all, make transparent to interested persons how
20 the Agency will respond when it receives a notification
21 of a new technology from an establishment. It will also
22 facilitate cooperation among the various parts of the
23 Agency involved in the review. The purpose of this talk
24 is to give you an insight into the process that we
25 follow today. I'd like to give you a little bit of

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1 background about the whole process. New technology
2 generally enters the Food Safety Inspection Service New
3 Technology process as a notification or a protocol, as
4 we mentioned briefly earlier, with the -- from the other
5 speakers. When a company plans to use or sell a new
6 technology for meat, poultry and egg processing, FSIS
7 has established procedures for plants to notify the
8 Agency so that the Agency has an opportunity to decide
9 whether a pre-use review of the new technology is
10 necessary. The documents used to notify the Agency are
11 called notifications. If FSIS decides that the pre-use
12 review is necessary it notifies the company that the in-
13 plant trial will be required. The company will then be
14 advised to submit documents describing in detail its
15 experimental design and data collection plan for the in-
16 plant trial. This document is called a protocol. Now
17 I'd like to talk a little bit about notifications. When
18 establishments or a company is interested in using or
19 selling a new technology, submit a notification to the
20 New Technology staff describing the operation and the
21 purpose of the new technology, the New Technology staff
22 will acknowledge the notification by return facsimile or
23 mail and assign a tracking number to it. The New
24 Technology staff, in consultation with the Technical
25 Review Team, will determine whether the use of the new

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1 technology could, as Pat Burke described earlier, one,
2 adversely affect product safety; two, interfere with
3 FSIS inspection procedures; three, jeopardize the safety
4 of the Inspection Program personnel or require a waiver
5 of regulations. For initial notifications, the New
6 Technology staff will make every effort to review that
7 information and issue a letter to the establishment or
8 company within 60 calendar days. If none of these apply
9 the New Technology staff will issue a letter of no
10 objection to the use of the new technology in all FSIS
11 regulated establishments. If the proposed use of the
12 new technology could affect any of the four conditions,
13 the New Technology staff will advise the establishment
14 or company that it needs to submit a protocol so that
15 there can be a full pre-use review of the new
16 technology, including an in-plant trial. One such
17 example may be when a company would like to change the
18 way chicken carcasses are hung on shackles. But this
19 method of hanging appears to interfere with FSIS
20 inspection procedures. If an in-plant trial is
21 necessary, a protocol will need to be submitted. This
22 protocol should be designed to collect relevant data to
23 support the use of the new technology. Now I'd like to
24 talk a little bit about the formation of the Technical
25 Review teams. A project manager is assigned to each new

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1 technology submission. The project manager reviews the
2 notification of protocol for general acceptability and
3 completeness. Additionally, the project manager
4 maintains the notification or the protocol working files
5 and serves as a coordinator of the Technical Review
6 Team. The Technical Review Team draws in agency experts
7 in relevant scientific disciplines to participate as a
8 technical review team on the Technical Review Team. The
9 various types of expertise that may be necessary is
10 clear from this slide, which lists the various
11 disciplines that we draw upon. As you can tell, you
12 see, as it's said, many times we'll go to the
13 Microbiology Division, the Residue Branch, Inspection
14 Enforcement Initiative Staff, the Labeling and Consumer
15 Protection Staff, the Data Analysis and Statistical
16 Support Staff, the Technical Service Center right here,
17 and Environmental Health and Safety Branch. One such
18 example of selecting the appropriate disciplines would
19 be if a new chemical caucus wash technology was
20 presented that helped reduce the microbial count on
21 beef. In all likelihood, the New Technology staff would
22 request assistance for review from our Microbiology
23 Division to determine if the objective of the technology
24 is feasible for reducing our microbial count. Or we may
25 go to our Residue Branch to determine if there would be

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1 any harmful residue in the meat tissue. Or, possibly,
2 our Environmental Health and Safety Branch to determine
3 if the chemical would jeopardize the safety of
4 inspection personnel. When a review of a protocol for
5 an in-plant trial occurs, usually the full Technical
6 Review Team will be required. This may include the
7 addition of our Data Analysis and Statistical Support
8 staff, the Technical Service Center, Inspection
9 Enforcement Initiative Staff, Labeling and Consumer
10 Protection Staff, or our Labor Relations Branch.
11 Technical -- now I'd like to talk a little bit about how
12 the Technical Review Teams function. The project
13 manager will act as the facilitator of the meetings of
14 the Technical Review Team and coordinate between the
15 establishment or company and the Agency. The product
16 manager will distribute the relevant information that he
17 or she receives from the establishment or company to the
18 Technical Review Team with instructions for review of
19 the notification of protocol. The project manager will
20 work with the team to ensure that the establishment's or
21 company's documentation for the intended use of the new
22 technology or in-plant protocol is reviewed
23 expeditiously. Each team member's comments are gathered,
24 reviewed and edited by the project manager for a
25 response to the establishment or company. If there is a

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1 disagreement among the Technical Review Team, the
2 project manager will work to obtain consensus. The
3 project manager will also provide the Technical Review
4 Team with the establishment's or company's responses to
5 the Technical Review Team's comments. Now I'd like to
6 talk a little bit about protocols. As in the submission
7 of a notification, when an establishment or company
8 submits a protocol to the New Technology staff
9 describing the in-plant trial of the new technology, the
10 New Technology staff will acknowledge the protocol by
11 return facsimile or mail and assign a tracking number to
12 it. The New Technology staff, in consultation with the
13 Technical Review Team, will evaluate the scientific
14 design of the in-plant trial proposed. If the protocol
15 is scientifically acceptable and will not adversely
16 affect the safety of the product, the New Technology
17 staff will issue a letter granting authorization for an
18 in-plant trial to commence at a single plant. If the
19 New Technology staff determines that the protocol does
20 not provide the adequate information to make a
21 determination for conducting an in-plant trial, a letter
22 will be sent requesting additional information or
23 clarification. One such example may be that the
24 protocol does not include an adequate sampling scheme or
25 does not explain how the technology will meet the

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1 company's objective. If the in-plant trial requires a
2 waiver of any provisions of FSIS regulations, the
3 submitter must request and obtain permission from the
4 Agency before proceeding. FSIS regulations, specifically
5 Title IX, C.F.R. 303.1(h), 381.3(b) and 590.10,
6 authorize the FSIS administrator to waive, for limited
7 periods, any provisions of the regulations to permit
8 experimentation so that the new procedures, equipment
9 and processing techniques may be tested to facilitate
10 definitive improvements. No waiver can be granted if
11 the new technology conflicts with the provisions of the
12 Federal Meat Inspection Act, the Poultry Inspection Act,
13 or the Egg Product Inspection Act. Prior to the
14 implementation of an establishment of a company's in-
15 plant trial, the Agency may request orientation and
16 training for the new technology if based on the in-plant
17 trial to submit plans to petition FSIS for a change in
18 the Agency's regulations to permit the use of the new
19 technology. Then as part of the trial, the submitter
20 will need to collect information that will assist the
21 Agency in justifying a change in its regulations and in
22 performing a rule-making analysis required by law in
23 executive orders such as Executive Order 12866 in the
24 Regulatory Flexibility Act. FSIS will expect the
25 submitter to provide data throughout the in-plant trial

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1 for the Agency to examine. Data may take several forms.
2 Laboratory results, weekly or monthly summary production
3 reports and/or evaluation from Inspection Program
4 personnel. The project manager will oversee the conduct
5 of the in-plant trial. Members of the Technical Review
6 Team may also conduct on-site visits to the plant for
7 observations and monitoring during the in-plant trial.
8 The project manager and the Technical Review Team may
9 review data collected during the in-plant trial for
10 evaluation in determining the acceptability of the new
11 technology. If, at any time, the Agency determines that
12 the in-plant trial results in product being produced
13 that presents an increased risk to food safety or to the
14 safety of Inspection Program personnel, the trial will
15 be suspended or ended. Now I'd like to talk a little
16 bit about the in-plant process. In-plant trial process.
17 Upon completion of the single in-plant trial, the New
18 Technology staff will review the data and final report
19 from the establishment or company and forward it to the
20 Technical Review Team for evaluation. After the final
21 evaluation of the establishment or company's report, the
22 New Technology staff may recommend additional in-plant
23 trials or reject or accept the use of the new technology
24 in an FSIS-regulated environment -- establishment. I'm
25 sorry. Additional in-plant trials are recommended if,

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1 during the trial, the objectives of the new technology
2 could be conclusively demonstrated that multiple in-
3 plant trials are usually required when an establishment
4 or company petitions the Agency to change the pertinent
5 provisions of the regulations. After the conclusion of
6 the multi-plant trial, which requires a regulatory
7 waiver, the establishment or plant will need to petition
8 the Agency to amend the regulations. The regulatory
9 waiver will allow the establishments or plant to use the
10 technology until rule making is complete, unless
11 problems arise. When amendment of the regulations is
12 required, the establishment or plant must provide an
13 acceptable data collection and submission scheme for
14 monitoring the performance of the technology pending
15 publication of an amended regulation. And, finally, I
16 would like to speak about the Food and Drug
17 Administration and Food Safety and Inspection Service
18 joint review of new ingredient and additive technology.
19 The FDA and FSIS have streamlined the process for the
20 review of new ingredient technology. Now, when a new
21 technology such as an ingredient or additive enters
22 FSIS, new technology process, as a notification of
23 protocol, and it has not been approved by the FDA for
24 food safety, FSIS will forward the notification for
25 protocol to FDA. FDA will then take the lead in

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1 reviewing the new technology and coordinate the review
2 process with FSIS. Conversely, if a new technology
3 intended for use in meat, poultry or egg products enters
4 the FDA new technology process, and it is not approved
5 by FSIS for suitability in a commercial environment, FDA
6 will forward the notification of protocol to FSIS. FSIS
7 will then take the lead in reviewing the new technology
8 and coordinate the review process with FDA
9 simultaneously. This dual review by the two agencies has
10 streamlined the review process, eliminated the need for
11 separate rule making, and expedited it significantly. I
12 hope this talk of the review of new technologies has
13 helped both the establishments and companies better
14 understand the process and our standard operating
15 procedures. Later, during the question and answer
16 session, we would like to hear from you any suggestions
17 on how we may improve our procedures. Thank you for
18 allowing me this time this morning to discuss this
19 important topic with you.

20 MR. DERFLER: Well, here we are. This is the
21 opportunity for questions. What we've tried to do is
22 lay out our process for you as fully as we can so that
23 you can understand it, and if you have a new technology
24 that you want to use, or are interested in advancing.
25 If you have any questions, the speakers would be happy

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1 to accept them now. If you do, just walk up to the
2 microphones. I guess that will be the best idea. Wait,
3 let's -- Randy first. I don't -- if it's not working.
4 No? You're on now.

5 DR. HUFFMAN: Great. Okay. Thanks. Thank you
6 very much for the review. And I have, in my
7 presentation later, I've got a couple recommendations
8 that you've already addressed now in your talk, so I
9 appreciate that. One thing. You mentioned a weekly
10 report that is generated for, it sounds like, internally
11 for inspection purposes. Is there a way that, and this
12 weekly report, I assume, gives everyone a status update
13 on any notifications that are in process. Is there a
14 way for industry to have access to that in some form?

15 MR. PALO: We're in the process. We're
16 looking into that. It's our desire to try and make it -
17 - make the reports publicly available. There's a
18 question about whether we would be disclosing
19 confidential commercial information. And so we've been
20 in discussion with our Office of General Counsel. And
21 it's our goal, by the end of this quarter, to reach a
22 resolution in this issue and, hopefully, to be able to
23 post the reports.

24 DR. HUFFMAN: Great. Thanks. One more
25 question. You mentioned the web site. We did a search

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1 yesterday and I can't find it. Am I missing something,
2 or is it actually up and running?

3 MR. PALO: Certainly.

4 DR. HUFFMAN: Okay.

5 MR. BURKE: If you go to the FSIS web site,
6 it's not easy. It's not easy, but there's the official
7 first hot button you get to mentions some -- you click
8 on there, and it brings up a menu. You'll have the new
9 technology. Well, it will bring you to that site, which
10 includes our three documents. The notice, the guidance
11 document and our FSIS directive.

12 MR. TEAT: The last, or that first question,
13 was somewhat what I'm asking, is if you have priority
14 technology that you want to protect and you think that
15 might give you a competitive advantage, how do you
16 approach this and keep that confidential?

17 MR. PALO: Well, right now it would be
18 confidential. And what we're trying to do is develop
19 procedures that will allow us to, you know, to protect
20 confidential commercial information and yet, at the same
21 time, provide as much information as we can to the
22 public. So what we're engaged in is a process of trying
23 to figure out how to balance that with our attorneys.
24 And as soon as we get something, we do intend to make
25 the information available to the extent that we can,

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1 consistent with the Freedom of Information Act.

2 MR. DERFLER: Mr. Corbo.

3 MR. CORBO: In terms of the transparency of
4 the process, is there any way for the public or
5 interested parties to comment on the process of the
6 various technologies that are being evaluated?

7 MR. PALO: At this point the answer would be
8 no, except if we need to change our regulations, there
9 will be a public process at that point. If once the --
10 if in point of fact we can publish our weekly report,
11 then there will be an opportunity for people to see
12 what's going on and to comment.

13 MR. DERFLER: Dr. Johnson.

14 DR. JOHNSON: I think it's great. We were
15 actually having the discussion earlier, before the
16 session started, about the FDA and the FSIS working
17 jointly to try to help move technology through. And
18 everybody at the table is shaking their head because
19 they know the next little thing we're going to talk
20 about here. It's also very encouraging that FSIS has
21 said 60 calendar days, we'll try to get there. And
22 that's great. Back years ago we didn't have that, and
23 it was months and months. And, as Patrick had said,
24 this division had it, and this group had it, and you're
25 running back and forth trying to coordinate acceptance

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1 of everything. In the agency reviews, your working
2 together, do you put, when you know it's a meat and
3 poultry technology that can advance certain public
4 health concerns for meat and poultry, do you work with
5 FDA to try to speed through the process? We've heard
6 about budget cuts. And I think everyone out here will
7 tell you, from the meat and poultry industry, as well as
8 the technology providers, we appreciate the need to
9 provide the science, but sometimes, as someone said, it
10 gets lost in an in box. Is there any type of
11 coordination with FDA on moving things forward, or is it
12 strictly looking at the safety and the suitability that
13 the two agencies are working through?

14 MR. PALO: Well, can you hear me? Well, I
15 don't know if you can hear me on this microphone. You
16 can? We constantly will call the appropriate people in
17 FDA who has a, say a protocol. And we'll check in with
18 them. Say, it's been a few weeks, and how are things
19 coming along? We will have that continued dialogue to
20 try to move things along, and we understand people have
21 other things on their desks, but we do stay on top of
22 them now. Since it is all in one group and, you know,
23 one-stop shopping, I think I heard, we know we can
24 coordinate it better, and we constantly call the
25 appropriate people and say, where are you on the

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1 process. And then, at the same time, we will get back
2 to the company, itself, and say, this is about where we
3 think it is now. We are at this step in the process,
4 and we're trying to move it along. So that the
5 company's aware of it, and that they can plan also. So
6 we're definitely keeping the dialogue open now. It's
7 much more than it has been in the past, and I've seen it
8 actually result in some favorable reviews by the
9 technology provider, which, many times, did not come in
10 a kind way to us. But we seem to be turning a corner.
11 We're trying to really expedite the process.

12 DR. JOHNSON: Thank you. And, if I could,
13 just one more question. I appreciate that you've done a
14 lot to try to keep the field folks involved with what's
15 going on. You know, the guidance material, the
16 directives, notices going out. But this type of
17 information, I think, is very valuable, too, for people
18 to see how the Agency is encouraging technology. And I
19 don't know how. I know a lot of the written material,
20 when in the field, kind of gets shoved under the desk or
21 wherever until we have time to read it. But if there's
22 some way you can focus on this with your field
23 inspectors, I think that would be great. It could
24 really help at the in-plant level.

25 MR. PALO: Yes, Alice, the report we put out,
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1 the weekly report, goes to all the field operations.
2 Goes to the district managers. And we've said if we, in
3 fact, have a trial going on, in-plant trial going on in
4 a particular plant, the circuit supervisor gets a copy.
5 And so we're hitting most of the high levels on our
6 general. On the specifics, we're going right down to
7 the plant level, even calling up the IIC to let them
8 know what's going on. Well, that's one of the ways.
9 But you're right that we're trying to keep the
10 communication open as much as we can and broaden it as
11 much as we can. And, hopefully, like Phil said, once we
12 work out some legal issues, hopefully, that we can bring
13 that forward to even the industry.

14 DR. JOHNSON: Thank you.

15 MR. BURKE: We've been through a lot of this
16 process over the last couple years and a lot of
17 different meat products with our technology, and I
18 applaud you for the simplicity now. It looks like an
19 ease that -- it looks like we've gone through that
20 process. We still have a few things or areas we want to
21 go through, so it looks like it will be expedited.
22 Appreciate that. My question is related to labeling and
23 whether Dr. Post's office still handles the labeling,
24 particularly for temporary waivers of labeling and that
25 whole process, so maybe you can talk about that a

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1 moment.

2 MR. DERFLER: Okay, the answer is we've sort
3 of redistributed the labeling of work. The temporary
4 permit is still done through -- the temporary for label
5 -- for temporary label approval is in the whole label
6 approval process. It's still in the labeling and other
7 consumer protection staff. However, the coordination of
8 the ingredients with FDA has been transferred over to
9 the New Technology staff, and they'll be doing that. So
10 that's the division. However, one of the things that
11 we're stressing really hard is there needs to be a lot
12 of communication among the staffs within OPPD and within
13 FSIS. So there is a lot of communication back and
14 forth. Okay?

15 MR. HEIMBACH: Yeah. My name's Jim Heimbach.
16 I'll actually, probably, find out the answer to this
17 question day after tomorrow, but I can't resist the
18 opportunity to asking it right now. I do want to
19 congratulate you on your coordination with FDA. I did
20 the regulatory work for lactoferin a couple years ago,
21 and the coordination was wonderful. I'm in the middle
22 of bringing a new antimicrobial to market. We just met
23 with FDA last month, and we're meeting with a joint
24 meeting that FSIS has set up with the Consumer
25 Protection Labeling group, is who we've been contacting.

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1 But they've coordinated with their technology branch
2 that we're having on Thursday of this week. I'm not a
3 hundred percent clear. As I understand, unless we have
4 an issue with safety of inspection personnel, or one of
5 the other of the four criteria that were outlined
6 before, I don't believe for a new substance that would
7 be a GRAS substance reviewed by FDA for safety and by
8 FSIS for suitability, that we would have to file a
9 formal protocol with you beyond the standard suitability
10 document. But I'm not completely clear about that.

11 MR. BURKE: What we like to do on a situation
12 like that we like notification. What the notification
13 does for us it's explains, in a sense, what you just
14 said. You've got this lactoferin. Basically, you're
15 going to tell us why it's not affecting all those areas.
16 Then we become aware of it. We know that then that
17 you're doing this. If questions come up from the field
18 about this subject, we know about it. If we don't get a
19 notification and we get a call, we can't explain
20 anything, we can't help.

21 MR. HEIMBACH: Yeah, I'm sorry. I mean we're
22 coordinating with you...

23 MR. BURKE: Oh, yeah.

24 MR. HEIMBACH: ...on this and all the
25 information demonstrating the efficacy and the lack of

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1 organeleptic adverse effects and so forth and so forth
2 will be presented. I'm just trying to make sure. I
3 mean we'll do a former GRAS notification to FDA that
4 they'll share with you, and that notification will
5 include the efficacy data. And I'm just trying to make
6 sure I don't have to file a separate protocol document
7 with the New Technology group.

8 MR. BURKE: The only reason people come to us
9 in those sort of circumstances have been if people want
10 to claim that their product is a processing aid...

11 MR. HEIMBACH: Okay.

12 MR. BURKE: ...and so, therefore, it doesn't
13 need to be declared in the label. And then that would
14 be one of the things we'd be interested in.

15 MR. HEIMBACH: Okay.

16 MR. BURKE: But, otherwise, no.

17 MR. HEIMBACH: Okay. Thank you.

18 MR. BURKE: Yes.

19 MR. DERFLER: One of the things that I was
20 reminded of that I didn't say is if you have a question,
21 if it would be good if you identified yourself before
22 you asked it. It's a little late now. If you asked a
23 question, it would be nice if you stopped by the guy in
24 the back who's recording it, and we can have a complete
25 transcript. Any other questions? Well, thank you for

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1 your questions. I'd like to thank each of the
2 presenters for their presentation. And now we're just
3 going to have a brief shift as before we go into the
4 next panel. This isn't a break. You should bring your
5 nametag along. We'll call everybody when we're ready.
6 I apologize for the interruption. As Dr. Johnson
7 pointed out, it's kind of ironic at this meeting on new
8 technology that we're technologically challenged. But
9 anyway, we're now going to come to the portion of the
10 program called Food Safety Challenges and Benefits of
11 New Technology. And the first speaker will be Dr. Alice
12 Johnson. Dr. Johnson is currently president of the
13 National Turkey Federation. Her responsibilities at the
14 Turkey Federation include overseeing implementation of
15 the federation's strategic plan, as well as promoting
16 members' interest in marketing, legislative affairs and
17 the regulatory areas. She serves as -- on the National
18 Advisory Committee for Meat and Poultry Inspection,
19 providing guidance to the Secretary of Agriculture on
20 issues such as product standards, labeling and
21 inspection practices. Dr. Johnson came to the National
22 Turkey Federation from the National Food Processors,
23 where she served as Vice President for Food Safety
24 Programs, directing food safety activities related to
25 food inspections, passing inspection and crisis

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1 management. Dr. Johnson.

2 DR. JOHNSON: Thank you, Phil. Introductions
3 always make you feel so old, don't they? You think,
4 man, have I really been around that long? And I have.
5 I do have to say something about the whole football
6 discussion. Not that it is -- it's professional
7 football, but we in the D.C. area have a team. Now, up
8 until two weeks ago, we would never have admitted we had
9 a team. But last week we had some exciting news. Joe
10 Gibbs is now back as our coach. And then one of the
11 commercials over all the playoff hoopla I saw where they
12 were -- had something about, well, you've got six months
13 to get your story straight. And Coach Gibbs actually
14 now has six months to get the story straight. That's
15 all we're talking about in D. C. and Virginia, where I
16 live. If you ask about a former Cabinet Member,
17 O'Neill, they'll go, "Huh?" But if you go to president
18 of the Redskins, they go, "Oh, yeah." So we do have our
19 priorities straight. I do want to thank FSIS for
20 inviting me, and Martha Workman for calling one day and
21 saying, hey, let's go to Omaha in January. And I do
22 appreciate Dwayne arranging the weather so that we are
23 not at minus 20. I had to miss that. What I'd like to
24 do is talk a little bit about food safety challenges in
25 the poultry industry. And I'd like to go back from some

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1 of our farm challenges to what we always hear, the
2 little phrase, "farm to table," "farm to fork," "farm to
3 plate." However you want to phrase it, there are food
4 safety challenges within the production chain when we're
5 talking about meat and poultry products. And I have
6 been asked to talk specifically about poultry. And I
7 guess it's no surprise to anybody, when you look at food
8 safety challenges from the farm to the table, you know,
9 you can -- everybody can sit there and say, food-borne
10 pathogens. And while science is inconclusive on what
11 role animal agriculture plays in antibiotic resistance,
12 everyone has a responsibility ensuring that we are doing
13 everything possible to control any type of resistance
14 that may be developing. And one of the things I think
15 that's very important that is a key food safety
16 challenge at the consumer level is, of course, food
17 safety education. Dr. McKee kind of started my
18 discussion here. If you'll remember, back in the early
19 days, when Mr. Sinclair was writing his book and talking
20 about slaughter house and some of the problems that were
21 associated with the slaughter house, some of the
22 challenges were considered to be, typically, animal
23 disease. And animal disease concerns were the major
24 public health focus of the day, and that was the 4D, and
25 it consists of bad dying and disease in downed animals.

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1 And that was, in essence, the reason why USDA came
2 about, was to keep these animals, both meat and poultry,
3 out of the food supply. It was the disease, itself, at
4 that point, that was mostly considered to be the public
5 health concern. What the poultry industry has done to
6 address that challenge, and I think we have to say it's
7 worked, when we look at USDA condemn rates and the
8 number of diseased animals that are actually presented
9 for slaughter, we've started off with some new
10 innovations and technologies just in vaccination, and in
11 figuring ways to keep the birds healthy. We look at
12 some of our flock management. And if you look at some
13 of these poultry houses now, there are ventilation
14 systems, there are TOM [ph], there are heating and
15 cooling controls, and there are various different
16 systems in place that help to make the environment the
17 birds live in one in which a bird can be healthier.
18 You've also got hatchery controls, as well as just basic
19 breeding controls. Breeding animals that -- to try to
20 help promote resistance to certain diseases. And, as I
21 said, you can see with USDA numbers, it's worked. No
22 longer do we consider the animal disease, itself, to be
23 the issue. Some of our on-farm challenges that we need
24 to look at, basically, vertical transmission. There's
25 been some research that shows that you can't have

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1 pathogens from a hand to an egg. And Dr. Nelson Cox has
2 done some work in that. You'll have to forgive me, but
3 I have to make, "which came first, the chicken or the
4 egg," or in my case, the turkey or the egg. So we start
5 from this general. We've got an animal, and we are
6 going to have pathogens. Even in the cases where you
7 can, in a hatchery, have pathogen-free, salmonella-free,
8 once they've become -- come in the environment, all
9 that's wrong. So we have to do something to control the
10 poultry houses. Poultry houses, you have chickens, you
11 have litter, you have animals that are drinking, that
12 are eating, and that are in contact with one another.
13 You've also got pests. You've got rodents, you've got
14 wild birds, you've got insects, you've got people coming
15 in and out of houses. And you also have concerns, as I
16 said, with the food and the water, as well as the use of
17 antimicrobials. I'm sorry, antibiotics to consider for
18 microbial resistance. How have we addressed? As I
19 said, even with Salmonella-free poults, we still can't
20 guarantee that's the way they're going to stay. Once we
21 introduce them into an environment, contamination
22 occurs. We've looked at vaccinations, we've looked at
23 sanitation, both in the hatcheries, as well as in and
24 around our houses and our means of transporting poults
25 as well as birds to slaughter. Litter has been done.

