



Food Security Workshop

FSIS Directive 5420 Revision Series & Notices

Directive 5420 Revision Series

- ◆ **What is the FSIS Directive 5420 Revision Series?**
 - 8 Directives & 3 Notices provide Food Security guidance to FSIS personnel
- ◆ **How does this affect me?**
 - 2 Directives & 3 Notices are pertinent to industry



Directive 5420 Revision Series

- ◆ 5420.1 Revision 1 – provides guidance to FSIS Inspection Program Personnel (IPP)
- ◆ 5420.4 Revision 2 – provides guidance to FSIS International Affairs, Import Inspection Division (IID)



Directive 5420.1 Revision 1



Directive 5420.1 Revision 1

- ◆ **Effective Date: January 26, 2005**
- ◆ **Canceled: FSIS Directive 5420.1**
- ◆ **Establishments affected by this Directive:**
 - Meat & poultry facilities
 - Egg product facilities



Directive 5420.1 Revision 1

- ◆ **Provides instruction for FSIS IPP when threat condition Elevated (Yellow), High (Orange) or Severe (Red) is declared.**



Meat & Poultry Documentation

- ◆ If IPP note a breach/concern during the 08S procedure (and no evidence of product adulteration), they must prepare a MOI & meet w/ establishment mgmt.



Meat & Poultry Documentation

- ◆ Establishment is NOT obligated to respond to breaches in MOI
- ◆ IPP should continue random ISP procedures
- ◆ IPP should NOT intentionally perform same ISP procedure



Meat & Poultry Documentation

- ✦ If IPP note a security breach during the 08S procedure (and find evidence of product adulteration), they must:
 - Discuss findings w/ management
 - Perform a 02 HACCP procedure
 - Document findings on NR



Meat & Poultry Documentation

- ✦ If IPP note product adulteration that is NOT considered a food safety hazard, they must:
 - Verify establishment takes corrective action



Egg Product Documentation



FSIS

Egg Product Documentation

- ◆ IPP will record in remarks section of “Daily Report of Plant Operations”
- ◆ IPP will draft a MOI
- ◆ Copies provided to:
 - Plant management
 - District Analyst
 - Establishment file

FSIS

FSIS Notice 19-05

- ◆ **Effective date: 03/23/2005**
- ◆ **Clarifies FSIS Directive 5420.1
Revision 1, paragraph IX**



FSIS Notice 9-05

- ◆ **Effective date: 2/2/2005**
- ◆ **Inspection System Procedure
(ISP) 08S01 will no longer be used**



FSIS Notice 7-05

- ✦ Effective date: 1/27/2005
- ✦ FSIS makes publicly available the Industry Self-Assessment Checklist for Food Security



Directive 5420.4 Revision 2



Directive 5420.4 Revision 2

- ◆ **Effective Date: January 26, 2005**
- ◆ **Canceled: FSIS Directive 5420.4 Revision 1**
- ◆ **Establishments affected by directive:**
 - Meat & poultry import facilities
 - Egg product import facilities



Directive 5420.4 Revision 2

- ◆ **Provides instruction for Import Inspection Division (IID) field personnel when threat condition Elevated, High or Severe is declared.**



Directive 5420.4 Revision 2

✦ Import Documentation

- When IID perform a 08S procedure and find a breach or food security concern (and no evidence of product adulteration), they will:
 - Discuss findings with management
 - Prepare a MOI



Directive 5420.4 Revision 2

✦ Import Documentation:

- When IID perform a 08S procedure and find a breach/food security concern (w/ evidence of food adulteration), they will:
 - Discuss findings with facility mgmt.
 - Complete a NR
 - Verify control of product



Any Questions?



FSIS