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1 There's been a lot of research done on litter and the
2 pecking of the birds in the litter. The birds walk
3 around in the litter. What can we do to keep the
4 moisture content of the litter where it doesn't promote
5 pathogen growth? You've also got certain technologies
6 that have come about, or innovations through litter
7 treatments. And while they make no claim on food safety
8 concerns, the litter treatments help to acidify the
9 litter and make an environment that isn't -- that's
10 unfavorable to pathogens such as Salmonella. We've also
11 had Salmonella-free feed. And we've done a lot of work
12 on water. What is the best type of waterer that won't
13 leak, that will promote the animal to drinking, but
14 won't allow for puddles and water build up in the
15 litter? We've also looked at some research that's been
16 done on acidifying water, which helps to control the
17 pathogen within the animal. Once you have the pathogen
18 established in the gut of the animal, then you have the
19 shedding if an animal is stressed or diseased, and
20 animals pecking at litter. Another innovation that I
21 think is one of the most promising is the use of
22 probiotics on competitive exclusion products. Let's
23 give the animals, the poults in this case, or chicks, a
24 culture of bacteria of the good bugs that help keep out
25 the bad bugs. There are lots of companies and groups

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1 that are working on researching this. I know there's
2 work being done at the University of Arkansas. I think
3 Athens has done some work as well. And you've got some
4 companies that are trying to work through the process.
5 And when we look at trying to get approval on this
6 thing, we go back to FDA approvals and it gets very
7 difficult. And there's a lot of concern with trying to
8 identify the bacteria and what is considered to be a
9 very low presence of the good bacteria, being able to
10 isolate those out. And I would definitely encourage
11 USDA to continue the work that ARS is doing on the use
12 of bacterial starter cultures and try to move forward
13 and work with FDA on getting some of these approvals
14 through. So other work that's also being done is on
15 phages. They eat the bad bugs. And I think that shows
16 some very promising work as well. All of these can help
17 us with preventing introduction of pathogens into the
18 gut of the bird, as well as help with keeping the bird
19 healthy. When we look at some of our in-plant food
20 safety challenges, of course, the first thing that comes
21 to mind would be our food-borne pathogens. In poultry,
22 we have a lot of concerns over Salmonella,
23 Campylobacter, and, of course, Listeria in our cooked
24 product. When a bird goes to slaughter, as everyone
25 knows, it's eviscerated. There are concerns with the

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1 gut and gut leakage. You have cross contamination
2 concerns with birds piling up on belts. There were
3 concerns with birds in the chiller, birds in the
4 scalders. And you've also got concerns over people
5 handling. Just human contact of birds going here, there
6 and yonder throughout your plants. I think it's pretty
7 amazing. Turkey industry is not quite as automated as
8 the poultry industry. But if you go in the poultry
9 plant, and you just stand there and look, I mean it's
10 just amazing how anyone could think up an eviscerater.
11 I mean who did that? A transfer machine, automatic
12 transfer machine. I made the comment about the
13 eviscerater one day, and somebody goes -- Alice. It
14 was, obviously, somebody who stood there and hand
15 eviscerated all these chickens. And it would probably
16 be pretty easy that you could think you could automate
17 this thing. There's been a lot of advancement in
18 equipment over the years in poultry. We have looked at
19 counter flow scalders and chillers. We have
20 antimicrobial surfaces on the equipment. The picking
21 fingers. You've got modernization the total
22 evisceration line. And most of your chicken plants,
23 now, you don't see birds dropping from the picking room
24 on a belt being piled up. You've got automatic rehang.
25 You eliminate a lot of the contact with bird to bird as

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1 well as people hanging. You also eliminate the time it
2 takes to get the bird down the line, which is also
3 productive. One of the things that I don't think the
4 poultry industry gets a lot of credit over is chiller
5 management. I'd like to just say right now, chillers
6 are not evil. I know in the past -- I know in the past
7 that chillers have gotten a bad rap. Chillers,
8 scalders. We've talked fecals, too. And I'm going to
9 show you a picture of the old days. I'm going to show
10 you a picture, a little bit later on, of the new days.
11 The poultry industry has done a lot through the chiller.
12 The chiller can now be used, when properly managed, as
13 an intervention to help reduce pathogens. And there has
14 been some work on that. I know the National Turkey
15 Federation worked with David Caldwell and Alan Byrd in
16 Texas, and showed that, with proper management, with
17 proper interventions, that you can make some dramatic
18 improvements in your profile, microprofiles on your bird
19 through chiller management. And that includes some of
20 the substances. The antimicrobials we're putting in
21 there, as well as the counter flow. And you've got
22 cleaner birds going in. The whole approach from the
23 poultry side, as well as the red meat side, is a
24 multiple hurdle approach. You know, you start at the
25 first, and you handle birds in an appropriate manner.

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1 The technology has improved so that you are doing things
2 differently. And there's not one single step in a
3 poultry facility that you can say, bingo, that's it.
4 It's a hurdle approach, which all of these different
5 technologies and advances have worked to help reduce
6 Salmonella and Campylobacter numbers. Antimicrobial
7 rinses and washes. In the early days, we took an
8 antimicrobial through to the technology group. I think
9 it was probably one of the first outside of chlorine.
10 It took about eight months to get approval on that,
11 simply because nobody knew and nobody understood. Now
12 it takes a lot less time. And this chemical is still
13 being used, and it's being used effectively. But it's
14 encouraging to see that the progress that's been made
15 within the Agency, as well as the progress that's been
16 made within the industry to try to move forward with
17 some of these. We have a lot of rinses and washes that
18 we use, and we've come a long way with the best way to
19 apply those. Some of our new equipment and applying the
20 rinse cabinets, the inside/outside bird washer. They've
21 all made things a lot easier. Also, we're able to keep
22 things moving. And in the poultry industry, I think
23 that's the key. Don't have turkeys or chicken carcasses
24 hanging around waiting to go down the line before they
25 start the temperature reduction, and I think that's been

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1 a key. I attempted, very poorly evidently, to show just
2 some of the equipment that's been used. Some
3 inside/outside bird washers. And you can see the
4 nozzles. And I'd like to talk of -- let's go back to
5 this if we can, since it's all fuzzy. One of the things
6 that I think that's really improved the whole process
7 with the technologies is the ability to go beyond, do
8 the regulation waiver. And for the Agency to be moved
9 forward with looking at things. I think that most of
10 the poultry industry would agree that the moisture
11 regulation, or retained water in meat and poultry
12 products, when it first came out, I think everybody
13 thought, of my gosh. But with the elimination of the
14 concern over added moisture from the adulteration part
15 by the Agency, where the company has to label, it's
16 allowed us to open up a lot of these interventions which
17 are making a difference from a public health concern, as
18 far as our rinses, how we're using our rinses, where's
19 the best place to apply the rinses. We're still not
20 adulterated product. We're still within our food safety
21 concerns on our pathogens. We're still labeling
22 product. But we're out of, oh, don't touch the chiller,
23 don't do anything, because what if it adds moisture.
24 And I think the Agency, this reflects a shift the Agency
25 is going through, into the public health arena. When we

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1 talk about other in-plant challenges, we're looking at
2 the cooked product side. We've heard about some of the
3 high-pressure treatments that seem to be very effective.
4 Those things have become more and more practical. And,
5 basically, it's because industries have worked to try to
6 make them more practical. You've got a lot of ovens
7 that are being used now to reheat services once up to
8 prevent cross contamination. Again, you've got
9 equipment rinses for slicers. And then you've got the
10 whole issue of pasteurization, be it sting, be it
11 irradiation. Some of the keys, I think, to addressing
12 the in-plant food safety challenges, and I know most of
13 you will go, oh, no, here she goes again. I think HACCP
14 has really allowed the poultry, as well as the meat
15 industry, to move forward with process control and being
16 responsible, and has put the Agency in the appropriate
17 space as the verifier. You give me the appropriate
18 information, you give me the data, and we all joke about
19 how much data is enough when we're dealing with some of
20 the Agency officials. But HACCP has really made it so
21 that we can actually move forward with some of these
22 innovation or technologies without having the regulatory
23 obstacles. It's a true move, in my opinion, toward the
24 science-based controls that both the industry and the
25 agencies are hoping to get. I think we are -- we do

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1 have better process control, and we're able to look at
2 things differently. I think the HACCP inspection models
3 has done a lot to move forward some of our slaughter
4 technologies, and I'm interested to hear some of the
5 imaging technology that we're going to hear a little bit
6 later this afternoon. Again, I think the less you
7 handle birds when they're going down the line, probably
8 the better off we're going to be from a microbial
9 standpoint. And I think one of the big advantages that
10 we've seen over the years, as far as the technologies
11 and promoting technology, is public awareness. You
12 know, it's okay to look at some of these rinses and say,
13 well, you know, maybe that will work. Maybe we
14 shouldn't be afraid of that. Maybe it's not going to
15 affect our food, except in a positive way. We have had
16 some very recent struggles, and in talking to some folks
17 this morning, there are several rinses that are still
18 out there that we'd love to see concerns over from on
19 the part of FDA. And while we all appreciate the need
20 to be sure the science is appropriate, and we can
21 validate what we're doing, no one has any problem with
22 either FSIS or FDA making those kind of claims. We do
23 think that things should be speeded up a little bit.
24 Just as kind of a side note, I was told that there's
25 been some research done that says within using your

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1 mouthwash once a time -- one time a day is equivalent to
2 what this product will -- the residue that will be left
3 if you ate 90,000 pounds of poultry. Now, not that
4 we're opposed to anybody eating 90,000 pounds of
5 poultry, but, you know, -- and it's not a matter of FDA
6 not approving science. It's just a matter of
7 timeliness. And I would encourage the Agency to work
8 through those issues with FDA. Some sort of SOP where
9 periodically, the Agency talks to FDA and tries to time
10 table out how long an approval process is going through
11 would be very useful, I think. There are a lot of folks
12 in the poultry industry who are waiting some -- on some
13 of these interventions, and consider them to be very
14 appropriate in helping to achieve the goal of pathogen
15 reduction. And I would encourage the Agency to work
16 through that. I'd also encourage the Agency, and I
17 thought I had a slide, but hey. Oh, you know what?
18 That's my wonderful chiller slide that you're not going
19 to be able -- it was good. I'm -- I can tell you that,
20 on the standpoint of the chillers, we've gone from
21 simply filter socks to extremely complicated equipment
22 with micron loops, in which you pull water out of a
23 chiller, and it looks just like the water in D.C. Well,
24 maybe -- maybe better than the water in D.C. And, you
25 know, I thought the first -- when I first saw this

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1 picture with these interventions, I thought, ooh,
2 everybody's going to think I'm showing the four a.m.
3 early morning chiller chat. But it was actually at the
4 end of the shift, and the water's very clear. And, you
5 know, the chillers have become something that's a very
6 useful tool in pathogen reduction instead of in the
7 cross contamination issues we faced. If we look at
8 challenges from a fork, so to speak, I think some of the
9 big concerns we have, of course, are the reduction, the
10 prevalence of pathogens even on the raw products that
11 reach the consumer. We have certain packaging materials
12 that help us achieve that. We can educate the consumer
13 on handling, and as well as cooking temperatures. Some
14 of our temperature pop-up thermometers, while they're
15 not to be solely relied on, they help the consumer to
16 become more aware of pay attention to the time. And we
17 just need to start trying to focus both the poultry
18 industry, as well as the Agency, on getting the consumer
19 message out so that it's not something you think about
20 just because Alice shows up at the cookout, and now we
21 have to wash everything three times. It becomes a
22 regular habit. Again, some of the -- at the table, we
23 had -- we have modified atmosphere packaging, which not
24 only helps with our shelf life and keeping product
25 fresh. It also has some advantages from a pathogen

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1 standpoint. Certain formulations that have been
2 developed. Certain ingredients that help inhibit
3 pathogens so that if a pathogen is introduced in a
4 cooked product, that it inhibits the growth of that
5 pathogen. Temperature devices and food safety
6 education. I think the Agency, in the Partnership for
7 Food Safety, has done an excellent job. A little Fight
8 Back in Thermy are excellent role models. I actually
9 had a chance to meet -- saw Thermy at the Kennedy
10 Center. It's a pretty awesome thing. He almost took
11 out a chandelier, but that was okay. His backup band,
12 Thermy, was pretty good too. Some of our future
13 challenges, as far as food safety technology goes,
14 again, consumer education, both on the part of the
15 industry, the Agency and the media, should be a key
16 priority to keep food safety in the forefront, to not
17 let people think just because certain things have been
18 done, that they can do whatever they -- they can leave
19 the turkey in the car while they go to a soccer game. A
20 big challenge that we see in the turkey industry, I'm
21 not going to implicate the chicken guys on this one, and
22 the Agency is, but we've never done it that way. This
23 includes things like looking at technology. This
24 includes things about -- we talked about, well if it
25 affects inspection. And USDA has moved forward with if

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1 it affects inspection but can have an impact on public
2 health concerns, then we can do it differently. Simply
3 because we've done it for over 40 years. And I think if
4 you ever stand in one of the HACCP inspection model
5 projects, and you look, and you think, wow, who would
6 have ever thought we would have gotten away from, hey,
7 when I came in it was three inspectors. Somebody was at
8 the mirror, and then you had the viscera inspections.
9 And I think it's really made an improvement. Regulatory
10 obstacles. I would encourage the Agency to continue to
11 move forward with making regulations consistent with
12 HACCP, looking at a science-based approach. Definitely,
13 in the past, we've had regulations that have been an
14 obstacle to technology. And I think, with the new
15 group, and the Agency's commitment to moving forward, we
16 can erase this as one of our challenges. And the lack
17 of cooperation in research, we've still got to keep
18 everybody coordinated. When you read in some of the
19 documents FSIS has put out, and some of the
20 technologies, well, you know, the industry could do so-
21 and-so in hide, or, you know -- and we may very well
22 feel that way sometimes, but that's not the way we get
23 it done. We can't be suspicious of changes, we can't be
24 suspicious of technology when it's presented to us.
25 It's not a way to try to get out of anything. A lot of

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1 the folks will say, oh, you're rinsing everything away.
2 Again, the multiple hurdle approach. We're not rinsing
3 away all the sins of the raising the bird, you know. I
4 know I do everything at home to try to minimize my
5 exposure to bacteria, but yet before I eat my wonderful
6 turkey, I wash my hands. So, you know, the rinsing, the
7 -- we can't be suspicious of why we move forward with
8 technology. And I think that we need to move forward
9 and continue to do research. There's been a lot of good
10 work done. AMI Foundation continues to work with
11 different groups and grants and try to encourage
12 research. So, with that, I will be quiet. I just want
13 to say thank you, guys, again.

14 MR. DERFLER: Next we'll hear from Dr. Randall
15 Huffman. Dr. Huffman joined the American Meat Institute
16 in January of 2000. He manages the AMI's Foundation
17 Food Safety Research Agenda, assists members in finding
18 solutions to food safety and quality challenges, and
19 serves as the liaison between AMI and various scientific
20 organizations. The AMI Foundation has funded research
21 on E.coli 0157:H7 control, both on the farm and within
22 processing facilities. Among various responsibilities,
23 Dr. Huffman has been part of the AMIF with Listeria
24 intervention and control task force in the Beef
25 Processing Practices Task Force that developed and

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1 conducted multiple in-depth training workshops for
2 industry and government.

3 DR. HUFFMAN: Thank you, Phil. I appreciate
4 that introduction. And, I guess, for the last couple
5 weeks, like many of you in the room, I've also learned a
6 lot about BSE's. But, with that, I won't say any more
7 about it, since that's what Dr. McKee asked us. Here it
8 is. Well, good morning and thank you very much for
9 having me here today. I want to thank the organizers
10 from FSIS and the invitation to address you briefly.
11 And, as Alice mentioned, we were asked to talk about
12 both the challenges of food safety in our industry, as
13 well as the benefits to new technology. So I'll briefly
14 give you a perspective from the American Meat Institute,
15 which I represent. I actually represent the American
16 Meat Institute Foundation, as Phil mentioned. And the
17 American Meat Institute is the oldest and largest trade
18 association representing the meat industry. We've been
19 around since the early 1900s. And our members process
20 over 90 percent of the meat products in the U. S. I'd
21 first like to really applaud the FSIS for, first of all,
22 organizing this event. But more importantly, beginning
23 to put structure around the process of new technology
24 approval and raising the awareness of the importance of
25 this process. We, obviously, recognize the value of it,

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1 and, hopefully, we will continue to see improved
2 information sharing and streamlining of the process as
3 we move forward. This is a great -- I guess it's not
4 the first step, but certainly is a large step toward --
5 toward this process. So challenges. Just to get
6 everyone on the same page, probably not telling you
7 anything you don't already know, but we do have
8 significant challenges in harvesting livestock for meat
9 and poultry products, as Alice has pointed out. Just
10 briefly, our industry processes over 85 billion pounds
11 of red meat and poultry products a year. That's a huge
12 number. Forty-seven billion pounds of that comes from
13 red meat products. And that is -- that product is
14 produced by harvesting over 135 million head of cattle,
15 sheep and swine. And that doesn't take into account the
16 poultry side of the equation, but just on the red meat
17 side. So it's obvious that the harvest of these animals
18 requires a significant amount of effort on a daily basis
19 to both slaughter them in a humane fashion, handle them
20 in a humane way, and then produce products that are safe
21 for our consumers. I really wouldn't have to say
22 anything else. I think it's implied in that process,
23 itself, there are huge challenges to produce products
24 safely, given that environment. But to try to define
25 this in a little more context, using the HACCP approach,

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1 we generally look at hazards in three different
2 categories. Chemical, physical and microbiological. I
3 think it would be an oversight for us to not consider
4 the importance of both physical and chemical and hazards
5 in our processes. Over time, we've seen a dramatic
6 improvement in the elimination, or at least reduction of
7 these potential risks in our food products, enhanced
8 engineering of equipment, processing lines. Better
9 information about how to process products has
10 dramatically reduced the hazards associated with both
11 physical and chemical risks. Better methods of
12 detection have helped us along the way. Metal
13 detection, x-ray devices that are very useful, have
14 improved our ability to minimize those risks. But I
15 think most of us in the audience recognize that our
16 greatest challenge in our industry stem from
17 microbiological risks or hazards in the process. As we
18 all learned in our first microbiology class, organisms
19 only need food, water, and proper -- proper temperature,
20 and a little bit of time, and they can survive and grow.
21 And, obviously, meat products present the perfect
22 environment for bacteria to thrive. So we have that
23 challenge in front of us at the beginning. And,
24 certainly, in some cases, pathogenic bacteria such as
25 E.coli 0157:H7, Listeria monocytogenes, Salmonella.

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1 Various strains are potential hazards, microbiological
2 hazards, in our processes that we have to deal with. I
3 want to briefly show some data that some of you have
4 seen when I talked before. You've probably seen a few
5 of these graphs. But I think it's worth going over
6 again, and I've updated them with the most recent data
7 that I could find. And just to start off talking about
8 E.coli 0157:H7, this is the summation of the data from
9 FSIS. Routine sampling of ground beef in federal
10 establishment since the inception of the project back in
11 1995 through the end of 2003. And a couple of things
12 that are important to point out about this graph so we
13 understand the data, if you don't already know these
14 things. In 1998, about midyear, FSIS increased the
15 sample size for this program from 25 grams to 375 grams.
16 So we think that that contributed in some measure to an
17 increase of the percent positive 0157 samples. Then in
18 '99, I think it was, yes, in July of '99, FSIS adopted
19 the more sensitive analytical method using
20 immunomagnetic separation, which, again, contributed to,
21 we believe, at least in part, contributed to an increase
22 in percent positives in these samples, both in the year
23 '99, where about half of the year we had that increased
24 sensitivity, and then in 2000 was the first year where
25 we had that complete -- did a complete year. So it

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1 appears that the prevalence peaked somewhere in 2001.
2 But again, I think it's important to recognize that this
3 is below 1 percent positive for all the samples taken.
4 Approximately 6 to 8,000 samples a year are collected in
5 this program. And what's encouraging, of course, is the
6 downward trend that we see since 2001. Why this is
7 occurring, we can probably make a few educated guesses.
8 Hopefully, technology played a role in this. We think
9 that, certainly, it could be a factor. It's difficult
10 to measure. But we certainly hope it is. We do know,
11 in 2002, fall of 2002, industry, at the -- because of
12 the new directive from FSIS, began 100 percent sampling
13 of all trim destined for ground beef production, and
14 elimination of any positive lots. So we believe that
15 that probably contributed somewhat to this line, but we
16 can't rule out the impact of new technologies, better
17 implementation of those technologies, had on that
18 reduction. We certainly hope that these lower values
19 that we've seen in this past year, in 2003, will
20 continue going forward. More importantly, what's the
21 impact of E.coli 0157 on human health? And for a good
22 snapshot of that, we look to the CDC and the foodnet
23 data. This graph just depicts the rate or instance per
24 100,000 population for E.coli 0157 illnesses in the nine
25 central sites at the Food Net Program. So it's a good

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1 surrogate for illness rates across the entire U. S.
2 population. It's the best we have. This green lines
3 indicates the healthy people 2010 food safety objective,
4 if you will. It was set in the year 2000. And that was
5 set at an objective of one illness per hundred thousand
6 population for E.coli 0157. It's important also to note
7 that this program recognizes illnesses from all foods,
8 not just meat products. So, with all that explanation,
9 what do we see from this data? Well, probably not a
10 statistically significant decline, but if you use your
11 imagination, I think you can maybe show, at least some
12 downward pressure on that data. At least it's not
13 increasing. We can certainly say that with some
14 confidence. So our -- or the things we're implementing
15 in plants, the new technologies, the increased
16 surveillance in testing, are they having an impact on
17 public health? That's a question that we really need an
18 answer to. I don't think we can answer it with the two
19 graphs that I've just shown. But it's the best
20 indication that we have. And so we need to continue to
21 search for ways to answer that question. Briefly, on
22 Salmonella, in sampling ground beef, we see a
23 significant decline from '98, where it was about 6
24 percent positives, down to the last year of data that I
25 had was 2002, just a little over 2-1/2 percent. In the

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1 instance of food-borne Salmonellosis, in the Food Net
2 Program, definitely, we see a flat line, no declines at
3 all related to this. So we've got some work to do to
4 get down to this National Health objective of 6.8
5 illnesses per hundred thousand. Now, it's again
6 emphasized that this is not just measuring illnesses
7 from meat and poultry products. This is from all food-
8 borne illness. The final pathogen that I want to
9 provide some data on is *Listeria monocytogenes* in ready-
10 to-eat meat and poultry products. And this data, again,
11 is the FSIS routine monitoring for ready-to-eat products
12 since the inception back in 1990. I will point out that
13 any of these FSIS programs aren't necessarily developed
14 to provide a statistically valid sample of the entire
15 red meat/poultry population, but it some indicator that
16 we have. And, over time, I think it's very valuable
17 information. And, certainly, we see a dramatic
18 reduction in these percent positives for *Listeria* in our
19 ready-to-eat products over time. And, hopefully, we can
20 continue to see that number decrease with the
21 implementation of the interim final rule to control
22 *Listeria*. So what about *Listeriosis* in units? And here
23 we do, definitely, see a statistically significant
24 decline, and we're actually coming very close to the
25 National Health objective that was set in 2000 and,

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1 actually, was modified by President Clinton in a radio
2 address. So he actually reduced it even further, and
3 we're coming very close to that health objective. So
4 that's very encouraging for Listeriosis. So that gives
5 us kind of an overview of at least three of the
6 microbiological hazards that are challenges to our
7 industry on a daily basis. So what are the benefits of
8 new technology in helping us achieve safer food?
9 Obviously, the most important benefit is that we enhance
10 the safety to consumers. Reducing illness is the
11 primary benefit of any new food safety technology.
12 That's pretty obvious. There are a couple other
13 benefits that I think, you know, are ancillary that
14 shouldn't be overlooked, and need to be pointed out and
15 many an industry recognize this. This is a graph that I
16 have shown before, and some of you probably have seen.
17 And it just -- I only show it to just to paint a picture
18 of the impact of re-calls on our industry as a whole.
19 This is an example of the deli meat category, cold-pack
20 category. This is data collected by A. C. Nielson. For
21 a quarter, the second quarter of '98 through the second
22 quarter of 2000. And this happens to be during the span
23 when there were -- when there was at least one call for
24 ready-to-eat meat and poultry products. So, briefly,
25 let me explain the graph so you follow what's going on

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1 here. The red line represents total pound sales for the
2 entire cold-pack category. And those numbers are
3 depicted here on the right axis. And then the green
4 bars represent the percentage change in sales in that
5 quarter from the year previous. So we see a percent
6 change value here. A re-call occurred in late '98,
7 early '99, and we see a dramatic reduction in the
8 percent or pounds of sales for the entire category. Not
9 just the company involved in the re-call, but the entire
10 category of deli meat. And we see a reduction for two
11 quarters in the percent, percent section, the percent
12 change in sales over the previous year. So the fourth
13 year is that re-calls within our industry have dramatic
14 financial economic impact on our industry as a whole.
15 It doesn't just affect the company that is involved in
16 the re-call. And it's important to recognize this, and
17 I think our industry has recognized this, and has been
18 working diligently to share best practices and new
19 technologies. Just to reconfirm this, we also have the
20 data for the hotdog category from A. C. Nielson during a
21 similar timeframe, '98 to 2000. And there were
22 actually two re-calls during this period for hotdogs.
23 And we see, basically, the same effect during this,
24 after the re-call, the same loss of sales, that are
25 sales that are probably lost forever. Even though

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1 confidence does come back, and sales return to normal
2 levels, those are sales that were lost in several
3 corridors that have a financial impact on companies
4 involved in producing those. So, certainly, I make this
5 point just to show that technologies that improve food
6 safety not only help us make products safer for
7 consumers, but they also, potentially, can help
8 contribute to the bottom line. Another benefit,
9 ancillary benefit, of new technology is helping us
10 achieve regulatory compliance. And I just point out the
11 end or final rule for control of Listeria in ready-to-
12 eat products as an example. This took effect back in
13 October, and we're all in the process of implementing
14 that process. It's important, first of all, to point
15 out that this is a regulation that I feel does a great
16 job of encouraging development of new technology. It
17 has incentives built in that encourage the industry to
18 come up with new and better ways to produce ready-to-eat
19 meat and poultry products. So, in that sense, it's very
20 positive. But also, the benefits of new technology help
21 us to, not only with this regulation, but with many
22 other regulations, help us to achieve compliance with
23 those regulations. So, just briefly, those are three
24 benefits that I see in the new technology process. At
25 the risk of leaving out many technologies that have been

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1 successfully implemented, I just made a quick bullet
2 list of a few that came to mind, certainly not an all-
3 inclusive list. And we're going to hear talks later
4 about some of these. But here's a quick list of some
5 successful technologies that have been implemented in
6 the industry in various places. The use of organic acid
7 rinses on beef and pork carcasses. Steam pasteurization
8 caplets that are in place in many beef processing
9 plants. The use of irradiation in ground beef. Fecal
10 detection devices. I think we're going to hear a talk a
11 little later about these methodologies. The use of
12 antimicrobials in ready-to-eat products have become
13 adopted in many cases, and many are still pending. The
14 use of various post-lethality treatments such as heat
15 treatments infrared treatments have all been
16 successfully approved and implemented. There's a few
17 that have been brought to my attention that are pending
18 in some form. Now, some of these may or may not have
19 been submitted to the Office of Technology for review or
20 approval at this point, but, certainly, these are some
21 things that I'm aware of that are in the pipeline. And
22 some of these, obviously, FSIS, probably doesn't have
23 direct control over at this point in time. But the
24 petition to improve irradiation in ready-to-eat meats is
25 still pending at FDA. It has been since I've been

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1 employed at AMI for the last four years. So I guess
2 they'll keep it on the list until it is approved. A
3 very new application of irradiation is currently going
4 to be in the process of being researched, and at some
5 point there might be a petition or some notification to
6 seek approval for this. But irradiation of the carcass
7 surface. On the pre-harvest side, use of chlorine in
8 supplementation to reduce pathogens in the live animals.
9 Again, that is a technology that my understanding is is
10 that FDA, but certainly anything that FSIS can do to
11 encourage that approval would be helpful. Organic acids
12 on pork carcasses was brought to my attention by one of
13 our members. The increased level from 2-1/2 percent up
14 to 5 percent. That would be a very encouraging thing to
15 see that move forward quickly. And also another issue
16 that was brought to my attention was the use of new
17 chlorine dioxide generating devices in brine solutions.
18 So that was a very short list, and certainly not all
19 inclusive. I did my best working with many of our
20 member companies to come up with a list of some
21 recommendations that we could provide to the Agency.
22 And many of these you've already addressed in your talks
23 this morning. So I appreciate that, and point out that
24 there is web access to the approval process. And we did
25 a search yesterday and couldn't find it, but maybe that

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1 just shows my ineptness at searching your web site. So
2 I'll definitely look for that when I get back. But,
3 certainly, we think that's important. You mentioned
4 this morning, a standard operating procedure for the
5 process. We'd certainly encourage that. A written SOP,
6 so that we all understand, not only you understand
7 internally. And it sounds like you do understand the
8 process internally at FSIS. I think it would be helpful
9 for the industry and all potential submitters of new
10 technology to also understand that process thoroughly.
11 Recently, the risk analysis, Risk Assessment Division,
12 developed an SOP for the risk assessment process, and I
13 think something along those lines would be very useful,
14 and we would like to comment on that SOP. It would be
15 nice, within this portal, that the web site, to have a
16 list of all the improved technologies. I'm assuming
17 that is there, but since I haven't seen it yet, I don't
18 know. If it's not, I certainly would encourage that a
19 list of all the technologies that have been approved
20 would be there and updated on a regular basis.
21 Obviously, this was pointed out already this morning,
22 but we'd certainly encourage that FSIS work to further
23 streamline the coordination between FDA and any other
24 regulatory agencies, that they be involved in the
25 approval process. It doesn't seem, and from my

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1 perspective, that FDA has the same sense of urgency that
2 we need on some of these approvals, and anything that
3 FSIS can do to encourage that would be helpful. FSIS
4 should prioritize approval activities based on the
5 various food safety needs and should avoid delays in
6 urgent technologies. I think that goes without saying,
7 and maybe that should be part of the SOP, some mechanism
8 to make sure that happens. My last bullet there, FSIS
9 Office of Technology should work to communicate with
10 stakeholders on a regular basis. Meetings such as this
11 are great. And I'd encourage -- encourage you to
12 continue to look for opportunities to share what's new
13 with the industry. This is maybe something that wasn't
14 mentioned in your talks this morning so, hopefully, I
15 found one thing that you weren't already thinking about.
16 But it would seem appropriate to us that the Office of
17 New Technology staff be involved in the development of
18 FSIS and ARS research priorities. At least contributing
19 information to that process when those research
20 priorities are established on a regular basis. FSIS
21 should provide incentives for companies to adopt new
22 technologies through the implementation of policies that
23 reward companies for implementation. The Listeria final
24 rule is one example of a regulation that attempts to do
25 that. We would encourage more of that. Finally, this

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1 point was brought to my attention by one member. That
2 consideration should be given to meeting approval of
3 substances already listed as graphs by FDA, as long as
4 bacteria side effect can be demonstrated. This point
5 was addressed in an earlier question today. So just to
6 summarize, in the future, our industry, to be
7 successful, we're going to need new technologies.
8 There's no question about it. They've served us well in
9 the past, and they will, obviously, serve us well in the
10 future. We're going to need multiple interventions,
11 multiple validated interventions throughout the process,
12 through slaughter through processing, using
13 antimicrobial ingredients and antimicrobial processes
14 and mostly validate treatments. And, finally, to
15 summarize, our challenges are to minimize the occurrence
16 of microbiological as well as physical and chemical
17 hazards. And benefits are enhanced consumer safety and
18 satisfaction of our buying customers. That's the
19 critical benefit. But I think it's also important to
20 point out that technology is not going to get us there
21 alone. We're going to have to continue to, as an
22 industry, implement best practices, and work on training
23 and sharing of information within our industry to
24 achieve safer products. So, hopefully, we'll establish
25 enough hurdles so that those bugs just can't make the

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1 last one. So, with that, I'll stop. Thank you.

2 MR. DERFLER: Thank you. Okay, next we're
3 going to hear from Dr. Lynda Kelley. Dr. Kelley has
4 worked in the fields of animal health and food safety
5 for 20 years, over 20 years. Dr. Kelley has clinical
6 experience in food/animal medicine, both in academia and
7 in private practice. She worked for FSIS for ten years
8 as a veterinary diagnostic pathologist. She's also
9 supervised food safety methods development in the
10 laboratory in the USDA Agricultural Research Service.
11 Dr. Kelley is currently the Strategic Manager for
12 Research and Technology Transfer for FSIS. Dr. Kelley.

13 DR. KELLEY: Thanks for letting me come. I'm
14 excited to be in Nebraska today. I don't know too much
15 about the Nebraska football team, but I have to make a
16 comment that you've got a very much a national treasure
17 here in Nebraska. And I think one of the reasons the
18 meeting was scheduled here was because of the Tech
19 Center. But last week I was fortunate enough to visit
20 the Meat Animal Research Center at Clay Center and
21 Hastings, Nebraska. And that is a national treasure.
22 It really is. Even though I was here in the minus 20
23 degree temperature, it was worth the trip. I will say
24 many new technologies have been developed for the red
25 meat food safety have taken place here in Nebraska.

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1 Everything. Mohammed Koohmaraie is here today. I'm
2 excited to see him in the audience. And he will be
3 speaking later today. But the technology for the steam
4 vacuum was developed in his shop. He was responsible
5 for that. And the technology that was used a few weeks
6 ago to determine parentage, and the fact that the BSE
7 cow was sired by a Canadian bull. That technology was
8 developed in the MARC. So when it comes to winning the
9 Super Bowl, I think Nebraska has been at the bowl and
10 has won for many years. And I think, looking at the
11 team that's here this year, I think we'll have a good
12 year next year as well. So thanks for letting me come
13 and speak about technology. Some of the challenges that
14 we face. We do have the safest food supply in the
15 world. We're very, very fortunate. But we're not there
16 yet. We still have food-borne illness in the United
17 States. And I think our goal in the public health
18 sector is to reduce the number of illnesses as much as
19 possible to keep pushing the envelope. And I think as
20 we look at developing new technologies, one of the
21 things I'd like us to keep in mind, that we do sit in
22 the lap of luxury. We do have the safest food supply in
23 the world. But as we look globally, many nations are
24 not that fortunate. So, as we're developing
25 technologies for the future, I think many times we can

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1 think about what we can do for other countries as well.
2 Some of the challenges that we face are understanding of
3 many pathogens. How they persist in the environment, or
4 how they contaminate the food supply. And one of the
5 examples would be, as you look at in poultry, and Alice
6 has talked about Campylobacter and Salmonella in the
7 poultry products, and some of our pre-harvest
8 intervention strategies have been so successful. Stan
9 Bailey, who is in the audience today, was one of the key
10 scientists that determined that work at the hatching
11 cabinet would actually impact the numbers of Salmonella
12 that we saw in finished product. So that was a key area
13 that we could target in our intervention strategies. We
14 aren't as fortunate in Campylobacter because we don't
15 understand the microbial ecology of Campylobacter in the
16 poultry flocks. We're not -- we've not made those same
17 strides in pre-harvest interventions to be as successful
18 on Campylobacter. So a lot of the work still needs to
19 be done there. And I think Campylobacter would probably
20 need more safety and protection technologies to help us
21 understand that so that we can make as many strides in
22 Campylobacter re-harvest as we have in Salmonella in
23 poultry. How they contaminate the food supply, that's a
24 concern. And I think Listeria is a prime example of
25 that. Many times, we still don't understand all the

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1 different ways that our environment can contribute to
2 post-harvest contamination of Listeria. For some
3 pathogens, we don't know how much must be present in
4 foods for there to be a risk of illness. One of those
5 examples, again, is Campylobacter. We're not able to do
6 human testing now because of the problems with getting
7 brought there, even in strains that were not supposed to
8 cause these long-term sequela. So we need to look at
9 other models. And I think a successful test for like in
10 vitro toxicity was the Drays Test. We used to test
11 cosmetics. Rabbit size, regardless of if we knew what
12 it was going to be caustic or not. And now, because of
13 corneal cell lines that were developed at Hopkins, we
14 can do a lot of our in vitro testing on that. It's a
15 very sensitive out site for toxicity. We'd like to have
16 similar models like that in the food world. And I know
17 people are doing a lot now with GI cell lines to look at
18 in vitro testing for food pathogens, and that would be
19 useful, particularly in things like non -- the non 0157
20 shigatoxin. Shigatoxin producing E.coli. Not all those
21 are pathogenic to people. But what are screens that
22 will tell us which ones of those are ones that we need
23 to be concerned about in the food supply? For other
24 pathogens, we don't have the ability to detect their
25 presence in things. You know, this is a common example

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1 of that. For many of the food-borne viruses, we don't
2 get to have technologies to detect those in foods.
3 Another challenge that we face today in food safety is
4 globalization of our food market. Increasing quantities
5 of imported food flow into this country daily. And the
6 rising volume of imported foods dramatically increases
7 the number of potential sources of food contamination.
8 We benefit from the imports. We have lettuce and
9 strawberries and raspberries and exotic foods year
10 round, and it's delightful to have those choices, but it
11 really challenges us in the food safety arena to make
12 sure those foods are safe. We want the same level of
13 protection for consumers for both domestic and imported
14 food. The food safety world has changed since I've been
15 in it. It used to be that most of the majority of the
16 food that we consumed was prepared in the home. That is
17 no longer the case today. And if you just look at your
18 neighbor, as you're in your parking lot on the way to
19 work on the interstate, you can see most people consume
20 their breakfast on the way to work. And it's
21 enlightening to me to realize that 50 cents of every
22 food dollar is spent on food prepared outside the home.
23 That really changes the way that we need to look at food
24 safety. As more food workers become involved in
25 preparing our meals the opportunity for disease causing

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1 here is also increasing. The delayed time before
2 consumption and the lack of refrigeration, that's going
3 to require even lower pathogen loads on our foods as we
4 have a new meaning for the "meals on wheels."
5 Antimicrobial additives and preservatives are more
6 important as we make sure that pathogens don't increase
7 in the foods as they're stored before they're consumed.
8 And the innovative packaging is going to play a role.
9 I'm excited to hear about what Marlene Janes is going to
10 say today because I think that may play a role in foods
11 that are consumed and their shelf life. We need to be
12 very concerned about that. Another food safety
13 challenge that we face is even though we've done a lot,
14 spent a lot of emphasis on food safety education, we
15 have food safety web sites set up for training, we have
16 report outbreaks that are on the web, we still have food
17 processors, restaurants, food service workers,
18 supermarket managers and consumers that are unaware of
19 how to protect food from the food-borne contaminants.
20 So we need technology that will provide innovative ways
21 to educate our food handlers. Another challenge that we
22 face today, and this is growing, is the population with
23 increased susceptibility to food-borne infections. It's
24 increasing. One-fourth of our population in the United
25 States now is immunologically challenged. That's a

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1 tremendous number of people that have lowered immunity
2 due to HIV and AIDS, those on medication for cancer
3 treatment or organ transplantation, pregnant women,
4 young children and the elderly. And the consequences of
5 food-borne disease are also particularly serious for
6 those with inadequate access to healthcare, such as
7 homeless people, migrant farm workers, and others of low
8 socioeconomic status. Many of them are similar to those
9 in other countries. If they develop food-borne illness
10 and become dehydrated, this is a life-threatening
11 illness for those who don't have healthcare. New food-
12 borne pathogens have emerged over the past ten years.
13 And as our world changes, and as animals are in closer
14 contact with wildlife, as it's pushed in closer to where
15 we live, and crowded with people, we will continue to
16 see newly emerging food-borne pathogens. Some of these
17 organisms can't be readily protected either to a lack of
18 suitable methods or their spreading occurrence in foods.
19 So we need robust, validated methods for many food-borne
20 viruses, for some bacteria, for new key levels in
21 pesticides and for emergent pathogens. Certain
22 pathogens are increasingly associated with resistance to
23 traditional controls such as heating, refrigeration and
24 acid. And the physiological and genetic basis of
25 resistance are not understood well enough to prevent

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1 breakthrough of these newly emergent pathogens, that
2 research is needed to guide improvement in traditional
3 techniques and the development of new interventions to
4 control these emergent pathogens. Prevention of
5 pathogens in food requires an understanding of how food
6 becomes contaminated during the production, processing
7 and distribution. So the computer or visual imaging is
8 important because we can see as food goes through the
9 processing plant, where contamination is taking place.
10 With this imaging, it's going to be important to make
11 sure that these are correlated with microbial
12 contamination of the carcass so that we can speed that
13 and use the digital imaging as a method of more
14 sensitive detection. For microbial sampling, we need
15 more rapid methods, and I think one of the things with
16 rapid methods, as we have the test in home technology
17 now, and this is something that was mentioned this
18 morning, that now when lots of trend that are going
19 forth grinding. Now they are being tested. Those lots
20 are being held before they go into the food supply. But
21 I think in order to assist them, we need more rapid
22 methods that can work with them. Right now, I think the
23 lots are many times held, what, up to 18 hours or
24 longer? Is that right? Yeah. So that's a -- that's a
25 -- that's a cost to the industry. So if we have newer

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1 technologies that we can give them an answer in a short
2 amount of time, that would assist industry in this test
3 and hold process that has dramatically reduced the
4 number of recalls that we see of 0157. One of the
5 things that we need is better enrichment procedures
6 because we have rapid -- we've got PCR technology that
7 can give you an answer in four -- two to four hours.
8 But the problem is you've got to be able to get that
9 pathogen out of the food matrices so that you can detect
10 it. So better enrichment procedures, better select
11 processes to get -- and better sample. The sample
12 handling is the crux of the matter. And so we need
13 better sampling matters for testing of the product.
14 Contaminants are introduced into the food supply at
15 numerous points along the way from farm to table. And I
16 mentioned Stan's work with the hatching cabinets.
17 Mohammed has done a lot of work with hide pulling. And
18 other intervention strategies must also be developed for
19 steps from farm to table. Research and microbial
20 ecology of food-borne pathogens with the goals of
21 limiting initial colonization of animals. And Alice
22 mentioned the technology challenges we've had with
23 competitive exclusion cultures that have been very
24 effective with Salmonella, but we've not been able to
25 get them into approval and into the marketplace. We'd

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1 like to see that take place. Other probiotics,
2 development of vaccines. And with Salmonella we didn't
3 think it was much of -- as much of an issue, but now
4 with E.coli 0157 we're seeing that the animal feeds may
5 very well be a significant source of the food-borne
6 pathogens in the food supply. We need to develop new
7 techniques for eliminating animal feeds as a source of
8 those pathogens. New methods have been developed to
9 reduce or eliminate pathogenic microorganisms from
10 agricultural animals before slaughter. And we've
11 mentioned the sodium chloride. That's an effective
12 method for ridding the animals of 0157 before they go to
13 slaughter. We need to see that approved in the
14 marketplace. Many technologies have been developed for
15 decontamination of meat carcasses and poultry carcasses.
16 We have thermal decontamination, a hot water spray.
17 It's exciting the sales with FSIS from '87 to '97 and
18 now back, and it's exciting to see many of the new
19 technologies and innovation strategies that are present
20 in the plans. And I think are responsible for many of
21 the reductions in the pathogens or the carcass. There's
22 a tremendous difference from the pathogen line that's on
23 the carcass, on the hide, and then when you look at that
24 carcass at the end of all the intervention strategies.
25 What a delight to see the quality in the carcass that's

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1 coming out in the finished product. Hot water spraying,
2 pasteurized steam, steam bath and spot cleaning,
3 chemical decontamination with many of the chemicals that
4 are safe. The hot washers and, as Randy mentioned, the
5 submergible, multiple hurdle technologies. Okay,
6 knowledge and solutions. I think we also feel more and
7 more we're looking at ionizing radiation. Some of the
8 problems have been limited availability and consumer
9 acceptance. And the most widely used, right now, is
10 with spices, herbs and seasonings. Most of those that
11 we use have been irradiated. And we're seeing more use
12 in fruits and vegetables and poultry. And more
13 recently, meat and shallots have been approved. And
14 we're seeing the use of these products in healthcare
15 facilities for the people that are immune compromised.
16 Technology solutions. I think we can expand the search
17 on new methods of decontamination of meat and poultry
18 and egg products. One of the technologies that was
19 developed for Dogro [ph], for the Department of Defense,
20 nano-emulsions where soybean oil is made into a nano-
21 emulsion because it was good for decontaminated surfaces
22 for Anthrax. We're now seeing that applied to shell
23 eggs, and it's exciting to see these type of
24 technologies applied to the food safety industry. Some
25 of the new technologies for chilling that can be applied

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1 to poultry to reduce the Campylobacter life. So I'm
2 excited at where we are today. Again, the technology
3 for the test and hold, the computer imaging systems, and
4 more effective disinfection schemes for processing
5 equipment and facilities. Improved food attribution is
6 something that I think we're going to have to address to
7 get the food-borne illness decreasing more pulse net.
8 That takes advantage of the fingerprinting of bacteria,
9 has been a key to rapidly detecting and containing
10 numerous outbreaks of food-borne illness. It's led to
11 significant recalls in multiple states. We'd like to
12 see that expanded to more states so that we have earlier
13 warning when we have a problem, and to look at other
14 technologies that will even be more sensitive to
15 fingerprinting such as the MAP [ph] were. We need
16 increased sampling for surveillance of imported foods.
17 And I think that could be automated with new technology.
18 And we need new methods for testing as new food matrices
19 hit the U. S. market. And the tracking technologies
20 exist and are being adapted for use in the food
21 industry. Now the parentage technique that I talked
22 about that was used recently could be used. That same
23 type of DNA trace back may be able to be used for food-
24 borne pathogens as well. So I'm excited about the state
25 of technology and research in the U. S. today, and I

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1 think as we employ these technologies and work together,
2 industry, regulatory agents and the research world, I
3 think we're going to make even more exciting progress in
4 the future. Thank you.

5 MR. DERFLER: We're about 15 minutes behind
6 schedule, but if anybody wants to ask questions, let's
7 do it now, and then we'll take a break. Any questions
8 of the panel? Sure. Okay, let's come back at 11:30.

9 ***

10 [Recess]

11 ***

12 MR. DERFLER: We can get started. What we're
13 going to do now is shift gears a little bit, and we're
14 going to start focusing on some specific technologies
15 that are being developed specifically with respect to
16 the rest of the morning to meat. And the first talk
17 will be on detection of fecal contamination on carcasses
18 by Dr. Mark Rasmussen. Dr. Rasmussen is a
19 microbiologist and research leader of the Pre-Harvest
20 Food Safety and Enteric Diseases Research Unit at the
21 National Animal Disease Center, ARS, in Ames, Iowa. His
22 research specialty is digestive physiology and rumen
23 microbiology in that national center. His work is
24 directed toward hazards caused by bacteria and toxins
25 that give rise to disease in domestic livestock and

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1 humans. Current research projects include rumen
2 acidosis, ecology of E.coli in the ruminant track, gut
3 metabolism of planned secondary compounds, and
4 development of carcass inspection imaging technology.
5 Prior to his ARS appointment, Dr. Rasmussen was a
6 research scientist at Eastman Kodak in Kingsport,
7 Tennessee. Dr. Rasmussen.

8 DR. RASMUSSEN: Thank you. I am glad to be
9 here today. I thought we had a lot of construction
10 going on at Ames over at the University, and then, of
11 course, on our USDA campus. But downtown Omaha seems to
12 have us beat. We came down a one-way street the wrong
13 way last night trying to get to the motel. So when
14 there's a bus coming at you, you realize you're doing
15 something wrong. So, yes, today, I just want to briefly
16 describe our work with detection of fecal contamination
17 on carcasses. Primarily, beef carcasses. And I'd like
18 to acknowledge Tom Casey, who's in the audience. He's
19 also at PMABC and a member of the Emerged Development
20 Team, Al Gatz, is also here. So if there's any
21 particular detailed questions about the technology, I'm
22 going to punt them over to Al. When we first started
23 this project, well it's been over five years ago now,
24 Tom and I, these were some of the issues that were --
25 had arisen at the time. In fact, that FSIS had declared

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1 fecal contamination to be -- to be at zero tolerance. A
2 lot of people were working on coming up with specific
3 bacterial tests for detection, contamination. And we
4 were thinking about it differently. Could we come up
5 with more general contamination or cleanliness tests?
6 And that's where the idea of looking at markers in feces
7 came from. So we developed this to the point. Little
8 did we know that it was going to take quite so long to
9 commercialize something. You know, as scientists, you
10 think, well you have a great idea. You do a little -- a
11 few experiments to prove the concept, and in the story,
12 you know, you put it in a package and send it out. But
13 now it has since taught us there's, you know, that
14 science is about 5 percent, perhaps, of the process in
15 getting some sort of product out to market. So, anyway,
16 when we first looked at the specter of various samples
17 of cow manure and GI track digestant and so forth, this
18 is the kind of information we obtained. Initially, we
19 were looking over here in the 500 ampere range. That's
20 because we were looking for a specific code factor in
21 some bacteria we got in cattle called mathenogens [ph],
22 that is known to fluoresce. But when we actually ran
23 the samples we saw this huge peak over there or red
24 region of about 670 nanometers. And our colleague at
25 Idaho State is actually a photo chemist in the Chemistry

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1 Department, really focused in on that and said that
2 would be a very useful possibility as a marker. So, to
3 make a long story short in terms of what's going on
4 there, any kind of animal that eats green plant
5 material, they're consuming large quantities of
6 chlorophyll. And in the digestion process, chlorophyll
7 is degraded where the isoprene tail is removed from the
8 ring structure and the metal line is taken out of the
9 center of the ring. And, as a consequence, you get down
10 here to some very fluorescent molecules. In particular,
11 for animals, and in our case, the fecal detection,
12 fioforbides [ph] seems to be the predominant molecule
13 that is very fluorescent. In terms of just giving you
14 some demonstrations, then also this is some of the
15 things we did to convince ourselves that this had the
16 potential to work, we took, basically, a commercial gel
17 imaging system and modified it by putting different
18 lamps in it and so forth, and using the CCD camera.
19 And, in this case, we took a chicken leg and smeared
20 some feces on it. And in panel "A" that's the chicken
21 leg in broad spectrum light. Panel "B" is looking at
22 the spectrum at the important labeling of 675
23 nanometers. And Panel "C" is looking at it at 610. I
24 should bounce back a minute. On this spectrum, we do a
25 subtraction of a wavelength in the lower six hundreds

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1 from the predominant wavelength of 675, so it gives us a
2 cleaner image. And then, finally, "D" is that correct
3 image where you track those two wavelengths. So,
4 essentially, all you see is the dirty spot in the meat,
5 itself, meat goes away. So in conjunction with Jake
6 Petrick [ph] over at Ohio State, as I mentioned, our
7 colleague, we went through a design process to build a
8 prototype that we could use to demonstrate this concept
9 to people. And this is simply a schematic of this using
10 a fiber optic. As the excitation light goes down this
11 fiber optic, then the return light, the fluorescent
12 light, also comes up that same fiber optic, goes through
13 a series of dichroic mirrors to the photo multiplier
14 tubes, and then the image is analyzed. This was our
15 first bench-top prototype, where we were cycling off
16 light from a big laser, and there's a lot of black
17 electrical tape used there on our PVC pipe for our light
18 chaser. Ultimately, we got that onto a little portable
19 model, which didn't provide nearly -- simply provided a
20 digital readout, so when you passed over a dirty spot on
21 a sample, the numbers went up. And then when we moved
22 away from it, the numbers went down. So we took this
23 out on the road, showed people, if they're interested,
24 eventually, in searching around for a collaborator and
25 somebody that helps commercialize this, we ended up with

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1 Emerge down in Florida. And one of the reasons was that
2 they had done other interesting imaging projects in the
3 past. Both these were infrared imaging systems. This
4 one for on-board ship detection system for boats in fog
5 and so forth. The Navy was having problems, I
6 understand, running over wooden boats over in Asia
7 because the radar didn't pick them up very well. Small
8 wooden boats. So this allowed them to have another way
9 of seeing out in front of the ship. And then also, they
10 developed a very sensitive infrared camera for the horse
11 racing industry to look for sore joints and so forth
12 like that and leg sprains. Here is another
13 demonstration of that in broad visible light. Here
14 we've got both a vial of our standard chlorophyll
15 metabolite light and, obviously, dirty piece of meat.
16 And then this is the same image, but it's only looking
17 at it in the red region, in that 675 nanometers of
18 light. Again, we built -- again, Al primarily built a
19 larger prototype which we took to a university, a meat
20 plant, in order to further develop this. And this is
21 simply when the excitation light is on in this chamber,
22 shining on a carcass. This is what that initial
23 prototype looked like. There were two light sources.
24 Those larger blue with bluish colored windows. And
25 there were cameras in the middle in that smaller window.

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1 And this is another emerge fellow, Rick Blake, who was
2 also involved in the development of this. And again,
3 similar to the chicken image, here is a series of images
4 on a carcass, where you've got the process image as the
5 final point, where you're seeing, primarily, just the
6 contamination spots and not a lot of background. Here
7 is an example where they trimmed off a piece of
8 contamination, but in the process, they smeared it with
9 a knife, and so you can still the -- some of the
10 smearing that was left on the carcass. Here are just
11 some other images again. What was learned down at this
12 university meat plant was that at times you could even
13 see handprints put on a carcass when they pushed the
14 carcass along or something. This, obviously, wasn't a
15 commercial plant. They were doing this on a kind of a
16 specialized basis for us. And they were doing their
17 darndest [sic] to make a clean carcass, but were
18 surprised when we still could find some things. So,
19 anyway, in terms of coming forward with actual full-
20 scale commercial design for a full carcass scanner, this
21 is a drawing that Emerge developed to demonstrate how
22 this thing would look. There could be based on a tower
23 system with three different modules put together. And
24 this is the final product as opposed to where here it
25 shows mercury vapor, special mercury vapor lamps as the

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1 excitation source. That turned out to be problematic in
2 terms of shut -- turning up -- turning on the system,
3 cooling needs and so forth. And there wasn't as long a
4 life expectancy on that, on that light. And so they
5 ultimately went to blue diodes. And this is the current
6 prototype that's in the Excel plan up at Schuyler. And
7 there's about 40,000 blue diodes in each tower. Al
8 tells me now that the tower is going to have, what, over
9 a ten-foot viewing height. This one was a little
10 shorter at the time it was first put in. It didn't see
11 quite that -- quite that distance. And this is simply
12 some other views of it. As the carcass half comes by,
13 it's triggered, and the diodes all flash. Those dark
14 spots in the middle of the diode array are where the
15 cameras are. And, of course, that then picks up the
16 return fluorescent light off of that -- off of that
17 carcass. In addition to the full-scale carcass on a
18 design, Emerge has also come up with a handheld unit.
19 This has been a little more challenging in that it
20 doesn't have all of the bells and whistles and control
21 features. But up at the top you'll see, on the box
22 above the little TV screen, there is a distance sensing
23 mechanism in there that you do a green light when you're
24 at the appropriate distance. And this is simply some
25 views of using that handheld on various parts of a

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1 carcass. And there's Al down there looking at a shank
2 on a carcass. So we get a lot of questions about how
3 sensitive this is. First of all, I want to emphasize
4 this is not a bacteria detector. I mean this is
5 detecting a marker in feces. Now, obviously, feces is
6 one of the major ways in which bacteria contaminate a
7 carcass. But there are, obviously, other ways. So
8 bacteria have arrived on the surface of a carcass by
9 other means. We're not going to see them with this,
10 with this technology. The other big question we have --
11 had because we were relying on green plant material with
12 a diode for a signal, there were questions about feedlot
13 diets in the United States where it's predominantly
14 corn. And so Emerge, we hooked up to some feedlot
15 nutritionists, and we brought in samples from all over
16 the country of various feedlots, and everything from
17 leftover food waste, cooking waste, that's a predominant
18 part of diets in some localized areas, to your standard
19 corn, soy, and for the most part, with sufficient
20 sensitivity of the CIS system, there's still enough
21 marker there to see. Because most every ruminant does
22 get some green plant material. And we even did an
23 experiment at our lab where we took a -- some cows on
24 put them on straw diets. And it takes about two to
25 three weeks to flush the GI tract of green plant

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1 material where you don't see any signal left in the
2 feces. Simply because of the bulk in the rumen and so
3 forth, it takes a while to clean the system out, so to
4 speak. In terms of fluorescence, sensitivity depends on
5 many things. As I mentioned, diet, the intense state of
6 the excitation line, how long you want to integrate your
7 signal for. And these are simply numbers that we did at
8 trying to arrive at this. Certainly, with our pure
9 compound, we could do that with an animal or
10 concentrations and still get fluorescence. And we also
11 took feces and, basically, started weighing it out in
12 smaller and smaller quantities to do detection. And the
13 bottom line, I guess, is commercial designed is
14 certainly more sensitive than visual, which is the
15 current standard for looking for -- so, in summary, then
16 we've used chlorophyll metabolites as our surrogate
17 marker for fecal contamination. It's sensitive. It's
18 useful because it allows real time detection. It's
19 useful for quality control of process improvement. I
20 mean in addition to railing off carcasses, our -- just
21 one of the primary strengths, we believe, of this system
22 is that it gives information. Information in order to
23 adjust the process upstream, so that there's prevention
24 as opposed to I've got a dirty carcass, what do I do
25 with it now? And the feedback that we get from Excel at

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1 this point is pretty favorable. They're seeing
2 improvements in their meat product quality to the point
3 of where they have given Al and Emerge the go ahead to
4 install this system, this large, full-scale system, in
5 the rest of their plants. So I think most of the
6 shakedown has taken place out at Schuyler, and he's got
7 a final commercial unit that's ready to be stamped out,
8 and so we've also been looking at this in addition to
9 beef, to other animal species, and also human
10 application. Just to give you kind of a gee whiz photo
11 of some of the volunteer's hand, in which we diluted out
12 sample of the fingers, but to acknowledge that we
13 weren't the first to think of this. Larson found this
14 back in the early nineties, although maybe he didn't
15 know exactly what the technology would be and how to
16 implement it. And I'm not sure we would implement it
17 quite in this manner either. We are looking at that
18 possibility. So thank you.

19 MR. DERFLER: Thank you, Dr. Rasmussen. Next
20 we're going to hear from Dr. Mohammed Koohmaraie. Dr.
21 Koohmaraie has responsibility for post-harvest food
22 safety at the Roman Fresca U. S. Meat Animal Research
23 Center of the United States Department of Agriculture's
24 Agriculture Research Service. He works closely with
25 members of the meat industry to design projects to

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1 address food safety problems relevant to industry. The
2 focal point of these projects is the development of
3 methodology to assess the prevalence of key food-borne
4 pathogens and intervention strategies to reduce or
5 eliminate these pathogens from the meat food supply.
6 And he also responds to the research needs of FSIS. Dr.
7 Koohmaraie.

8 DR. KOOHMARAIE: Good morning and thank you
9 for the introduction. I'd like to make a small
10 correction in the program. It says small plants. All
11 of our effort so far has been directed toward the large
12 plants, and we'll be working with anyone that's
13 interested to extend that to small plants. In fact, one
14 of the earlier FSIS colleagues there's a university
15 that's working on small plants. I would love to know
16 who that is so we can help. This is the elimination of
17 reduction of E.coli 0157:H7 as a pathogen in red meat,
18 is one of the major focuses for Agricultural Research
19 Service, which I am employed by them. So since 1999 we
20 have been working in the plants and trying to determine
21 the source of E.coli 0157:H7 on beef, and more
22 importantly, the method by which 0157:H7 is transferred
23 onto the carcass. We had done a tremendous amount of
24 work that, basically, convinced us that hide was a major
25 source of E.coli 0157:H7. Before going too far, let me

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1 show you the -- most of you are probably familiar with
2 this scheme. I'm going to be showing data just after
3 the hide is removed. These numbers are going to be very
4 large or big, a prevalence. But don't be alarmed. This
5 is basically the baseline for the interventions. And,
6 in fact, when you get those numbers, you will agree with
7 what Lynda said on how far we have come in terms of
8 eliminating or reducing 0157 on beef. I'll show you
9 some of the data that convinced us that we should focus
10 on the hide. These are eight different plants, a number
11 of observations for each of these studies. This shows
12 the hide prevalence. You can see they're very high all
13 the way from 77 percent to 29 percent. Then you see, on
14 the last, on the carcass, this is just after the hide
15 was removed. This is the area we sampled to basically
16 determine the transfer onto the carcass. This is
17 another data set that we looked at 0157:H7 in three
18 different processing plants throughout a year. And
19 first you will see the hide, first column. Second,
20 species. Third is carcasses after the hide removal, and
21 then you see the post wash. If you look at pre-
22 visceration and look at feces prevalence and hide
23 prevalence, I think you would agree with me that feces
24 cannot be the source of 0157:H7 that ends up on the
25 carcass. For example, in spring we had 78 -- 73 percent

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1 on the hide, 3.9 percent of feces, and about 39 percent
2 on the carcass. So we wanted to test this hypotheses
3 because it's very important for our hypothesis to be
4 correct because this is going to set the direction where
5 we're going. And it's important to recognize that these
6 are about the same time, that it's a community who are
7 deciding what to focus on. For example, we were talking
8 about putting -- giving something to cattle two or three
9 days before slaughter to eliminate 0157 in feces, then
10 take them to slaughter. Well, hide, hide is a main
11 source of 0157. That hypothesis will not really hold.
12 So to test this hypothesis we used chemical de-hairing.
13 And I want to emphasize, the only reason we used
14 chemical de-hairing because this is the best way that we
15 could test our hypothesis. So we went to Future Beef
16 Operation, which we're operational at that time. We
17 sampled 240 carcasses. We treated, we sampled the hide,
18 sampled the hides before they go into chemical
19 treatment, so we sampled the control and the hides
20 before any -- control and chemical de-hairing before any
21 treatment, then we sampled the pre-visceration
22 carcasses. And then the -- 0157:H7. For those of you
23 who do not know much about chemical de-hairing,
24 basically, carcasses are stung, they're put through this
25 large, long L-shaped cabinet, and they go through

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1 chemical de-hairing, and the carcasses de-hair very much
2 like a harper, just when they come out. Again, I'll be
3 showing data from hides and carcasses after the hide
4 removal. This is the hide from controlled. We combined
5 controlled and de-haired carcasses. There was no
6 difference for hide. There was about 78 positive on
7 hide if we did not do chemical de-hairing, 50 percent of
8 the carcasses were positive for E.coli 0157:H7. Without
9 the chemical de-hairing, only 1.3 percent were positive.
10 Clearly, these are other indicator organisms, which I'll
11 not take great time to discuss it too much in the
12 interest of time. But, basically, they're all going
13 that -- going the wrong direction. So it basically
14 proved that our hypothesis was correct. And I want to
15 emphasize again, the sole purpose of this experiment was
16 to demonstrate the validity of concentrating an
17 industry-wide effort on hide intervention to reduce or
18 eliminate 0157 from the red meat supply. For the --
19 reason chemical de-hairing is not feasible, and so we
20 began a project to work with many industry partners to
21 develop alternatives to chemical de-hairing. We knew
22 the concept was good, so we wanted to know what other
23 chemical intervention we could use to basically give us
24 the same. Most of us believed that intervention, having
25 planned the X, but they have capacity to reduce certain

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1 number of 0157 from this. And most of the time we don't
2 have any problem. But when the incoming cattle load
3 exceeds the capacity of the intervention, that's when we
4 have the problem. So we're trying to bring the incoming
5 load in line with the capacity of the intervention. So
6 we don't need to go zero percent prevalence in hide, but
7 we need to bring it down again for intervention to be
8 able to eliminate 0157 and the risk. So this is a --
9 it's been a great project. We've worked with most
10 members of the industry, almost all of them, actually,
11 and Colorado State University and so, basically, with
12 this project. So this is the basement for the startup
13 lab, became our laboratory for a while. The folks at
14 Excel have become very creative. They put these two
15 barrels together to become an external unit. They will
16 remove hides from the floor, fresh off the floor, direct
17 into these barrels, and it will become an experimental
18 unit. I'm going to talk to you about a -- one of the
19 chemical compounds that's worked with -- worked with
20 CPC. That's the same product now that Alice, I believe,
21 mentioned is in front of -- has been in front of FDA for
22 several years to get approval to use for poultry. But
23 we worked with other chemicals. And some of these
24 chemicals are proprietary for the company we work with,
25 and I'm sure they will release them just as soon as they

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1 are approved. But I'm going to tell you about CPC, but
2 by all means, this is not the only one. There will be
3 others that can do the same, but CPC happened to be very
4 effective, and I'll show you. So this is the kind of
5 experiment we will do. We will treat hide with CPC,
6 basically using the same guideline, and you can see we
7 can do in a packing plant in terms of dwell time and
8 pressure, et cetera. And after that, we will sample the
9 hides. And we saw the dramatic effect on
10 enterobacteraceae and ABC. So the first pile is CPC, for
11 aerobic plate count from 8.8 raws per hundred square
12 centimeters, dropping down to 3.5. Water, alone, is not
13 effective, but some could argue that it's probably
14 detrimental in terms of -- and making the bacteria
15 available. And then the bacteria from 6.6 raw, eliminate
16 not detectable level. We're going to skip a few months'
17 worth of research which did not work, but we decided to
18 take the process into the plant, and that's what I also
19 have to give our FSIS colleagues credit for helping us
20 get approval for this, to do this in the plant in a
21 speedy time. We, basically, treated. Because CPC is
22 not approved, we treated cattle in the pen right before
23 they go onto the floor. So we treated hides in the pen,
24 and then we took them on the floor and collected data
25 again. We did hides and carcasses. So this is ABC, and

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1 for bactriaci about a 1-1/2 raw reduction for ABC, and
2 about a 1 log reduction for bactriaci where they saw a
3 tremendous effect on 0157:H7. On hides, reduce it from
4 56 percent to 33 percent. On carcasses, reduce it from
5 23 percent to 3 percent. Again, I want to emphasize
6 we're trying to bring the incoming load in line with the
7 capacity of the intervention. We don't need to go to
8 zero to not to have any problem. So as we were doing
9 all these experiments with meat on a regular basis, the
10 industry partner updated what we do, and some of these
11 companies have basically developed hide intervention
12 facility, brand new facility. This happened to be Scott
13 and Dodge City, where Excel had built a brand new, 7,000
14 square-foot facility for this process. And I'll just
15 show you how they use it. And they use the chemicals
16 that we developed. And I'll show you some data on those
17 chemicals. But the bottom line is, it shows the
18 effectiveness of focusing on hide. So this is with the
19 cattle coming in. They are stunned before they go in
20 the cabinet. They are, too, depending on the condition
21 of the cattle, there are two to three employees that try
22 to get as much they can get off by a reciprocating saw
23 before they go into the cabinet. They go into the
24 cabinet, and then there's basically two or three
25 chemicals that are mixed and applied at different times.

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1 They come out -- come out of the cabinet, and that's
2 what they look like. And there's another three sets of
3 employees that, basically, do the scraping and steam
4 vacuum, and this is a time that I probably should stop
5 and give Ken Master credit for -- this is the company in
6 Omaha. The company and us work together to make the
7 steam vacuum the way it is right now. So this is a Ken
8 Master steam vacuum. This is what they look like, and
9 this is what the carcasses look like after they come
10 out. They are extremely clean. And when you talk to
11 the employee, as I have, they love it too because they -
12 - it makes their job a lot easier. We collected a lot
13 of -- a lot of data. Basically, I'm sure you see the
14 effectiveness already. Now this data set, with the
15 chemicals that I'm using. This cabinet, which is not
16 CPC, so this is hide before treatment. It was 60
17 percent. After it came out of the cabinet it dropped to
18 16 percent. This is the treatment, again, before it
19 happened. Controlled hide was 88 percent, and carcasses
20 after the hide removal was 16 percent versus 1 percent.
21 Again, it shows dramatic effectiveness. Again, it's
22 been a very good product. The project continues to be a
23 good project. Our collaborators are -- I want to give
24 credit to everyone that's been involved in the project.
25 The National Cattle and Beef Association, and AMI,

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1 obviously, Excel, Future Beef Company, Harris Ranch,
2 I.V.P. Tyson, Swift & Company and Safe Food Corporation
3 for providing CPC for these experiments. We now have a
4 project, our other project, that it's in Washington
5 somewhere. Supposed to be submitted, or has been
6 submitted to FSIS to get approval to do an in-plant
7 study on CPC. So I think it's a very exciting area of
8 research. Another hurdle in the concept of market
9 multi-hurdle system to help us ultimately eliminate 0157
10 from the red meat supply. These are our team, and all
11 of them, they worked on the project, and I thank you for
12 attention, and I'll leave you with that beautiful
13 sunrise in a feed lot in Hayes Center, Nebraska. Thank
14 you.

15 MR. DERFLER: Okay, one more talk and then
16 we'll have some questions. Next up is Dr. Marlene
17 Janes, who is going to talk about edible films with
18 antimicrobial agents for control of food-borne agents.
19 Dr. Janes is an Assistant Professor in the Department of
20 Food Science at Louisiana State University Agriculture
21 Center. She has taught food safety, food microbiology
22 and industrial microbiology. She's been involved in a
23 variety of research topics, including edible films that
24 contain antimicrobial agents and bacterial phases used
25 for control of food-borne pathogens in food products.

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1 Dr. Janes.

2 DR. JANES: Well, first of all, concerning
3 football, I think I have no gripes about our team. We
4 were national champions this year, so unless you're from
5 California. Well, today I want to talk to you about
6 edible films and coatings and the use of antimicrobial
7 agents inside these films and coatings to control food-
8 borne pathogens. First of all, I'm going to talk to you
9 about the various films that are available, and most of
10 these films are used in film products. Then I'll talk
11 to you about what research has been done, and the
12 research that I've been doing and continuing to do.
13 Films and coatings can be defined by two basic
14 principles. First of all, they must be generally
15 recognized as base. And what they're usually used for
16 in the food industry is to inhibit migration of water,
17 oxygen, aromas, carbon dioxide. They're also used of
18 carriers of food ingredients, antimicrobial agents,
19 flavors and antioxidants. Secondly, they must be
20 composed of a film forming material. They must form a
21 film around the food product. They can either be
22 dipped, they can be sprayed on the surface, or also, we
23 have preformed edible films. And these films look like
24 Saran Wrap. I mean they're just -- and they're edible.
25 And there are two types of films. Water soluble.

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1 They're able to dissolve in water. Solvent soluble.
2 You have to use a solvent such as ethanol or propylene
3 glycol. One of the oldest films is lipids, beeswax.
4 It's used to coat fruit. It prevents moisture loss.
5 They've done a study with bell peppers coated with
6 beeswax, and found that it permitted the attachment of
7 E.coli 0157:H7 to the surface. One of the problems with
8 the wax is is it there before oxygen barrier? It
9 provides problems in some food products because of that.
10 Next, resins. Shellac. It's used to coat roots too.
11 It slows down respiration. It's a big moisture barrier.
12 It can be incorporated with amino acids to inhibit
13 benzomycin [ph] activity in some fruits, and they have a
14 longer shelf life. Carbohydrex, alginates, calcium
15 alginates. Several people have worked with alginates on
16 meat products. Beef, lamb. I know Dr. Koochmarai's
17 group has worked with alginates to control pathogens.
18 And they found this -- the alginates, itself, are
19 inhibitory to the bacteria. You get about a log to a
20 log and a half of reduction on the carcass of lambs and
21 on beef fats. Carrageenan. It's used to coat beef
22 products. It retains moisture, and a lot of people use
23 it to add flavors, carrier flavors. And we know
24 flavors, some flavors, also have antimicrobial
25 properties. Now there hasn't been applied research yet

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1 done on that with the carrageenan, but I know that a lot
2 of research has been done with lipids and their
3 antimicrobial activity. Pectin. Pectins are used a lot
4 in foods, dried foods, because it limits the uptake of
5 fat and it prevents migration of moisture. It's used on
6 meats. A lot of meat products use pectins. Celluloses
7 are good at moisture barrier. They are also good at
8 preventing fat migration. They have pouches now. They
9 are edible pouches where you can put food products in
10 these pouches, like rice. You put the rice in the
11 pouch, stick it in the boiling water, and the pouch
12 melts. Protein. Collagen. Collagen is a film that's
13 used in the meat casings. It is a poor moisture
14 barrier, but it's really good, an oxygen barrier. The
15 next one, corn and Zane. I've done a lot of work with
16 Zane films. It forms a hard, glossy film. Right now
17 it's used in candy, dried fruits, meds and
18 pharmaceutical tablets. It slowly releases the medicine
19 in the tablets, so it, when you take a slow-release
20 tablet, pharmaceutical tablet, it goes in your stomach,
21 then it slowly releases the medical agent. It's stable
22 in high humidity, which, of course, Baton Rouge,
23 Louisiana, our humidity is really high. It hits the
24 hundreds. And we reach that. And it's also stable in
25 meat. Proteins. Wheat. They've done studies on fruits

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1 with this, and it reduced weight, firmness, and loss of
2 the fruit. Whey. It provides a good barrier to oxygen,
3 aroma and oil, and it's water soluble across a wide,
4 huge range, which is important. Egg albumen. They've
5 used it on meat products and found that it reduces lipid
6 oxidation. Soy. It replaces egg albumen on food
7 products. They found that it has the same properties as
8 egg albumen. Now I'm going to talk to you about some
9 research that's been done with antimicrobial agents in
10 edible films. Natural antimicrobial agents. Sima-
11 alginides [ph] with acidic acid and propiatic acid. Now
12 kiacin [ph] films are not approved for use in food
13 products right now. It's from shellfish. But it,
14 itself, has antimicrobial properties. I've been work --
15 doing research on kiacin films, and we're finding that
16 we get a log and a half to two log reduction with
17 Listeria on red meat, chicken products, and they've
18 shown that on processed meat products that it completely
19 inhibits the growth of spoilage bacteria. Natural
20 antimicrobial agents. Lysosine and soy protein film
21 inhibit the growth of lactocils lycarim [ph]. Fatty
22 acids, loric acid added to the same films containing
23 EDTA reduced an eight logs per gram of Listeria
24 monocytogenes do not affect the levels after 12 hours at
25 four cc's. And that's quite a big reduction right

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1 there. Now I think this was just done on the film
2 itself, not on the food product. So it may be different
3 on the food product. Organic acids and their salts.
4 Acidic acid and niacin on shrimp, coated on the surface
5 of shrimp, in a mayonnaise -- and then placed in a
6 mayonnaise-based inhibitive spoilage factor by four
7 logs, compared to the control after four weeks. That's
8 quite a long time. Four weeks. Four logs. And Niacin.
9 Niacin is a protein produced by lactic acid bacteria, [ph],
10 and it's mainly effective against ground pox [ph]
11 bacteria. And I note that it's approved for use in soft
12 cheese products. It's also just recently been approved
13 to be used in sauces for ready-to-eat meat products.
14 Niacin added to calcium alginate coatings. This was
15 done in Dr. Koochmaraie's research station by Dr. Cutter
16 and Dr. Saragoosa, and they found that it produced
17 spoilage bacteria, and they also did some work with
18 *Listeria monocytogenes*, found to produce *Listeria*.
19 Niacin added to calcium alginate films with 5.1 EDTA and
20 3 percent acetic acid produced *Salmonella* type bymrian
21 [ph] on broiler drumsticks by 3.5 logs. After 72 hours
22 at four -- and here we had to use EDTA because Niacin is
23 not effective against ground pox, but when you
24 incorporate it to key liters, it will kill the gram
25 negative bacteria. And niacin added to Zane film, this

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1 first stage by Hoffman, they did it on the surface of
2 the film and found that it reduced eight logs -- by
3 eight logs. Reduced *Listeria monocytogenes* by eight
4 logs. Niacin added to cellulose-based films coated on
5 the surface of beef hotdogs, it reduced *Listeria*
6 *monocytogenes* by 5.4 logs due to per gram per package
7 after 24 hours of 433. A study that I made going into
8 more detail now with niacin added to Zane film coated on
9 pre-fixed chicken, I found it reduced *Listeria*
10 *monocytogenes* to non-detectable levels from day zero to
11 day 24. I mean at day 24 at 433. And this figure shows
12 we started out with a 2.5 log per gram inoculate of
13 *Listeria monocytogenes*. And this film is a Zane ethanol
14 film, and we added -- national units per gram of niacin,
15 and then we added 1 percent calcium propionates. And
16 then we coated the surface. And this is a very thin
17 coating. The chicken is very thinly coated. And then
18 over time we see that our control rose up to around
19 eight logs per gram. And we found the most effective
20 treatment was the Zane ethanol with niacin and calcium
21 propionate. It was at non-detectable levels through
22 most of the experiment. The Zane ethanol with niacin
23 also was very effective, and we found too that the Zane
24 ethanol, itself, the film, itself, inhibited the growth.
25 So the film, itself, added a significant reduction in

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1 bacterial count. Now this is with the Zane propylene
2 glycol film. And again, we started out with about 2-1/2
3 logs, and by the end of the experiment, it goes up to 8
4 logs safety per gram. And here we found the Zane
5 propylene niacin calcium propionate was reduced to non-
6 detectable levels with the Zane propylene with niacin.
7 And what I think happens here is just kind of a
8 synergistic effect, and also the film slowly releases
9 the antimicrobial agents. And there's been work done
10 with that that shows, over time, it's slowly released.
11 And that's why our control, niacin by itself, reached
12 the level of a control, *Listeria monocytogenes*. This
13 shows a picture of the chicken patty coated with the
14 edible film. You can't see the film. There's really no
15 difference in appearance. There are several factors
16 that influence the type of antimicrobial film coating.
17 Factors inside the pH. pH is very important, especially
18 when you're using niacin. Below 6, a pH of 6 or lower
19 is more effective. Water activity. The lower the water
20 activity, the better. The composition of the film,
21 itself. We've done work that shows that the proteins,
22 the more hydrophobic the film, the better activity we
23 get with. A really critical point was the temperature
24 needs to be maintained. Relative humidity. That
25 influences the growth of bacteria. This study we did

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1 just a surface probe. Surface hydro from 50 different
2 films that we made. And we found that lycosin nitrate
3 was more hydrophobic than soy protein and -- and then we
4 tested the different films and we found that the more
5 hydrophobic the more reduction we got in our counts.
6 The more hydrophobic the film, the more active Listeria
7 -- I mean nitrate barrier. So with greater
8 understanding of film and coating process, edible
9 coatings and films can be formulated for different food
10 products. And I think that it could be a really good
11 advantage for the business. And thank you.

12 MR. DERFLER: Okay. Now we have an
13 opportunity for questions. If people have questions,
14 come -- just come on up to the microphone and we'll
15 recognize you. You need to say your name. Well, I
16 actually have a couple questions. Given the purpose of
17 this meeting, I think it's important that we sort of
18 follow through on the basic themes that we outlined at
19 the beginning. So the first thing I'd ask each member
20 of the panel is do you, based on your experience as a
21 researcher, have any suggestions for FSIS as to how we
22 can approve our new technology process? And I'll start
23 with Dr. Rasmussen, please.

24 DR. RASMUSSEN: Well, my experience, I mean,
25 we thought about this long and hard early on, how to

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1 approach this technology. Do we go to the regulators?
2 Because we wrestled over this idea of zero tolerance on
3 fecal contamination, and you don't want to get in an
4 issue of the Delaney Clause, where the more sensitive
5 detection method you've got, that drives the regulations
6 to a lower and lower level. And, in fact, some of the
7 industry people we talked to were concerned about that
8 initially, you know, that I'm not sure we want that in
9 our plant right now because it tells us things -- if it
10 tells us bad news. And so we chose to, you know, rather
11 than talk to the regulators, initially, I guess, we
12 chose to go to industry and present it to them and work
13 with them. How do we -- how do we go forward with this
14 and make it work? So I'm not sure I'm answering
15 question very well, but that was our experience.

16 MR. DERFLER: Well, I guess the question is is
17 there a way that you would feel -- is there anything
18 that we could do, I mean, given some of the intrinsic
19 problems that we can't do something about, but are there
20 things that we could have done to make you more
21 comfortable coming to this?

22 DR. RASMUSSEN: Well, perhaps. I mean we had
23 some good discussions with Dan Englejohn, you know, so I
24 guess access to the people at the top that really, you
25 know, make the decisions on some of these things, and

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1 that have the intricate knowledge of the rules and
2 regulations, which we know nothing about, and knew
3 nothing about at that time we got into that. So, you
4 know, we had some good discussions with him. For
5 example, at our ARS -- annual ARS/FSIS meeting. So
6 those are always a good chance for interchange, where
7 you can talk to these people that normally you don't,
8 you don't get a chance to talk to and get to know. So
9 that's useful.

10 DR. DERFLER: Thank you. Dr. Koohmaraie.

11 DR. KOOHMARAIE: Well, this doesn't quite
12 apply to me. We consider FSIS to assist our agency, and
13 I've been dealing with FSIS probably for at least 15
14 years, so I know where to go. But as an outreach
15 program, I'd kind of love to see the standard operating
16 procedure that Randy Huffman talked about here. Put
17 that on the web. It can tell people where you need to
18 go and how you can get there, because I have a feeling
19 that would help greatly. In my case, for example, we're
20 going to do a project tomorrow in support of BSE. The
21 keynote talk is here. I called FSIS colleagues Friday
22 morning at eight o'clock. By 8:30 I had what they'll
23 have, and hopefully it's out this morning. Yesterday
24 morning in terms -- we're going to do that initial
25 relationship and indentation and actual later.

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1 MR. DERFLER: Yes.

2 DR. KOOHMARAIE: So I know the source, but not
3 everyone knows. So I think to make that transparent web
4 base, you can tell people where to go, you know, for
5 help.

6 MR. DERFLER: Okay. Dr. Janes.

7 DR. JANES: Well, I think my main concern,
8 like in Louisiana, it's a small business. We have a lot
9 of small companies in Louisiana. And they are not aware
10 of the new technologies that are available to control
11 Listeria on ready-to-eat products. And I feel so sad
12 for these people because they call me and they don't
13 know what to do. They're frustrated. And so I think we
14 need a way of getting the new technologies to these
15 smaller companies to help them, because I think, in the
16 future, we'll see more of them going out of business.

17 DR. KOOHMARAIE: I really liked the comment
18 that someone made this morning, Dr. Brahmen. On your
19 web site, it lists only intervention technologies that
20 are currently approved by FSIS with a link to direct
21 that they could get more information. I think that will
22 be very helpful.

23 MR. DERFLER: Okay, thank you. Any questions?
24 Okay, then I have one more, and then I'll let you go.
25 My other question is what's the applicability of your

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1 work to small plants, and is there a way that you can,
2 if it doesn't have applicability, or there's problems in
3 the -- in getting it to -- transferred to small plants,
4 are there -- are there ways that FSIS can assist in
5 trying to enhance and facilitate that transfer?

6 DR. RASMUSSEN: Well, I guess, in our case, we
7 were fortunate in that this technology was scalable and
8 that you could make both large and small units and price
9 them accordingly, so that -- and we had thought about
10 that from the very beginning, that we could make small,
11 handheld. Tom and I joked about using flashlight night-
12 vision goggles to go inspect carcasses with, you know.
13 But, you know, that was some of our early concepts of
14 where this was going, you know. But it was always, at
15 that point, going to be kind of individual operating
16 kind of small applications. So...

17 MR. DERFLER: Are you getting any interest
18 from small plants in the technology?

19 DR. RASMUSSEN: I'll transfer that question to
20 Al. He would know better than I.

21 MR. GATZ: We're in discussions with a number
22 of...

23 MR. DERFLER: Could you go to the microphone?
24 I'm sorry. And could you identify yourself?

25 MR. GATZ: Currently, we're in discussions

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1 with a number of companies, but most of them are larger
2 at this point. We're going to model a smaller version,
3 a design of the system's modular. So we can take one
4 modular of the system, package it, and be more effective
5 for a smaller producer.

6 MR. DERFLER: Okay. Could you just identify
7 yourself?

8 MR. GATZ: Oh, I'm sorry. I'm Al Gatz from
9 Emerge Interactive.

10 MR. DERFLER: Thank you. Dr. Koohmaraie.

11 DR. KOOHMARAIE: Actually, a number of years
12 ago, as a result of a request by FSIS, we wrote a
13 document that basically shows how small a process that
14 can adopt the technology since it's cold water and --
15 acid. The technology that we work are readily adaptable
16 to small companies. I see two of my colleagues from the
17 University of Nebraska here. They work very effectively
18 with small plants and do regular discussions with each
19 other. So, with them, they can equip small companies.
20 So most of these things can be readily transferable if
21 they can afford them. So some of these things are too
22 technical and how many carcasses they process, that they
23 probably cannot afford the technology.

24 MR. DERFLER: Okay. Dr. Janes.

25 DR. JANES: I guess a lot of these small

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1 companies don't have the money to test the new products
2 on their products. And that's a big problem. They look
3 to the university to help them a lot. They just don't
4 have the money to test, make sure that the product, the
5 new technology is reducing Listeria on the product, or
6 E.coli on the product. So I think that's a big problem.

7 DR. KOOHMARAIE: Dr. Kelley knows how excited
8 I am about the bolded text, and I'll go way out on a
9 line and say I don't think we'll have any major re-calls
10 any more because the company's doing such an effective
11 job at the bottleneck. So it's a great cost to them,
12 but they're doing it. So that means we're going to have
13 a lot of small re-calls. Five hundred pound, a thousand
14 pound here and there. That's because these small
15 companies are not able to perform the tests. So
16 anything we can do to subsidize them will help them to
17 perform the tests, I think will greatly reduce the risk
18 to humans. So the large plants are doing extremely
19 effective, they're going on board. Those that are not
20 going on -- that are not on board yet to building large
21 cooling facilities to hold this product, and I have no
22 doubt before we know it they will all be on board. And
23 I can't think of anything that's more effective in
24 eliminating the large re-calls that cause all of us
25 headaches.

1 DR. RASMUSSEN: Yeah, sure. Absolutely. I
2 noticed on the handout of my presentation, only half of
3 it was out there. But I do have a master copy here. If
4 anybody wants a copy of it, I'm going to leave it with
5 the convener so that maybe a copy can be made for anyone
6 that wants the whole, the whole thing.

7 MR. DERFLER: Thank you. Last chance for any
8 questions of our panel. Okay, we'll reconvene at 1:30.
9 Thank you. Thank you to the panel very much for your
10 presentations. They were extremely helpful. Thank you.

11 ***

12 [Recess]

13 ***

14 MR. DERFLER: Okay, we're going to get
15 started. If there's anybody outside, can you ask them
16 to come in now, please? We're going to start now with
17 the second panel. This is on Detection and
18 Decontamination Technology with Poultry. And the first
19 talk will be about ARS imaging technologies for poultry
20 inspection. And this morning there was a lot of talk
21 about football, but I guess I get a chance to use a
22 little baseball. We're going to have a pinch hitter
23 that I get to announce. Instead of Dr. Wyndam, Dr.
24 Bosoon Park is here. Dr. Bosoon Park is a research
25 agriculture engineer with expertise in -- he's in the

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1 Poultry Processing and Meat Quality Research Unit at the
2 Russell Research Center in Atlanta, Georgia. Dr. Park
3 was a research engineer at the Instrumentation and
4 Sensing Laboratory of the Agriculture Research Service
5 in Beltsville, Maryland, and his expertise includes
6 imaging technology development for food processing
7 automation, particularly for food safety and food
8 quality. Dr. Park.

9 DR. PARK: Thank you for the introduction.
10 And this is my great opportunity to share my research
11 accomplishment, particularly imaging technology applied
12 to food processing. And I want to get some feedback,
13 because today's audience is so many people from our
14 industry, so that is actually I'm going to share with
15 you about my technology because its application is only
16 poultry right now. However, there's a lot of potential
17 to apply it in many other food processing areas. Before
18 I start my presentation, I'm going to briefly introduce
19 the areas of research accomplishment regarding the
20 imaging technology. The actual areas that imaging
21 technology is developed by two different units. Or,
22 actually, one is just mentioned at the beginning of the
23 moderator, and instrumentation in the sense of it,
24 they're looking imaging technology to differentiate the
25 wholesome versus unwholesome, including cadaver,

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1 septacemia [ph], and something like that. And other
2 thing we're working on and support your processing and
3 quality research unit in Athens, Georgia. And we are
4 working on the developing of some imaging to detect
5 fecal and ingestive contamination poultry processing.
6 Let me briefly introduce the research accomplishment
7 conducted by ISL and Helsley [ph] Group, and the
8 objective or maybe the poultry inspection research at
9 ISL was to develop an automated system for online safety
10 inspection or will encompass this in the small plant
11 environment. And also they developed an antigen to
12 detect individual disease such as cadavers, septacemia,
13 tumors, something like that. And reason and defect.
14 And then to integrate their system into an online system
15 in the poultry plant. And also, they started dividing
16 some differences in effectiveness. They usually have to
17 work from that research a lot. So I'm going to share
18 this information with you, and that's in slides. And
19 all research accomplishment that they have done for us,
20 the visual poultry inspection system. Actually, they
21 have finished with the in-plant trial already, and they
22 will then do research work to test performance of the
23 visual, and control system in a chicken processing
24 plant. And also they tested the robustness and the
25 accuracy of the system in a commercial poultry

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1 processing environment. And a group have done an in-
2 plant trial in the Tyson plant in New Holland in
3 Pennsylvania the past several months. I think for
4 several years. And they have done a section measured
5 more than I've heard it's more than 12 or 13,000 birds,
6 and got the -- they had the result that they found. As
7 you can see there, the result is varied with some kind
8 of a central chicken. However, finally they got almost
9 97 percent accuracy to identify for some, versus -- and
10 the second program that they accomplished was the dual
11 camera system. Separate water system for set for the
12 marta spectron [ph] for poultry cutter's inspection.
13 Also, they have done an in-plant trial. The objective
14 of this was originally to develop more -- from this
15 processing system, and including development. Also they
16 tested a dual camera system for online separation of
17 wholesome and unwholesome carcasses. And this is a
18 diagram that they developed. Actually, the system has
19 two cameras. One has a 540 nanometer and the other one
20 has 700 nanometer. They combine those two imaging
21 equation together to identify the unwholesome and
22 wholesome bird. And also, they have done the test in-
23 plant trial, the same plant in Tyson in New Holland,
24 Pennsylvania, and they got about a 94 percent to
25 identify the wholesome bird. This is actually the real

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1 time testing, not sample testing, okay? They about
2 tested more than 6,000 birds, tested the performance of
3 their system. However, and mobile is a lower accuracy
4 like 87 percent at this moment. So they still continue
5 to test this system in plant. The ISL group actually
6 successfully built an automated poultry inspection
7 system using two systems. One is a visual NIR
8 spectroscopy system, and the other one is a multi-
9 spectrum Indian [ph] system. So, actually, they test it
10 in a commercial culture plan, I just mentioned, in New
11 Holland, Pennsylvania. And they study, they actually
12 show economically feasible. This thing is very good to
13 apply to the poultry industry. Of course, they're still
14 working on the increase the accuracy. And also, they
15 have quite an already established between the areas, and
16 -- to the commercial system. And this is a summary of
17 the spectroscopy research. Also they just tried to
18 develop system to separate the liver of unwholesome and
19 the sets of chicken. So they have about a 90 percent
20 accuracy to separate sets of chicken to look at the
21 liver. An ISL group developed a color using system for
22 identifying diseased chicken carcasses and the coloring
23 technology is able to separate livers and the heart of a
24 wholesome from a set cadaver and sets with accuracy.
25 This range is about 87 percent too, up to 92 percent.

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1 Still, they are working on this project to increase the
2 accuracy of this information. And also, the coloring
3 technology can't be used to classify E.coli use of --
4 for severe recent cases. However, color using is not
5 working very well to meet all very unserial level
6 reason. So this is a -- they're still working on their
7 color using. And also the ISL proved that developing
8 multi-spectrum Indian find disease in chicken carcasses,
9 and also, they found -- find a different category of a
10 heart. They just measure the heart from carcasses,
11 including wholesome and cadaver, the septos. And also,
12 they found the accuracy ranging from 84 percent up to
13 100 percent. And multi-spectrum Indian technology for
14 the separate, no more -- with 91 percent, and also the -
15 - that 86 percent separated those symptom. And this is
16 actually a summary conducted by the ARS instrumentation
17 sensing group in Beltsville, Maryland. So let me switch
18 gears and talk about the research I am doing right now.
19 It's Russell Research Center in Athens, Georgia. In
20 essence, ARS, we have three areas culture research unit,
21 and also in-house high scale processing facility just
22 finished to make our -- underground. So this is very
23 nice. I'm going to show this facility in my slides
24 later on. And also we have two commercial processing
25 plant in Athens, and also -- the University of Georgia

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1 is a big benefit for us to develop all the market
2 research like this. And also, we have a group of
3 houses, and also we have a -- manufacturer associates,
4 Stocanco [ph], is very close and we have the -- to
5 develop the system together. I'm going to present all
6 of the detail to develop what we -- all-night inspection
7 of a poultry product. Actually, this is our imaging
8 research team, and here's a -- AG engineer, and our
9 smears and culture scientist. Here is William Wyndham
10 Bob. It's our Acting Research Leader and myself. So we
11 have four scientists working to develop imaging
12 technologies together. Actually, though -- all this
13 technology development work to reveal the imaging
14 technology for detection of a surface contamination on
15 poultry carcasses. We -- at this moment, just -- Dr.
16 Washington mentioned it this morning. We just look at
17 the feces. And also, it is done in the optimal step for
18 recent data and in processing pre-treatment. This is
19 very important task for us, because our goal is actually
20 implemented in the plant. So basically, we have built a
21 time limit that is only -- our goal is actually one --
22 at least 140 birds per minute. It's a very fast
23 processing work. So still, we just keep in mind we have
24 to implement the system in the plant, not just in the
25 lab. Okay? And so objectives were developed at -- to

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1 identify the site and the type of contamination. And
2 also, we developed a real-time online detection system.
3 Finally, we tried to implement this system in the
4 processing plant. This morning, as many presenters
5 mentioned about this fecal contamination regarding to
6 have the program, so I just emphasize that they borrow
7 one. After we developed this system, we could apply
8 that this system was to find out a critical control
9 point. So there's a -- critical control point for
10 poultry processing is prior to the carcass entering the
11 coolant ice water. Okay. Because we want to chill it,
12 then. And we tried to create our system just to be for
13 for chill tank, to make sure that zero tolerance.
14 There's everything both -- every bird -- there should --
15 no feces on the bird, okay? So that's our main goal, is
16 to try to implement it just before the chill tank. And
17 because of this can, we have cross-contamination of the
18 carcass into the chiller. We collected, after the three
19 feces, from duodenum, Secom, and coli. Before I started
20 this research, and I'm actually the engineer, I never
21 saw the feces until I just started this project. I --
22 okay? But it's not true. Look at that. All the feces
23 from different sources is different. Color-wise, just
24 everything is different. So that is actually we started
25 with. Initially, we have to find out the

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1 characteristics. What kinds of feces have different
2 characteristics? Okay? So there's the reason we just
3 collected duodenum -- coli. As you can see, it's always
4 different. And also, this color and everything is
5 chemical component, it depends on the 100 variants
6 chickens have. So after we also tested colon bile and
7 grit with the soybean mixture, this most popular diet in
8 United States, okay? And we just realized, even I think
9 a way for processing chicken -- here. Sometimes you can
10 see, sometimes you cannot. So it's a very difficult job
11 is for the inspector. They look at the bird every two
12 seconds. And it's very difficult to see inside,
13 outside, the fecal contamination, okay? So there's the
14 reason you develop a machine that can do it, right?
15 What is FSIS solution? Maybe a process inspector can
16 closer look at the bird to find out the fecal
17 contamination. But this isn't an easy job, as you know
18 that, right? So what is the industrial solution?
19 Instead of inspection, they just lots and lots of water.
20 So the -- said that they used the water from maybe six
21 gallon up to the ten gallon. So increase the water
22 consumption is about six -- more than 66 million -- 66
23 million per year. Okay? There's lots of -- also lots
24 of water consumption. So what is our solution? Of
25 course if we tried to develop real time system, because

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1 this is -- thing is science-based, continues consistent
2 and cost effective and safe. Okay? So this picture is
3 our third generation prototype system. I am going to
4 show them how does that system work a little later on.
5 Okay? There are -- technology isn't available right
6 now. We just try all the multi-spectrum imaging and the
7 hydrospecter imaging. This is most advanced imaging
8 technology now available. So let me introduce a little
9 bit more detail of hydrospectrum imaging because I hope
10 that somebody already heard of hydrospector imaging, but
11 there's not many people familiar with, so I'm going to
12 show the picture like that. So hydrospectrum technology
13 started only 80s, even before that, for the primosensing
14 [ph], like the first observation. Okay? So this is one
15 example of the hydrospectral data, so-called Hi-Q [ph].
16 So this is actually the album, hydrospectrum imaging
17 system collected at Moppet Field in California, okay?
18 So normally, when they look at this picture, you usually
19 see the surface. There's only one thing. This actually
20 combines all the spectrum information together. So
21 hydrospectrum camera can slices all of this information.
22 It depends what the system used. You can make maybe 20,
23 200, even 500, 1,000, okay? They just record the
24 resolution, how close it can slice the wave length.
25 They're still called wave lengths, okay? So, basically,

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1 the higher -- three manageable data fare, two spatial
2 and the one spectrum. And, of course, the spectrum data
3 in this case is highly redundant, so there's reason we
4 need to print them to find out best quality image we
5 need because we have to use the same equation to real-
6 time. So is it impossible to use this bunch of data and
7 and this data is now -- the size of data is more than
8 100 millibytes. Okay? So we cannot use that. So this
9 is one example. And look at the -- this is a standard
10 color chart. So it's a color surface. But then look at
11 the hydrospectrum camera, this is the same. You can see
12 it. Okay? Because you can see some color is different
13 more than the other color, right? That is as the
14 contrast between the different fecal matter such as
15 duodenum or phirsis [ph] -- something like that. Okay?
16 And I think I'll skip this. So that is actually the
17 three that from -- generated from the chicken, okay?
18 So this is ideal when we look at -- take a look at it.
19 This is duodenum, recontaminate. Fecal, coli, increases
20 in gesta. So when you look at the duodenum, this is
21 spectrum, but just one pixel spectrum from the
22 different wave lengths. If you look at the scan, the
23 spectrum is changing. So -- can you see it, here? This
24 is the 500, the 600. This is -- of states in my broken
25 -- . So if some surface has fecal contaminating, this

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1 -- is gone. So this is sometimes the idea. We just
2 approach it, our -- okay? And this is hydrospectrum
3 camera developed by the NASA Research Group, the Space
4 Center and the ARS, they're together. So again, this is
5 one example I will show you that calls for --
6 composites. And this is called composite. You can't
7 see the -- the active, human eye can't see like that.
8 The duodenum, secol and Jessup. Knowing what kinds of
9 contamination, the hydrospectrum image is played at a
10 different way, like a different intensity, okay? So
11 this is, I just recognized here, it's a number, and you
12 can see the -- changing, right? Okay. You feel how
13 hydrospectrum camera works, right? And, after that, as
14 I mentioned though, we have to reduce the number of
15 data. So, finally, we found the key wave length, four
16 wave lengths, okay? This is based on the principle
17 component we prefer, the statistical level. And still
18 the four wavelengths is too much. So we cannot handle
19 the -- so we just approach the other way, like a bend
20 ratio. It's very simple imaging processing, our
21 version. But as you can see, five or six fibers as 517
22 show the -- all the contamination here, right? It's
23 very distinctive, compared to others. Visually, we did
24 not contaminate here. However, this is a natural
25 contamination in the vented area. So we really tried to

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1 look at this. Okay. Now, this is for the processing.
2 Finally, the camera can detect right there because
3 camera doesn't have any brain. Human can think, right?
4 So we have to -- further processing to identify this.
5 And this is another example, it's very exciting, we
6 found. And some -- looks like contamination, but this
7 is the blood crock. So we have only three different
8 layer of fecal contamination -- secum, coli. However,
9 look at this. This is a very exciting visual. And
10 over, I think it's on the shaded area. You can now see
11 the -- clearly, and we some contamination, here. The
12 wing's shadowed, okay? So growth quality is not thin,
13 like it disappeared, because problem is not
14 contamination. And also here, some shade area, camera
15 can see it, even -- you know cannot see. Right? So
16 based on this -- we just varied our system. I just
17 mentioned we have tested different scalding [ph] sample.
18 hard scald and softer scald, and those three different
19 diets. Corn, wheat, milo with the soybeans, because
20 sometimes this system's working particular diet,
21 sometimes not. So that is reason we have tested. And
22 this, as I just already mentioned, I am going to skip
23 this. And hydrospectrum consists of actually have the
24 97 percent contaminated detection. And -- however, it
25 has the -- number of false positive. So we're still

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1 working on the -- minimize or reduce the false positive
2 to increase the accuracy. However, it's a 75 percent
3 false positive for feather and boundary. So this is our
4 other -- obstacle we have to solve, the background
5 issues. And so what is next step? As I just mentioned,
6 the real time multi-spectral imagining system developed.
7 So this is actually the first prototype system, Nema IV
8 [ph], because we have to put in all systems into the
9 waterproof, okay? And multispectrum imaging system, we
10 use is a common aperture camera. This is a very special
11 design. One camera has three different detectors. So
12 we just put in the three filters. The wave lengths are
13 the same as we found from the hydrospectrum imaging
14 research, such as 565 and the 517, okay? So after that,
15 we just do a second prototype with a camera enclosure.
16 In this case, the camera is enclosed. This is
17 industrial standard. So again, for use with this
18 enclosure, and then you can commercialize, okay? And,
19 finally, we just developed industrial scanning -- and
20 lighting. So this is ready for the in-plant trial. So
21 I will get us some feedback, if folks just give me some
22 idea which plant we can go. So that might be good for
23 us. And -- all right. Let me briefly introduce how
24 this real time common object camera works. And a camera
25 -- as I mentioned, the camera has a three detector. And

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1 when I look at the camera, collect the three images
2 simultaneously. Okay, this is less than 5 milliseconds.
3 So we just put in the three filter, 516, the 567, okay?
4 And after that, the pro-am -- duration. And just,
5 really, there's a background noise, okay? And then,
6 finally, apply this ratio to identify fecal
7 contamination spot only, okay? What is next? For the
8 process, we can do many different application after
9 that. After we found some fecal contamination spot, we
10 can -- one application we're thinking about is maybe
11 integrate some washer. We just wash that point only
12 with this water, okay? So many other application might
13 be think -- thought about. So accuracy of our real time
14 multi-spectral imaging system, right now, is about 96.8
15 percent, okay? And also the speed, as I just mentioned,
16 the speed is also most concerned that we have
17 considered, right now to be -- can process 180, just
18 about 251 millisecond. In other words, you can process
19 a bird -- that's about 3 birds per second, okay? So
20 there's a -- currently, the use -- the poultry
21 processing industry has 140 birds per minute. So that
22 is the number we can still -- we can cover under our
23 system. And also we try to expedite -- actually, to
24 increase the speed to 180 birds a minute. That is as a
25 European standard, okay? And now we have -- our patent

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1 issued last July for the system. So we have industry
2 patina to develop this system together right now. And
3 also we just apply this technology for the enteral
4 contamination, because when I visit this industry,
5 poultry industry, the people said is also the internal
6 contamination is very important. It's about 1/3 -- it's
7 is half of the -- but that's not in their visceral area.
8 Fortunately, that is -- area. So we just have tested
9 how this system is working for identifying the internal
10 contamination, because as you can see, the background of
11 the internal, these colors are different from surface,
12 in the skin color. So we found a good result in this
13 case. I'm going to show
14 you final visual. So we applied many different
15 amenities, including some filtering methods. And finally
16 some inner filter eliminate some false positive. And
17 finally, we just found internal contamination. In this
18 case, a secal contamination [ph]. Okay? And also, the
19 resulting internal contamination is about 97 percent,
20 based on the primary results. We're still working on
21 test of this system. Let me show the system then. It's
22 about two minutes. So you can understand how this
23 system working. So first part on last slide is actually
24 the 140 birds per minute, and the last scene is at 180
25 birds per minute. So you can see what is different,

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1 okay? That's no foul-up. This is actually our lighting
2 system, is the DC Telson Halogen [ph]. This is camera,
3 which has the three detectors inside. Each light has
4 150 watts. This intensifies it. And this is our
5 photoelectric sensor, that's ready for three birds a
6 camera. And this is a fecal sample we collected from a
7 commercial plant. Duodenum, secum, coli and ingesta
8 [ph]. So our contamination problem's a little bit
9 bigger than we expected. However, we also have a past
10 study reduce the sizes less than 1 milligram. So this
11 is just demo. The green light means clean bird. Red
12 light means contaminated. And also, you can see the
13 number of a contaminant, and also, you can see the
14 chicken I.D. So this system can be integrated some
15 control system in the industry already implemented,
16 right? Okay, the last part is actually the 180 bird per
17 minute. So this system was working both 140 and 180.
18 In reality, we have tested a 220, but it's too fast.
19 But still, the camera can detect it, all right? Okay, I
20 think this is all I have presented today. Thank you for
21 attention.

22 MR. DERFLER: Thank you, Dr. Park. Next we're
23 going to hear a talk about rapid detection of bacteria
24 using optical biosensor. The presentation will be by
25 Dr. David Gottfried. Dr. Gottfried is a Senior Research

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1 Scientist in Electro Optics at the Environmental
2 Materials Laboratory at the Georgia Tech Research
3 Institute. He began his research career in biophysics
4 at the Albert Einstein College of Medicine in New York.
5 Since moving to Georgia Tech in 1999, Dr. Gottfried has
6 directed the microbial detection efforts of the obstacle
7 sensor group. He is involved in the design of amino
8 acids, coupled with cleaner optical wavelengths for
9 rapid detection and quantification of environmental and
10 food-borne pathogens. I'm glad I only have to read it.
11 Dr. Gottfried.

12 DR. GOTTFRIED: Well, thank you for sticking
13 around this long, and I appreciate the invitation to
14 come and talk about our sensor work. This has actually
15 been ongoing for about the last 10 to 12 years or so.
16 And I would sort of -- in advance of what the questions
17 might be for Mr. Derfler, what I would suggest is, since
18 all of the speakers, as far as I can tell today, have
19 been from either academia or government service, one of
20 the roles that FSIS or USDA could play is to make the
21 technology transfer from those research institutes to
22 use in industry a little more -- I guess, a little less
23 hurdles, or expedite that kind of transfer. Before I
24 continue, I just want to acknowledge Georgia Tech
25 Research Institute and particularly, the Food Processing

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1 Technology Division, which has really been doing food
2 processing, new technologies, and assisting industries
3 in Georgia and in the U. S. with quite a bit of effort
4 for the poultry industry for the last 18 to 20 years.
5 And also, the State of Georgia, which has a program
6 which has funded almost all of this research, called the
7 Agricultural Technology Research Program, which funds
8 bio sensor work, also robotics, information technology,
9 and other imaging projects that have been of help to the
10 Georgia poultry and other agricultural industries. The
11 other point I want to make at the outset is that even
12 though we're talking about food safety here today, and I
13 think some of these technologies, and particularly, the
14 technology I'm going to talk about now, have other
15 applications. And one of those is currently, actually,
16 quite a bit in the news, is agriterrorism. And since
17 everybody else has talked about football, we actually
18 recently have a collaboration that was started between
19 Georgia Tech and Mike Doyle at the University of
20 Georgia, my longtime football collaborator, shall we
21 say. And to look at what the -- this is a FDA funded
22 program to look for what's called nontraditional
23 pathogens, which is kind of code speak for bio-terrorism
24 agents and food matrices. And the last point I want to
25 make before I go on is I've given presentations and

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1 demos of this technology many times over the last five
2 years that I've been working on it. And, particularly,
3 when I talk to industry folks, if poultry industry
4 folks, the first thing they want to say is either -- is
5 how much does it cost, and where can I buy one. And I
6 have to say, well, we're just a research institute. We
7 don't manufacture it. So that goes back to sort of my
8 first point, that we -- you really need to take it
9 beyond the research stage and development stage to the
10 industry stage. And, with that, I will sort have said
11 my little piece there. Okay. This is kind of preaching
12 to the converted here today, just talking a little bit
13 about the motivation. This is something I borrowed from
14 a recent publication. Just talking about detection
15 togs, using various technologies. And again, I don't
16 need to stress too much about this. Just that a lot of
17 these technologies, particularly -- and Traditional
18 Eliza, and even some PCR methodologies, all have an
19 enrichment step in front of them before the technology.
20 And so the term rapid methods is somewhat of a misnomer.
21 And I know I'm not the first person to say this. And I
22 don't know exactly what Stan's going to talk about next.
23 But as far as I know, most or all of the commercially
24 available "rapid methods" are only for the actual
25 detection time, and not for the pre-enrichment time.

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1 What we're trying to do is develop a bio sensor that can
2 go straight from sample to detection in under one hour.
3 And the only way to do that is really through
4 sensitivity. So this is the technology, the new
5 technology part of it. I'm going to talk about
6 detection using an optical wave guide. To get into
7 that, you're probably familiar with what a fiber optic
8 is. It is a high index of refraction material encased by
9 a low index of refraction cladding, and this allows
10 total internal reflection of light. That light bounces
11 and is totally internally reflected. So this -- so
12 fiber optics, of course, are used all the time as light
13 guides for communications and various other
14 applications. Essentially, what a point of wave guide
15 is is, conceptually, if you slice this open and lay it
16 flat, this is now a two-dimensional surface, where the
17 sub strake here is your low index of refraction
18 material, and the wave guiding material is a very thin
19 layer of high index of refraction material. This is
20 fabricated using conventional fabrication techniques
21 that you would find in electronic chip processing. So
22 plasma enhanced chemical vapor deposition and that kind
23 of thing, and chemical etching. And what this two-
24 dimensional surface allows is a number of things to
25 enhance chemical detection. Chemical detection on a

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1 fiber optic is limited to the little area down here at
2 the distal end. With a two-dimensional surface, you've
3 now got a much larger macroscopic area for interaction,
4 either chemical or biological interaction. And also,
5 again, because you can use fabrication techniques, you
6 can put down optical or electronic components to fully
7 integrate your device and make it much smaller than you
8 would normally have in a laboratory analysis instrument.
9 So how does this actually go about detecting something?
10 Well, this is now that same not-to-scale wave-guide,
11 looking at it from the side. As light is launched in,
12 and we get light in through use of either butt coupling,
13 which is just coming in from the end, or a prism, or in
14 our case, a grading that's etched into the sub strake.
15 As light comes in, it bounces, as I said, through this
16 high index of refraction wave-guide material.
17 Associated with that propagated light beam is an
18 electric or magnetic field. And the tail -- actually
19 this arrow is a little bit wrong. The tail of that
20 field that sticks up into the cover layer or to the
21 effervescent field. And that effervescent field
22 interacts with anything that might be on the surface,
23 and is very sensitive to changes in index of refraction.
24 So I've drawn one particular application here where you
25 might put a biorecognition element, which in this case

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1 is, obviously, recognizable as an antibody. And if you
2 have an antigen antibody interaction, you're going to
3 change the index of refraction of that cover layer. And
4 when I say the cover layer, I'm talking about a very
5 thin layer, typically on the order of a half a micron
6 thick. So you're going to have that interaction that's
7 going to change the index of refraction, and we can
8 detect that using another branch of physics called
9 interferometry. And that's shown here, much as it's
10 just a -- this is a single interferometer on one of
11 these chips. Again, the light comes in. We have two
12 beams. And I'll describe this a little more detail in a
13 minute. A sensing channel, a reference channel. Those
14 two beams come out. There's an optic that combines the
15 two beams to generate interference pattern. Again,
16 through microfabrication, we can now put multiple ones
17 of these in the same chip. So you can now either put
18 down antibodies to different pathogens, or you can put
19 down different antibodies to the same pathogen if you
20 want to increase your select -- or increase your
21 selectivity and reduce your non-specific reactions.
22 This is -- this describes how interferometry is actually
23 performed. You have a light source, which in our case
24 is a very small laser. The beam is split into two.
25 This is the -- this is that wave-guide chip. And just

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1 to -- I have one, a couple of these with me, just to
2 show you how small that is. We're really talking about
3 something that's on the order of a few inches or so.
4 And if anyone's interested in seeing this, I can show
5 them afterwards. You have two strips on this wave
6 guide. One is a reference strip for giving out non-
7 specific finding, and one is a test strip that has your
8 antibody of interest or that you're looking for. The
9 two beams come out, they're combined, they're blown up.
10 And this generates this interference pattern which you
11 see right here. And if you remember from your high
12 school physics days, do you remember the two slit
13 experiments, Young's interferometer. You take a beam of
14 light, you shine it through two slits. You get that
15 dark and light fringes. That's exactly what this is,
16 except in our case it's not a Young's interferometer
17 where you have two slits. This is some -- a
18 configuration called the Mach Zender interferometer.
19 With that image, using a very inexpensive two-
20 dimensional rate detector, if you look at a single pixel
21 of that detector, and you apply something that changes
22 the index of refraction, what happens is this fringe
23 pattern appears to shift. And I guess the best way to
24 explain that, and I give this analogy all the time, is
25 if you've got two runners that are known to run at

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1 exactly the same speed, and they start off, you fire the
2 starter's pistol, they start their race, and then you
3 throw into the path of one of them, some, you know,
4 molasses or wet sand, or whatever you want to use.
5 There's going to be -- now, that runner is going to slow
6 down for that period of time. And as soon as he passes
7 that sand, he will regain his speed. But there's always
8 going to be a time gap. When they get to the finish
9 line, there's going to be this gap between the runner
10 who did not have the obstacle and the runner who did
11 have the obstacle. And that gap and that time is going
12 to depend on how much of that stuff that the runner had
13 to run through. And it's the same concept here.
14 Depending on the index of refraction change, and your
15 test strip, you're going to shift that interference
16 pattern depending on the amount. So that's how you go
17 from interference or from an index of refraction change
18 to concentration of amount bound. So if you look at a
19 single one of these pixels, as this interference pattern
20 shifts eye. Unfortunately, I don't have a nice movie to
21 show this. A single pixel, the intensity will go up and
22 down, up and down as it sees light and dark, light and
23 dark. And what we do is we take all of those pixels and
24 we do a free transform on them to generate a total face
25 shift. And this is the component that's directly

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1 concentration dependent. Now this takes up about six
2 feet of space on a bench top. And what we have done is
3 shrink that all down into something that's about the
4 size of a shoebox, a small shoebox. And this is the
5 wave guide with a flow cell right on top. The laser is
6 about a \$15 item, comparable to what you would find in a
7 CD player. And the CCD detector is literally ripped out
8 of a web camera. So that's about \$35. Total cost for
9 this item is at least in the onesies and twosies, not
10 the total manufactured or commercially production cost,
11 is a few hundred dollars. So we're projecting this as a
12 very inexpensive device. Here it is just packaged in a
13 box. Right now it's run by a laptop computer. We don't
14 concern Georgia Tech is full of engineers. We don't
15 think it would be too difficult to take that computing
16 technology and put that directly into the box, itself,
17 so you have a free-standing device. And I'm a chemist,
18 so I can say that. That seems easy to me. So here's
19 some data. Initially this project was started to give,
20 I said, for the Agricultural Technology and Research
21 Program. And the main pathogen of concern at that time
22 was Salmonella. So this just schematically shows
23 antibodies on the surface binding whole Salmonella. And
24 this -- and what I do point out is this is what's called
25 a direct amino acid. That is we directly detect the

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1 binding of the bug to the surface. There's no --
2 there's no said reporter, antibody or secondary
3 antibody. There's no incubation steps. And so what
4 you're seeing here is a real time accumulation of
5 bacteria on the surface, and under the 30 or 40 minutes
6 or so. And if you can't read the numbers, this is 5,000
7 up to 50 million cells per milliliter detection. And
8 this -- so this shows now, again, for 20 or 25 minute
9 time points, just a response curve to those
10 concentrations of Salmonella. Just to illustrate
11 reproducibility, we took a number of different wave
12 guides and applied the antibodies and tested them for
13 the same concentration, and just fit them to an
14 arbitrary function. This just shows the equilibrium
15 value and what it -- what turns out is, actually, we
16 don't even have to wait for it to reach equilibrium. It
17 turns out the rate at which it approaches equilibrium is
18 also proportional to concentration, although it looks
19 slightly greater error bars. Using antibodies, we can -
20 - we have found a method for drying those wave guides
21 once prepared, and storing them. This just shows some
22 data for wave guides that were prepared and stored for
23 only one week, although we anticipate we could probably
24 do it a little bit longer. And I don't even remember
25 which of these is the fresh wave guide and which is the

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1 dried wave guide, just illustrating that we get roughly
2 comparable results for the two. And again, now, this is
3 to take it out of the laboratory slightly. This is
4 actually poultry chiller water that was brought back to
5 the lab, spiked with Salmonella, just to show that
6 again. And partially this is because this is a surface
7 method, where we're essentially not seeing the large,
8 bulk index of refraction changes due to whatever stuff,
9 and I use that term loosely, might be floating around in
10 the chiller water. Just showing that we can detect
11 Salmonella in that, in that matrix. This is results
12 from really the last year or so, where we decided to,
13 since we had such good results with Salmonella, to go on
14 to something that turned out to be actually a little bit
15 easier. It may be that because of the notorious
16 stickiness of Salmonella, we were really tackling one of
17 the harder problems first. Because when we went to
18 Campylobacter, really what the first two -- first two
19 antibodies that we chose, and these are commercially
20 available antibodies -- we were immediately able to get
21 down to 1,000 cells per milliliter detection level in
22 under 30 minutes or so. And actually, that thousand
23 cells per milliliter is actually less cells. We've
24 recently starting using a closed loop system. This is
25 now that whole wave-guide with a flow cell. These are

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1 antibodies again, not to scale. And now if we use a --
2 just a pump, a peristaltic pump, we can get away with
3 about a half a milliliter or less of sample volume. So
4 that thousand cells per milliliter really translates to
5 about 500 cells of bacterial cells that we're detecting.
6 We've also done some recent experiments with surface
7 regeneration. And people ask about this. Particularly
8 people in -- when I go to sensor conferences, they want
9 to know about surface regeneration because they want to
10 know if you can use the same chip over and over again.
11 And this demonstrates, I think, that we can for at least
12 -- I mean we've only done up to three or four repeated
13 uses. At higher ph we get good results. If we go to a
14 slightly lower ph, of course, you can see it starts to
15 be less effective. But I think that's actually less of
16 an issue for the type of application we're talking about
17 because you -- what you're doing is you're looking for -
18 - I mean you're looking for a positive. If you get a
19 presumptive positive, you're going to then send that
20 sample off for confirmation testing anyway, and so you
21 might want to save that, that sensor chip, because that
22 actually has culturable bacteria on the surface. So
23 just to summarize some of the features of this new
24 technology, it's small, can be battery powered, and it's
25 in a robust package that it is possible for -- can be

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1 used in the processing plant. In fact, this is geared
2 to be used within a processing plant. We have actually
3 taken this out into the field, not for a food safety
4 application, but for a ground water testing application.
5 And it seems to have held up find. Response time is now
6 in the order of minutes, so it certainly fits in the
7 rapid method category. We can, as I showed you, we can
8 have multiple analytic detection on the same chip. And
9 we're in the process of evaluating that type of
10 technology right now. It's a highly sensitive
11 transducer, and a very -- and a flexible one. I'm only
12 talking here about sort of antibody analytic binding.
13 But it can be used for chemical detection. We put down
14 polymer layers that might have a chemical selectivity
15 all the time, and we can detect various chemicals or
16 volatile organic chemicals in the environment. And this
17 can be used both in air and in water. As I said, it's a
18 direct labelist detection, the dry biosensor chips for
19 storage and ease of use. And I think something that
20 will help even further in that regard is we've also got
21 an internal research program funded by Georgia Tech to
22 evaluate the use of -- instead of using antibodies,
23 which have a known sensitivity to room temperature and
24 prolonged exposure to various -- to, you know, non-
25 buffer conditions. We've started evaluating nucleic

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1 acid-based binding elements. That is aptimers [ph]. If
2 anybody's familiar with aptimer technology for biosensor
3 detection. And, finally, I've just shown some results
4 that we can regenerate the surface for multiple ongoing
5 acids with the same chip. And I think that is the end
6 of my presentation. Thank you.

7 MR. DERFLER: Next we'll hear from Dr. Stan
8 Bailey, who is going to talk about rapid detection
9 methods to support HACCP. Dr. Bailey is Lead Scientist
10 and Research Microbiologist for the U. S. Department of
11 Agriculture, Agriculture Research Service, where he's
12 responsible for research directed toward monitoring,
13 controlling, reducing and, ultimately, eliminating
14 contamination of live poultry by human interred
15 pathogens. During his career, Dr. Bailey has authored
16 or co-authored 480 scientific publications in the area
17 of food microbiology, concentrating on controlling
18 Salmonella in food poultry production and processing.
19 Salmonella methodology, Listeria methodology, and rapid
20 methods of identification. Dr. Bailey is a Fellow of
21 the American Academy of Microbiology and has served as
22 an expert consultant for the Foreign Agricultural
23 Organization and International Life Sciences Institute.
24 In 2003 he was named the outstanding research scientist
25 for the USDA ARS. Dr. Bailey.

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1 DR. BAILEY: Hey, I'm technology inspired. I
2 thank you, and I want to thank FSIS for inviting me.
3 Let me start by saying my talk is a little different
4 than the last few seen because I'm not going to be
5 talking about something I developed. I was asked to
6 talk about microbiological methods and how they may fit
7 into technology. I was specifically asked, in my
8 original contact, to talk about with small plants. And
9 I asked to change the title because I'm not really going
10 to focus on that per se, although I'm going to have a
11 component where we will talk about that. To start with,
12 as I had to develop this talk, which is a little
13 different than other talks I've given, I got to
14 thinking, what do we mean by HACCP in terms of micro
15 methods? There's the obvious pathogen detection. But I
16 thought it would be best to break it up into three
17 components. And that would be microbiological methods
18 to support sanitation or the -- showing that you had
19 good sanitation. Methods to measure process control.
20 And, finally, methods to identify pathogens in products.
21 There are some points as we go through all these slides
22 that I'd like us all to consider. And one is the large
23 plant versus small plant. Certainly, small plants have
24 real challenges. Primarily, as was pointed out earlier,
25 they're monetary. They don't have -- most small plants

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1 won't have a microbiologist. They -- many of them would
2 be very challenged to do a lot of the technological
3 advances we're talking about in terms of monetary means.
4 But there's another component that I want to just think
5 about. Because depending on supplier contracts and
6 other things, some large plants may not have the testing
7 volume that some small plants may need because you have
8 different things that is driving what is going to
9 determine what methods you need to run. So those things
10 we need to think about. Another thing that we may want
11 to think about is a single-plant company versus a
12 multiple-plant company. In a single-plant company,
13 everything is in house. Either you'll have a
14 microbiologist or, hopefully, a consultant, or some
15 mechanism. You can work with a county extension agent,
16 or an extension agent from the university to help you
17 interpret your data. Whereas a multiple-plant company
18 will have corporate microbiologists. And they'll want
19 to be looking at trends and way things are done in
20 different plants so that they can evaluate how well one
21 plant's working versus another. Those kind of things
22 will help determine, many times, the types of
23 technologies you want to run. Another component that
24 we're talking about with methods is are we doing this
25 strictly in support of HACCP? And I'll use the term

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1 regulatory HACCP, which has one connotation, versus pure
2 HACCP, in my mind anyhow, versus good manufacturing
3 practices, which many things we need to do from a
4 microbiological sampling and testing point of view with
5 good manufacturing practices, may not be directly
6 applicable to HACCP. And by that, I mean things like
7 setting up baselines so that you know what's happening
8 in your plant at different places all the time, so that
9 if you want to make a technological change, you could
10 have a good basis for determining if that change is
11 worthwhile and worth the money you're spending. And
12 then, of course, large companies, many times, have in-
13 house labs. But even they are sometimes they use
14 contract labs. But, in many instances, the small
15 plants, and as we work to help them, they probably won't
16 be setting up their own in-house labs, and they're going
17 to have to learn how to work with contract labs. And so
18 what may be applicable in a small lab that you set up
19 may not be the same as the methods that would be used in
20 a contract lab supporting a small lab. So those are
21 just all things we need to think about. I'm going to
22 start with my standard disclaimer here, and I thought
23 this was particularly important with the talk I'm
24 giving, because I'm going to be using a lot of methods
25 that I'm going to use as examples. I'm going to read

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1 this because I want everybody to understand. Methods
2 and instrumentation highlighted in this presentation are
3 used as examples of existing or potential technologies
4 and are not endorsed or certified by the USDA ARS or
5 FSIS, nor suggested at the exclusion of other
6 technologies or methods not discussed. Now all of my
7 friends in industry who make methods, I don't want --
8 that clears it. I don't want anybody mad at me because
9 I didn't pick their method as an example. So I'll start
10 with microbiological support for sanitation testing.
11 Obviously, if we go to the old gold standard for
12 sanitation testing, we would all think back to the Rodac
13 [ph] plate. Just a plate with a little auger shown
14 where you would do a contact surface. You would stick
15 it in the incubator and grow it to see if you had any
16 bacteria growing. So that's kind of the old gold
17 standard that we would be working against. Moving from
18 that technology into something that's somewhat similar,
19 and I'll talk more about petri film later on, and I'll
20 give you a slide showing how it works. But we take a
21 petri film plate, which most of the industry people in
22 this lab are familiar with now, a little dehydrated
23 piece of paper with some media on it that you put your
24 sample on. And once you rehydrate those, you can use
25 those as a technology to simulate what goes on in a

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1 Rodac plate. Another thing that you've seen a movement
2 to in recent years is away from direct microbiological
3 testing, and that's to look for proteins or
4 carbohydrates that might be on equipment surfaces. And
5 so there is technologies that we can do there. And one
6 of those is Charm Scientific has a very clean
7 carbohydrate/protein test strip. So it's a test strip
8 type product that you can use to measure, not a direct
9 microbiological test for sanitation, but you can measure
10 whether you have protein and carbohydrates present. And
11 I guess in the sanitation check area, in terms of rapid
12 methodology and technology, the area that most of us
13 have seen the most work presented and worked with over
14 the recent years is ATP technology. And I'll use the
15 lightening, which I think is a bio-control product, and
16 a slide set here to show you some of the technological
17 things you can do with this. You can use, in terms of
18 this instrument, you can -- you can not only measure
19 ATP, but you can use it for ph and temperature probes.
20 All of this working together. You can store the data in
21 your instrument. You can download it. It can be
22 accessible by a central computer for a microbiologist.
23 So you can set up a standard testing program to do that.
24 The ATP sampling is good for both surfaces and liquids.
25 You can do product testing where you can run a

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1 background chemical through it which will separate out
2 biological or microorganism ATP from non-microorganism
3 ATP so you can differentiate the two. So that's one way
4 that you can use ATP technology. Another thing, with
5 this particular instrument we're talking about, it has a
6 really good software package which allows you to
7 download all this stuff, have it readily at your hands
8 for an in-plant evaluation or trend analysis at the end
9 of the week or end of the month. Or it can be
10 accessible by corporate microbiologists who want to
11 compare between plants. They also have a program which
12 allows you to compare product lines, to compare surface
13 types, and all kinds of things. So that's a particular
14 advantage. That's enough about that product. And I
15 just threw this slide up just to show you that -- and I
16 didn't go and do an exhaustive search. That it has an
17 ATP analysis. There's cells. There's just numerous
18 companies that have that. And the example that I've
19 heard people talk about through the years is sometimes
20 with these ATP programs for sanitation checks, you don't
21 get a particularly great correlation for the amount of
22 bacteria are there. But it seems to have a pretty
23 amazing effect. If you take your night crew in with you
24 that was doing your cleaning, and you walk around with
25 an ATP swab, and you swab it, and you stick it in your

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1 instrument, and it lights up saying you've got too much
2 there. It's an immediate feedback thing. So it almost,
3 in many instances, on the sanitation side, has almost
4 more of a psychological effect on the people doing the
5 sanitation than it does on a direct hide correlation
6 with a bacterial count or anything. So many companies
7 that I know who use this technology have used it for
8 that reason almost as much as for how well it works from
9 a direct microbiological point of view. So now I'll
10 move into process control. And there's many, many ways
11 we can measure process control from a microbiological
12 point of view. We could measure total counts or inter
13 bacteria counts, but I think the thing that many people
14 do most is probably measuring generic E.coli, or at
15 least in the meat industry, poultry industry, that I
16 work mostly with. And I guess our gold standard up
17 there is obviously petri film. And that's what most
18 people tend to use. And, certainly, is -- we'll talk
19 about -- we can talk about just running generic E.coli,
20 or you can do culti-forms of E.coli off the same plate,
21 depending on the media that you have there. And how
22 petri film works, that technology was initiated
23 approximately 20 years ago, and they've made an awful
24 lot of money from it. But it was really a highly
25 innovative process that basically took paper or film,

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1 which 3-M is good at making, and they impregnated
2 dehydrated media onto that film so that when you put
3 your sample on it, it rehydrated it, and you had a
4 growth media. And then you just count, depending on the
5 type of media you put there, then you have -- you can
6 count different colony types. You can do a total plate
7 count, and some you can do coliforms or E.coli. And so
8 as we talk about technology, that's clearly one that has
9 been highly successful. There are other things that
10 have come along which offer some opportunities for some
11 alternative ways to do it, and maybe give you a little
12 different way of looking at things. And one of them is
13 a -- which is a quite interesting technology. It's not
14 based on your old traditional microbiology where you
15 count your colonies. But, in this case, you, depending
16 upon the type of media you put in your vial, you look
17 for color changes. And what you're doing is you're
18 reading that color change over time. And you're
19 reading, in this case, you'll actually be reading the
20 little part down at the very bottom of the vial. And
21 you read this product every six minutes. Part of that
22 didn't translate. Oh, there it comes, slowly. But you
23 can use different types of media, depending on what
24 you're looking for. And then in each case, the
25 instrument will be reading it. There's something else,

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1 I guess, will pop up there, the actual instrument. That
2 it reads it every six minutes. And based on the rate or
3 time that you get for that color change, you can do a
4 correlation back to your initial starting point. You
5 can do that with meat, you can do that for swabs of
6 equipment surfaces and the like. And so there's pretty
7 good correlations developed depending on the quality of
8 the media you're using, and the initial starting point,
9 and how long it takes you to get your break points and
10 your curves. And you can use this. Oh, these weren't
11 my slides. I didn't realize they moved in. But you can
12 use this kind of data for trend analysis. You can
13 compare your detection times, your positive locations
14 over time. They have a particularly nice software
15 program for this. In terms of relating it to HACCP,
16 you can have a cutoff level of whatever you want. And,
17 based on -- you run it each day, or every other day, or
18 how often you run it. You see where your data points.
19 And so it's really easy to get good trend analysis. And
20 I find that to be extremely helpful when we're running
21 baseline data or we're running things. The biggest
22 trouble we can get into as food microbiologists is to do
23 snapshots of what's going on, because when you do you
24 can be misled. You can be misled in a positive manner
25 or you can be misled in a negative manner on the quality

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1 of what you've got going. What you really need is trend
2 analysis over time because we have a lot of natural
3 variation. And only by understanding that trend
4 analysis can we evaluate new technologies and see how
5 well they might work. And, again, this is just another
6 way that using the same software, you can take the same
7 data. You can break it out by month or by season or by
8 all kinds of things and get histograms and look at your
9 trend analysis. So now let's move into what most of us,
10 probably, from a HACCP comparison point of view would
11 talk about, and that is pathogen detection. I didn't
12 have a slide in here for this, I just realized a while
13 ago when I was looking at it. But, I mean, some of this
14 stuff didn't translate very well. The first movement,
15 as I recall, from going to meetings and being involved
16 with workshops, teaching micro -- rapid methods for
17 foods, would have been in the mid eighties, I think it
18 was, '83, '84, '85, '86, somewhere in there, when we
19 first started having the Eliza technology. And those
20 were basically 48-hour tests at that time, counting the
21 testing technology. The next wave that came along was
22 PCR technology. And then we started working with
23 improved sensitivity and better enrichment media, and we
24 moved those all through 24 hours. And then -- and then
25 somewhere in there, the Vidas technology, which took the

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1 Eliza technology and automated it, came along. This was
2 probably around 90-ish, '90, '91, '92. And so the Vidas
3 technology was one that, to me, was a good example of
4 what technology can do, because if somebody who has
5 worked with companies developing methods and media, and
6 as somebody who used to be a -- the secretary for the
7 AOAC Rapid Microbiological Methods Committee for years,
8 everybody always said, you can't introduce new
9 technology, new micro methods, into the industry until
10 they are AOAC approved. And as a general rule, that's
11 been a pretty good guiding light. But where technology
12 can circumvent, that is when Vidas came out, which was
13 basically taking and automating the Eliza process,
14 within a matter of six months to a year, fully, 50
15 percent of the industry who were using rapid methods,
16 had switched over to Vidas because it was giving them
17 something they needed. At that point, they had not
18 gotten through the AOAC process. They subsequently did.
19 But even before they did, people found the technology to
20 be so useful that they went ahead and implemented it
21 even before it got through AOAC. The next generation
22 that we see in the terms of automation of technology for
23 pathogen detection would be the automation of PCR. Now
24 PCR technology had been around a long time, but it was
25 not getting much general commercial use or use in the

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1 meat industry until the Dupont company had a division
2 called Qualicon, which when Qualicon developed and
3 automated the bacs, the PCR technology, then it -- you
4 started seeing this product be widespread, and certainly
5 a significant portion of companies that are using rapid
6 methods for pathogen detection now have switched over to
7 this technology. And the common thing here with what
8 happened with Vidas is it's an automated process. All
9 of our laboratories are being asked to do more with
10 less. With less people. But, at the same time, being
11 given more samples to run. And so technologies that are
12 being effective on the pathogen side are, clearly, ones
13 that are having more and more automation. This is going
14 to give -- show us how it works, but I'm going to skip
15 it. There we go. You -- so you prepare the DNA. All -
16 - this is all that's involved. Well, PCR technology.
17 I'm not a molecular biologist. And so I think I would
18 serve as a good example. It was kind of like a black
19 box to those of us who aren't molecular biologists. And
20 people in most laboratories. You know, they hear PCR.
21 They say, I can't implement a high technology like that
22 because I don't know how. Well, what they've done is
23 they, if they -- they made it simple. All you have to
24 do is prepare your DNA, you amplify the DNA, and then
25 you put it in the instrument and you walk away. And you

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1 come back with the answer. And I won't go into all the
2 technology of how it works but, basically, you're just
3 detecting a light change, which from a melting curve of
4 what's going on with the DNA. And then, for those of us
5 who are real simple, it's a nice system because it tells
6 you green if it knows -- no positive there, or red if
7 you have a positive for the pathogen you're looking at.
8 Another area that we -- we won't spend any time talking
9 about today, an example of is automated hopping. And it
10 was referred to earlier. As many times, depending on
11 what we're doing with our data, it's not enough to know
12 if you have a pathogen present. You need to know where
13 that pathogen came from. So you need a little more
14 information than just is it Salmonella, or maybe even
15 just is it Salmonella, or is it Listeria monocytogenes?
16 You need to be able to genetically profile that pathogen
17 or that isolate so that you could compare it to where it
18 may have come from in the process. If you have a
19 Listeria monocytogenes problem on your final product,
20 you want to know that it's there, but more importantly,
21 you want to know where it came from so you can put an
22 end to that and not have the issues that you're dealing
23 with. And so there are -- is certainly one technology.
24 And there's a number of others that have been developed
25 and are very good at giving you genetic profiles which

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1 allow you to compare isolates. And so you can say, what
2 was on the final product? Was it what was on the raw
3 product? Was it something that's in a drain? So where
4 did it come from? Certainly, there are other types of
5 products. Bio-Control Company has the one, two test. I
6 particularly wanted to show this because, as we talk
7 about small companies, if they're trying to do things in
8 house, this is a technology that is an AOAC approved
9 technology. It's not really for companies that are
10 doing large numbers of samples. It doesn't lend itself
11 to that, in my estimation. But it is a very good
12 process for running a small number of samples. And you,
13 basically, would drop your sample in here, and you have
14 your antibody here. And where they meet, they form a
15 little precipitant line. And it's something that's
16 fairly simple and straight forward for smaller
17 laboratories. And then another big trend we've seen in
18 commercially available pathogen detection tests, and a
19 number of companies have them, is your lateral flow
20 devices. And again, you grow your enrichment media, has
21 a sensitivity of approximately 10 to 5, which will give
22 you an overnight enrichment, should do that for you.
23 You drop it in. It goes to the ladder float, where it
24 hits your antibody that's imbedded in the sample.
25 You'll get a precipitant line that you can read. And

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1 then there's a number of different types of enzyme amino
2 acids. I'm not going to spend a great deal of time on
3 those because each of these company things are just
4 examples of a large number of those that are available.
5 Now, a fairly unique and interesting technology is
6 called a simplite [ph]. And that's one that depending
7 on the types of media you use, where you can look for
8 different types of color changes, it could be for total
9 counts or culti-forms or or even Campylobacter, is you
10 put media in and, basically, it's an MPN technique
11 that's in one plate. So you have a formula for the
12 number of the little vial -- little holes that turn
13 positive, versus the total number there. And it -- and
14 there's an MPN type analysis you can do that will tell
15 you the initial number that you started with. And
16 again, different companies have different OI's and
17 different lateral flow techniques. And I thought I
18 would end by just -- there's no right answer to this
19 question, but it's just something for you to think about
20 as we talk about all these technologies. And it's a
21 tradeoff that companies and people who are making these
22 instruments and assay have to think about. And that's
23 what is the cost of pathogens? Well, I can give you --
24 I could have given you a whole 30-minute talk on the
25 cost of pathogen testing because there's so many

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1 considerations. But let's just boil this down to the
2 actual assay, itself, not all the things about time
3 you're saving and storage you can save by doing things
4 rapidly and all that. Just on the actual running it,
5 itself, it's highly variable depending on your fixed
6 costs, the number of laboratory support personnel that
7 you're already paying, and the fixed cost of overhead
8 and things like that. But just the analyses,
9 themselves, the media. Conventional media, generally,
10 for most of the top technologies we're going to run,
11 it's going to cost you a dollar to say \$4 per test,
12 depending on what you're running. Most of them are 48-
13 hour assays, which are now -- which used to be the high-
14 tech stuff, which are now the old-line stuff, run you
15 from two -- two dollars and a half, to maybe up to \$5,
16 mostly in the lower end of that right now. Most of the
17 24-hour assays that are available, and there's quite a
18 few of them now, run in the neighborhood of \$3 to three
19 dollars and a half, up to maybe \$10 in some instances.
20 Biosensors and other new technologies that are coming
21 along, we don't know. But, certainly, they'll be
22 higher. And the reason I -- the thing I want you to
23 think about as we end on this, on this thought, is what
24 is it worth to you, as a company, to pay? What are you
25 doing with the data? Is it something you're holding

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1 product with and you won't ship it until you know your
2 results? Then paying twice as much for an assay would
3 probably, certainly, be worth it. Is it something
4 you're doing for a baseline data that you just want for
5 historical reference? Then it may be hard to justify a
6 more expensive cost if it's just something that you're
7 using for trend analysis. So those -- so there's no
8 right or wrong answer to this question. But it's things
9 that, as we are developing technologies, and as we're
10 buying technologies, we just have to think about all of
11 those things. And, certainly, there's a lot of other
12 factors too. If it's done for regulatory purposes, is
13 it a -- a collaborated or approved method? And there's
14 all kind of other things we could talk about if we had
15 time. But those are just some of the factors we need to
16 think about. And I believe that's all I got. Thank you
17 very much.

18 MR. DERFLER: Are there questions? Questions
19 from anybody in the audience? I'm tempted to ask mine,
20 but I'm not going to, because we should be on break now.
21 So let's be back in 15 minutes, at about three o'clock.
22 Thank you.

23 ***

24 [Recess]

25 ***

1 DR. SHARAR: I think most of you who are in
2 the food industry are familiar now with Listeria final
3 rule. So I'm just going to go through it in terms of
4 the new technology and existing technology being used to
5 comply to the rule, and also for the sanitation
6 procedure. The Listeria rule was published in the
7 Federal Register on June 6, 2003, and with an
8 implementation date of October 6, 2003. It's called an
9 -- because the approaches to control Listeria
10 monocytogenes is novel and as compared to our proposed
11 rule which we published in 2001. Therefore, we are
12 accepting comments up to December 8, 2004, at which time
13 we're going to review and evaluate the requirements of
14 the rule. Together with the publication, the rule we
15 have issued complies guidelines which can be -- which is
16 on the web site of FSIS, and these guidelines for
17 establishments in complying with the rule, especially
18 small and very small establishments. Aside from the
19 guidelines, FSIS held five workshops in five locations
20 in the United States before implementation of the rule.
21 This is in order to present the requirements of the rule
22 and to answer comments and questions from the public
23 during those workshops. We also issued a directive,
24 10,240.4, which is -- which are instructions the
25 inspection personnel in the inspection -- in the

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1 enforcement of the rule. The Listeria rule, which is
2 food control of Listeria monocytogenes in ready-to-eat
3 meat and poultry products, can be found in the Code of
4 Federal Register 9, Title 9, Section 430. It was issued
5 in order to control, reduce or eliminate food-borne
6 illnesses due to Listeria monocytogenes. In the last
7 three to five years we have had two food-borne outbreaks
8 linked to Listeria monocytogenes due to the consumption
9 of ready-to-eat deli and hotdog products. As you all
10 know, Listeria monocytogenes is an environmental
11 pathogen and can be found on farms, in animals, and also
12 in the food processing environment. So ready-to-eat
13 meat and poultry products which receive lethality
14 treatment, and which are exposed to the environment
15 after the lethality treatment, can have cross
16 contamination from the equipment that might have some
17 Listeria monocytogenes. Therefore, the Listeria rule
18 covers all ready-to-eat meat and poultry products that
19 are exposed to the environment or the post-lethality
20 environment. Establishments are required to control
21 Listeria monocytogenes in ready-to-eat meat and poultry
22 products that are post lethality exposed using any one
23 of the three alternatives that we have in the rule.
24 Alternative one requires establishments to control
25 Listeria monocytogenes using post-lethality treatment

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1 and antimicrobial agents and processes. Alternative two
2 requires establishment to control *Listeria monocytogenes*
3 using either a post-lethality treatment or an
4 antimicrobial agent or process. Whereas alternative
5 three requires establishments to control *Listeria*
6 *monocytogenes* using sanitation procedures. After the
7 publication of the rule, FSIS received questions and
8 comments concerning requirements of the rule. Since the
9 rule covers ready-to-eat meat and poultry products that
10 are post-lethality exposed, a lot of questions were
11 concerning differentiation between ready-to-eat and not
12 ready-to-eat products, and also whether -- how do -- how
13 to determine whether products are post-lethality exposed
14 or not. We have also questions concerning the post-
15 lethality treatments and antimicrobial agents that they
16 can use for red meat products. And we have questions on
17 labeling, and also on deli and hotdog products. In
18 terms of sanitation we have questions concerning food
19 contact surface testing, as when to -- when they have to
20 test for it and how to test for it, and also on hold-
21 and-test provisions of the *Listeria* rule. I will not be
22 going through all this, all these kind of challenges.
23 I'll be just touching on the post-lethality treatments
24 and antimicrobial agents and processes which have
25 relevance to this meeting, which is the New Technology

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1 meeting, and also on the sanitation measures using food
2 contact surface testing and hold-and-test procedures.
3 The rule defines a post-lethality treatment, as a
4 lethality treatment that is applied or affected after
5 the post-lethality exposure. It is applied to the final
6 packaging or sealed package of product, and it is
7 applied in order to reduce or eliminate the level of
8 pathogens resulting from post-lethality exposure.
9 Here's a list of some post-lethality treatments that can
10 be used by establishments or are being used by
11 establishments right now. Steam or hot water
12 pasteurization is an existing technology to
13 decontaminate carcasses after de-hiding or before
14 chilling. But it's new application is in ready-to-eat
15 meat and poultry products that are sliced and packaged
16 or hotdogs also that are repackaged. High pressure
17 processing is a relatively new technology for fruits,
18 fruit juices and meat and poultry products and
19 vegetables also in order to retain the texture, flavor
20 and color of the product. This new application is in
21 ready-to-eat meat and poultry product that are sliced,
22 and also in hotdogs. Ultraviolet treatment is an
23 existing technology used to decontaminate laboratory
24 benches and equipment, but now this new application is
25 in ready-to-eat meat and poultry products. Radiant

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1 heating uses infrared heat in order to decontaminate
2 whole muscle products like ham after it's removed from
3 this packaging, its cooking bag, and before packaging.
4 Also in treatment is also an existing technology used in
5 the processing of meat and poultry for water treatment,
6 and now it's also being used for ready-to-eat meat and
7 poultry products. Acidified sodium chloride is also an
8 existing technology that's being used in the processing
9 or decontamination of meat and poultry products, and now
10 it is being used also for ready-to-eat meat and poultry
11 products. An antimicrobial agent as defined by the rule
12 is one that reduces or eliminates *Listeria monocytogenes*
13 and other pathogens, or suppresses or limits the growth
14 of *Listeria monocytogenes* throughout the shelf life of
15 the product. An antimicrobial process is an operation
16 that suppresses or limits growth of the -- of *Listeria*
17 *monocytogenes* or other pathogens in the product
18 throughout its shelf life. Here is a list also of some
19 antimicrobial agents and processes that can be used by
20 establishments, or that establishments are using right
21 now. Sodium lactate, potassium lactate and sodium
22 acetate are sorts of acids that had been used before in
23 the decontamination of meat and poultry products. They
24 were found to be able to limit or suppress *Listeria*
25 *monocytogenes* in poultry products and in poultry and

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1 meat products, and they are found to be more effective
2 if they are added to the formulation. They can be used
3 singly or in combination. Freezing is an antimicrobial
4 process that has been used for a long time. During
5 freezing, the growth of microorganism is stopped and,
6 therefore, the metabolic processes stop and that's how
7 it acts as an antimicrobial process. Growth inhibitor
8 is fairly new. Cellulose casings are used for hotdogs
9 or frankfurters. These are coated with niacin and
10 during -- during the heat treatment or the cooking part
11 of hotdogs, the niacin is transferred to the product, to
12 the surface of the product, and so it becomes an
13 antimicrobial agent during processing, and also during
14 the storage of hotdog products. Zane-filled coatings
15 have been discussed by Dr. Janes earlier. These are --
16 these are also used as antigrowth inhibitor packaging.
17 The rule did not include or specify the minimum levels
18 that are expected for the lethality treatment and
19 antimicrobial agents that would be affected. However,
20 the compliance guidelines have included these expected
21 minimum levels of effectiveness of post-lethality
22 treatment on antimicrobial agents and processes. It
23 ranges from greater than two log reduction of LM to less
24 than one log reduction of LM. And it's tied into the
25 frequency of testing, of verification testing by FSIS,

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1 and also legal claims. The rule also did not include
2 the expected minimum levels of effectiveness of
3 antimicrobial agents in process, but the compliance
4 guidelines include these levels. It ranges from less
5 than one allowed increase of LM to greater than two log
6 allowed increase of LM. Food contact surface testing is
7 required by -- by the rule for products in Alternatives
8 two that use antimicrobial agents or processes, and
9 products in Alternative three, to verify that sanitation
10 controls are effective against *Listeria monocytogenes*
11 contamination. The rule defines food contact surface as
12 any surface in contact with the product in the post-
13 lethality processing environment, peeling, slicing,
14 repackaging and other operations. Examples of food
15 contact surfaces are surfaces of the slicer, peeler,
16 conveyor belts, work tables that are in contact with the
17 product. The rule specifies that food contact surface
18 testing must be included in the sanitation program in
19 order to ensure that surfaces are sanitary and free from
20 LM indicators. The establishment must include the
21 frequency of testing, whether it's once a month, twice a
22 month or twice a year. It should include the
23 explanation of why frequency is sufficient to be
24 effective -- to effectively control *Listeria*
25 *monocytogenes* or its indicators, such as *Listeria*

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1 species. This will depend on the kind of product, the
2 volume of production, and the sanitation problem that
3 establishments has. It should identify the size and
4 location of testing sites, and that's self-explanatory,
5 and identify the conditions under which establishment
6 will implement a hold-and-test procedure following a
7 positive test of a food contact surface for *Listeria*
8 *monocytogenes* or *Listeria* species. For deli and hotdog
9 products in Alternative three, the rule requires that
10 after a positive *Listeria monocytogenes* or *Listeria*
11 species, on a food contact surface, establishment must
12 take corrective actions and verify that the corrective
13 actions are effective by conducting follow-up testing.
14 Now if the follow-up testing shows positive LM or
15 *Listeria* species or *Listeria*-like organisms, it must
16 hold lots of product that may have been contaminated by
17 contact with the food contact surface until the problem
18 is corrected. In order to release the product that may
19 have been contaminated, establishment must test the
20 product with a sampling method and frequency that would
21 provide a level of statistical confidence that ensures
22 the product is not adulterated. If the food contact
23 surface testing is positive for *Listeria monocytogenes*,
24 the products in contact with the food contact surface
25 are considered adulterated. So the implicated product

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1 can either be destroyed or reworked with a process
2 that's destructive of *Listeria monocytogenes*. The rule
3 did not specify expected minimal frequency of
4 establishment verification testing of food contact
5 surfaces, but compliance guidelines included these
6 guides. For Alternative one, it indicates the food
7 contact surface testing frequency for the different
8 alternatives. And for Alternative three, for non-deli
9 and no-hotdog products and for deli and hotdog products.
10 We have other future challenges that we're -- we are
11 looking into. These are review and evaluation of the
12 rule after the 18-month period. *Listeria monocytogenes*
13 at retail, and the final rule that will result from the
14 review and evaluation. Thank you.

15 MR. DERFLER: Thank you, Dr. Sharar. Now what
16 we want to do is focus back on small and very small
17 plants. And we're going to have a talk by Dr. Dennis
18 Burson on *Listeria* interventions in small and -- small
19 meat and poultry plants. Dr. Burson conducts
20 cooperative extension programs on food safety and HACCP
21 with special emphasis for small and very small meat and
22 poultry processors here at the University of Nebraska in
23 Lincoln. He also conducts educational programs for
24 livestock producers and processors, emphasizing the
25 improvement of the quality, consistency and value of

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1 meat and pork products. Dr. Burson has recently
2 received the Distinguished Extension Industry Award from
3 the American Meat Science Association, the Achievement
4 Award from the Nebraska Association of Meat Processors,
5 and the Distinguished Extension Specialist Award from
6 the University of Nebraska Cooperative Extension
7 Service. We were here for a meeting of foreign
8 particles about a year ago, maybe a little bit more than
9 that. Dr. Burson was good enough to speak then, and we
10 were really impressed by his talk, so we're really happy
11 to have him here. Again, Dr. Burson.

12 DR. BURSON: Okay, Power Point's great. I can
13 remember when we used to do these things with 35
14 millimeter slides, and you had to have your presentation
15 down weeks and weeks in advance, and mine was finished
16 last night about 4:30 or five o'clock, and so -- I want
17 to speak to you about Listeria interventions, and not so
18 much to focus on what it is that might be new and
19 exciting, and all the science and all the reports that
20 might be behind some of the Listeria interventions. But
21 in focusing on the small meat processor and trying to
22 decide what it is that we think needs to be done in
23 order to help these operations and to bring them along
24 with the rest of the industry, so to speak, in terms of
25 the kinds of things that are going on in control of

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1 Listeria. Now, and so before I get started into places
2 where we think we ought to go, I thought maybe we'd
3 spend just a little bit of time thinking about, well,
4 who are these people, and we can go from the small
5 operations, which have some size in terms of a volume
6 output. But then you can also go clear down to the
7 very, very small operations. And many times we will
8 find that in these cases we have the owner is the
9 operator of the facility. They are also the person that
10 does all the decisions about research and development,
11 so to speak, in the small plant. They're also the
12 sanitarian in the plant. And so you might be talking to
13 the same person when you're looking at these operations.
14 And I put this big picture up. And if any of you are in
15 Omaha, here, you may recognize this as Ken Stoitsich
16 [ph], with Stoitsich House of Sausage over here in town.
17 And you'll say, well that's not an inspected facility,
18 and so why are you working with them? Yet some of these
19 guys are under the -- I'm also concerned about some of
20 these people that fall under what we might call retail
21 exempt or custom exempt. And maybe we ought to be
22 worried, too, about some of the retail operations in
23 terms of deli in retail. But these are the kind of
24 people that we're doing a lot of focus for, and as well
25 as trying to reach the small and the large operations in

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1 some of our programs at the university. So what's
2 unique about some of these guys? Well, when we go to
3 talk to them and work with them in terms of their
4 products, one of the things that we'll find out is that
5 many of them want to produce a very high-quality product
6 that has a niche or that's something very distinct and
7 different than what you might find from going to the
8 pegboard in your local retail store. And so they want
9 to develop a market where they can have a place, top
10 rate. And this happens to be, it doesn't show up as
11 well up there as it does on my computer screen, but it's
12 basically a cooked pork roast that has apricots seeded
13 throughout it kind of in a ribbon mixture. And so it's
14 very unique type of products. And some of the times we
15 find these things, and these people will produce them
16 maybe only once a year, maybe during the special holiday
17 time when they do it. But these are the kinds of things
18 that they're looking at doing. The other thing that we
19 find is that we can go into some of these shops and,
20 basically, they have the processing area as well as the
21 retail sales located right in their shop. And some of
22 them also operate the custom products that would go home
23 to a customer. But you'll find retail counters like
24 this. And the thing that, as I was going through
25 pictures, that caught my eye, is that we tend to think

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1 about things that we use to control Listeria, and we
2 think about a vacuum-packaged product, and not all of
3 them are vacuum packaged. And so they have some things
4 that just sit out into the storefront. And the other
5 thing that I take out of this picture is that these guys
6 produce a lot of different products. And, in fact, a
7 while back we did a survey. We asked them how many
8 different types of labels or products would you produce
9 in your facility. And at one point in time, and this
10 was a few years back, they said there were 39 different
11 products that they would produce. And so -- but some of
12 them were very low volume. In fact, one of them
13 reported that they produced a product, and the biggest
14 batch that they produced was 30 pounds in a year. And
15 so some of these operations have unique things in terms
16 of looking at controls for Listeria, especially if you
17 think about products. They're worried about quality.
18 And so anything that we're going to do in terms of post
19 lethality or antimicrobials also has to address quality
20 issues with these guys because that's how they're
21 building their business. Then the other thing is that
22 some of it's very low quality, or low quantity, and so
23 then it has to be something that is easy for them to
24 apply in their operation. The other thing, as we go out
25 of the metropolitan areas and we get into the rural

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1 areas, many of the operations include slaughter and
2 processing of fresh meat, and some of it as a custom
3 slaughter basis. And so in the same facility they'll be
4 doing both the fresh meat as well as ready-to-eat
5 products. And because of their small size, they usually
6 look for a low investment in the processing equipment;
7 however, I would say that we've seen people that have
8 taken an aggressive business approach, have decided that
9 they can trade off some labor for processing equipment,
10 and will buy into some equipment basically on that
11 schematic that they can produce more with less labor,
12 which becomes more and more difficult for them to get a
13 hold of. As we said, the owner and the operator usually
14 has direct oversight of the operation, including the
15 sanitation. Packaging and preparation of ready-to-eat
16 meat products is not a 24-hour operation for them, or
17 not even a two work shift operation for them. It might
18 be once a week for some operations. But, at the very
19 most, they usually apply a few hours each day. And so
20 then you start to think about sanitation, and how do
21 they manage that, and make sure that care and checking,
22 and if you have an infrequent operation like this, how
23 do you make sure that you're following up? So there is
24 a possibility, however, if you get these people
25 educated, that maybe sanitation could be conducted

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1 before packaging. And another thing that we talked
2 about, freezing, is a possible post lethality treatment.
3 Many of their customers in the custom exempt, that's why
4 they used to get their products. And it's only recently
5 here that many of these guys have bought into the small
6 pouch or bag-packaging, vacuum packagers that they've
7 been able to sell fresh meat products, or to provide
8 fresh meat products that were not frozen, or the ready-
9 to-eat products that were not frozen. So what are some
10 of the challenges for these guys? And this was pointed
11 out in some of our workshops that FSIS went through in
12 October and November. But it's since they have a low
13 investment in equipment, many times they use the same
14 equipment for both operations, whether it's fresh meat,
15 whether it's ready-to-eat meat products. And so you
16 might have a slicer that's used to prepare -- to cut the
17 raw jerky meat for preparation, but also the same slicer
18 goes back and cooks the ready-to-eat meat. And so you
19 can see that you've got a concern there in terms of
20 spreading Listeria. Many of them are in a one-room
21 operation. And whether that room is refrigerated or not
22 might depend upon how cold it is outside. But this --
23 this particular operation, one room was focused
24 primarily on the production of ready-to-eat products.
25 But again, some of these operations, the one room also

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1 provides the beef carcass fabrication or the cutting of
2 retail meat cuts and fresh meat operations as well.
3 This is a picture in our facility. And when we talked
4 about the Listeria rule, and went through and thought
5 about it a little bit, one of the things that you can --
6 need to educate the small processors about or think
7 about, how cross contamination, or how things could
8 occur. And this hallway, although it looks nice and
9 clean right now, it's also a common hallway that fresh
10 meat or ready-to-eat meat can go up and down, and
11 traffic in that hallway. And not only that, since we're
12 in a university system, we have a hallway here where
13 some people think this is their way out of the building.
14 And they'll come through this hallway and on their way
15 out to their car and in from -- in the morning. And so
16 taking and educating the small processor, and thinking
17 about these things, and how is it you can manage in
18 order to help avoid Listeria contamination is one of
19 things that we have to go about. Most operations, since
20 they're one room, do not have a separate packaging room.
21 And those that do, I think with the rule, we've started
22 to look at it and say, well, we need to make sure that
23 you have the super clean part of the packaging room
24 before you get started in your operations, and that you
25 need to monitor traffic in and out of those areas, and

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1 make sure that the packaging room is clean. And so, in
2 the small operation, if they have the luxury of having a
3 separate packaging room, I'm not sure they've thought
4 about those things yet, and so we spent time with them
5 talking about that. Some even have a challenge of
6 whether they have separation for cooked meat versus raw
7 meat storage. Hopefully, by now, most of them show a
8 separation in that and have those kinds of things in
9 place. And even with that, because many facilities are
10 cramped with space, and in this particular picture you
11 see that it also applies for equipment storage, as well
12 as for the cooked meat storage. And so those are all
13 things that we think are challenges. Many of the vacuum
14 machines that do get used in smaller operations are the
15 pouches, rather than using a roll stock machine, and so,
16 in my view, you've got more chance of handling and more
17 chance of cross contamination. So what are we focused
18 on then? And I think that the thing that we've tried to
19 do is try to look at the education towards these
20 processors, and say that we think that this is a problem
21 that you want to address. You know that you have to if
22 you're under the meat inspection, to try and address
23 some of these things. And one of the areas we spent
24 time on in the past is the cleaning and sanitation. And
25 one of the things that we have done with the rule that

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1 has come in is one, we try to educate the small
2 processors on the Listeria rule, try to understand where
3 Listeria may be found, and where you have to make sure
4 that you clean and sanitize. Make sure that the company
5 has established proper cleaning and sanitation schedules
6 for their processes. And then, in order to do that step
7 where you do the testing and the validation, we were --
8 we've wrestled with that and what it -- should be done
9 in a small operation. And, for the most part, we've
10 recommended to the very small operations that the
11 Alternative three is where they start at, and that they
12 use the testing program that's identified in the USDA
13 Listeria Guidelines. And so whether that's a good
14 measure of looking at their sanitation or not, we don't
15 know, but it's something that certainly allows them to
16 comply. And with that, we think that part of our
17 efforts should be that we need to provide the workshops,
18 and we need to provide the efforts to help bring them
19 along. We'd like to reflect a little bit on some of
20 these operations as we've worked with them in the past,
21 in that I've had, when I first started my job, we had
22 some workshops that would bring these people in in terms
23 of just making products and manufacturing products. And
24 that's really what they enjoy doing, is the manufacture
25 and making items. And so people would come in, and

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1 you'd work with them, and you'd think, these guys, how
2 do they -- they don't even know anything about what it
3 is in terms of the meat products, as well as sanitation.
4 And, eventually, after a few years of coming and working
5 with us, and a few years of being in the business, why
6 then they start to understand some of this stuff, and
7 are really trying to make the right efforts. I don't
8 think these people out there try to ignore this. It's
9 just that many times they don't know and don't
10 understand. And so we think that providing workshops
11 that focus toward their audience that they will come to,
12 and if you get them in and start to educate them, and
13 have one-on-one contact with them, why then we can make
14 a lot of progress and improve the situation. Part of
15 the educational process that's happened with Listeria
16 for the small processors, this is a table right out of a
17 publication put together by Penn State University, and
18 went out to the web site on FSIS and picked that up.
19 And so you can educate about, well, how frequently
20 should you clean and sanitize some of those areas. This
21 is a -- you've seen this before already, but this is
22 where we are at in terms of talking with small
23 processors. Most of them would say, if you're in the
24 very small operation, at this point in time, we want you
25 to look at Alternative two. That means that you need to

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1 provide some Listeria species sampling one time a month
2 per line in your operation. And so that's what most of
3 them have adopted at this point. But we would like to
4 take it beyond that. And, certainly, one of the things
5 we found is that if I talk about the very small
6 operations, we go a little bit larger, is that their
7 needs probably are a little bit more than looking at
8 microbial testing for verification of their program more
9 than once a month. And so Dr. Tipper Etti [ph] and
10 myself, we've put -- looked at putting together a
11 publication. And it's not fully published yet, but
12 we've got a pretty good -- Dr. Etti has a pretty good
13 draft on it. I'll give him most of the credit. But the
14 publication was intended to give more of a complete
15 package to Listeria monitoring than what we could find
16 in other resources that we had out there. And so some
17 of the things that we discuss are places in the plant or
18 areas in the plant where they want to sample, and the
19 techniques for sampling. Because, many times, these
20 processors have no background on, in terms of micro
21 sampling at all. And so we provide some description of
22 different techniques, the frequency that they should
23 sample, and responses and correction. And not only
24 that, provide a chart that they can use that would work
25 for data analysis in their facility as well. And so,

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1 hopefully, we get that thing published and out there to
2 use in the very near future. But, for example, in the
3 document, we give a description of environmental
4 sampling techniques such as sponge, or swab, or
5 scraping, or rinse sampling, and air sampling, and so
6 on, and some -- also some product sampling techniques.
7 And that was something that we didn't find in other
8 publications that could be useful in trying to test for
9 Listeria. Now, we also make a recommendation on what
10 kinds of sampling in terms of the environment on non-
11 contact surfaces, as well as contact surfaces. And, as
12 you can see, there could be quite an extensive bit of
13 sampling here. And some of the processors that are into
14 more of a volume of production of a specific product,
15 but still kind of are, basically, in that small
16 category, maybe ought to look at upping their level of
17 testing. And this is where we're coming out with this
18 recommendation here. But even with that, why then we
19 have heard back where some people think that, well, the
20 cost is getting too much, and there's too much sampling
21 involved. And so once we've established that, why then
22 we think the next true step that we really need to take
23 them into is look at antimicrobials for Listeria control
24 in the small plants. And, hopefully, this is something
25 that we can start within this next year. And a number

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1 of other extension people have already provided some
2 information to small plants about it. But to use some
3 of these common antimicrobials, and I'm sorry if I don't
4 here but this is the ones I thought of when I put my
5 presentation together. But sodium lactates or potassium
6 lactates or diacetate, sodium diacetate, and
7 combinations of those. And to put them in at the use
8 levels where we can look at that one log or less type of
9 growth of Listeria during the storage period. And so
10 there are some modeling programs out there that will
11 give us some help and some guidance in terms of the
12 extension specialist, but this is something that's
13 probably going to have to happen with our help and
14 giving them guidance on what to do in their
15 formulations, and it's not going to happen simply by the
16 -- by themselves. We also think that there's a need to
17 include other microbials, and one of them that we're
18 going to look at is buffered sodium citrate, or maybe
19 other antimicrobials that are natural, and look at those
20 in combinations with each other and what are the effects
21 they produce on quality will be a big question,
22 especially for the small processors. And so we think
23 that there's other areas that can be promoted with them.
24 And part of the reason that we want to go into these
25 other things is that if you go into a small meat

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1 processing plant and their spices are already in a bag,
2 they're provided to them by the company that put the
3 spice mix together, and so can we work with them, or
4 else through the spice companies, and also include some
5 of these other ingredients, and make it work for them?
6 What makes them unique? As I said, they usually
7 purchase their non-meat ingredients from a regional
8 supplier. Many times it's -- these ingredients will be
9 at a higher price than what the large companies can buy
10 them at, certainly. They -- and like I said, they'll
11 purchase unit packs of 400-pound batch size, and that's
12 how they get their ingredients. And that's also how
13 they do much of their formulation. One hundred pounds
14 of meat, one pack of ingredients. And this has also
15 raised concern because sometimes when we've back
16 calculated for them, and the CSO's out there know this
17 too, is that we don't come up with the right number.
18 And so we need -- they need some help in terms of those.
19 I think there's a concern about, within these small
20 plants, that they have their old-time formulation, and
21 so if we go to add some other ingredients, what does
22 that do to that old-time formulation? What is needed
23 beyond the research? As I said before, I think the
24 excellent way to get to these people is to do workshops.
25 And the way to implement change in their operation is to

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1 get them to come in and look at products, and taste
2 products, and make products, and then they're willing to
3 make the change. The other part that goes with that is
4 if we're available and provide one-on-one consultation
5 with them, why then they can feel comfortable about what
6 it is that they're doing and make changes in their
7 products. This change also, I think, needs to occur in
8 what I called custom exempt and retail exempt processors
9 that we have here in the state. And it's maybe the only
10 way that we will make that kind of change, is to provide
11 some of this assistance and some of these workshops in
12 order to get them to come along with us. Post-lethality
13 control may be out there, and I think we're going to
14 hear more about that in the next presentation. But
15 there are a few things that can be used, and maybe
16 there's one or two that, again, that I have left off
17 here. But small processors are probably not going to
18 invest in a lot of high-dollar equipment, and so if
19 lethality stepped -- post-lethality control step takes a
20 new piece of equipment, they will probably be hesitant
21 to do that. There may be some things that can be done
22 with hot water treatments after the meat has been
23 packaged, the ready-to-eat meat has been packaged, and
24 there's some research now coming out where I think Penn
25 State has conducted some, and Steve, you may have

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1 conducted some as well where you've looked at using hot
2 water as a treatment on a vacuum package and getting a
3 two-log reduction with that. And so -- and then one of
4 the advantages, if they are in operations where they
5 still freeze their ready-to-eat meat products, why then
6 that's a treatment that they can use. So I'd say that
7 the small meat processors, they have unique challenges
8 for Listeria control, and that cleaning and sanitation
9 will, at this point, be the most common method that many
10 of them will use. And, along with that, the validation
11 testing that goes with it. But where we would like to
12 move these operations is to include the use of
13 antimicrobials. And they provide an excellent
14 opportunity. And then, eventually, if we can figure out
15 some systems that will work for post-lethality
16 interventions, why then we would include those as well.
17 But, from our standpoint at Nebraska, we'll probably end
18 up using -- putting quite a bit of emphasis on the
19 antimicrobials in the next year or two. So that ends my
20 slide show. I appreciate the opportunity to be here.

21 MR. DERFLER: Thank you, Dr. Burson. The last
22 presentation is going to continue on the small plant
23 theme. It will be by Dr. Steven Ingram, and he's going
24 to talk about taking a new look at meat processing,
25 validation of old and not-so-old technology. Dr. Ingram

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1 is the Food Safety Extension Specialist at the
2 University of Wisconsin, Madison, along with the Meat
3 Extension Specialist, Dennis Buege. He assists small
4 and very small processors with HACCP implementation and
5 related subjects. Dr. Ingram.

6 DR. INGRAM: Okay. Well, we've heard a lot
7 about new technology today, and I guess what I'd like to
8 start off by saying is that whether the technology is
9 old or new, it needs to be validated to be proven
10 effective. What I'm going to talk about is the service
11 we offer at the University of Wisconsin, Madison, where
12 we do lab scale validation studies to assist very small
13 plants in these validation efforts. I want to just set
14 the stage a little bit. Dennis did a nice job showing
15 you the unique concerns in a small and very small
16 operation. In Wisconsin we have approximately 300 very
17 small processors. Most of them are state inspected.
18 And although they sincerely want to make safe products,
19 they lack a lot of the resources and expertise to do any
20 kind of validation work. So we try to provide that for
21 them. We offer validation services to really try to
22 answer two questions. The first is, basically, are the
23 critical limits I have in my HACCP plan adequate? In
24 many cases, you know, where they can't follow Appendix
25 "A" or Appendix "B," they are groping, or they're

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1 choosing numbers that they've traditionally used, but
2 they don't know if they're really scientifically valid.
3 And, of course, as the emphasis in HACCP regulation has
4 gone towards validation, they're really in a pickle.
5 The second question they have where validation studies
6 might be useful for them is I've got a deviation. Is my
7 product safe? What can I do? And we focus mainly on
8 the first of these questions. But we get a lot of
9 information from these studies, and in some cases, have
10 been able to help them with the second question as well.
11 Dennis mentioned some of the niche products that these
12 plants make. Validation work is very, very crucially --
13 or very much needed for these traditional products.
14 Many of them, as he mentioned, are made not very often,
15 small amounts. But the operator will tell you they have
16 a long history of apparent safety. Now, the regulators
17 in the crowd will kind of grimace at that. You know,
18 everybody says, well I've never had a problem. I've
19 been making it for 40 years. In many cases, they may be
20 accurate in their perception, okay? But there doesn't
21 appear to be a problem, but there's no validation of
22 that. Also, several of these products may not have your
23 typical, critical control points. They may not really
24 be cooked. They may not have chilling or a
25 stabilization as a CCP. So they have different types of

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1 CCP's, and there's not a lot of data out there. Another
2 headache that I have in trying to do these studies is
3 that there may be a traditional product made by 40 or 50
4 different plants in Wisconsin, and each processor has a
5 slightly different twist to it. Different type of
6 smokehouse, different spice mix, different casing, what
7 have you. And, of course, they need our help. Well,
8 for the last little bit over a year, we've been
9 operating de facto as a center for validation studies.
10 And I'd like to just give credit to the people involved
11 in this. Dr. Dennis Buege is our Meat Extension
12 Specialist. And he's the one who's the expert in
13 processing. Basically, interacts with plants and comes
14 up or identifies the needs for studies that we need to
15 do. And also, in a way, is my reality check. You know,
16 he'll stop me and say, hey, wait, they don't do that.
17 In the real world, you need to do this. Joe Losinski
18 [ph] is a Master's Graduate student who finished up
19 about a year ago, who runs the lab, does all the hard
20 work, and also supervises a whole crew of under grads
21 who, in essence, get an apprenticeship in applied food
22 microbiology. And then I try to keep the whole thing
23 running. We are very grateful. We've gotten some USDA
24 funding from the Small and Very Small Plant Program that
25 allows us to offer these validation studies as

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1 subsidized studies. What we do, roughly, is for every
2 \$2500 of expenses, we bill the plant \$500. Sometimes,
3 when a plant is on the big end of small, we charge more.
4 Sometimes we charge less. But thank you, USDA, for
5 that. We were set up. We have a biosafety level two
6 laboratory. We also have a facility known as the
7 biotron, which is a controlled environmental facility so
8 we can put racks of product in and imitate a cooler, a
9 smoker, to some extent, drying chambers, and so on, all
10 with actual pathogens. And that, of course, is one of
11 the major hang-ups with validation studies, is you can't
12 walk into a plant and spike the meat with pathogen. And
13 surrogates are often lacking. So what will happen is,
14 typically, we start with a product or a process from one
15 or two plants, and we do a study. We try to post the
16 results on the web. The web site's under development
17 right now. If we think the results are appropriate and
18 the processor who made the request is agreeable, we will
19 write an article and submit it for publication. We've
20 got a few of those working their way through the
21 pipeline now. Ideally, we'd like to provide results
22 that any plant making a particular product can use.
23 Now, that's not always possible, again, because of these
24 little twists and tweaks that people have in their
25 processing. We do recognize that whatever we do

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1 probably will not be quite enough to completely validate
2 what's happening at the plant, so we are going to be
3 encouraging the plants to get their own data. Things
4 like time/temperature profiles, product composition,
5 perhaps some indicator micro counts that they can use
6 for verification. And, of course, the trick is when we
7 do a study based on one or two people's process, we have
8 to identify the key parameters that we might be able to
9 recommend critical limits for to others. In the future,
10 it would be nice if we could get some predicting models
11 for some of these types of products, particularly, the
12 low-temperature dried products. Okay. Well, what I'm
13 going to now do is very, hopefully, quickly work through
14 some case studies of old and not-so-old technology that
15 we've tried to validate. And what you see in the
16 picture here is a rack of cold-smoked, dry-cured pork
17 loin. This was the first project we did. This rack is
18 over at our controlled environmental chamber. This is a
19 traditional product. It's been made for several decades
20 in our area. Involves certified pork, so it's been
21 frozen prior to processing. It's dry cured in logs.
22 Several layers of meat stacked up in logs after they've
23 been dipped in [MISSING WORD] and they're stored there
24 for five days at 50 degrees Fahrenheit. Then they're
25 hung up, as you see here, wheeled into a smokehouse,

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1 which is very rudimentary. It's, basically, a slatted
2 floor. The wood goes under the slats. It's lit. The
3 door is closed. Okay. That's the technology level
4 we're talking about here. And there are, you know,
5 recording thermometers, but there's not a whole lot of
6 control other than dampers, how much draft and so on are
7 going on. So the product gets two cold smoking cycles
8 over a two-plus-day period. The hottest the smokehouse
9 ever gets is 136. So those of you who have Appendix "A"
10 memorized are probably already getting nervous. Okay.
11 The lowest it tends to get is about 120. Okay. And
12 we've got quite a range there. Now, when the product
13 comes out, it's got, on the surface, it's fairly dry.
14 The water activity is down between .91 and .93, fairly
15 salty. Now what we had to do was validate the lethality
16 of this entire process because it's not really a cooked
17 product. Okay. So we duplicated the process. This is
18 almost the entire batch that you see here. So it's in a
19 small batch. We did that in our lab incubator. We
20 can't actually smoke the product at the biotron
21 facility, so we dipped half of the meat in liquid smoke,
22 and then had non-liquid-smoke treated controls. We
23 found actually, in this process, the liquid smoke had
24 virtually no effect on pathogens. And then we imitated
25 the temperatures of the smoking in a controlled

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1 environmental chamber. So, basically, it's what
2 microbiologists would call a spike-and-count study with
3 a lot of twists. What we found in this particular
4 product or process, they did get more than a five log
5 reduction in Salmonella, and more than a three log
6 reduction in Listeria. Now, that's not the seven logs
7 that's in Appendix "A," but it's a pork product. The
8 plant is currently working with this and doing
9 additional raw material and finished product testing.
10 And so far, things are going well. Another kind of
11 interesting example of old technology, and this relates
12 to the Listeria reg. This is a small plant, not a very
13 small plant, but a small plant. It has a whole muscle,
14 hot smoked beef product. Very -- a lot of smoke
15 deposited on the surface of the product. And their
16 question to me was, well, will our hot smoking and
17 formulation serve as an antimicrobial agent against LM?
18 So what we did in this case, instead of making a batch
19 of product ourselves, we simply got several lots worth
20 of product, or samples from several lots worth of
21 product from the company, removed small surface
22 sections, inoculated them, vacuum packed them and
23 refrigerated them. And then enumerated the organisms at
24 various points. So, in this case, we miniaturized
25 things. And in this particular product, and this is

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1 certainly one I would not try to generalize to all
2 smoked beef, they actually did get a 2.4 log reduction
3 in LM counts during the expected shelf life, which was
4 11 weeks. So they can actually claim that the process
5 and the formulation are adequate. They can call it an
6 Alternative 2 in this Listeria reg. Okay, how many of
7 you have ever had this product? One or two out there.
8 The joke that my colleague, Dennis, uses is that all the
9 headcheese customers are dying. And it's not because of
10 food safety, it's because they're 80 years old or more.
11 This is a niche product. It involves chunks of meat
12 given a very, very severe heat treatment, the addition
13 of vinegar, and then that congeals upon cooling in metal
14 pans. They slice it, vacuum package it, and out it
15 goes. A fairly long shelf life. Again, the question
16 this niche company had for me is can we call the process
17 and the formulation of headcheese an antimicrobial
18 agent? Just as we did with the smoked beef, we got
19 several logs, cut small pieces, inoculated, vacuum
20 packed and so on. And, yes, in deed, headcheese is
21 safe, okay? Within eight days, there's almost a three
22 log reduction in LM. And after a month, it was over 5
23 logs. So that's a safe product, folks. We're going to
24 be working on three stalwart Wisconsin products coming
25 up here, looking at how well LM survives on products.

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1 We're going to look at beef jerky, summer sausage and
2 beef sticks. And these are things that are pretty much
3 any of these small plants will make around the state.
4 Now, if we move into the somewhat newer technology, and
5 it's been alluded to a few times already today, the
6 whole idea of post-packaging pasteurization. This is a
7 project we worked on last summer. A processor actually
8 in California was at a convention or something, and got
9 the request to us. They had a dried-beef product,
10 intact muscle, dried-beef product that had never been
11 cooked. And they wanted to see if they could vacuum
12 pack it, put it in hot water, and ensure safety. So
13 it's a little different than the LM post-lethality
14 treatment because it's never -- hasn't been cooked
15 first. There's a lot of other products, of course,
16 where small processors might try to get that post-
17 lethality treatment, get the two log LM kill. Now, when
18 I started on this, I thought it was a no-brainer. You
19 know, what could be simpler? Put the Listeria on, seal
20 it in a vacuum bag, dunk it in a hot water kettle, and
21 do the plating, and there aren't going to be any
22 survivors. Well, I learned that that's far from the
23 truth. There's a lot of variables, the least of which
24 will be water temperature, and size of the meat, how
25 tightly the vacuum packaging material adheres to the

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1 product. If you get any little air, or voids, that's
2 insulation. So we did a lot of trials with this.
3 Finally figured out how to do it for this processor, and
4 managed to get, for his product, a five log kill of
5 Salmonella and of Listeria with a 3-1/2 minute
6 treatment, 195 degree water. Now, it was right on the
7 edge of 3-1/3 minutes of really changing, you know,
8 noticeably changing the organoleptic properties of the
9 product. Now, we're going to -- we've been humbled, and
10 we're going to try to do this a little more
11 systematically within the coming months. So we're going
12 to work on ring bologna, summer sausage, pre-cooked pork
13 chops and pre-cooked brats. Try to come up with some
14 recommendations for plants as well. And, again, that's
15 another project funded by the Small Plant -- Small and
16 Very Small Plant Program with USDA. Another not-so-old
17 technology we've looked at and, again, folks have talked
18 about it already, is sodium lactate. This was a one-
19 plant project. We worked on ham and turkey slices,
20 cured products that had fairly long shelf lives. And
21 the company was putting sodium lactate in, and they
22 wanted to verify that it was an antimicrobial agent.
23 And we found that with these particular products, it
24 worked extremely well. We got, virtually, no growth
25 through 60 days. So that was a success story for the

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1 plant. Well, to conclude. What have I learned from all
2 this? Well, first of all, it's a lot of fun. You learn
3 an incredible amount working on these unusual products.
4 Definitely, if you do these lab studies well and plan
5 them out, you can provide a lot of useful information
6 that these small and very small processors can use.
7 Also, I've learned that many of these traditional
8 processes are quite safe. We just have to get data to
9 prove it. I'm definitely aware that the processors are
10 going to need further help. I just can't hand them the
11 study and say, go at it, here's the validation, go do
12 it. Finally, there's lots more work to do. So thank
13 you very much.

14 MR. DERFLER: Any questions from the audience
15 for the three speakers that you just heard from? First
16 of all, I've got to make a plug. The USDA is, the Small
17 and Very Small Plant, is FSIS and the Small and Very
18 Small Plant coordinator is Mary Cutshall, who's sitting
19 out at the table outside. So there's that. I just have
20 one question for Dr. Burson and Dr. Inghram. And that
21 is, given what we're trying to do with respect to small
22 plants, and trying to get new technology to them, do you
23 have any advice for us, based on your experience with
24 it? And I was told before, there hasn't been a reason
25 for it. Can you speak close to the microphone so we can

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1 get it? Thank you.

2 DR. BURSON: Okay, does this come through
3 okay? All right. Thank you. I think, from the things
4 that I've seen, is that, with these people, you need to
5 get to them personally. And that the efforts that we've
6 done in terms of the workshops that they might come in
7 where -- and, to be honest with you, just a sanitation
8 workshop doesn't turn these people on. But if you make
9 product, and then in the process of making product, you
10 also talk about sanitation, you might get some
11 information to them. But you'll never get them to come,
12 to just say, well we want to tell you about your
13 sanitation program or cleaning program. I probably
14 shouldn't say never. But that doesn't attract as many
15 people as if they get a hands-on workshop type of
16 experience. And so the efforts that you can do to do
17 that, and as well as the one-on-one type of assistance.
18 And that's hard to do because it's very intensive and
19 takes a lot of people and time to do that. But that
20 seems to be the places where you start to make a
21 difference. And, particularly in terms of trying to put
22 what we think in terms of getting to the Category 2 and
23 putting more antimicrobials into their ready-to-eat meat
24 products. We may end up talking also with the suppliers
25 of ingredients to these small companies, and making sure

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1 that they understand where it is that they want to go.
2 And, in some conversations with those already, they have
3 expressed concerns about giving out the technical
4 advice. You know, they can get the ingredients, but
5 many times the small companies will come to them and
6 say, well how much do I add? What is it that I do to
7 use this ingredient? And they're a bit hesitant when
8 we're dealing with something like Listeria control, to
9 give out that kind of advice. And so between ourselves
10 or some other consulting authorities, why they need some
11 help there as well. I think -- I'm probably taking too
12 much time here, Steve. The last point that I'd like to
13 make is that some of these studies, even though he
14 pointed out that you can have lots of little variations,
15 need to be accepted as validation studies that show what
16 these guys are doing, because I don't think we can
17 afford to do what Steve's doing, and validate
18 everybody's individual product in everybody's plant.
19 And so when a plant site's a study someplace, and it's
20 not quite exactly what it is, but it's pretty close,
21 there ought to be room for a judgment someplace in terms
22 of compliance as to whether they have information that
23 really validates what they're doing.

24 DR. INGRAM: Yeah, if I could add to that.
25 There's really a couple of things Dennis alluded to at

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1 the end there. One of them is that you've got to
2 translate this information. They'll be innovative in
3 product development, but when it comes to something like
4 this, what I hear is, look, Steve, just tell me what I
5 need to do, okay? Give me the variables. You know, do
6 I need to buy a recording thermometer? What is it I
7 have to do? So you've got to translate it down to not
8 quite cookbook, but getting that way. Then the other
9 thing is, and this is probably the number one complaint
10 that meat processors that I deal with have, is if I do
11 this and Inspector "A" likes it, I don't want Inspector
12 "B" or the CSO to come in and tell me it's no good.
13 That's probably the number one frustration in the small
14 plant. Well, maybe labor is number one. But it's right
15 up there. Is changing interpretations between
16 regulatory people. The guard changes, and all of a
17 sudden, I can't do what I've been doing.

18 MR. DERFLER: Thank you very much. Any other
19 questions for anyone? At this point I'd like to
20 introduce Dr. Garry McKee again, the Administrator, for
21 closing remarks.

22 DR. McKEE: Thank you, Phil. Well, we've
23 certainly had, I think, a very successful day today. I
24 want to thank everybody for attending, and I think the
25 turnout has been great. I particularly enjoy these

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1 kinds of public meetings in that the science, and many
2 times, in most cases, doesn't have an opinion. So that
3 for where we're sitting, sometimes that's a plus. So
4 it's our intention that the information that you've
5 received here will encourage all of us to look for all
6 new and different ways to further develop and
7 incorporate effective new technologies and procedures to
8 enhance food safety. I urge you to contact our New
9 Technology staff with your questions and your ideas as
10 well. We are here to facilitate the use of innovative
11 procedures that, in deed, can help improve public
12 health. We must work together if we want to achieve our
13 food safety goals. I think the focus that we need to
14 think about is that, with our combined efforts, the
15 future of food safety is bright indeed. And the
16 conference, I think, has went very smooth, and I'd
17 certainly like to thank our organizers, particularly
18 Mary Cutshall, Sally Fernandez, Gay Gart, Mary Harris
19 and Martha Workman, for their efforts in making this
20 meeting a very good success. So, with that, I thank our
21 speakers, and I thank the audience for your
22 attentiveness, and we appreciate your ideas as they come
23 forth. Thank you very much.

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4 IN RE: THE STATE OF FOOD SAFETY TECHNOLOGIES TO
5 ENHANCE PUBLIC HEALTH

6

7 HELD AT: OMAHA, NEBRASKA

8

9 DATE: JANUARY 13, 2004

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